

正电子技术在材料科学 中的应用-半导体

叶邦角



核固体物理研究室 Laboratory of Nuclear Solid State Physics, USTC









半导体材料

- ●第一代半导体材料: Si, Ge
- 第二代半导体材料: III-V 族化合物(GaAs, InP等)
- II-VI族化合物等单晶(CdSe等),
- 第三代宽带隙半导体: IV-IV 族化合物(SiC 等) 单晶、微晶、纳米晶和非晶半导体。

Positron Studies of Semiconductor Defects (PSSD)

- PSSD-2004,第4届,美国华盛顿州立大学
- PSSD-2002,第3届,日本东北大学
- PSSD-1999,第2届, McMaster Univ. 加拿大
- PSSD-1994,第1届, Halle, 德国

PSSD-Topics

- 1. Basic work such as identification of defects: defect formation, migration, agglomeration and annealing.
- 2. Momentum distribution studies of defects: coincidence Doppler broadening, angular correlation of annihilation radiation (ACAR).
- 3. Low-k/High-k dielectric insulating materials in semiconductor devices
- 4. Theoretical calculations of momentum distributions and positron lifetimes
- 5. Slow beam studies of surface and near surface regions of semiconductors
- 6. High resolution positron lifetime studies of semiconductors
- 7. Semiconductor defects studied by the experimental methods other than positron annihilation
- 8. Industrial application of positron annihilation to semiconductor devices.

Positron Annihilation in Semiconductors Defect Studies

R.Krause-Rehberg H.S.Leipner

Springer Series in Solid-State Science, 1998

Introduction

- Questions of semiconductor industry
 - Defect types?
 - Defect charge states?
 - Defect concentrations?
- Answers of positron annihilation
 - Vacancy-like defects and defect complexes Size of a vacancy (mono-, di-, vacancy cluster)
 - Neutral or negatively charged vacancy-complexes Positively charged defects are invisible
 - Sensitivity limits 10¹⁴-10¹⁹ cm⁻³

Positron in materials



- positron wave-function can be localized in the attractive potential of a defect
- annihilation parameters change in the localized state
 - e.g. positron lifetime increases in a vacancy
- lifetime is measured as time difference between 1.27 and 0.51 MeV quanta
- defect identification and quantification possible

Positron trapping - Vacancy

Perfect lattice Atom potential [001] direction [110] direction [110] direction Atom potential in GaAs (110) plane Positron wave function in GaAs (110) plane

Positrons are repelled by positive atom cores

Positron trapping

Perfect lattice (GaAs plane [110])



Positrons are repelled by positive atom cores

Vacancy represents a positron trap due to the missing nuclei (potential well for a positron)

Positron Annihilation is sensitive to vacancylike defects

Because of reduced electron density positrons live longer in vacancies



Defects in Materials

Defect Ty	ype	Size	Materials	
Atomic	••••	.1 nm	Metals	
Vacancies	• • • • •			
Dislocations		1 nm-10 µm	Metals	
Voids	\bigcirc	.1 nm-1 μm	Composites	
Holes		.1 nm-10 µm	Polymers	

Point defects determine optical and electronic properties of semiconductors

- Point defects determine electronic and optical properties
- electric conductivity strongly influenced
- Doping of semiconductors (n-, p-Si)



- Point defects are generated by irradiation (e.g. cosmic rays), by plastic deformation or by diffusion, ...
- Metals in high radiation environment -> formation of voids -> embrittlement
- -> Properties of vacancies and other point defects must be known
- Analytical tools are needed to characterize point defects

The Diffusion of Positrons

Diffusion can be described by the time-dependent diffusion equation:

$$\frac{\partial}{\partial t}n_{+}(\mathbf{r},t) = D_{+}\nabla^{2}n_{+}(\mathbf{r},t) - \nabla \left[v_{d}n_{+}(\mathbf{r},t)\right] - \lambda_{eff}n_{+}(\mathbf{r},t).$$

$$n_{+}(\mathbf{r},t) \dots \text{ positron density} \qquad v_{d} \dots \text{ drift velocity (electric field)}$$

$$\lambda_{eff} = 1/\tau_{b} + \kappa(\mathbf{r}) \dots \text{ effective annihilation rate}$$

$$\kappa = \mu C \qquad \mu \dots \text{ trapping coefficient} \quad C \dots \text{ defect density}$$

Mean free path *l* and positron diffusion length L_+ in semiconductors is mainly determined by acoustic phonon scattering \Rightarrow D \propto T^{-0.5}

扩散长度

The positron diffusion length L_+ is limited due to the finite lifetime of positrons in the defect-free bulk, τ_b ,

$$L_{+} = \sqrt{\tau_b D_{+}}, \quad D_{+} = \tau_r \frac{k_B T}{m^*}$$

 τ_r is the relaxation time for the dominant scattering mechanism. The mean free path $\langle l \rangle$ and the positron diffusion length L_+ of some representative semiconductors at room temperature are presented in Table.

Material	<i><l></l></i> [nm]	L+[nm]
Si	6.9, 6.6, 8.5	219, 214, 243
GaAs	5.3	198
Ge	5.3	200



Effect diffusion length L_{eff}

1994年, Britton等人发现, 由于晶体缺陷和电场的影响, 扩散长度应修正为:

$$\begin{split} L_{e\!f\!f} = & \frac{1}{\sqrt{\frac{\lambda_{e\!f\!f}}{D_+} + \left(\frac{eE_{drift}}{2k_BT}\right)^2} - \frac{2\left|E_{drift}\right|}{2k_BT}} \end{split}$$

Edrift 是电场强度. 有效扩散长度随电场强度的增加而增加.

