

# Type Checking $F_\omega$

Formal Methods, SSE of USTC

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In this assignment, you'll implement a type check for  $F_\omega$ , a formal system with polymorphic types and type operators. Generally speaking, type checking  $F_\omega$  is not that harder than type checking  $\lambda_\omega$ , so we emphasize only the key difference between these two systems. And the difference parts are marked with yellow color.

## 1 The Syntax for $F_\omega$

The syntax for  $F_\omega$  is presented in Figure 1, with two new syntactic forms for terms: type abstraction and type application. And there is a new constructor for polymorphic types.

<i>Terms</i>	$t$	$\rightarrow$	<code>true</code>   <code>false</code>   <code>if t then t else t</code>   $x$   $\lambda x : c.t$   $tt$   $\lambda\alpha :: K.t$   $t[c]$
<i>Constructors</i>	$c$	$\rightarrow$	<code>Bool</code>   $\alpha$   $c \rightarrow c$   $\Lambda\alpha :: K.c$   $cc$   $\forall\alpha :: K.c$
<i>Kinds</i>	$K$	$\rightarrow$	$\star$   $K \Rightarrow K$

Figure 1: Syntax for  $F_\omega$

## 2 The Declarative Static Semantics for $F_\omega$

The static semantics for  $F_\omega$  consists of three components: the typing rule for terms, the kinding rules for constructors and the equivalence rules for types.

### 2.1 The Typing Rules

In order to present the typing rules, we first present the definition of the typing environment  $\Gamma$  and the kinding environment  $\Delta$ , in Figure 2.

The typing rules make use of the following judgmental form

$$\Gamma; \Delta \vdash t : c,$$

$$\begin{array}{l}
\text{Typing environment} \quad \Gamma \rightarrow \cdot \mid x : c, \Gamma \\
\text{Kinding environment} \quad \Delta \rightarrow \cdot \mid \alpha :: K, \Delta
\end{array}$$

Figure 2: Typing and kinding environments

and the rules are given in Figure 3. Only the T-EQ rule deserves further explanation. Essentially, this rule specifies that one can interchange a constructor  $c_2$  when another constructor  $c_1$  is inferable, as long as these two constructors are equivalent  $c_1 \equiv c_2$ , the equivalence relation  $\equiv$  will be discussed shortly.

So, these typing rules are not syntax-directed and thus can not be used to direct the type checking.

## 2.2 The Kinding Rules

The kinding rule specifies the conditions under which a constructor is legal. These rules take the following judgmental form:

$$\Delta \vdash c :: K$$

and consists of the rules in Figure 4.

It's nice to see that the set of kinding rules are syntax-directed.

## 2.3 The Definitional Equivalence Rules

The equivalence relation  $\equiv$  are defined on any two constructors using this judgment form:

$$\vdash c_1 \equiv c_2$$

and the rules are given in Figure 5.

It's also worth remarking that these definitional equivalence relation is not syntax-directed. For instance, when one need to compare two constructors  $c_1$  and  $c_2$ , a feasible way is to use the T-BETA rule to try to reduce any one constructor, but another way is to use the E-TRANS rule, which involves guess a third constructor  $c_3$ . For this reason, in the next, we would develop a theory of algorithmic equivalence checking.

## 3 The Algorithmic Static Semantics for $F_\omega$

The key step in designing an algorithmic static semantics is to make the typing rules and definitional equivalence rules syntax-directed.

The key idea to make the typing rules syntax-directed is to eliminate the T-EQ rule and move the constructor equivalence comparison to the necessary points in other typing rules. In this sense, we are providing equivalence coercion in typing rules directly. A close look at the typing rules from Figure 3 reveals that both the T-IF rule and the T-APP rule need this coercion: for the former,

