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# Enhancement of Atmospheric Nucleation by Highly Oxygenated **Organic Molecules: A Density Functional Theory Study**

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# Supporting Information

**ABSTRACT:** New particle formation (NPF) by gas-particle conversion is the main source of atmospheric aerosols. Highly oxygenated organic molecules (HOMs) and sulfuric acid (SA) are important NPF participants. 2-Methylglyceric acid (MGA), a kind of HOMs, is a tracer of isoprene-derived secondary organic aerosols. The nucleation mechanisms of MGA with SA were studied using density functional theory and atmospheric cluster dynamics simulation in this study, along with that of MGA with methanesulfonic acid (MSA) as a comparison. Our theoretical works indicate that the (MGA)(SA) and (MGA)(MSA) clusters are the most stable ones in the  $(MGA)_i(SA)_j$  (i = 1-2, j = 1-2) and  $(MGA)_i(MSA)_i$  (i = 1-2, j = 1-2) clusters, respectively.



Both the formation rates of (MGA)(SA) and (MGA)(MSA) clusters are quite large and could have significant contributions to NPF. The results imply that the homomolecular nucleation of MGA is unlikely to occur in the atmosphere, and MGA and SA can effectively contribute to heteromolecular nucleation mainly in the form of heterodimers. MSA exhibits properties similar to SA in its ability to form clusters with MGA but is slightly weaker than SA.

## 1. INTRODUCTION

Atmospheric aerosols have such a profound impact on the global climate, on regional and local weather patterns, and on human health that they have become a major research area for environmental and atmospheric chemists.<sup>1-6</sup> New particle formation (NPF) by gas-particle conversion is the main source of atmospheric aerosols and consists of two main processes: nucleation and the subsequent growth of critical nuclei.<sup>7-9</sup> Thus, studies of the formation process of critical nuclei are crucial for revealing the nucleation mechanism. Although NPF has received widespread attention and has been studied for a considerable period of time, the mechanisms of nucleation and the species involved in it at the molecular cluster level are still unclear.9-13

Sulfuric acid (H<sub>2</sub>SO<sub>4</sub>, SA) has been widely recognized as a major atmospheric nucleation species.  $^{8,14,15}$  However, the binary nucleation of SA and water is not sufficient to account for the nucleation rate measured in the actual atmospheric environment.<sup>16,17</sup> Therefore, other substances may participate in the formation of critical nuclei. Organic acids are such a candidate<sup>8,13,18-21</sup> because they can form larger stable heterodimers with SA. Numerous studies have proposed that

the oxidation products of volatile organic compounds (VOCs) participate in NPF,  $^{11,22-29}$  among which highly oxygenated organic molecules (HOMs) makes a prominent contribution.25-34

Almost half (40-50%) of the atmospheric fine aerosol mass is contributed by secondary organic aerosols (SOAs), which are produced by the oxidation of VOCs.<sup>35-37</sup> Isoprene (2methyl-1,3-butadiene,  $C_5H_8$ ) is a ubiquitous biological source of VOCs in the troposphere and has a discharge rate of 500-600 Tg year<sup>-1.38,39</sup> It has been confirmed that photo-oxidation of isoprene causes a large amount of SOA formation.<sup>40,41</sup> Isoprene-derived SOAs are expected to form 30-50% of total SOAs on the global scale.<sup>42,43</sup> However, the formation mechanism of isoprene-derived aerosols is still unknown. 2-Methylglyceric acid ( $C_4H_8O_4$ , MGA), a further oxidation product of isoprene, is a tracer of isoprene-derived SOAs.<sup>44–46</sup> MGA has been repeatedly discovered in NPF events in laboratory studies and atmospheric observations,<sup>44-61</sup> suggest-

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ing that MGA is a potential participant in SOA formation. During survey cruises from the Bohai Sea to the high Arctic in 2003 and from the East China Sea to the Northwest Pacific in 2014, MGA was found to be dominant among SOA tracers.<sup>55,56</sup> Moreover, MGA makes significant contributions to SOA samples collected in the marine boundary layer from the Arctic to the Antarctic.<sup>57</sup> Furthermore, MGA is a dihydroxy acid (including two hydroxyl groups and one carboxyl group), so it is highly likely to form hydrogen bonds (HBs) with potential nucleation molecules. At present, little research has been conducted on the nucleation reactions of MGA. Therefore, theoretical calculations were performed in order to investigate its potential nucleation reactions.