Trapping

Vacancies

Shallow positron traps

Dislocations

Voids

Precipitates

Surfaces

Interfaces

Graned Material

Positronium formation

Positron Annihilation Lifetime Spectroscopy

 $\mathrm{dn}\,(t) = -\lambda\,n(t)$ probability n(t) that e⁺ is alive at time t: n(0) = 1dt λ - positron annihilation rate Positron lifetime spectrum in bulk: (no trapping of positrons) 10 Si T_{bulk} = 219 ps bulk GaAs:Zn T_{bulk} = 230 ps 10 Intensity $n(t) = e^{-\lambda_{bulk} t}$ $\lambda_h =$ 103 Th. annihilation radiation 10 λ - slope of the exponential decay 10' 100 200 300 400 Channels



$$\tau_b = C_1 a_0^{3/2},$$

(C_1 = 543.8 ps / nm^{1.5})

Siethoff 1998 Phys.stat.sol.(b)205,R3



- in a metal: charge of a vacancy is effectively screened by free electrons
- they are not available in semiconductors
- thus, long-range Coulomb potential added
- positrons may be attracted or repelled
- trapping coefficient $\boldsymbol{\mu}$ is function of charge state

Vacancies may be charged



For a negative vacancy:

- Coulomb potential is rather extended but weak
- it supports trapping only at low temperatures
- at higher temperatures: detrapping dominates and vacancy behaves like a vacancy in a metal or a neutral vacancy

Positive vacancies repel positrons



Si的三种电荷态空位的捕获系数与温度的关系

Positron Trapping in a Single Defect Type



abbreviations:

$$\begin{aligned} \tau_1 &= \frac{1}{\lambda_{\rm b} + \kappa_{\rm d}}, \quad \tau_2 &= \frac{1}{\lambda_{\rm d}}, \\ I_1 &= 1 - I_2, \quad I_2 &= \frac{\kappa_{\rm d}}{\lambda_{\rm b} - \lambda_{\rm d} + \kappa_{\rm d}} \end{aligned}$$

The t_i and I_i are measured \Rightarrow k is obtained:

$$\kappa_{\rm d} = \mu C_{\rm d} = \frac{I_2}{I_1} \left(\frac{1}{\tau_{\rm b}} - \frac{1}{\tau_{\rm d}} \right)$$



 δ ... detrapping (escape) rate

Positron trapping by negative vacancies



- trapping process can be described quantitatively by trapping model
- Coulomb potential leads to Rydberg states
- from there: positrons may reescape by thermal stimulation
- once in the deep state: positron is captured until annihilation
- detrapping is strongly temperature dependent

$$\delta_{\rm R} = \frac{\kappa_{\rm R}}{\rho_{\rm v}} \left(\frac{m^* k_{\rm B} T}{2\pi\hbar^2}\right)^{3/2} \exp\left(-\frac{E_{\rm R}}{k_{\rm B} T}\right)$$

 $\rho_{\rm v}$ vacancy density

Manninen, Nieminen, 1981



 temperature dependence of positron trapping is rather complex

$$\kappa = \frac{\vartheta_{\rm R} \rho_{\rm v} \kappa_{\rm R0} T^{-1/2}}{\vartheta_{\rm R} \rho_{\rm v} + \kappa_{\rm R0} \left(\frac{m^* k_{\rm B}}{2\pi\hbar^2}\right)^{3/2} T \exp\left(-\frac{E_{\rm R}}{k_{\rm B}T}\right)}$$

- low temperature: ~T^{-0.5} due to diffusion limitation in Rydberg states
- higher T: stronger temperature dependence due to thermal detrapping from Rydberg state

Positron trapping rate *k* in negatively charged gallium vacancies determined in semi-insulating gallium arsenide as a function of temperature T. The trapping rate is normalized to the value measured at 20 *K*. Different symbols stand for different samples.

Table	1.	Compilatio	on of	' positr	on trap	ping	coefficients	of	vacancy-	type	defects	experi-
mental	ly (determined	in va	arious	semicor	nducto	ors (Krause-	Reh	berg and	Leipn	er 1997). Only
such e	xpe	riments w	here t	he inde	pendent	t refer	rence metho	d w	as applied	to th	e same s	samples
were ta	ake	n into acco	unt.									

Material	Defect Trapping coefficient		Т	Reference method	Authors	
		[10 ¹⁵ s ⁻¹]	[10 ⁻⁸ cm ³ s ⁻¹]	[K]		
Si:P	(VP) ⁰	0.68	1.4	300	Hall effect	а
		> 1.3	> 2.6	300	Resistivity	b
Si:P	(VP) ⁻	18	36	300	Hall effect	а
		> 2	> 4	300	Resistivity	ъ
Si	V_{2}^{0}	0.8 ± 0.40	1.5 ± 0.8	300	EPR	С
		0.8	1.б	300	Hall effect	а
Si	V_2^-	2.6 ± 1.3	5.2 ± 2.6	300	EPR.	С
		10	20	300	Hall effect	а
Si	V2 ²⁻	5.2 ± 2.7	10.5 ± 5.3	300	EPR	С
		29	58	300	Hall effect	а
Si	V_2^+	< 0.1	< 0.2	300	Hall effect	а
GaP	$V_{\mathbf{p}}^{0}$	0.8 ± 0.3	1.5±0.6	473	Hall effect	đ
GaP	V _p	<u>1.9 ± 0</u> .5	3.8 ± 1.0	473	Hall effect	đ
GaP	V_{P}^{+}	< 0.1	< 0.2	473	Hall effect	đ
GaAs:Te	(V _{Ga} Te _{As}) [−]	1.1 ± 0.2	2.5 ± 0.5	300	Hall effect	е
GaAs:Si	$(V_{Ga}Si_{Ga})^-$	0.7 ± 0.2	1.6 ± 0.5	300	STM	f
GaAs	V _{G₄} (EL2*)	> 3	> 7	25	IR absorption	g
		> 30	> 68	20	IR absorption	h
GaA1Sb	$V_{G_{A}}^{-}$ (DX)	1 ± 0.3	2.9 ± 1	300	DLTS	i
HgCdTe	V_{Hz}^{2-}	2.1 ± 0.3	7 ± 1	300	Hall effect	j
		0.1 ± 0.025	0.3 ± 0.1	800	Hall effect	j
PbSe	$V_{P_{0}}^{2-}$	0.1 ± 0.01	0.3 ± 0.03	300	Hall effect	k
CdTe	$V_{ca}^{2-} \operatorname{Cl}_{r_{b}}^{+}$	1.7 ± 0.4	5.2 ± 1.2	300	PL	1

T temperature of the positron experiment; EPR—electron paramagnetic resonance; STM scanning tunneling microscopy; IR—infrared; DLTS—deep level transient spectroscopy; PL photoluminescence.

*Kawasuso et al. (1995c), ^bMäkinen et al. (1992a), ^cMascher et al. (1989b), ^dKrause-Rehberg et al. (1993c), ^eKrause-Rehberg et al. (1995b), ^fGebauer et al. (1997c), ^gKrause et al. (1990b), ^hLe Berre et al. (1994), ⁱKrause-Rehberg et al. (1993b), ^jKrause-Rehberg et al. (1995a), ^kPolity et al. (1993), ⁱKrause-Rehberg et al. (1998).

