

Stability in Sasakian geometry

Craig van Coevering
craigvan@ustc.edu.cn

University of Science and Technology of China, Hefei

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Introduction

This talk considers deformations of Sasakian manifolds with Einstein or constant scalar curvature metrics.

There are two parts:

- ▶ We will consider variations of the [Killing Spinor](#) equation

$$\nabla_X \psi = cX \cdot \psi, \quad \psi \in \Gamma(\Sigma), \quad c \in \mathbb{R} \setminus \{0\}, \quad (1)$$

on a [Sasaki-Einstein](#) manifold.

- ▶ We will consider deforming [constant scalar curvature](#) Sasaki manifolds from the perspective of [GIT](#) stability, using the approach of [S. K. Donaldson](#) and others. (joint work with [Carl Tipler](#))
 - ▶ [Tristan C. Collins, Gábor Székelyhidi 2012](#) defined K-semistability for Sasaki manifolds and proved

$$\text{cscS} \Rightarrow \text{K-semistable}.$$

- ▶ As with Kähler manifolds one has the conjecture

$$\text{Existence of cscS metric} \Leftrightarrow \text{K-polystable}.$$

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Definition 1.1

A Riemannian manifold (M, g) is *Sasaki* if the metric cone $(C(M), \bar{g})$, $C(M) := \mathbb{R}_+ \times M$ and $\bar{g} = dr^2 + r^2g$, is Kähler, i.e. \bar{g} admits a compatible almost complex structure J so that $(C(M), \bar{g}, J)$ is a Kähler structure. Equivalently, $\text{Hol}(C(M), \bar{g}) \subseteq \text{U}(m)$.

Thus is a metric contact structure with an additional integrability condition. One has

- ▶ a contact structure

$$\eta = d^c \log r = Jd \log r$$

with Reeb vector field $\xi = Jr\partial_r$, a Killing field, and

- ▶ a strictly pseudoconvex CR structure (D, I) , $D = \ker \eta$.
- ▶ I induces a transversely holomorphic structure on \mathcal{F}_ξ , the Reeb foliation, with Kähler form $\omega^T = \frac{1}{2}d\eta$.

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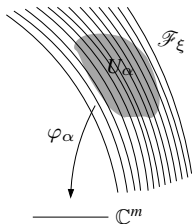
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Transversely holomorphic foliation



A transversely holomorphic structure on a foliation \mathcal{F}_ξ is given by $\{(U_\alpha, \varphi_\alpha)\}_{\alpha \in \mathcal{A}}$ where $\{U_\alpha\}_{\alpha \in \mathcal{A}}$ covers M

- ▶ $\{U_\alpha\}_{\alpha \in \mathcal{A}}$ covers M ,
- ▶ the $\varphi_\alpha : U_\alpha \rightarrow \mathbb{C}^{m-1}$ has fibers the leaves of \mathcal{F}_ξ locally on U_α ,
- ▶ there are holomorphic isomorphism $g_{\alpha\beta} : \varphi_\beta(U_\alpha \cap U_\beta) \rightarrow \varphi_\alpha(U_\alpha \cap U_\beta)$ such that

$$\varphi_\alpha = g_{\alpha\beta} \circ \varphi_\beta \quad \text{on } U_\alpha \cap U_\beta.$$

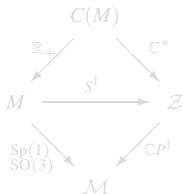
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(M, g) is equipped with three Sasaki structures (ξ_i, η_i, ϕ_i) , $i = 1, 2, 3$. The Reeb vector fields ξ_k , $k = 1, 2, 3$ satisfy $[\xi_i, \xi_j] = -2\varepsilon^{ijk}\xi_k$, and thus generate $\mathfrak{sp}(1)$.

A 3-Sasaki manifold M comes with a family of related geometries. The maps are labeled with their generic fibers.



- ▶ Z , the *twistor space*, is the orbifold leaf space \mathcal{F}_{ξ_1} with a complex contact structure $\theta \in \Omega^1(\mathbf{L})$.
- ▶ \mathcal{M} is a *quaternionic-Kähler orbifold*.
- ▶ The *LeBrun-Salamon conjecture* proposes that \mathcal{M} is smooth only if it is symmetric, only proved in $\dim = 4$ and 8 .

