

# Combinatorics 2017 Fall

## week11 note

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### Reference:

Extremal Combinatorics with applications in Computer Science. 2nd Edition. Stasys Jukna, Springer.

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**Theorem1**(Nullstellensatz) Let  $f \in F[x_1, \dots, x_n]$ , and let  $S_1, \dots, S_n$  be nonempty subsets of  $F$ . If  $f(x) = 0, \forall x \in S_1 \times \dots \times S_n$ , then  $\exists$  polynomials  $h_1, h_2, \dots, h_n \in F[x_1, \dots, x_n]$  such that  $\deg(h_i) \leq \deg(f) - |S_i|$  and  $f = \sum_{i=1}^n h_i \prod_{s \in S_i} (x_i - s)$ .

**Proof:** Let  $t_i = |S_i| - 1$ , define  $g_i(x_i) = \prod_{s \in S_i} (x_i - s) = x_i^{t_i+1} - \sum_{j=0}^{t_i} a_{ij} x_i^j$ . Replace each occurrence of  $x_i^{t_i+1}$  by  $g_i(x_i) + \sum_{j=0}^{t_i} a_{ij} x_i^j$ .  $f = h_1 g_1(x_1) + f_1$ ,  $\deg h_1 \leq \deg f - |S_1|$  and  $\max \deg$  of  $x_1 \leq t_1$ . Repeat this procedure for all  $x_i^{t_i+1}$ , we get  $f = \sum_{i=1}^n h_i g_i + \bar{f}$ , with  $\deg h_i \leq \deg f - |S_i|$  and maximum degree of  $x_i$  in  $\bar{f}$  is  $\leq t_i, i \in [n]$ . Since  $\bar{f} = f = 0$  for all  $x \in S_1 \times \dots \times S_n$ , we have  $\bar{f} \equiv 0$ . Hence  $f = \sum h_i g_i$ .  $\square$

**Theorem2**(Combinatorial Nullstellensatz) Let  $f \in F[x_1, \dots, x_n]$  be a polynomial of degree  $d$ . Suppose  $[x_1^{t_1} x_2^{t_2} \dots x_n^{t_n}] f \neq 0$  and  $\sum t_i = d$ . If  $S_i \subset F$  with  $|S_i| \geq t_i + 1, i \in [n]$ , then  $\exists x \in S_1 \times \dots \times S_n$  for which  $f(x) \neq 0$ .

**Proof:** Assume  $|S_i| = t_i + 1, i \in [n]$ , Suppose  $f(x) = 0$  for all  $x \in S_1 \times \dots \times S_n$ , define  $g_i(x_i) = \prod_{s \in S_i} (x_i - s)$  and  $h_i(x_1, x_2, \dots, x_n)$  guaranteed by Nullstellensatz. Hence  $\deg h_i \leq \deg f - |S_i| = \deg f - (t_i + 1)$ . Since  $f(x) = \sum_{i=1}^n h_i(x) g_i(x)$ , that is  $f(x) = \sum_{i=1}^n x_i^{t_i+1} h_i(x) + (\text{terms of degree} < \deg f)$ . By assumption, the  $[x_1^{t_1} x_2^{t_2} \dots x_n^{t_n}] f$  on LHS is nonzero, but it is impossible to have such a monomial on RHS.  $\square$

### Application of Combinatorial Nullstellensatz

**Theorem3**(Chevalley-Warning) Let  $p$  be a prime and  $f_1, \dots, f_m \in F[x_1, \dots, x_n]$ . If  $\sum_{i=1}^m \deg f_i < n$ , and  $f_1, \dots, f_m$  have a common root  $(c_1, \dots, c_n)$ , then they have another common root.

**Proof:** Suppose  $(c_1, \dots, c_n)$  is the only common root of  $f_1, \dots, f_m$ . Define  $f(x_1, \dots, x_n) = \prod_{i=1}^m (1 - f_i(x_1, \dots, x_n)^{p-1}) - \delta \prod_{j=1}^n \prod_{c \in F_p, c \neq c_j} (x_j - c)$ , where  $\delta$  is chosen so that  $f(c_1, \dots, c_n) = 0$ .