$\Gamma; \Delta \vdash t : c$

$$\frac{}{\Gamma; \Delta \vdash \text{true} : \text{Bool}} \quad (\text{T-TRUE})$$

$$\frac{}{\Gamma; \Delta \vdash \text{false} : \text{Bool}} \quad (\text{T-FALSE})$$

$$\frac{\Gamma; \Delta \vdash t_1 : \text{Bool} \quad \Gamma; \Delta \vdash t_2 : c \quad \Gamma; \Delta \vdash t_3 : c}{\Gamma; \Delta \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : c} \quad (\text{T-IF})$$

$$\frac{x : c \in \Gamma}{\Gamma; \Delta \vdash x : c} \quad (\text{T-VAR})$$

$$\frac{\Delta \vdash c :: \star \quad \Gamma, x : c; \Delta \vdash t : c'}{\Gamma; \Delta \vdash \lambda x : c. t : c \rightarrow c'} \quad (\text{T-ABS})$$

$$\frac{\Gamma; \Delta \vdash t_1 : c_1 \rightarrow c_2 \quad \Gamma; \Delta \vdash t_2 : c_1}{\Gamma; \Delta \vdash t_1 t_2 : c_2} \quad (\text{T-APP})$$

$$\frac{\Gamma; \Delta, \alpha :: K \vdash t : c}{\Gamma; \Delta \vdash \lambda \alpha :: K. t : \forall \alpha :: K. c} \quad (\text{T-TYABS})$$

$$\frac{\Gamma; \Delta \vdash t : \forall \alpha :: K. c' \quad \Delta \vdash c :: K}{\Gamma; \Delta \vdash t [c] : [\alpha \mapsto c]c'} \quad (\text{T-TYAPP})$$

$$\frac{\Gamma; \Delta \vdash t : c_1 \quad \vdash c_1 \equiv c_2 \quad \Delta \vdash c_2 :: \star}{\Gamma; \Delta \vdash t : c_2} \quad (\text{T-EQ})$$

Figure 3: Typing rules for  $F_\omega$

one need to check that the type of  $t_1$  is really the constructor `Bool`, and that the type of  $t_2$  and  $t_3$  are really equivalent; and for the latter, one need to check that the term  $t_1$  is really of an arrow type  $c_1 \rightarrow c_2$  and that  $t_2$ 's type is really equivalent to  $c_1$ .

$$\boxed{\Delta \vdash c :: K}$$

$$\frac{}{\Delta \vdash \text{Bool} :: \star} \quad (\text{K-TYBOOL})$$

$$\frac{\Delta \vdash c_1 :: \star \quad \Delta \vdash c_2 :: \star}{\Delta \vdash c_1 \rightarrow c_2 :: \star} \quad (\text{K-TYARROW})$$

$$\frac{\Delta, \alpha :: K \vdash c :: \star}{\Delta \vdash \forall \alpha :: K. c :: \star} \quad (\text{K-TYFORALL})$$

$$\frac{\alpha :: K \in \Delta}{\Delta \vdash \alpha :: K} \quad (\text{K-TYVAR})$$

$$\frac{\Delta, \alpha :: K_1 \vdash c :: K_2}{\Delta \vdash \lambda \alpha :: K. c :: K_1 \Rightarrow K_2} \quad (\text{K-TYABS})$$

$$\frac{\Delta \vdash c_1 :: K_1 \Rightarrow K_2 \quad \Delta \vdash c_2 :: K_1}{\Delta \vdash c_1 c_2 :: K_2} \quad (\text{K-TYAPP})$$

Figure 4: Kinding rules for  $F_\omega$

With these in mind, we present the algorithmic typing rule via this judgmental form:

$$\Gamma; \Delta \triangleright t : c$$

and the typing rules in F

We make use of two new judgmental forms:

$$\Delta \triangleright c_1 \Downarrow c_2$$

and

$$\Delta \triangleright c_1 \Leftrightarrow c_2 :: K$$

the former one specifies that the constructor  $c$  can reduce to another constructor  $c'$ , and the latter one specifies that the two constructors  $c$  and  $c'$  are equivalent algorithmically at the kind  $K$ .

The rules for the former judgmental form is given in Figure 7. The key idea is that the  $\beta$ -reduction rule is applied repeatedly, until there is no constructor application exists, unless the application is to a constructor variable  $\alpha$ . Another

$$\boxed{\vdash c_1 \equiv c_2}$$

$$\frac{}{\vdash c \equiv c} \quad (\text{E-REFL})$$

$$\frac{\vdash c_1 \equiv c_2}{\vdash c_2 \equiv c_1} \quad (\text{E-SYMM})$$

$$\frac{\vdash c_1 \equiv c_2 \quad c_2 \equiv c_3}{\vdash c_1 \equiv c_3} \quad (\text{E-TRANS})$$

$$\frac{\vdash c_1 \equiv c_3 \quad c_2 \equiv c_4}{\vdash c_1 \rightarrow c_2 \equiv c_3 \rightarrow c_4} \quad (\text{E-ARROW})$$