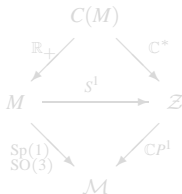
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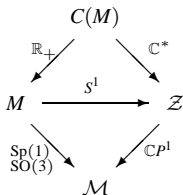
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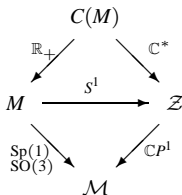
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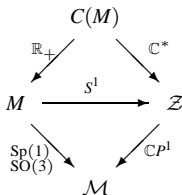
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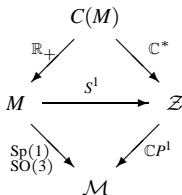
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Killing spinors

Simply connected manifolds admitting a non-zero Killing spinor were classified by C. Bär, 1992:

$\dim M$	N^+	N^-	$\text{Hol}(C(M))$	geometry
n	$2^{\lfloor \frac{n}{2} \rfloor}$	$2^{\lfloor \frac{n}{2} \rfloor}$	Id	n-sphere
$4m - 1$	2	0	$SU(2m)$	Sasaki-Einstein
$4m + 1$	1	1	$SU(2m + 1)$	Sasaki-Einstein
$4m - 1$	$m+1$	0	$Sp(m)$	3-Sasaki
6	1	1	G_2	nearly Kähler
7	1	0	$Spin(7)$	weak G_2

We consider a deformation of the Killing spinor equation.
Let σ_t be Killing spinors for metrics g_t satisfying

$$\nabla_X^{g_t} \sigma_t = cX \lrcorner \sigma_t. \quad (2)$$

Note that $c = \pm \frac{1}{2}$ when (M, g) is Sasakian.

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Infinitesimal deformations

We first consider first order deformations of (2).

Let $h = \frac{d}{dt}g_t|_{t=0}$ and $\dot{\sigma}_t = \frac{d}{dt}\sigma_t|_{t=0}$ and define $\beta : TM \rightarrow TM$ g -symmetric by $h(X, Y) = g(\beta(X), Y)$.

Proposition 2.1 (M. Wang 1991)

If $\text{tr}_g \beta = \delta\beta = 0$, then $(\beta, \dot{\sigma})$ satisfies (2) to first order if and only if

$$\begin{aligned}\nabla_X \dot{\sigma} &= cX\dot{\sigma}, \\ \mathcal{D}\Psi^{(\dot{\alpha}, \sigma_0)} &= nc\Psi^{(\dot{\alpha}, \sigma_0)}.\end{aligned}$$

Here $\Psi^{(\beta, \sigma)}$ with $\Psi^{(\beta, \sigma)}(X) = \beta(X)\sigma$ is a spinor valued 1-form and eigenvalue of the twisted Dirac operator:

$$\mathcal{D} : \Gamma(\Sigma \otimes TM_{\mathbb{C}}) \rightarrow \Gamma(\Sigma \otimes TM_{\mathbb{C}}).$$

If these conditions are satisfied by $(\beta, \dot{\sigma})$, then

$$(\nabla^* \nabla + 2L)h = 0 \text{ where } (Lh)_{ij} = R_{i\ j}^k\ h_{kl}.$$

So $h \in \Gamma(S^2 T^*M)$ is an **infinitesimal Einstein deformation**.

Definition 2.2 (M. Wang 1991)

An *infinitesimal deformation of the Killing spinor* σ_0 is a pair (β, σ) satisfying:

- (i) σ is a Killing spinor with constant c ,
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Here $\Psi^{(\beta, \sigma)}$ with $\Psi^{(\beta, \sigma)}(X) = \beta(X)\sigma$ is a spinor valued 1-form and eigenvalue of the twisted Dirac operator:

$$\mathcal{D} : \Gamma(\Sigma \otimes TM_{\mathbb{C}}) \rightarrow \Gamma(\Sigma \otimes TM_{\mathbb{C}}).$$

If these conditions are satisfied by $(\beta, \dot{\sigma})$, then

$$(\nabla^* \nabla + 2L)h = 0 \text{ where } (Lh)_{ij} = R_{i^k j}^l h_{kl}.$$

So $h \in \Gamma(S^2 T^*M)$ is an **infinitesimal Einstein deformation**.