Hence  $\delta = \frac{1}{\prod_{j=1}^n \prod_{c \in F_p, c \neq c_j} (c_j - c)} \neq 0$ . Given  $(s_1, \dots, s_n) \in F_p^n$  and  $(s_1, \dots, s_n) \neq (c_1, \dots, c_n)$ , then  $\exists i \in [n], f_i(s_1, \dots, s_n) \neq 0$  in  $F_p$ . By Fermat's little Theorem,  $f_i(s_1, \dots, s_n)^{p-1} \equiv 1 \pmod{p}$ , i.e. the first product on RHS is zero. It is easy to check that the second term is also zero. so  $f_i(x_1, \dots, x_n) = 0$  for all  $(x_1, \dots, x_n) \in F_p^n$ . Now check the degree of  $f$ . In the first product, the degree  $\leq \sum_{i=1}^n \deg f_i \cdot (p-1) < n(p-1)$ . , and the monomial  $x_1^{p-1} x_2^{p-1} \dots x_n^{p-1}$  has coefficient  $\delta \neq 0$ . Let  $S_i = F_p$ , then apply Combinatorial Nullstellensatz,  $\exists x \in F_p^n, s.t. f(x) \neq 0$ , a contradiction.  $\square$

**Recall:**  $A = (a_{ij})_{n \times n}, \text{per}(A) = \sum_{(i_1, \dots, i_n)} a_{1,i_1} a_{2,i_2} \dots a_{n,i_n}$ , where  $(i_1, \dots, i_n)$  runs over all permutations of  $[n]$ .

**Theorem4** (Permanent Lemma) Let  $b \in F^n$  and  $s_1, \dots, S_n$  be subsets of  $F$ , each of cardinality at least 2. If the  $\text{per}(A) \neq 0$ , then there  $\exists x \in S_1 \times \dots \times S_n$  such that  $Ax$  differs from  $b$  in all coordinates.

**Proof:** Define  $f = \prod_{i=1}^n (a_{ij}x_j - b_i)$ , need to show  $\exists x, s.t. f(x) \neq 0, \deg f = n \cdot [x_1 \dots x_n] f = \text{per}(A) \neq 0$ . Since  $|S_i| \geq 2, i \in [n]$ . then apply Combinatorial Nullstellensatz.  $\square$

**Corollary5:** If  $\text{per}(A) \neq 0$ , then for any  $b \in F^n$ , there is a subset of columns of  $A$  whose sum differs from  $b$  in all coordinates.

**Theorem6** Let  $G = (V, E)$ , no loops but multiple edges allowed.  $p$  is a prime, if average degree  $> 2p - 2$ , max degree  $\leq 2p - 1$ . then  $G$  contains a  $p$ -regular subgraph.

**Proof:** Associate each edge  $e$  with  $x_e$ . define  $f = \prod_{v \in V} [1 - (\sum_{e \in E} a_{v,e} x_e)^{p-1}] - \prod_{e \in E} (1 - x_e)$  over  $F_p$ , where  $a_{v,e} = 1$ , if  $v \in e$  and  $a_{v,e} = 0$  if  $v \notin e$ . In the first product, the degree  $\leq (p-1)|V| < |E|$ . Since  $\sum_{v \in V} d(v) = 2|E|$ , average degree is  $\frac{2|E|}{|V|} > 2p-2 \implies (p-1)|V| < |E|$ . So  $\deg f = |E|$ , and  $[\prod_{e \in E} x_e] f = (-1)^{|E|+1} \neq 0$ . Now apply Combinatorial Nullstellensatz with  $S_i = \{0, 1\}, t_e = 1, e \in E$ . then we get a 0-1 vector  $x = (x_e : e \in E) s.t. f(x) \neq 0$ . Now consider the spanning subgraph  $H$  consisting of all edges  $e \in E$  with  $x_e = 1$ . Since  $f(0) = 0, x \neq 0$ ,  $H$  is non-empty. So the second terms  $\prod_{e \in E} (1 - x_e) = 0$ , which means the first term  $\prod_{v \in V} [1 - (\sum_{e \in E} a_{v,e} x_e)^{p-1}] \neq 0$ . By Fermat's little Theorem,  $\sum_{e \in E} a_{v,e} x_e \equiv 0 \pmod{p}, \forall v \in V$ . Therefore,  $\forall v \in V$ , in  $H \deg(v) \equiv 0 \pmod{p}$ . Since the maximum degree is smaller than  $2p$ , all positive degrees are precisely  $p$ .  $\square$

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**Sum-set:**  $A + B = a + b, a \in A, b \in B$ , simple set.