$$\frac{\vdash c_1 \equiv c_2}{\vdash \forall \alpha :: K.c_1 \equiv \forall \alpha :: K.c_2} \quad (\text{E-FORALL})$$

$$\frac{\vdash c_1 \equiv c_2}{\vdash \Lambda \alpha :: K.c_1 \equiv \Lambda \alpha :: K.c_2} \quad (\text{E-TYABS})$$

$$\frac{\vdash c_1 \equiv c_3 \quad c_2 \equiv c_4}{\vdash c_1 c_2 \equiv c_3 c_4} \quad (\text{E-TYAPP})$$

$$\frac{}{\vdash (\Lambda \alpha :: K.c)\alpha \equiv [\alpha \mapsto c]c} \quad (\text{E-BETA})$$

Figure 5: Definitional Equivalence Rules for  $F_\omega$

subtle point here is that both the constructors  $c$  and  $c'$  are of kind  $\star$ , and this kind is implicit in the reduction rule.

The algorithmic equivalence checking rules are given in Figure 8.

Essentially, these two rules will first push down constructors to a normal form of kind  $\star$  (if they are not, we first perform  $\eta$ -reduction. And then we normalize these normal forms by the E-STAR rule and compare  $c'_1$  and  $C'_2$  structurally.

This gives us the next judgmental form:

$$\Delta \triangleright c_1 \leftrightarrow c_2$$

which will compare two constructors  $c_1$  and  $c_2$  for structural equivalence. The rules for this judgmental form are given in Figure 9.

$$\boxed{\Gamma; \Delta \triangleright t : c}$$

$$\frac{}{\Gamma; \Delta \triangleright \text{true} : \text{Bool}} \quad (\text{T-TRUE})$$

$$\frac{}{\Gamma; \Delta \triangleright \text{false} : \text{Bool}} \quad (\text{T-FALSE})$$

$$\frac{\Gamma; \Delta \vdash t_1 : c_1 \quad \Gamma \triangleright c_1 \Downarrow \text{Bool} \quad \Gamma; \Delta \vdash t_2 : c_2 \quad \Gamma; \Delta \vdash t_3 : c_3 \quad \Delta \triangleright c_2 \Leftrightarrow c_3 :: \star}{\Gamma; \Delta \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : c_2} \quad (\text{T-IF})$$

$$\frac{x : c \in \Gamma}{\Gamma; \Delta \triangleright x : c} \quad (\text{T-VAR})$$

$$\frac{\Delta \triangleright c :: \star \quad \Gamma, x : c; \Delta \triangleright t : c'}{\Gamma; \Delta \triangleright \lambda x : c. t : c \rightarrow c'} \quad (\text{T-ABS})$$

$$\frac{\Gamma; \Delta \vdash t_1 : c_1 \quad \Delta \triangleright c_1 \Downarrow c_2 \rightarrow c_3 \quad \Gamma; \Delta \triangleright t_2 : c_4 \quad \Delta \triangleright c_2 \Leftrightarrow c_4 :: \star}{\Gamma; \Delta \vdash t_1 t_2 : c_3} \quad (\text{T-APP})$$

$$\frac{\Gamma; \Delta, \alpha :: K \triangleright t : c}{\Gamma; \Delta \triangleright \lambda \alpha :: K. t : \forall \alpha :: K. c} \quad (\text{T-TYABS})$$

$$\frac{\Gamma; \Delta \vdash t : c \quad \Delta \triangleright c \Downarrow \forall \alpha :: K. c' \quad \Delta \triangleright c'' :: K}{\Gamma; \Delta \vdash t [c''] : [\alpha \mapsto c''] c'} \quad (\text{T-TYAPP})$$

Figure 6: Algorithmic Typing rules for  $F_\omega$

## 4 The Implementation

Let's summarize, in Figure 10, all judgmental forms to type checking  $F_\omega$ . Especially, we list the input, output and an interpretation of all judgmental forms. Note that in the third and fifth judgments, the kind is always  $\star$  and thus are implicit.