Definition 2.2 (M. Wang 1991)

An *infinitesimal deformation of the Killing spinor* σ_0 is a pair (β, σ) satisfying:

- (i) σ is a Killing spinor with constant c ,
- (ii) $\text{tr}_g \beta = \delta\beta = 0$,
- (iii) $\mathcal{D}\Psi^{(\beta, \sigma_0)} = nc\Psi^{(\beta, \sigma_0)}$.

Infinitesimal deformations on Sasakian manifold

For a Sasaki manifold (M, g) the transversal holomorphic structure on \mathcal{F}_ξ has a versal deformation space, with tangent space

$$H^1(\mathcal{A}^\bullet), \quad \text{where } \mathcal{A}^k = \Gamma(\Lambda_b^{0,k} \otimes T_b^{1,0})$$

and

$$0 \rightarrow \mathcal{A}^0 \xrightarrow{\bar{\partial}_b} \mathcal{A}^1 \xrightarrow{\bar{\partial}_b} \dots$$

is the *basic* Dolbeault complex with values in the transverse holomorphic tangent bundle $T_b^{1,0}$ to \mathcal{F}_ξ .

If $\text{Ric}_g > -2$ (equivalent to $\text{Ric}^T > 0$), then $H^2(\mathcal{A}^\bullet) = \{0\}$.

Proposition 2.3

Let $\alpha \in H^1(\mathcal{A}^\bullet)$ be Harmonic, $\bar{\partial}_b \alpha = \bar{\partial}_b^* \alpha = 0$. Then

$$h^\alpha(X, Y) = g(\alpha X, Y),$$

is an infinitesimal Einstein deformation of g , that is

$$\begin{aligned} \text{tr } h^\alpha &= \delta h^\alpha = 0 \\ (\nabla^* \nabla + 2L)h^\alpha &= 0. \end{aligned}$$

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Infinitesimal deformations on Sasakian manifold

Proposition 2.4

Let (M, g) be a spin Sasaki-Einstein manifold admitting the 2 defining Killing spinors σ_j , $j = 0, 1$. If $\beta \in \mathcal{H}_{\Delta_{\bar{\partial}_b}}^1(\mathcal{A}^{0,\bullet})$, then h^β is an infinitesimal Einstein deformation of g , and $(h^\beta, 0)$ is an infinitesimal deformation of the Killing spinors σ_j for $j = 0, 1$.

- ▶ $(h^\beta, 0)$ is integrable to actual deformation $\Leftrightarrow \text{Ric}^T = (n+1)g^T$ can be solved for the deformation of $(\mathcal{F}_\xi, \bar{J})$.
- ▶ Recall the category of polarized Kähler manifolds (X, \mathbf{L}) is contained in category of Sasaki manifolds.
- ▶ Sufficient conditions for small deformations of (X, \mathbf{L}) to admit a K-E metric are known (G. Székelyhidi 2010), necessary conditions are almost known.
- ▶ Similar techniques apply to Sasakian metrics.

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Deformations of 3-Sasaki manifolds

Proposition 2.5

Let (M, g) , $\dim M = 4m - 1$, be a 3-Sasaki manifold with Killing spinors σ_j , $j = 0, \dots, m$. If $\beta \in \mathcal{H}_{\Delta_{\bar{\partial}_b}}^1(\mathcal{A}^\bullet)$ is non-zero, then $(h^\beta, 0)$ is an infinitesimal deformation of the Killing spinors σ_j for $j = 0, m$, but never for $j = 1, \dots, m - 1$.

The real structure on the twistor space induces a real structure

$$\varsigma : H^1(\mathcal{A}^\bullet) \rightarrow H^1(\mathcal{A}^\bullet).$$

Theorem 2.6

The subspace of infinitesimal Einstein deformations h^β of g for $\beta \in \operatorname{Re} \mathcal{H}_{\Delta_{\bar{\partial}_b}}^1(\mathcal{A}^{0,\bullet})$ integrates to a family g_t , $t \in \mathcal{N} \subset \mathbb{R}^d$, $d = \dim_{\mathbb{C}} H_{\bar{\partial}_b}^1(\mathcal{A}^{0,\bullet})$ of Einstein deformations of g preserving only σ_0 and σ_m .