Parkhad	Defect	$\tau_{\rm d}$ [ps]	date offert	Defect	$\tau_{\rm d}$ [ps]	noo none	Defect	$\tau_{\rm d}$ [ps
С	V	146	Si	V	256	Ge	v	263
	V ₂	206		V ₂	309	edge geft	V ₂	316
AIP	V _{AI}	265	GaP	V _{Ga}	264	InP *	Vin	295
	$V_{\rm P}$	261		Vp			Vp	275
	$V_{AI}V_P$	319		$V_{Ga}V_{P}$	316		V _{In} V _P	340
AlAs	V _{AI}	271	GaAs	V _{Ga}	265	InAs	VIn	299
	V _{As}	274		V _{As}	268		V _{As}	285
	$V_{Al}V_{As}$	439		$V_{Ga}V_{As}$	321		V _{In} V _{As}	347
AlSb	V _{Al}	298	GaSb	V _{Ga}	287	InSb	V _{In}	315
- Scinitace	V _{Sb}	319		V _{Sb}	307		V _{Sb}	322
	V _{Al} V _{Sb}	455		V _{Ga} V _{Sb}	350		V _{In} V _{Sb}	369
CdTe	V _{Cd}	321	HgTe	V _{Hg}	304			0.000
	V _{Te}	339		V _{Te}	315			
	V _{Cd} V _{Te}	384		$V_{Hg}V_{Te}$	362			witten a
GaN	V _{Ga} ·	273	- 1100.01					
ne kennen	V _N	9 - H. KH.		(m. edg. we				
	V _{Ga} V _N	348						
SiC	V _{Si}	196						
	V _C	153						
senamo:	2V	214						

Table 3.8. Positron bulk lifetimes (in ps) calculated according to the generalized gradient approximation (GGA) and compared with results from the local density approximation (LDA). The pseudo-potential calculations were carried out by Panda et al. (1997), and the linear muffin tin orbital in the atomic sphere approximation (LMTO-ASA) and the atomic superposition calculations by Barbiellini et al. (1995, 1996).

Pseudo-potential			LMTO	-ASA	Atomic su	Atomic superposition		
	τ ^{LDA}	$\tau_{\rm th}^{\rm GGA}$	$\tau_{\rm th}^{\rm LDA}$	$ au_{\mathrm{th}}^{\mathrm{GGA}}$	$ au_{\mathrm{th}}^{\mathrm{LDA}}$	$ au_{ m th}^{ m GGA}$	τ_{b}^{exp}	
C	27	100	86	96		nose <u>no</u> vience	105	
Si	190	216	186	210	184	207	218	
Ge	198	228	191	228	190	229	228	
SiC	130	145	124	139	121	134	142	
GaAs	197	232	190	231	190	232	229	
InP	213	246	201	248	200	247	241	
ZnS	1 hence of	1 (20, 01 5	179	223	179	232	230	
CdTe	245	292	228	290	228	310	280	
НдТе	_		222	285	222	310	274	

 τ_{th}^{LDA} and τ_{th}^{GGA} are the bulk lifetimes calculated for the local density approximation (LDA) and the generalized gradient approximation (GGA), respectively. τ_{b}^{exp} is the most reliable experimental bulk lifetime.



两种计算方法比较



Calculated values of the positron lifetime as a function of unit-cell volume. The symbols denote theoretical lifetime values from

- (O) perfect crystals
- (Δ) monovacancies
- () divacancies

Negative ions act as shallow positron traps



E_{sr}~30-40meV

- at low T: negatively charged defects without open volume may trap positrons
- "shallow" due to small positron binding energy
- annihilation parameters close to bulk parameters
- acceptor-type impurities, dopants, negative antisite defects
- thermally stimulated detrapping can be described by:

$$\delta = \frac{\kappa}{\rho_{\rm st}} \left(\frac{m^* k_{\rm B} T}{2\pi\hbar^2}\right)^{3/2} \exp\left(-\frac{E_{\rm st}}{k_{\rm B} T}\right)$$

Saarinen et al., 1989

Shallow positron traps



Effect of shallow positron traps



The detrapping δ and tripping κ_{st} :

$$\frac{\delta}{\kappa_{\rm st}} = \frac{1}{c_{\rm st}} \left(\frac{m^*}{2\pi\hbar^2} \right)^{3/2} (k_B T)^{3/2} \exp\left(-\frac{E_b}{k_B T} \right)^{3/2} \left(\frac{m^*}{k_B T} \right)^$$

$$\frac{\delta}{\kappa_{\rm st}} = \left(\frac{I_2}{I_1 \kappa_v - I_2 (\lambda_b - \lambda_2)} - \frac{1}{\kappa_{\rm st}}\right) (\lambda_{\rm st} - \lambda_2),$$



FIG. 6. The ratio of the detrapping and trapping rates in heavily doped *n*-type GaAs calculated from the decompositions of the lifetime spectra using Eq. (20). The solid lines are the fits of Eq. (19) to the experimental data with $E_b = 43$ meV.
正电子寿命实验值与平均值

Host	$ au_{ m expt}$ (ps)	$ au^{m{st}}_{ m expt}$ (ps)
Si	218, ^a 219, ^b 222 ^c	220
Ge	228,° 230 ^d	229
AlP		
AlAs		
AlSb		
GaP	223,° 225 ^f	224
GaAs	220, ^g 230, ^h 231, ^c 232, ⁱ 235 ^j	232
GaSb	247, ^f 260, ^k 260 ^l	260
InP	235, ^r 242, ^c 244, ^m 247 ^k	244
InAs	247, ^r 257 ^c	257
InSb	258, ^r 280, ^k 282 ⁿ	280
CdTe	289,° 291 ^f	
HgTe	274°	
BeO		
BP	1.1.5	
C	115'	115
GaN	180*	180
MgO	166 ^p	166
SIC	157'	157

Positron in Si







正电子寿命随温度变化



- positron lifetime spectra consist of exponential decay components
- positron trapping in open-volume defects leads to long-lived components
- longer lifetime due to lower electron density
- analysis by non-linear fitting: lifetimes τ_i and intensities I_i

$$N(t) = \sum_{i=1}^{k+1} \frac{I_i}{\tau_i} \exp\left(-\frac{t}{\tau_i}\right)$$

trapping coefficient
$$\kappa_{d} = \mu C_{d} = \frac{I_2}{I_1} \left(\frac{1}{\tau_b} - \frac{1}{\tau_d}\right)$$

defect concentration

trapping rate

Temperature-dependent positron trapping



- temperature dependence of positron trapping can be used to determine the charge state of vacancies
- trapping to positive vacancies possible at elevated T
- however: has never been observed
- example: Positron trapping in eirradiated Si
- trapping by negatively charged divacancies