**Theorem5** (Cauchy-Davenport) If  $p$  is a prime, and  $A, B$  are two non-empty subsets of  $F_p$ , then  $|A + B| \geq \min\{p, |A| + |B| - 1\}$ .

**Proof:**

- (1) If  $|A| + |B| \geq p + 1$ , then  $\forall x \in Z_p, A \cap (x - B) \neq \emptyset$ . i.e.  $\forall x \in Z_p, \exists a \in A, b \in B, a = x - b$ , i.e.  $x = a + b$ , which means  $A + B = Z_p$ . So  $|A + B| \geq p$ .
- (2)  $|A| + |B| \leq p$ . Suppose  $|A + B| \leq |A| + |B| - 2, \exists C \subset Z_p, s.t. A + B \subset C$  and  $|C| = |A| + |B| - 2$ . Define  $f(x_1, x_2) = \prod_{c \in C} (x_1 + x_2 - c)$ , then  $f(x_1, x_2) = 0$  if  $(x_1, x_2) \in A \times B$ . Let

$t_1 = |A| - 1, t_2 = |B| - 1$ .  $\deg f = t_1 + t_2 = |C|$ .  $[x_1^{t_1} x_2^{t_2}]f = \binom{t_1 + t_2}{t_1} = \binom{|A| + |B| - 2}{|A| - 1}$ . Since  $|A| + |B| - 2 < p$ , we have  $p \nmid \binom{|A| + |B| - 2}{|A| - 1}$ . Now apply Combinatorial Nullstellensatz with  $n = 2$ .  $S_1 = A, S_2 = B$ , we have a pair  $(x_1, x_2) \in S_1 \times S_2$ , s.t.  $f(x_1, x_2) \neq 0$ , a contradiction.  $\square$

## Zero-sum-sets

Q1 Any sequence  $a_1, \dots, a_n$  of  $n$  integers contains a non-empty consecutive subsequence  $a_i, a_{i+1}, \dots, a_{i+m}$  whose sum is divisible by  $n$ .

**Proof:** By pigeonhole,  $n$  holes labeled from 0 to  $n-1$ , consider the  $n$  sequence  $(a_1), (a_1, a_2), \dots, (a_1, a_2, \dots, a_n)$ . If the sum of a sequence is  $i \pmod n$ , then put the sequence in the  $i$ -th holes, if  $\exists$  a sequence put in the 0-th hole, then done. If not, by P-P, we have two sequences in the same hole, say  $(a_1, a_2, \dots, a_{i-1})$  and  $(a_1, a_2, \dots, a_i, \dots, a_{i+m})$ , then  $(a_i, \dots, a_{i+m})$  with sum divisible by  $n$ .

Q2 Given  $n > 0$ , what is the smallest  $N$ , s.t. any sequence of  $N$  integers contains a not necessarily consecutive subsequence of  $n$  integers whose sum is divisible by  $n$ ?

e.g.  $0, \dots, 0, 1, \dots, 1, N \leq 2n - 1$ .

**Theorem 6**  $p$  is a prime, any sequence of  $2p-1$  integers contains a subsequence of  $p$  integers, whose sum is divisible by  $p$  (By Cauchy-Davenport Theorem or by Chevalley-Waring Theorem).