This has an analytic proof, but it also follows from GIT arguments.

Theorem 2.7 (M. Y. Wang, 1991)

Let (M, g) is a compact simply connected spin manifold with irreducible holonomy admitting a nonzero parallel spinor. Then there is a neighborhood \mathcal{W} of g in the Einstein moduli space such that each $\bar{g} \in \mathcal{W}$ admits the same number of independent parallel spinors.

We will see by example that (2.7) is **false** for Killing spinors. The dimension of the space of Killing spinors is only upper semicontinuous under Einstein deformations.

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Space of Sasaki structures

Fix a contact structure (M, η, ξ) .

Definition 3.1

A $(1, 1)$ -tensor field $\Phi : TM \rightarrow TM$ on a contact manifold (M, η, ξ) is called an *almost contact-complex structure* if

$$\Phi\xi = 0, \quad \Phi^2 = -id + \xi \otimes \eta.$$

An almost contact-complex structure is called *K-contact* if in addition, $\mathcal{L}_\xi\Phi = 0$.

Definition 3.2

An almost contact-complex structure Φ on a contact manifold (M, η, ξ) is *compatible with η* if

$$d\eta(\Phi X, \Phi Y) = d\eta(X, Y), \text{ and } d\eta(X, \Phi X) > 0 \text{ for } X \in \ker(\eta), X \neq 0.$$

If Φ is compatible with η , (M, η, Φ) defines a Riemannian metric

$$g_\Phi(X, Y) = \frac{1}{2}d\eta(X, \Phi Y) + \eta(X)\eta(Y),$$

and $(\eta, \xi, \Phi, g_\Phi)$ is called a *contact metric structure* on M .

This metric structure is called a *K-contact metric structure* if $\mathcal{L}_\xi\Phi = 0$.

Furthermore, it is a *Sasaki structure* if in addition

$$N_\Phi(X, Y) := [X, Y] + \Phi([\Phi X, Y] + [X, \Phi Y]) - [\Phi X, \Phi Y] = 0 \text{ for all } X, Y \in \Gamma(TM).$$

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Moment map

The space \mathcal{K} of K-contact metric structures is an infinite dimensional Kähler manifold.

The subspace of Sasaki, transversely integrable, structures $\mathcal{K}^{int} \subset \mathcal{K}$ is an analytic subvariety.

Define \mathcal{G} to be the group of **strict contactomorphism** of (M, η, ξ) . \mathcal{G} acts on \mathcal{K} , for $g \in \mathcal{G}$

$$(g, \Phi) \mapsto g_*^{-1} \Phi g_*.$$

The Lie algebra of \mathcal{G} is

$$\text{Lie}(\mathcal{G}) = (\{X \in \Gamma(TM) : \mathcal{L}_X \eta = 0\}, [\cdot, \cdot]) \cong (C_b^\infty(M), \{\cdot, \cdot\})$$
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Theorem 3.3 (W. He 2011, S. K. Donaldson 1997)

The action of \mathcal{G} on \mathcal{K} is Hamiltonian with equivariant moment map $m : \mathcal{K} \rightarrow \mathcal{G}^*$

$$m(\Phi) = s^T(\Phi) - s_0^T.$$

- ▶ When $\Phi \in \mathcal{K}^{int}$ $s^T(\Phi) - s_0^T = s(\Phi) - s_0$, the normalized scalar curvature of g_Φ .
- ▶ Although, a complexification $\mathcal{G}^{\mathbb{C}}$ does not exist, the Lie algebra $\text{Lie}(\mathcal{G}) \otimes \mathbb{C} \cong C_b^\infty(M, \mathbb{C})$ acts on \mathcal{K} .
- ▶ If $\Phi \in \mathcal{K}^{int}$, $\sqrt{-1}f \in C_b^\infty(M, \mathbb{C})$ acts on ω^T by

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Thus $\mathcal{G}^{\mathbb{C}}$ induces a holomorphic foliation on \mathcal{K}^{int} whose leaves are transversal Kähler classes.

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The subspace of Sasaki, transversely integrable, structures $\mathcal{K}^{int} \subset \mathcal{K}$ is an analytic subvariety.