(Mäkinen et al. 1989)

Electron-irradiation Si

Theoretical calculation of vacancy clusters in Si



- there are cluster configurations with a large energy gain
- "Magic Numbers" with 6, 10 und 14 vacancies
- positron lifetime increases distinctly with cluster size
- for n > 10 saturation effect, i.e. size cannot be determined



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www.elsevier.com/locate/physb

Magic number vacancy aggregates in Si and GaAs – structure and positron lifetime studies

T.E.M. Staab^{a,*}, M. Haugk^b, A. Sieck^b, Th. Frauenheim^b, H.S. Leipner^c

^aHelsinki University of Technology, Laboratory of Physics, P.O. Box 1100, FIN-02015 HUT, Finland

TABLE I. Lifetime results for positrons trapped in defects in Si. The bulk lifetime in Si is ~ 218 psec at room temperature; it has a weak temperature dependence of 4×10^{-3} psec/°C between 20 and 1200 °C.

Defect type	Lifetime (psec)	Reference
	Vacancies	
monovacancy	266-273	a,b,c,d,e
divacancy	300-325	a,f,g
4-vacancy	435	f
5-vacancy	505	h
6-vacancy (?)	>520	i
	Vacancy-impurity complex	
0-V	270	i
O ₂ -V	240	i
0-V-B	240	i
(P-V) ⁰	268,270	k.i
(P-V) ⁻	248,250	c.k

Si中5种电荷态空位

$$ig(V_{Si}^{2-}:260 ps V_{Si}^{1-}:258 ps V_{Si}^{0}:255 ps V_{Si}^{2+}:不被捕获 V_{Si}^{1+}:不被捕获$$



各种空位缺陷不同电荷态的能级

Multivacancies



Configuration of vacant sites in multivacancies: V4 with a zigzag chain(a) and a trigonal pyramid (b), V5 with a nonplanar shape(c), V6 with a closed hexagon (d), and V10 with an adamantine cage (e).

Si多空位缺陷的正电子寿命

		Positron lifetime				
	Exp. ^a	No rel. (this work)	Relaxed (this work DFTB)	Full rel. ^b		
п	$\tau(\mathrm{ps})$	$\tau(\text{ps})$	$\tau(\text{ps})$	$\tau(\mathrm{ps})$		
Bulk	218	218		215		
1	282	253	218	279		
2	310	303	240	309		
3		329	278	320		
4		343	291	337		
5		353	301	345		
6		375	317	348		
7		383	330			
8		389	364			
9		398	368			
10		420	385			
11		422	392			
12		425	402			
13		427	406			
14		435	414			



Black atoms and bonds represent the removed atoms forming a cage of V14 in the ideal crystal (a). PHYSICAL REVIEW B

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Lifetimes of positrons trapped at Si vacancies

Mineo Saito NEC Informatec Systems, Ltd., 34, Miyukigaoka, Tsukuba 305, Japan

TABLE I. Positron lifetimes in Si. λ_{core} and λ represent annihilation rates for the core and total electron charges, respectively.

	Theory (ps)	$\lambda_{\text{core}}/\lambda{\times}100$	Expt. (ps)
Bulk	215	2.37	218 (Ref. 5)
V	279	0.67	270 (Ref. 5)
V_2	309	0.50	295-325 (Ref. 8)
V ₃	320	0.48	
V ₄ (zigzag chain)	325	0.46	
V ₄ (trigonal pyramid)	337	0.43	
V ₅	345	0.41	
V ₆	348	0.45	
V ₁₀	386 ^a	0.29	

^aThe present cell size is slightly insufficient to describe V_{10} (see text).



The lifetime vs vacancy of each size. The solid and dashed lines indicate lifetimes for the relaxed and ideal geometries, respectively.

Si多空位缺陷的正电子寿命值

和经验公式

Lifetime (ps)	Bulk	\mathbf{V}_1	V_2	V ₃	V_4	V_5	V_6	V_7	V_8
Range of lifetime values reported (Refs. 24–27)	215 to 221	254 to 279	299 to 309	320 to 330	325 to 354	345 to 376	348 to 375		387 to 399
Lifetime values from Eq. (1)	218	266	299	323	342	357	369	379	387

$$\tau = \tau_0 + \frac{AN_v}{(B+N_v)}$$

A=266.57ps B=4.60 τ_0 =218ps



Defect lifetime vs vacancy clusters in Si

TABLE III. Characteristic *S* and *W* parameters calculated for the perfect bulk lattice and for the ideal vacancy clusters in Si. The momentum component p_z is along the [111] direction. Before calculating the *S* and *W* parameters the theoretical Doppler spectra have been convoluted with a Gaussian with FWHM of 4.7 $\times 10^{-3}m_0c$. S_{val} and $S_{B,val}$ have been calculated using the valence electron momentum distributions instead of the total distribution.

System	S/S_B	$S_{\rm val}/S_{B,{\rm val}}$	W/W_B
Bulk	$S_B = 0.5344$	$S_B = 0.5410$	$W_B = 0.01701$
V	1.018	1.014	0.86
V_2	1.045	1.038	0.72
V_3	1.053	1.045	0.68
V_4	1.067	1.058	0.64
V_5	1.081	1.072	0.59



Sensitivity limits of PAS for vacancy detection

- lower sensitivity limit e.g. for negatively charged divacancies in Si starts at about 10¹⁵ cm⁻³
- upper limit: saturated positron trapping
- defect identification still possible
- only lower limit for defect density can be given

离子注入

- H N
- He As
- Kr Ge
- Ar
- 0
- F

- **B**
- 多种离子 混合注入

Defects in ion-implanted Si

$$S(E) = F_{\text{surf}}(E)S_{\text{surf}} + F_{\text{vac}}(E)S_{\text{vac}} + F_{\text{bulk}}(E)S_{\text{bulk}},$$

● S_{suf}, S_{vas}和S_b表示表面,空位和晶体体S参数 ● F 为各部分的比例.

Defects in Si induced by Ion Implantation 0 B注入Si mean positron depth (µm) (Eichler et al., 1997) 0.59 6.24 1.92 3.83 defect B:Si 50, 150, 300 keV 1.04 $S \ / S$ bulk 1.00 bulk Implantation dose 0.96 surface state $\blacksquare 1.10^{14} \text{ cm}^{-2}$ $\Delta 2.10^{16} \text{ cm}^{-2}$ 0.92 surface state ♦ reference 20 30 10 40 0.98 0 0.96 1.00 W / W_{bulk} positron energy (keV)







FIG. 2. S-z curves obtained from 80 keV boron-implanted Si. Solid lines represent best fits with POSTRAP5. The dotted line shows the depth distribution of implanted boron, calculated using TRIM code.