Methanesulfonic acid (CH<sub>3</sub>SO<sub>3</sub>H, MSA) and SA are the two main oxidation products of dimethyl sulfide released from the ocean into the atmosphere.<sup>62,63</sup> In coastal and marine areas, the concentration of MSA lies in the range  $10^5$  to  $10^7$ molecules cm<sup>-3</sup>.<sup>64-67</sup> In field observations, laboratory studies and theoretical studies, MSA has been found to play a major role in NPF events.<sup>68-77</sup> Okuyama and co-workers systematically studied MSA-water binary nucleation and found that undersaturated MSA vapor can generate aerosols with a concentration of up to  $10^{5}$  molecules cm<sup>-3</sup> at moderate relative humidity (<60%).<sup>68,69</sup> Dall'Osto et al. found a decrease in MSA concentration during the NPF events in the oceanic atmosphere, suggesting that MSA may participate in the formation of initial clusters and contribute to their further growth.<sup>70</sup> Extensive studies by the Finlayson-Pitts group at the University of California, Irvine, have shown that MSA can form clusters with amines and water.<sup>71-76</sup> In addition, organic acids have been shown to promote the particle formation and further growth of MSA with amines.78

Recently, nucleation events involving HOMs and SA have been extensively studied and are considered to be the key components of NPF.<sup>8,13,18-21,27,79</sup> Some studies suggest that the initial clusters of HOMs contain only one organic molecule<sup>19,20</sup> and one or two SA molecules.<sup>19</sup> Zhang then proposed the possibility of a large organic acid molecule and two SA molecules forming a stable initial cluster.<sup>8</sup> Further experiments by Schobesberger et al. demonstrated that HOMs, produced by monoterpene oxidation, form initial clusters with a single SA molecule and then grow into clusters containing one to three SA molecules and one to four HOMs.<sup>79</sup> However, the nucleation mechanisms of HOMs with SA have not been studied exactly in detail, especially the oxidation products of isoprene, MGA. Thus, this paper will analyze the microscopic mechanism of nucleation between MGA and SA, and the clusters containing one MGA molecule and one or two SA molecules will be carefully analyzed. The nucleation mechanism between MGA and MSA will also be studied for comparison. In addition, because Zhang et al.<sup>50</sup> and Nestorowicz et al.<sup>51</sup> have demonstrated that the nucleated yield of isoprene aerosol is higher at low humidity, the contribution of water could be ignored.

#### 2. METHODS

**2.1. Structural Calculations.** The initial structures of  $(MGA)_i(SA)_j$  (i = 1-2, j = 1-2) and  $(MGA)_i(MSA)_j$  (i = 1-2, j = 1-2) clusters were obtained by using the basin-hopping algorithm coupled with the semi-empirical PM7 method implemented in MOPAC2016.<sup>80–83</sup> Then, the low-energy isomers were further optimized at the M06-2X/6-311++G(3df,3pd) theory level. The M06-2X method performs

well at simulating atmospheric clusters containing common organic acids and produces similar results compared with experimental results and those of DLPNO-CCSD(T)/aug-ccpVTZ theory level.<sup>84–89</sup> To ensure that there are no imaginary frequencies in the clusters, frequency calculations were also performed. The optimizations were implemented using the Gaussian 09 programs with default convergence criteria.<sup>90</sup> The single-point energy was calculated at the DF-MP2-F12/VDZ-F12 theory level,<sup>91</sup> implemented with the MOLPRO 2010.1.92,93 Thermodynamic parameters were evaluated from the thermodynamic corrections calculated by M06-2X/6-311+ +G(3df,3pd) combined with the single-point energies obtained from DFT-MP2-F12/VDZ-F12. In order to evaluate the performance of DF-MP2-F12/VDZ-F12 theory level, we calculated the single-point energy of reactions (MGA) + SA  $\leftrightarrow$  (MGA)(SA) and (MGA)(SA) + SA  $\leftrightarrow$  (MGA)(SA)<sub>2</sub> at the DLPNO-CCSD(T)/aug-cc-pVTZ theory level. The binding energies ( $\Delta E$ ) and the formation enthalpies ( $\Delta H$ ) calculated at DF-MP2-F12/VDZ-F12//M06-2X/6-311++G(3df,3pd) and DLPNO-CCSD(T)/aug-cc-pVTZ//M06-2X/6-311++G-(3df,3pd) theory levels are listed in Table S1 in the Supporting Information. Both the differences of  $\Delta E$  and  $\Delta H$  values obtained by the two calculated levels are approximately 0.7 kcal mol<sup>-1</sup>, suggesting that the DF-MP2-F12/VDZ-F12// M06-2X/6-311++G(3df,3pd) theory level has good performance consistent with the DLPNO-CCSD(T)/aug-cc-pVTZ// M06-2X/6-311++G(3df,3pd) theory level in calculating binding energies and formation enthalpies.