$$\boxed{\Delta \triangleright c_1 \Downarrow c_2}$$

$$\frac{}{\Delta \triangleright \text{Bool} \Downarrow \text{Bool}} \quad (\text{R-BOOL})$$

$$\frac{}{\Delta \triangleright c_1 \rightarrow c_2 \Downarrow c_1 \rightarrow c_2} \quad (\text{R-ARROW})$$

$$\frac{}{\Delta \triangleright \forall \alpha :: K.c \Downarrow \forall \alpha :: K.c} \quad (\text{R-FORALL})$$

$$\frac{}{\Delta \vdash \alpha \Downarrow \alpha} \quad (\text{R-TYVAR})$$

$$\frac{}{\Delta \triangleright \Lambda \alpha :: K.c \Downarrow \Lambda \alpha :: K.c} \quad (\text{R-TYABS})$$

$$\frac{\Delta \triangleright c_1 \Downarrow (\Lambda \alpha :: K.c) \quad [\alpha \mapsto c_2]c \Downarrow c'}{\Delta \triangleright c_1 c_2 \Downarrow c'} \quad (\text{R-APP1})$$

$$\frac{\Delta \triangleright c_1 \Downarrow \alpha}{\Delta \triangleright c_1 c_2 \Downarrow \alpha c_2} \quad (\text{R-APP2})$$

Figure 7: Reduction rules for Constructors

$$\boxed{\Delta \triangleright c_1 \Leftrightarrow c_2 :: K}$$

$$\frac{\Delta \triangleright c_1 \Downarrow c'_1 \quad \Delta \triangleright c_2 \Downarrow c'_2 \quad \Delta \triangleright c'_1 \leftrightarrow c'_2}{\Delta \triangleright c_1 \Leftrightarrow c_2 :: \star} \quad (\text{E-KSTAR})$$

$$\frac{\Delta, \alpha :: K_1 \triangleright c_1 \alpha \Leftrightarrow c_2 \alpha :: K_2}{\Delta \triangleright c_1 \Leftrightarrow c_2 :: K_1 \Rightarrow K_2} \quad (\text{E-KARROW})$$

Figure 8: Algorithmic Equivalence Rules for Constructors

Finally, let remark that the syntactic forms in Figure 9 are of special interest, they have been evaluated to normal forms of such a shape: all head constructors have been exposed, but not the underlying constructors. For instance, take a look at the S-ARROW rule, the underlying constructor  $c_i$  for  $1 \leq i \leq 4$  are not normal

$$\boxed{\Delta \triangleright c_1 \leftrightarrow c_2}$$

$$\frac{}{\Delta \triangleright \text{Bool} \leftrightarrow \text{Bool}} \quad (\text{S-BOOL})$$

$$\frac{\Delta \triangleright c_1 \leftrightarrow c_3 :: \star \quad \Delta \triangleright c_2 \leftrightarrow c_4 :: \star}{\Delta \triangleright c_1 \rightarrow c_2 \leftrightarrow c_3 \rightarrow c_4} \quad (\text{S-ARROW})$$

$$\frac{\Delta \triangleright c_1 \leftrightarrow c_2 :: \star}{\Delta \triangleright \forall \alpha :: K.c_1 \leftrightarrow \forall \alpha :: K.c_2} \quad (\text{S-FORALL})$$

$$\frac{}{\Delta \triangleright \alpha \leftrightarrow \alpha} \quad (\text{S-TYVAR})$$

$$\frac{\Delta \triangleright \alpha :: K_1 \Rightarrow \star \quad \Delta \triangleright c_1 \leftrightarrow c_2 :: K_1}{\Delta \triangleright \alpha c_1 \leftrightarrow \alpha c_2} \quad (\text{S-TYAPP})$$

Figure 9: Structural Equivalence Rules for Constructors

The judgment	Input	Output	Interpretation
$\Gamma; \Delta \triangleright t : c$	$\Gamma, \Delta, t$	$c$	Type checking a term $t$
$\Delta \triangleright c :: K$	$\Delta, c$	$K$	Kind checking a constructor $c$
$\Delta \triangleright c_1 \Downarrow c_2$	$\Delta, c_1$	$c_2$	$\beta$ -reduce a constructor $c_1$
$\Delta \triangleright c_1 \leftrightarrow c_2 :: K$	$\Delta, c_1, c_2, K$	boolean	algorithmic equivalence
$\Delta \triangleright c_1 \leftrightarrow c_2$	$\Delta, c_1, c_2$	boolean	structural equivalence

Figure 10: All Judgmental Forms

forms can thus be reduced further. Such kind of normal forms are called *weak head normal forms* in the literatures.