FIG. 3. Normalized S_{defect} values as a function of implanted boron fluences. The S_{defect} value is extracted from the fitting procedure.

F⁺ implanted Si

Shallow Doping





H注入

Kwinonen等用能量为35,60, 和 100 keV,剂量为1×10⁶ H+ 的H+注入到Si中,研究空位的 形成.图为ΔS (=S_{irradiated}-S_{unirradiated})随正电 子能量的变化,缺陷见下图. 这些双空位缺陷在470-570K 之间可以退火掉.



• 260KeV的P+辐照Si



图表明在600C退火 后20C测量的S-E曲 线,对应于100KeV-P+在2%和25%污染, 离表面100nm内两组 结果差别很大, 100nm是100keV-P+ 的射程,高污染注入 在表面缺陷较多.



 The effect of isochronal anneal on the S-E data for P⁺ implanted Si



O注入

S值高于Sb区(<2keV) S值小于Sb区(<9keV) S=Sb,>20keV

表层有大的S值表明 有大的空位团形成, 因为辐照在600C完 成的,单和双空位 能移动.

- (O)600 °C 时200 keV氧离子注入到 Si(100) 中,剂量为 1.7×10¹⁷ ions/cm².
- ()1300 °C 退火后的数据.

Irradiation induced defects



不同辐照剂量下正电子寿命随温度变化

正电子捕获率随温度变化

●线性减少 ●随温度增加减少10倍 ●辐照剂量大,捕获率大 辐照条件,测量条件同上





τ₂寿命不随温度变化 只是强度随温度减少

电子辐照Si:P 1.5-MeV, 20°K

不管何种辐照

命随温度基本

不变.

剂量,平均寿



正电子平均寿命随温度变化

Mater.Sci.Forum 175-177, 423(1995)

Si 双空位缺陷寿命 (ps)				
缺陷	10K	320K		
V ²⁻ 2	260	320		
V-2	278	320		
V ⁰ ₂	290	295		



比较红外吸收系数和正电子捕获率



PHYSICAL REVIEW B

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Defects in electron-irradiated Si studied by positron-lifetime spectroscopy

A. Polity, F. Börner, S. Huth, S. Eichler, and R. Krause-Rehberg Fachbereich Physik, Universität Halle, D-06099 Halle (Saale), Germany (Received 20 April 1998; revised manuscript received 13 July 1998)



子辐照条件: ~2 MeV, 4 K, 10¹⁸ cm⁻²)

正电子寿命随退火温度的变 化. 测量条件 90° K.



4°K和室温下300°K不同电子辐照剂量(2 MeV) 下正电子寿命随温度的变化.

The solid lines correspond to the trapping model taking into account a negatively charged vacancy defect and a negative ion as shallow positron trap.

n-irradiated Si

- radiation defects limit lifetime of detectors in high-luminosity collider experiments (ATLAS, TESLA)
- neutron irradiation generates vacancytype defects
- in as-irradiated state at RT:
 positron trapping rate: κ = 9.7×10⁹ s⁻¹
 defect concentration: C_{def} = 2.5×10¹⁷ cm⁻³
- therefore: C_{def} >> [O]
- probably isolated divacancies and larger vacancy clusters

(monovacancies anneal at about 170 K; divacancies stable up to 450...500 K)


n-irradiated Si

 ullet two different vacancy-type defects are detected: divacancies and V $_3$



n-irradiated Si

• after annealing of divacancies (673 K annealing step) positron trapping rate: $\kappa = 2 \times 10^9 s^{-1}$ assuming V₃ \Rightarrow defect concentration: $C_{V3} \approx 3 \times 10^{16} \text{ cm}^{-3}$

 annealing stages at 300...600K and at 800 K



Positron in Germanium



Table 4.3.	Positron-	lifetime	data c	of ge	rmanium.
------------	-----------	----------	--------	-------	----------

	Positron annihilation characteristics		Dissolution	
arabagan Salada	Lifetime [ps]	$\tau_{\rm d}/\tau_{\rm b}$	temperature [K]	
Bulk lifetime	228 ^{a,b}	March State	UNN E	
Monovacancies	278 ^c , 290 ^a , 292 ^d	1.22, 1.27, 1.28	200 ^{a,c}	
Voids	520 [°]	2.28	870 [°]	
Dislocation-related defects	325 ^e	1.43		

 $\tau_{\rm d}$ is the defect-related positron lifetime, $\tau_{\rm b}$ the positron lifetime in the defect-free bulk. ^aCorbel et al. (1985), ^bWürschum et al. (1989b), ^cPolity and Rudolf (1999), ^dMoser et al. (1985), ^eKrause-Rehberg et al. (1993a).



Positron life time spectroscopy in Si and Ge,

 $T_{\rm M}$ denotes the melting point.



p-Ge在20°K温度下在电子辐照后的寿命随等时退火温度的变化.



Positron in GaP

Positron lifetime results



- both reference samples: no trapping
- distinct vacancy signal only after Zn in-diffusion
- sample D: almost complete positron trapping at RT
- defect-related lifetime: τ_v = 282 ps
- outward relaxation is expected for both vacancies:
- V_{Ga} -> 3.8% and V_P -> 6.1%
 (G. Schwarz et al., Phys. Rev. 1998)
- lifetimes were theoretically calculated taking into account the relaxation

Positron lifetime results



• defect-related lifetime: $\tau_v = 282 \text{ ps}$

Defect	e ⁺ lifetime	remarks
	in ps	
GaP bulk	220	
V_{Ga}	258	unrelaxed
	270	3.8% outward
		relaxation
V _P	244	unrelaxed
	271	6.1% outward
		relaxation
V _P -Zn _{Ga}	274	6.1% outward
		relaxation
V _P -V _{Ga}	307	unrelaxed

* from lifetime: no decision between $V_{\mbox{\scriptsize Ga}}$ and $V_{\mbox{\scriptsize P}}$



Fig. 5.3. Average positron lifetime as a function of the annealing temperature in nitrogen-, sulfur-, and silicon-doped electron-irradiated gallium phosphide (Polity et al. 1995a).