**2.2.** Atmospheric Cluster Dynamics Simulation. Thermodynamic data calculated at the DF-MP2-F12/VDZ-F12//M06-2X/6-311++G(3df,3pd) level were studied by cluster dynamics simulations based on the Atmospheric Cluster Dynamics Code (ACDC) kinetic model.<sup>94</sup> The simulations involve collisions of all possible clusters of the studied system and perform well for systems containing organic acids.<sup>95–98</sup> The time evolution of molecular cluster distributions can be studied by explicitly solving the birth–death equation given by eq 1

$$\frac{dc_i}{dt} = \frac{1}{2} \sum_{j < i} \beta_{j,(i-j)} c_j c_{(i-j)} + \sum_j \gamma_{(i+j) \to i} c_{(i+j)} - \sum_j \beta_{i,j} c_i c_j 
- \frac{1}{2} \sum_{j < i} \gamma_{i \to j} c_i + Q_i - S_i$$
(1)

where *i* and *j* are the clusters in the given system,  $\beta_{i,j}$  is the collision coefficient between the two clusters *i* and *j*,  $\gamma_{i\rightarrow j}$  is the evaporation coefficient of cluster *i* split into *j* and another smaller cluster,  $c_i$  and  $c_j$  are the concentrations of clusters *i* and *j*,  $Q_i$  is an additional source term of cluster *i*, and  $S_i$  is a possible loss term of cluster *i*. The collision coefficients  $\beta_{i,j}$  obtained from kinetic gas theory are calculated from eq 2

$$\beta_{i,j} = \left(\frac{3}{4\pi}\right)^{1/6} \left(\frac{6k_{\rm b}T}{m_i} + \frac{6k_{\rm b}T}{m_j}\right)^{1/2} (V_i^{1/3} + V_j^{1/3})^2 \tag{2}$$

where *T* is the temperature,  $k_b$  is the Boltzmann constant,  $m_i$  is the mass of cluster *i*, and  $V_i$  is the volume of cluster *i*. The calculations of the evaporation coefficients  $\gamma_{(i+j)\rightarrow j}$  are given by eq 3

$$\gamma_{(i+j)\to i,j} = \beta_{i,j} \frac{c_i^{\rm e} c_j^{\rm e}}{c_{i+j}^{\rm e}} = \beta_{i,j} c_{\rm ref} \, \exp\left(\frac{\Delta G_{i+j} - \Delta G_i - \Delta G_j}{k_{\rm b} T}\right) \tag{3}$$

where  $\beta_{i,j}$  is the collision coefficient between clusters *i* and *j*,  $\Delta G_i$  is the Gibbs free energy of formation of cluster *i*,  $c_i^{e}$  is the equilibrium concentration of cluster *i*, and  $c_{ref}$  represents the monomer concentration of the reference vapor at which the free energies have been calculated.

The formation rate in our study is defined as "the flux of clusters outside the system."<sup>94</sup> We set different boundary sizes to study the contribution of MGA–SA and MGA–MSA clusters of various sizes to NPF. The formation rates of all clusters in the system were obtained by ACDC. The systems we studied were acid–acid systems, which differed from the acid–base system of McGrath et al.<sup>94</sup> In the acid–base system simulation, the homonuclear nucleation of the base should be ignored, so the cluster should contain at least one acid molecule. However, for the acid–acid system simulation, the homonuclear nucleation of both kinds of acids should not be ignored [e.g.,  $(SA)_2$  and  $(MGA)_2$  clusters], so the number of acid molecules in the cluster should be increased from 0. Therefore, the formula for calculating the formation rates<sup>94</sup> can be rewritten as eq 4

$$J = \sum_{i=0}^{m} \sum_{j=0}^{m} \sum_{k=0}^{n} \sum_{l=0}^{n} \beta_{ik,jl} c_{ik} c_{jl}, \qquad (i+k>0, j+l>0)$$
(4)

where *m* and *n* are the boundaries of the system,  $c_{ik}$  is the concentration of cluster *ik*, *i* and *j* stand for the number of SA–MSA molecules in clusters *ik* and *jl*, respectively, and *k* and *l* stand for the number of MGA molecules in clusters *ik* and *jl*, respectively. The temperature dependence of the formation rates was studied by inputting entropy ( $\Delta S$ ) and enthalpy ( $\Delta H$ ) instead of Gibbs free energy ( $\Delta G = \Delta H - T\Delta S$ ).