Define \mathcal{G} to be the group of **strict contactomorphism** of (M, η, ξ) . \mathcal{G} acts on \mathcal{K} , for $g \in \mathcal{G}$

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The action of \mathcal{G} on \mathcal{K} is Hamiltonian with equivariant moment map $m : \mathcal{K} \rightarrow \mathcal{G}^*$

$$m(\Phi) = s^T(\Phi) - s_0^T.$$

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K-semistability

The cone $Y = C(M) \cup \{0\}$ over a Sasaki manifold (M, g, η, ξ, Φ) is an affine variety.

The Reeb field ξ generates a torus $T \subset \text{Aut}(Y)$. And we have a grading by weights

$$H^0(Y, \mathcal{O}_Y) = \sum_{\alpha \in \mathcal{W}_T} H^0(Y, \mathcal{O}_Y)_\alpha \quad (3)$$

Definition 3.4

A *T-equivariant test configuration* for Y is a set of T -homogeneous elements $\{f_1, \dots, f_k\}$ that generate $H^0(Y, \mathcal{O}_Y)$ in sufficiently high degrees together with a set of integers $\{w_1, \dots, w_k\}$.

Geometrically, $\{f_1, \dots, f_k\}$ generate an embedding

$$Y \hookrightarrow \mathbb{C}^k,$$

and consider the \mathbb{C}^* -action on \mathbb{C}^k with weights $\{w_1, \dots, w_k\}$. Then the flat limit Y_0 of the \mathbb{C}^* -orbit over 0 defines a flat family of schemes over \mathbb{C} . In addition to the T -action Y_0 has the induced \mathbb{C}^* -action.

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Making use of the Hilbert series of (3) [T. Collins and G. Székelyhidi](#) defined Donaldson-Futaki invariant of a test configuration.

Definition 3.5

A polarized affine variety (Y, ξ) is *K-semistable* if, for every torus T , $\xi \in \text{Lie}(T)$, and every T -equivariant test configuration with central fiber Y_0 , the Donaldson-Futaki invariant satisfies

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with v a generator of the induced \mathbb{C}^* action on the central fiber.

It is *K-polystable* if the equality holds if and only if the T -equivariant test configuration is a product configuration.

The following extends the results of [S. K. Donaldson 2005](#) for Kähler manifolds and [J. Ross and R. Thomas 2008](#) for quasi-regular Sasaki manifolds.

Theorem 3.6 (T. Collins and G. Székelyhidi 2012)

If $(Y = C(M) \cup \{0\}, \xi)$ admits a constant scalar curvature Sasaki cone metric, equivalently (M, ξ, \bar{J}) admits a cscS metric, then (Y, ξ) is K-semistable.

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Finite dimensional slice

Suppose $\Phi_0 \in \mathcal{K}^{int}$ and let

$$\mathcal{P} : C_b^\infty(M, \mathbb{C}) \rightarrow \Gamma(T_{\Phi_0}\mathcal{K})$$

be the infinitesimal action of $\mathcal{G}^{\mathbb{C}}$ on \mathcal{K} .

We have the transversally elliptic complex:

$$0 \rightarrow C_b^\infty(M, \mathbb{C}) \xrightarrow{\mathcal{P}} T_{\Phi_0}\mathcal{K} \xrightarrow{\bar{\partial}_b} \mathcal{B} \subset \mathcal{A}^2 \rightarrow \dots \quad (4)$$

Denote H_η^1 the first cohomology of (4). Let G be the stabilizer of Φ_0 , $G = \text{Aut}(M, g_{\Phi_0}, \eta, \xi, \Phi_0)$ with $\text{Lie}(G) = \mathfrak{g}$.

Proposition 3.7

There exists a G -equivariant map Ψ from a neighborhood U of 0 in H_η^1 to a neighborhood of Φ_0 in \mathcal{K} such that the $\mathcal{G}^{\mathbb{C}}$ orbit of every integrable Φ close to Φ_0 intersects the image of Ψ . If x and x' lie in the same $G^{\mathbb{C}}$ orbit in U then $\Psi(x)$ and $\Psi(x')$ are in the same $\mathcal{G}^{\mathbb{C}}$ orbit in \mathcal{K} .

