# 形式化方法导引

第 5 章 模型检测 5.2 **理论** 5.2.3 BMC Algorithm | 5.2.4 K-induction

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→ 教学课程 → 形式化方法导引

### 2.3 Bounded model checking (BMC)

Bounded model checking (有界模型检测)

• A way to exploit *SAT/SMT* for verifying properties of programs

例: a marble puzzle

We do steps in which either

- one marble is added, or
  - the number of marbles is doubled

What is the *smallest number* of steps required to end up in *exactly 1000* marbles?

#### 2.3 Bounded model checking (BMC)

How to solve this by NuSMV?

```
MODULE main
VAR
    M : 1..1000;
INIT
    M=1
TRANS
    case M<=999 : next(M)=M+1;    TRUE : next(M)=M;    esac |
    case M<=500: next(M)=2*M;    TRUE : next(M)=M;    esac
LTLSPEC
    G !(M=1000)</pre>
```

- Looks for counterexamples of length 1, 2, ...
- So it is incomplete

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- Looks for counterexamples of length 1, 2, ...
- So it is incomplete

#### 2.3 Bounded model checking (BMC)

# 运行结果: ./NuSMV -bmc c-sample1-bmc.smv

- -- no counterexample found with bound 0
  -- no counterexample found with bound 1
- -- no counterexample found with bound 2
- -- no counterexample found with bound 3
- -- no counterexample found with bound 4
- -- no counterexample found with bound 5
- -- no counterexample found with bound 6
- -- no counterexample found with bound 7
- -- no counterexample found with bound 8
- -- no counterexample found with bound 9
- -- no counterexample found with bound 10

### 2.3 Bounded model checking (BMC)

## 运行结果: ./NuSMV -bmc -bmc\_length 13 c-sample1-bmc.smv

- -- no counterexample found with bound 0
- -- no counterexample found with bound 1
- -- no counterexample found with bound 2
- -- no counterexample found with bound 3
- -- no counterexample found with bound 4
- -- no counterexample found with bound 5
- -- no counterexample found with bound 6
- -- no counterexample found with bound 7
- -- no counterexample found with bound 8
- -- no counterexample found with bound 9
- -- no counterexample found with bound 10
- -- no counterexample found with bound 10
- -- no counterexample found with bound 11
- -- no counterexample found with bound 12
- -- no counterexample found with bound 13

#### 2.3 Bounded model checking (BMC)

## 运行结果: ./NuSMV *-bmc -bmc\_length 14* c-sample1-bmc.smv

- specification G !(M = 1000) is false
   as demonstrated by the following execution sequence
   Trace Description: BMC Counterexample
- Trace Type: Counterexample

```
-> State: 1.1 <-

M = 1

-> State: 1.2 <-

M = 2

-> State: 1.3 <-

M = 3

-> State: 1.4 <-

M = 6

-> State: 1.5 <-

M = 7
```

<pre>-&gt; State:</pre>	1.11	<-
M = 124		
<pre>-&gt; State:</pre>	1.12	<-
M = 125		
<pre>-&gt; State:</pre>	1.13	<-
M = 250		
<pre>-&gt; State:</pre>	1.14	<-
M = 500		
<pre>-&gt; State:</pre>	1.15	<-
M = 1000		

### 2.3 Bounded model checking (BMC)

## How to solve this by SAT/SMT?

Fix number k: try for k steps

Introduce M[i] to represent the number of marbles after i steps, fo  $i=0,\ldots,k$ 

Start by one marble:

$$M[0] = 1$$

At the end exactly 1000 marbles:

$$M[k] = 1000$$

Requirements for the steps:

$$(M[i] = M[i-1] + 1) \lor (M[i] = 2M[i-1])$$

for 
$$i = 1, \ldots, k$$

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  $k$ 

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### 2.3 Bounded model checking (BMC)

## Resulting formula

$$M[0] = 1 \wedge M[k] = 1000 \wedge$$

$$\bigwedge_{i=1}^k (M[i] = M[i-1] + 1) \vee (M[i] = 2M[i-1])$$

for  $i=1,\ldots,k$  is *satisfiable* if and only if there is a solution in k steps

For k = 1, 2, ..., 13, this formula is unsatisfiable

For k=14, it yields the satisfying assignment

$$M[i] = 1, 2, 3, 6, 7, 14, 15, 30, 31, 62, 124, 125, 250, 500, 1000$$

for i = 0, ..., 14

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#### 2.3 Bounded model checking (BMC)

# Concluding: BMC 算法的优缺点

- 优点: runs fast in finding counterexamples
- 缺点: incompleteness: hard to return True if the property is satisfied