#### 3. RESULTS AND DISCUSSION

**3.1. Structures.** The geometries of the global minima for monomers and  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) clusters calculated at the M06-2X/6-311++G-(3df,3pd) theory level are shown in Figure 1. The structures of the clusters containing two MGA molecules are shown in Figure S1 in the Supporting Information. The Cartesian coordinates of all the structures are listed in Table S2 in the Supporting Information.

The MGA monomer has one carboxyl group and two hydroxyl groups. In order to distinguish the two hydroxyl groups in MGA, the hydroxyl group linking a methylene group is called the  $\alpha$ -hydroxyl group and the hydroxyl group linking a single carbon atom is called the  $\beta$ -hydroxyl group. For (MGA)(SA), two O-H···O HBs with lengths of 1.539 and 1.675 Å are formed between the carboxyl group of MGA and SA. For (MGA)(SA)<sub>2</sub>, one MGA molecule and two SA molecules are contacted by forming four HBs. The lengths of the four HBs are 1.524, 1.698, 1.636, and 1.645 Å. For (MGA)(MSA), two HBs with lengths of 1.625 and 1.655 Å are formed between the carboxyl group of MGA and MSA. For  $(MGA)(MSA)_2$ , one MGA molecule and two MSA molecules are contacted by three strong HBs. The lengths of the three HBs (HB<sub>1</sub>, HB<sub>2</sub>, and HB<sub>3</sub> in the Figure 1) are 1.532, 1.598, and 1.640 Å, respectively. In the (MGA)(MSA)<sub>2</sub> cluster, the free  $\alpha$ -hydroxyl group of MGA participates in the formation of Article



**Figure 1.** Geometries of the global minima for monomers and  $(MGA)(SA)_m$  (m = 1-2) and  $(MSA)(SA)_n$  (n = 1-2) clusters optimized at the M06-2X/6-311++G(3df,3pd) theory level.

HBs. As shown in Figure 1, for the length of HBs in the  $(MGA)(MSA)_2$  cluster,  $HB_1 < HB_2 < HB_3$ , indicating that the closer the HB is to the  $\alpha$ -hydroxyl group of MGA, the shorter the HB length is. Compared with the (MGA)(MSA) cluster, the addition of an MSA molecule to the (MGA)(MSA) cluster causes one of the HBs to break and recombine, resulting in further HBs forming and shorter HB lengths. In all the structures, the carboxyl group of MGA participates in the formation of HBs, and the hydroxyl group of MGA participates in the formation of HBs only in the  $(MGA)(MSA)_2$  structure, indicating that the carboxyl group in MGA has a greater ability to form HBs than the hydroxyl group.

**3.2. Intermolecular Interactions.** Topological analysis of electron densities can demonstrate the presence of HBs in the cluster. Bader's theory of "atoms in molecules" (AIM) is considered to be one of the most effective tools for exploring interactions between molecules, such as HBs.<sup>99–103</sup> In this paper, therefore, the AIM theory is applied in order to evaluate the properties of intermolecular hydrogen bonding interactions in the (MGA)(SA)<sub>m</sub> (m = 1-2) and (MGA)(MSA)<sub>n</sub> (n = 1-2) clusters. In order to analyze the topological characteristics of the bond critical points (BCPs), the electron density ( $\rho$ ), its Laplacian electron density ( $\nabla^2 \rho$ ), the electronic energy density (H), the electronic kinetic energy density (G), and the electronic potential energy density (V) calculated by the Multiwfn program<sup>104</sup> are listed in Table 1.