Moreover, we can assume that

$$m \circ \Psi = (s^T - s_0^T) \circ \Psi$$

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Finite dimensions

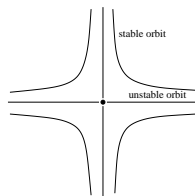


Figure : \mathbb{C}^* acting on \mathbb{C}^2

Suppose $(M, g_{\Phi_0}, \eta, \xi, \Phi_0)$ is cscS.

The Kähler structure on \mathcal{K} restricts to $U \subset H_\eta^1$, and we have a (local) action of $G^{\mathbb{C}}$ on U with moment map

$$m_0 = m \circ \Psi : U \rightarrow \mathfrak{g}^*.$$

The Kähler structure (U, ω_0, J_0) is not flat. But one can still apply the [Kempf-Ness theorem](#) to show:

$$v \in U \text{ polystable for } G^{\mathbb{C}} \Leftrightarrow \exists v_0 \in G^{\mathbb{C}} \cdot v : m_0(v_0) = 0.$$

Theorem 3.8

Let (M, η, ξ, Φ) be a cscS manifold and (M, η, ξ, Φ') a nearby Sasakian manifold with transverse complex structure \bar{J}' . Then if (M, η, ξ, Φ') is K -polystable, then there cscS structure in the space $\mathcal{S}(\xi, \bar{J}')$.

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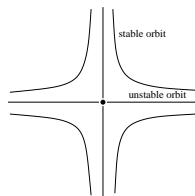


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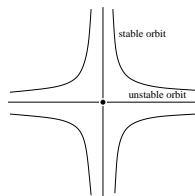


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Toric 3-Sasaki 7-manifolds

We consider **toric** 3-Sasaki 7-manifolds (C. Boyer, K. Galicki, B. Mann, E. Rees, 1998):

- ▶ **toric** means there is a 2-torus $T^2 \subset \text{Aut}(M, g, \xi_1, \xi_2, \xi_3)$, preserving all 3 Sasakian structures.
- ▶ They are 3-Sasaki quotients, $S^{4n-1} // T^k$ by a torus $T^k \subset \text{Sp}(n)$, $n - k = 2$.
- ▶ There are infinitely many examples for each $k = b_2(M) \geq 1$.

Lemma 4.1 (van Coevering, N.Y. Journal of Math. 2012)

If \mathcal{Z} is the twistor space of a toric 3-Sasaki 7-manifold M , then $H^1(\mathcal{Z}, \Theta_{\mathcal{Z}}) = H^1(\mathcal{Z}, \Theta_{\mathcal{Z}})^{T^2}$,

$$\dim_{\mathbb{C}} H^1(\mathcal{Z}, \Theta_{\mathcal{Z}}) = b_2(M) - 1 = k - 1.$$

And \mathcal{Z} has a local $b_2(M) - 1$ -dimensional space of deformations.

Theorem 4.2

Let (M, g) be a toric 3-Sasaki 7-manifold. Then g is in an effective complex $b_2(M) - 1$ -dimensional family $\{g_t\}_{t \in \mathcal{U}}$, $\mathcal{U} \subset \mathbb{C}^{b_2(M)-1}$ with $g_0 = g$, of Sasaki-Einstein metrics where g_t is not 3-Sasaki for $t \neq 0$.

This can be proved by both GIT and analytic techniques, since the deformation is invariant by $T^3 \subset \text{Aut}(M, g, \xi_1)$, a maximal torus.

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Toric 3-Sasaki 7-manifolds

Thus unlike the case of parallel spinors ($c = 0$) the dimension of the space of Killing spinors is not locally stable in general. See figure 2 for the isometry groups of the metrics.

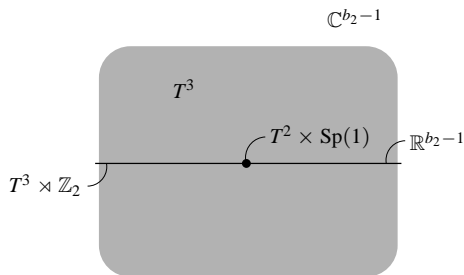


Figure : Space of Sasaki-Einstein metrics

Thank you