### 2.4 Basic Inductive Techniques

# 回顾: 定义: Transition system

A transition system  $\mathcal{M}=(S, \rightarrow, L)$  is

- S: a set of states
- ullet  $\rightarrow$ : a transition relation.
  - $\bullet \ \ \text{every} \ s \in S \ \ \text{has some} \ s' \in S \ \ \text{with} \ s \to s'$
- L: a label function.
  - $L: S \to \mathcal{P}(\text{Atoms})$

### 定义: Inductive

Denote  $s_0$  as the initial state of a transition system. A state property P is *inductive*, iff

- P holds in the initial states, i.e.,  $L(s_0) \models P(s_0)$
- $\bullet$  P holds in all states reachable from states that satisfy P, i.e.,

$$P(s), (s \to s') \models P(s')$$

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$$P(s), (s \rightarrow s') \models P(s')$$

P is inductive, iff  $L(s_0) \models P(s_0)$  and  $P(s), (s \rightarrow s') \models P(s')$ 

```
MODULE main
```

```
• L(s_0) = \{y = 0\}, \text{ so } 0 \le y \le 70
```

• Assume 0 < y < 70, is 0 < y' < 70

• if 
$$y = 70$$
,  $y' = 0$ 

• if 
$$0 \le y < 70$$
,  $0 < y' \le 70$ 

$$P$$
 is *inductive*, iff  $L(s_0) \models P(s_0)$  and  $P(s), (s \rightarrow s') \models P(s')$ 

```
MODULE main
VAR
  y : 0..15000;
ASSIGN
  init(y) := 0;
TRANS
  case
    y = 70 : next(y) = 0;
    TRUE : next(y)=y+1;
  esac
LTLSPEC
  G (y in (0..70))
```

• 
$$L(s_0) = \{y = 0\}$$
, so  $0 \le y \le 70$ 

• Assume  $0 \le y \le 70$ , is  $0 \le y' \le 70$  satisfied?

• if 
$$y = 70$$
,  $y' = 0$ 

• if 
$$0 \le y < 70$$
,  $0 < y' \le 70$ 

So we can prove the property by hand

运行结果: ./NuSMV

c-sample2-induct.smv

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- $L(s_0) = \{y = 0\}$ , so  $0 \le y \le 70$
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  - if y = 70, y' = 0
  - if  $0 \le y < 70$ ,  $0 < y' \le 70$

So we can prove the property by hand

运行结果: /NuSMV

- c-sample2-induct.smv
- specification G y in (0 .. 70) is true

Time cost: 4.26s,

P is *inductive*, iff  $L(s_0) \models P(s_0)$  and  $P(s), (s \rightarrow s') \models P(s')$ 

- G (y in (0..69))
  - return false
- G (y in (0..71))
  - NOT inductive now
- for all 70, change into 700
  - The extra time cost is low
- change 15000 into 150000
  - The extra time cost is extremely high

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P is inductive, iff L(s_0) \models P(s_0) and P(s), (s \rightarrow s') \models P(s')
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### 回顾定义: Inductive

P is *inductive*, iff  $L(s_0) \models P(s_0)$  and  $P(s), (s \rightarrow s') \models P(s')$ 

一种简单的解释 (standard induction over *natural numbers*)

$$P(0) \land \forall n(P(n) \to P(n+1)) \vDash \forall nP(n)$$

2-induction

$$P(0) \land P(1) \land \forall n((P(n) \land P(n+1)) \rightarrow P(n+2)) \vDash \forall n P(n)$$

$$\left(\bigwedge_{i=0}^{k-1} P(i)\right) \wedge \forall n \left(\left(\bigwedge_{i=0}^{k-1} P(n+i)\right) \to P(n+k)\right) \models \forall n P(n)$$

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P is *inductive*, iff  $L(s_0) \models P(s_0)$  and  $P(s), (s \rightarrow s') \models P(s')$ 

一种简单的解释 (standard induction over natural numbers):

$$P(0) \land \forall n(P(n) \to P(n+1)) \vDash \forall nP(n)$$

2-induction

$$P(0) \wedge P(1) \wedge \forall n((P(n) \wedge P(n+1)) \rightarrow P(n+2)) \vDash \forall n P(n)$$

$$\left(\bigwedge_{i=0}^{k-1} P(i)\right) \wedge \forall n \left(\left(\bigwedge_{i=0}^{k-1} P(n+i)\right) \rightarrow P(n+k)\right) \; \vDash \; \forall n P(n)$$

### 2.4 Basic Inductive Techniques

问题: Is k-induction "better" than standard induction? Maybe yes.

例: Consider the Fibonacci sequence, defined by

$$fib(n) = \begin{cases} n & \text{if } n \le 1\\ fib(n-1) + fib(n-2) & \text{otherwise} \end{cases}$$

Suppose we want to prove  $fib(n) \ge n$  for  $n \ge 5$ 

- 1-induction? No
- 2-induction? Yes

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