The strength of a HB is related to  $\rho$ , and generally, the larger the  $\rho$  value, the stronger the HB. A quantitative criterion has been proposed to characterize the strength of HBs:  $\rho$ , in the range of 0.002–0.035 a.u.<sup>105</sup> As listed in Table 1, the  $\rho$  values of the HBs in all of the (MGA)(SA)<sub>m</sub> (m = 1-2) and (MGA)(MSA)<sub>n</sub> (n = 1-2) clusters were in the range 0.042– 0.070 a.u., and these values exceeded the upper limit of the standard electron density range. High values of  $\rho$  indicate that the hydrogen bonding interactions in the (MGA)(SA)<sub>m</sub> (m =1–2) and (MGA)(MSA)<sub>n</sub> (n = 1-2) clusters are quite strong. The values of  $\nabla^2 \rho$  and H are related to the nature of the interaction. A negative value of  $\nabla^2 \rho$  indicates the presence of a

Table 1. Topological Parameters of the BCPs in  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) Clusters at the M062X/6-311++G(3df,3pd) Theory Level<sup>a</sup>

isomers	BCPs	ho/a.u.	$ abla^2 ho$ /a.u.	G/a.u.	V/a.u.	H/a.u.	-G/V
(MGA)(SA)	CH <sub>3</sub> COH(CH <sub>2</sub> OH)COHO····H-OSO <sub>3</sub> H	0.067	0.100	0.050	-0.076	-0.025	0.67
	$CH_3COH(CH_2OH)COO-H.OSO(OH)_2$	0.045	0.119	0.038	-0.047	-0.009	0.82
$(MGA)(SA)_2$	CH <sub>3</sub> COH(CH <sub>2</sub> OH)COHO····H-OS <sup>1</sup> O <sub>3</sub> H	0.070	0.099	0.052	-0.079	-0.027	0.66
	$HOS^1O_2O-H\cdots O=S^2O_2OH$	0.049	0.119	0.041	-0.053	-0.011	0.78
	HOS <sup>1</sup> O <sub>2</sub> HO···H-OS <sup>2</sup> O <sub>2</sub> OH	0.047	0.121	0.041	-0.051	-0.010	0.80
	$CH_3COH(CH_2OH)COO-H.OS^1O(OH)_2$	0.042	0.118	0.036	-0.043	-0.007	0.84
(MGA)(MSA)	CH <sub>3</sub> COH(CH <sub>2</sub> OH)COO-H···OSO <sub>2</sub> HCH <sub>3</sub>	0.059	0.115	0.043	-0.058	-0.014	0.75
	CH <sub>3</sub> COH(CH <sub>2</sub> OH)COHO····H-OSO <sub>2</sub> CH <sub>3</sub>	0.049	0.115	0.040	-0.052	-0.012	0.78
$(MGA)(MSA)_2$	CH <sub>3</sub> COH(COOH)CH <sub>2</sub> -HO···H-OS <sup>2</sup> O <sub>2</sub> CH <sub>3</sub>	0.069	0.104	0.052	-0.078	-0.026	0.67
	$CH_3S^1O_2O-H\cdots OS^2O_2HCH_3$	0.056	0.110	0.045	-0.062	-0.017	0.72
	$CH_3COH(CH_2OH)COO-H.OS^1O_2HCH_3$	0.049	0.119	0.041	-0.053	-0.012	0.78

<sup>*a*</sup>The superscripts are used to label the different sulfur atoms in  $(MGA)(SA)_2$  and  $(MGA)(MSA)_2$ , wherein the sulfur atom in the SA or MSA molecule attached to the carboxyl group of MGA is denoted as S<sup>1</sup> and the other sulfur atom is denoted as S<sup>2</sup>.

shared interaction, such as a covalent bond; a positive value of  $\nabla^2 \rho$  indicates a closed-shell system interaction, that is, an ionic interaction, a van der Waals force, or a HB. In the case where the  $\nabla^2 \rho$  value is positive, a negative *H* value demonstrates that the interaction is partially covalent, and a positive H value demonstrates that the strength of the HB interaction is weak. As listed in Table 1, all the BCPs have positive  $\nabla^2 \rho$  values and negative H values, indicating that all the interactions are partially covalent. In addition, the value of -G/V indicates a region corresponding to a covalent or noncovalent interaction (NCI). If the -G/V value is greater than 1, the interaction is noncovalent; if the -G/V value is between 0.5 and 1, the interaction is partially covalent; if the -G/V value is less than 0.5, the interaction is covalent. As listed in Table 1, all the BCPs have a -G/V value between 0.5 and 1, indicating that all interactions are partially covalent in nature. Gilli and coworkers reported that HBs are mainly electrostatic and become covalent as the bond strength increases.<sup>106-109</sup> As a consequence of the partially covalent nature of the HBs in the  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2)clusters, all of the HBs are quite strong.