Doppler Coincidence Experiments

- DBCS was used to study the chemical environment of the detected monovacancy
- surprise: although complete trapping -> high-momentum Doppler spectrum close to reference sample
- comparison with theoretically calculated spectra required



Doppler Coincidence Experiments



Doppler Coincidence Experiments



Conclusion

- During Zn in-diffusion: vacancies are formed
- concentration is much higher than thermal vacancies
- Vacancy is located in P sublattice
- V_p should be positive -> thus a defect complex is most probably observed
- best candidate: V_P-Zn_{Ga}

Positron in InP





在100-270K存在退火台阶;
台阶温度在~200K,台阶温度随辐照剂量增加而增加;
退火后都到达体寿命.

n-InP电子辐照后平均正电子 寿命随退火温度的变化.



电子辐照*n*-InP的平均寿命, 第二寿命和强度随退火温 度的变化.

Positron lifetime of bulk and vacancy in InP

o antisting gameboo alian ang ang ang	Positron annihilation c Positron lifetime [ps]	haracteristics $ au_{ m d}/ au_{ m b}$	Dissolution temperature [K]
Bulk lifetime	242 ^{a, b}	The set to be down	and the second
	241 ^c		
to design the end of the	243 ^{d, e}		a substant dalar. Kay ha
	244 ^f		
In monovacancies	312 ^g	1.2 ^h	< 250 ^{c, d, e}
	265 ⁱ	1.12 ^j	
add autori Likowana	297 ^d	1.22	
the work and the second	283 ^{e, f}	1.16	
P monovacancies	263 ^{d,e,f}	1.08	< 250 [°]
V _p -zinc complexes	325 ^{a, f}	1.34	680 to 750 ^a
Divacancies	323 ⁱ	1.37 ^j	473 ^k
Voids	420 ¹	1.61 ^m	873 ¹
And refigered hists	486 to 527 ^b	2.00 to 2.18	and a first the second

 τ_{d} is the defect-related positron lifetime, τ_{b} the positron lifetime in the defect-free bulk.

Positron in GaAs



GaAs with B3 structure



GaAs with B10 ctructure



Positron density in a perfect GaAs lattice (110). The density value increases from the blue contours towards to the red ones. The positions of the Ga and As atoms are denoted by blue and red spheres, respectively.



Positron density at an As vacancy in GaAs.



Average positron lifetime calculated as a function of temperature for different vacancy concentrations. One-defect trapping model was used. Trapping into a negative vacancy was assumed.



Average positron lifetime as a function of measurement temperature in highly Si-doped VGF-grown GaAs The concentrations of silicon dopants are indicated. The lines are to guide the eye.



Average positron lifetime as a function of measurement temperature for as-grown Si- and Te-doped GaAs. The data for GaAs:Te are taken from (Gebauer et al. 2003).



High momentum part of Doppler broadening peak normalized to the data of bulk GaAs. (corresponds to GaAs: Si studied by positron annihilation and STM spectroscopy (Gebauer et al. 1997); () this study.



Photoluminescence topograms of wafer #1 measured for the four luminescence lines occurring in GaAs:Si.



Photoluminescence topogram image of wafer #1 recorded at the luminescence line of 1100 nm. Exact positions of the sample pares taken for PALS measurements are indicated. The area of each single sample equaled 5×5 mm.

Average positron lifetime vs temperature measured across the wafer #1.



(a) The distribution of Si_{Ga}V_{Ga} complexes across the wafer #1, as determined by PALS;
(b) intensity variation of the 1100 nm photoluminescence band, measured across wafer# 1.

Identification of V_{Ga}-Si_{Ga}-Complexes in GaAs:Si



GaAs: annealing under defined As-partial pressure







H. Wenzl et al., J. Cryst. Growth 109 191 (1991).

Experiments in n–GaAs



Comparison of doped and undoped GaAs



Thermodynamic reaction: $As_{As} \leftrightarrow V_{As} + 1/4As_4^{gas}$ Mass action law: $[V_{As}] = K_{VAs} \times p_{As}^{-1/4}$ Fit: [V-complex] $\sim p_{As}^{n}$ $\rightarrow n = -1/4$ As vacancy

The Nature of EL2 defect in GaAs

- one of the most frequently studied crystal lattice defects at all
- responsible for semi-insulating properties of GaAs: large technological importance
- is deep donor, compensates shallow acceptors, e.g. C⁻ impurities
- defect shows metastable state after illumination at low temperatures
- IR-absorption of defect disappears during illumination at T < 100 K
- ground state recovers during annealing at about 110 K
- many structural models proposed Dabrowski, Scheffler and Chadi, Chang (1988): simple As_{Ga}-antisite defect responsible
- must show a metastable structural change





The Nature of EL2 defect in GaAs

- in metastable state at low temperature: Ga vacancy
- should disappear during annealing at about 110 K
- confirmed by positron lifetime measurements
- kinetics of recovery of ground state is identical for IR- und positron experiment: E_A = (0.37 ± 0.02) eV
- evidence of the vacancy in metastable state confirms the proposed structural model




Temperature dependence of positron trapping

- Compensation in GaAs:S
- formation of S_{As}-V_{Ga} complex
- increase of τ_{av} to low T is due to the trapping into negative shallow Rydberg potential of the defect





charged

Positron trapping – shallow traps

 negative ions are also positron trapping centers due to small negative Coulomb potential





- term "shallow" relates to the positron bindin energy (few meV).
- therefore the trapping is significant at low temperatures only
- the electron density is not reduced:

$$\tau_{st} = \tau_b$$

PRL, V78,17, J.Gebauer

Nature of vacancy complexes in Si and Te doped GaAs



Doppler coincidence



Electron-irradiation GaAs:Te



低剂量辐照

高剂量辐照



Structure in GaAs consisting of 12 vacancies. Atoms a and d are removed to get V14.





Average positron lifetime vs *W* parameter for differently high-Tedoped GaAs. The *W* parameter is normalized to the value found in GaAs:Zn. All samples were annealed at 1100 ° C. The solid line is a linear fit to the data, showing that all samples contain the same defect type. The defect is identified to be a V_{Ga} -Te_{As} complex.