**Proof:** Let  $a_1 \leq a_2 \leq \dots \leq a_{2p-1}$ . If  $\exists i \in [p-1]$ , s.t.  $a_i = a_{i+p-1}$ , then  $a_i + a_{i+1} + \dots + a_{i+p-1} = pa_i = 0$ , done. If not, let  $A_i = \{a_i, a_{i+p-1}\}, i \in [p-1]$ , then  $|A_1 + A_2 + \dots + A_{p-1}| \geq \min\{p, |A_2 + \dots + A_{p-1}| + 1\} \geq \min\{p, A_3 + \dots + A_{p-1} + 2\} \geq \dots \geq \min\{p, |A_{p-1}| + p - 2\} = p$ . Hence  $A_1 + A_2 + \dots + A_{p-1} = Z_p$ , then  $-a_{2p-1}$  can be written as a sum of precisely  $p-1$  of the first  $2p-2$  elements of the sequence.  $\square$

## Error-correcting codes

Let  $A$  be an alphabet,  $C \subset A^n$  is called a code,  $x \in C$  is called a codeword. The minimum distance,  $\text{dist}(C) = \min\{d_H(x, y) : x \neq y \in C\}$ .  $\forall x \in C$ , the Hamming ball of the radius  $t$  centered at  $x$  is  $B_t(x) = \{y \in A^n : d_H(x, y) \leq t\}$ .

**Fact:** The code  $C$  can correct up to  $t$  errors  $\iff \forall x, x' \in C, B_t(x) \cap B_t(x') = \emptyset. \iff \forall x, x' \in C, d_H(x, x') \geq 2t + 1$ , i.e.  $\text{dist}(C) \geq 2t + 1$ .

**Reason:** Suppose  $x \in C$  is transmitted and  $y \in A^n$  is received. If at most  $t$  errors occurred, then  $d_H(x, y) \leq t$ . If  $\text{dist}(C) \geq 2t + 1$ , then the only codeword in  $C$  with distance  $\leq t$  from  $y$  is  $x$ . So we can correct  $y$  to  $x$ .

Main problem in coding theory: find large code with large distance.

**Theorem 1** (Singleton bound) If  $C \subseteq A^n$  and  $d = \text{dist}(C) > 0$ , then  $|C| \leq |A|^{n-d+1}$ .

**Proof:** Deleting the first  $d - 1$  letters of each codeword, the resulting codewords of length  $n - d + 1$  must be distinct, since  $\text{dist}(C) = d$ . So  $|C| \leq |A|^{n-d+1}$ .  $\square$

**Reed-Solomon code:**

$k \leq n \leq q$ ,  $q$  is a prime power. Let  $A = \mathbb{F}_q$ ,  $|A| = q$ . Fix  $n$  distinct elements  $\alpha_1, \dots, \alpha_n$  of  $\mathbb{F}_q$  ( $n \leq q$ ). Messages:  $w = (w_1, \dots, w_k) \in \mathbb{F}_q^k$ . For each  $w \in \mathbb{F}_q^k$ , let  $P_w(z) = w_1 + w_2z + \dots + w_kz^{k-1}$ .  $\deg P_w \leq k - 1$ . The codeword of  $w$  is the string  $x_w = (P_w(\alpha_1), P_w(\alpha_2), \dots, P_w(\alpha_n)) \in \mathbb{F}_q^n$ . Let  $C = \{x_w : w \in \mathbb{F}_q^k\}$  be the resulting  $q$ -ary code. Since no two polynomials of degree at most  $k - 1$  can agree on  $k$  or more points, we have  $\text{dist}(C) \geq n - k + 1$ . So we have a code  $C \subseteq A^n$  of minimum distance  $d = n - k + 1$  and size  $|C| = |A|^k = |A|^{n-d+1}$ .

In RS code, we need  $q \geq n$ . How to reduce the alphabet size.

**Binary-Red-Solomon code:** replace each element of  $\mathbb{F}_q$  by a binary string of length  $\lceil \log_2 q \rceil$ , let  $n' = n \lceil \log_2 q \rceil$ . Then we obtain a binary code  $C \subseteq \{0, 1\}^{n'}$  with length  $n' = n \lceil \log_2 q \rceil$ , size  $|C| = q^k$  and  $\text{dist}(C) \geq n - k + 1$ . ( $n \leq q$ ).