Yang and co-workers proposed the NCIs index based on the relationship between the electron density and the reduced density gradient (RDG).<sup>110,111</sup> RDG(s) was calculated from eq 5 in order to demonstrate the deviation from a homogeneous electron distribution<sup>112,113</sup>

$$s = \frac{1}{2(3\pi)^{1/3}} \frac{|\nabla \rho|}{\rho^{4/3}}$$
(5)

where  $\rho$  is the electron density based on M06-2X/6-311++G(3df,3pd),  $\nabla$  is the gradient operator, and  $|\nabla \rho|$  is the electronic density gradient mode. Here, the NCI analysis method can be seen as an extension of AIM: it not only confirms NCIs and covalent interactions in real space but also visualizes the properties around BCPs.

Figure 2 shows the plots of RDG versus  $sign(\lambda_2)$  multiplied by  $\rho$  and the visualized gradient isosurfaces, obtained from Multiwfn<sup>104</sup> and VMD,<sup>114</sup> respectively, for the global minima of (MGA)(SA)<sub>m</sub> (m = 1-2) and (MGA)(MSA)<sub>n</sub> (n = 1-2), where  $\lambda_2$  is the second Hessian eigenvalue. When the  $sign(\lambda_2)\rho$ values corresponding to the peak are close to zero, the interaction is weak, such as for van der Waals forces, as shown in the green area. The negative  $sign(\lambda_2)\rho$  value corresponds to the HB, and when the  $sign(\lambda_2)\rho$  value is more negative, the strength of HB is stronger; the positive  $sign(\lambda_2)\rho$  value



**Figure 2.** Plots of RDG vs sign( $\lambda_2$ ) multiplied by  $\rho$  and the visualized gradient isosurfaces for the global minima of (a) (MGA)(SA), (b) (MGA)(SA)<sub>2</sub>, (c) (MGA)(MSA), and (d) (MGA)(MSA)<sub>2</sub>.

corresponds to the steric hindrance, and when the  $sign(\lambda_2)\rho$ value is more positive, the strength of steric hindrance is stronger. It can be seen from the plots of RDG(s) versus  $sign(\lambda_2)\rho$  that all clusters have HBs (blue area), and the HBs are stronger than the steric hindrance (red area), revealing that weak interactions are dominant in these clusters. The gradient isosurfaces on the right-hand of Figure 2 show the HBs corresponding to the plots of RDG(s) versus  $sign(\lambda_2)\rho$  on the

left-hand of Figure 2. All blue gradient isosurfaces correspond exactly to the HBs in Figure 1. The peak corresponding to the sign( $\lambda_2$ ) $\rho$  value of 0.48 a.u. in Figure 2b is obtained from two peaks coinciding with each other and represents two HBs. The results shown that intermolecular interactions between MGA and SA or MSA are quite strong HBs, and the (MGA)(SA)<sub>i</sub> (i= 1–2) clusters have comparable HBs strengths and properties with the corresponding (MGA)(MSA)<sub>i</sub> (i = 1–2) clusters. The results of the NCI index analysis are consistent with the AIM results.

**3.3. Thermochemical Analysis.** Analysis of thermodynamic properties can characterize the possibility of cluster formation in the atmosphere. Thus, we have studied the relative zero-point correction energies  $\Delta E$  (0 K), enthalpies  $\Delta H$  (298.15 K), and Gibbs free energies  $\Delta G$  (298.15 K) of the global minima of (MGA)(SA)<sub>m</sub> (m = 1-2) and (MGA)-(MSA)<sub>n</sub> (n = 1-2) clusters in detail. Zero-point correction energies and other thermodynamic parameters were calculated at the DF-MP2-F12/VDZ-F12//M06-2X/6-311++G(3df,3pd) level. The calculation of the thermodynamic parameter changes in the reaction follows the reaction processes. For example,  $\Delta G$  (298.15 K) of the reaction (MGA)(SA)<sub>m-1</sub> + SA  $\leftrightarrow$  (MGA)(SA)<sub>m</sub> (m = 1-2) was calculated using eq 6.

$$\Delta G_m = G_{(MGA)(SA)_m} - G_{(MGA)(SA)_{m-1}} - G_{(SA)}$$
(6)

Table 2 lists the thermodynamic parameter changes in the formation of  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n