Cu diffusion in GaAs



High-momentum part of the positron annihilation momentum distribution, normalized by taking the ratio to a GaAs:Zn reference

Microhardness indentation in GaAs

Comparison of SEM and Munich Positron Scanning Microscope



The lifetime of bulk and vacancy in GaAs

	Positron annihilation of	characteristics	Dissolution
1 100 Lat 12	Lifetime [ps]	$ au_{ m d}/ au_{ m b}$	temperature [K]
Bulk lifetime	228 to 232 ^{a,b,c,d}		<u>4</u>
As monovacancies	295 ^{e,f}	1.28	750 ^b
	255 to 295 ^{b,g}	1.11 to 1.28	
Ga monovacancies	255 ^h	1.11	300 ^s
	260 to 270 ⁱ	1.13 to 1.17	
V _{Ga} Te _{As}	251 to 257 ^{j,k}	1.09 to 1.12	$> 1000^{k}$
V _{Ga} Si _{Ga}	262 ^d	1.14	
V _{As} Cr _{Ga}	250 to 260 ^{1,e}	1.09 to 1.13	
EL2*	255 ^m	1.11	
	245 ⁿ		结构为了此为自然的问题。————————————————————————————————————
Dislocation-bound	300 to 320°	1.30 to 1.39	
vacancies	270 ^p	1.17	
Voids	460 to 500 ^q	2 to 2.17	700 to 900 ^q
	590 ^r	2.56	ors the descent set the store

 τ_{d} is the defect-related positron lifetime, τ_{b} the positron lifetime in the defect-free bulk.

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Review of defect investigations by means of positron annihilation in II –VI compound semiconductors

R. Krause-Rehberg, H.S. Leipner, T. Abgarjan, A. Polity

Fachbereich Physik, Martin-Luther-Universität Halle-Wittenberg, 06099 Halle, Germany (Fax: +49-345/5527160, E-mail: krause@physik.uni-halle.de)

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Martanial	Bulk	lifetime/ps	Cation-va	cancy lifetime /ps	Anion-vacancy lifetime	
materiat	Cal.	Exp.	Cal.	Exp.	Cal.	Exp.
CdTe	286	291 [104] 281 [68] 283 ± 1 [44] 285 ± 1 [45, 46] 280 ± 1 [52]	298	320±5 [45, 46] 330±15 [44, 52, 68]	312	_
HgTe Hg _{0.8} Cd _{0.2} Te	274 _	274 [68] 274 [68] 286 [69] 275 [54] 278 [70]	285 -	- 309 [69] 305 [54, 70] 319 [97]	300 -	
ZnO	-	282 [97] $169 \pm 2 [88]$ $183 \pm 4 [86, 87]$	-	255 ± 16 [86, 87] 211 ± 6 [102]	-	-
ZnS	225	230 78,80	240	290 [80]	237	_
ZnSe ZnTe	240 260	240 [79] 266 [78]	253 266	-	260 297	-

Positron in SiC



物理量	3C-SiC	4H-SiC	6H-SiC	GaAs	Si	特 性
宽带隙(eV)	2.3	3.26	3.03	1.43	1.12	高温及短波蓝光发 射。SiC:最高工作温 度近 1000K; Si:不 能超过 500K。
击穿电场 (V/cm, 100V 下操作)	4.0×10 ⁶	2.2×10 ⁶	2.4×10 ⁶	3.0×10 ⁵	2.5×10 ⁵	比 GaAs 或 Si 高约一 个量级。制作高电压、 高功率器件。
热导率 (W/cm.K@RT)	4.9	4.9	4.9	0.5	1.5	有利于提高集成密 度,减少冷却系统,使 器件更小型化,提高 器件的运行功率。
饱和电子漂移率 (cm/sec, E@2×10 ⁵ V/cm)	2.5×10 ⁷	2.0×10 ⁷	2.0×10 ⁷	1×10 ⁷	1×10 ⁷	有利于高频使用,对 提高逻辑器件的运算 速率有重要意义。
键结合能		~5eV				抗腐蚀、辐射,高机 械强度和化学稳定。

SiC Atomic structure

- Silicon Carbide has more than 200 polytype
- Polytype refers to a family of material which has common stoichiometric composition but not common crystal structure
- SiC are made by arrangement of covalently bonded tetrahedral Si and C atoms





Possible stacking sequence for SiC tetrahedral structure

Edited from C. Kittle, 1996 and Mehregany et al., 2000



Stacking order of 3C-SiC and 6H-SiC

3 commonly used polytypes

Cubic structure, Zinc-blend, ABCABC.... Hexagonal close packed, ABCBABCB... Hexagonal close packed, ABCACBABCAC...

Atomic structure of 6H-SiC



Positron in SiC

Host	a [a.u.]	c [a.u.]	$ au_{ m LDA} [m ps]$
3C-SiC	8.24	-	141
2H-SiC	5.81	9.54	142
6H-SiC	5.81	28.50	141

Atomic positions for **3C-SiC and6H-SiC.**



TABLE II. Calculated positron lifetimes τ_d in different types of neutral and unrelaxed vacancy-type defects in three SiC polytypes. The defect configuration $n - V_{Si}V_C$ (n = 1,2,3,4) indicates a vacancy agglomerate with n divacancies. E_b indicates the binding energy of the positron in the corresponding defect.

Defect	3 <i>C</i>	-SiC	2H-SiC		6H-SiC	
	τ_d [ps]	E_b [eV]	$ au_d$ [ps]	E_b [eV]	$ au_d$ (ps)	E_b (eV)
V _C	150	0.28	151	0.26	150	0.28
V_{Si}	185	1.69	184	1.67	183	1.73
$1 - V_{Si}V_C$	216	2.39	216	2.40	214	2.44
$2 - V_{Si}V_C$	254	3.48	-	-	-	-
$3 - V_{\rm Si} V_{\rm C}$	286	4.27	-	-	-	-
$4 - V_{\rm Si} V_{\rm C}$	321	4.94	-	-	-	-

两种SiC的正电子寿命,结合能和亲和势

Positron			
state	au (ps)	E_b (eV)	A_+ (eV)
	3C-Si	iC	
bulk	138(141)		-5.57
C vacancy	153(150)	+1.05(+0.28)	-4.39
Si vacancy	191(185)	+2.66(+1.69)	-8.22
Si+C divacancy	212(216)	+3.17(+2.39)	-8.18
Si+Si divacancy	194	+2.69	-8.93
C+C divacancy	160	+1.29	-4.92
Si vacancy+N	191	+2.73	-8.56
	6H-S	iC	
bulk	141(141)		-5.91
C vacancy (1)	153(150)	+0.26(+0.28)	-4.30
Si vacancy (1)	194(183)	+2.46(+1.73)	-8.27
Si vacancy (2)	192	+2.30	-8.17
Si vacancy (3)	192	+2.32	-8.16
Si+C divacancy	214(214)	+2.95(+2.44)	-8.10
Si+Si divacancy	196	+2.64	-8.91
C+C divacancy	161	+0.58	-4.72
Si vacancy+N	194	+2.52	-8.75



正电子寿命 τ_1 和 τ_2 ,以及强度 I_2 随退火温度变化.



6H-SiC(电子辐照) (*Ee*=2 MeV, Φ=10¹⁸ cm⁻², *T*=4 K)



6H-SiC (未辐照和辐照) (*Ee*=2 MeV, Φ=10¹⁸ cm⁻², *T*=4 K)



FIG. 3. Carbon interstitial clusters in 3C-SiC. Di-interstitials: (a) $(C_{sp})_2$, (b) $(C_{sp})_{2,tilted}$, and $(C_2)_{Hex}$. Tri-interstitials: (c) $(C_{sp})_3$ and (d) $(C_2)_{Hex}$ - C_{sp} . Tetrainterstitials: (e) $(C_{sp})_4$ and (f) $[(C_2)_{Hex}]_2$.

Slow positron beam

- semiconductor technology: thin layers (epitaxy, ion implantation)
- broad energy distribution due to β⁺ decay
- some surfaces: negative workfunction ⇒ moderation (but rather inefficient)



TABLE I. Experimental results for S_{expt} and W_{expt} (obtained at room temperature) ordered according to increasing r_s values, i.e., with decreasing valence-electron density. S_{val} is the calculated S parameter for valence electrons using the core fractions f_c from Puska *et al.*'s data (Ref. 3). The second-last column lists the ratio between the "Fermi" momentum for the valence electrons and the momentum for the outermost core electrons. The last column summarizes the nature of the investigated samples. *Uncertainties in W_{expt} and S_{expt} large due to the large backing contribution. [†]Average values for S- or Zn-doped GaP ($S_{expt}=0.4806$ and 0.4925, respectively, and $W_{expt}=0.0397$ and 0.0372, respectively). ^{††}Average values. 1 Ω cm: $S_{expt}=0.5013$, $W_{expt}=0.0375$; 50 Ω cm: $S_{expt}=0.5023$, $W_{expt}=0.0373$.

Material	Band gap (eV)	$\overset{r_s}{(\text{Å})}$	f _c (%)	W _{expt} ±0.0003	S_{expt} ± 0.0005	$S_{ m val}$	$p_F/p_n^{ m core}$	Comments
С	5.5	0.70	1.20	0.0660	0.4071	0.4099	0.43	synthetic, type I_b
SiC	3.0	0.85	2.65	0.0450	0.4376	0.4441	0.44	n type, N doped
GaN	3.4	0.88	14.0	0.053±0.003*	0.455 ±0.005*	0.4913	0.43	12-μm-thick film on sapphire
Si	1.1	1.06	2.25	0.0230	0.5098	0.5158	0.41	Fz-Si, undoped
GaP	2.2	1.07	6.87	0.0385 [†]	0.4865 [†]	0.5057	0.38	p type, n type
Ge	0.7	1.11	6.80	$0.0374^{\dagger\dagger}$	0.5018††	0.5261	0.32	<i>n</i> type: 1 Ω cm and 50 Ω cm
GaAs	1.4	1.11	7.80	0.0385	0.4970	0.5230	0.33	semi-insulating
InP	1.3	1.15	8.33	0.0357	0.5034	0.5298	0.37	semi-insulating
InAs	0.36	1.18	8.79	0.0377	0.5132	0.5435	0.33	n type, undoped
GaSb	0.67	1.19	7.38	0.0345	0.5183	0.5451	0.30	n type, Te doped
InSb	0.17	1.27	7.92	0.0346	0.5277	0.5567	0.30	n type, undoped

TABLE III. Characteristic S and W parameters calculated for the perfect bulk lattice and for the ideal vacancy clusters in Si. The momentum component p_z is along the [111] direction. Before calculating the S and W parameters the theoretical Doppler spectra have been convoluted with a Gaussian with FWHM of 4.7 $\times 10^{-3}m_0c$. S_{val} and $S_{B,val}$ have been calculated using the valence electron momentum distributions instead of the total distribution.

System	S/S_B	$S_{\rm val}/S_{B,{\rm val}}$	W/W_B
Bulk	$S_B = 0.5344$	$S_B = 0.5410$	$W_B = 0.01701$
V	1.018	1.014	0.86
V_2	1.045	1.038	0.72
V_3	1.053	1.045	0.68
V_4	1.067	1.058	0.64
V_5	1.081	1.072	0.59



FIG. 1. (a) Experimentally obtained S_{expt} values as a function of r_s . All measurements were made at room temperature. (b) Calculated S_{val} values for valence electrons. The large value for GaN arises because of an uncharacteristically large calculated core contribution.

$$\frac{4\pi}{3}r_{s}^{3}=n_{val}^{-1},$$







用2D-ACAR测 量得到的 Si的动 量分布.



 Experimental angular distribution of annihilation y-rays from in Si oriented along [100], [111], and [110] directions.



• The electron momentum density in Si in several cross-sections corresponding to the reference Jones zone as shown.





Doppler coincidence spectroscopy

chemical sensitivity of energy spectra



PHYSICAL REVIEW B

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Identification of vacancy defects in compound semiconductors by core-electron annihilation: Application to InP

M. Alatalo, H. Kauppinen, K. Saarinen, M. J. Puska, J. Mäkinen, P. Hautojärvi, and R. M. Nieminen Laboratory of Physics, Helsinki University of Technology, FIN-02150 Espoo, Finland (Received 21 June 1994)




TABLE II.	Annihilation rates λ_i (in ns ⁻¹) for different core
shells in bulk	Si and GaAs.

Core shell	λ^i	
Si 2s	0.034	
Si $2p$	0.106	
$Ga \ 3d$	0.320	
$Ga \ 3p$	0.061	
As $4s$	0.577	
As $3d$	0.116	
As 3p	0.030	

TABLE III. Annihilation rates λ_i (in ns⁻¹) for different core shells in bulk InP, $V_{\rm P}$, and $V_{\rm In}$. $V_{\rm P}$ is relaxed outwards by 3% of the bulk bond length, $V_{\rm In}$ is assumed ideal.

	$\lambda^i_{ ext{bulk}}$	$\lambda^i_{V_{\mathbf{P}}}$	$\lambda_{V_{In}}^{i}$
In 4d	0.504	0.489	0.256
In $4p$	0.077	0.071	0.037
P 3s	0.511	0.355	0.574
P $2p$	0.022	0.014	0.019
P $2s$	0.008	0.005	0.007