Table 2. Thermodynamic Parameter Changes for the Formation of  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) Clusters Calculated at the DF-MP2-F12/VDZ-F12//M06-2X/6-311++G(3df,3pd) Theory Level<sup>a</sup>

reactions	ΔE (0 K)	ΔH (298.15 K)	ΔG (298.15 K)
$MGA + SA \leftrightarrow (MGA)(SA)$	-16.64	-17.16	-5.55
$(MGA)(SA) + SA \leftrightarrow (MGA)(SA)_2$	-16.61	-15.91	-7.83
$MGA + MSA \leftrightarrow (MGA)(MSA)$	-16.79	-16.95	-4.64
$\begin{array}{l} (MGA)(MSA) + MSA \leftrightarrow (MGA) \\ (MSA)_2 \end{array}$	-15.55	-15.56	-3.77
<sup><i>a</i></sup> The units are kcal mol <sup>-1</sup> .			

= 1–2). The  $\Delta G$  values of (MGA)(SA) and (MGA)(SA)<sub>2</sub> are -5.55 and -7.83 kcal mol<sup>-1</sup>, respectively, and those of (MGA)(MSA) and (MGA)(MSA)<sub>2</sub> are -4.64 and -3.77 kcal mol<sup>-1</sup>, respectively. The  $\Delta G$  values for all the reaction paths are negative, meaning that all reactions can take place spontaneously. The binding energies of (MGA)(SA) and  $(MGA)(SA)_2$  are -16.64 and -16.61 kcal mol<sup>-1</sup>, respectively, and those of (MGA)(MSA) and (MGA)(MSA)<sub>2</sub> are -16.79 and -15.55 kcal mol<sup>-1</sup>, respectively. Compared with (MGA)-(SA), (MGA)(MSA) has comparable binding energy (-16.64 and -16.79 kcal mol<sup>-1</sup>) and formation enthalpy (-17.16 and -16.95 kcal mol<sup>-1</sup>). Compared with (MGA)(SA)<sub>2</sub>, (MGA)- $(MSA)_2$  also has comparable binding energy change (-16.61)and -15.55 kcal mol<sup>-1</sup>) and formation enthalpy (-15.91 and -15.56 kcal mol<sup>-1</sup>). The combination of MGA and SA or MSA is supported by favorable thermodynamic properties. The  $(MGA)(SA)_i$  (*i* = 1–2) clusters have comparable stability to corresponding (MGA)(MSA)<sub>i</sub> (i = 1-2) clusters, which is consistent with the result of topological analyses.

**3.4. Temperature Dependence of Conformational Populations.** Clusters of a certain size in the atmosphere have

different isomers, and its contribution to NPF is assessed by statistical average of the contributions of all isomers.<sup>115–118</sup> The conformational populations and thermodynamic properties of the isomers are affected by temperature, so it is necessary to study the temperature dependence of conformational populations.<sup>115–118</sup> The conformational populations of  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) clusters associated with temperature are shown in Figure 3.

For  $(MGA)(SA)_m$  (m = 1-2), the conformational populations of the global minima of (MGA)(SA) and  $(MGA)(SA)_2$  consistently account for nearly 100% in the range 50-350 K, and other isomers are not competitive. Similar to  $(MGA)(SA)_m$  (m = 1-2), the global minima of  $(MGA)(MSA)_n$  (n = 1-2) are also dominant. For (MGA)-(MSA) (Figure 3c), the population of the global minimum 1.1a decreases slightly with increasing temperature from 250 to 350 K, but still accounts for 93.81% at 350 K. The population of isomer 1.1-b increases slightly, accounting for 6.16% at 350 K. All the (MGA)(SA)<sub>m</sub> (m = 1-2) and (MGA)(MSA)<sub>n</sub> (n =1-2) clusters show weak temperature dependence for conformational populations, and the global minima of these clusters are continuously dominant, indicating that the global minima are the primary configurations leading to NPF. Thus, it is reasonable to study the global minima of these clusters in this paper.

3.5. Atmospheric Cluster Dynamics Simulation. 3.5.1. Evaporation Rates. Previous studies have shown that the cluster evaporation rate is an important parameter for understanding the early stages of NPF.94,119 Figure 4 shows the total evaporation rates of  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) clusters as a function of temperature. For  $(MGA)(SA)_m$  (m = 1-2) clusters, when the temperature varies from 200 to 320 K, the evaporation rates of (MGA)(SA) and  $(MGA)(SA)_2$  range from  $10^{-1}$  to  $10^6$  $s^{-1}$  and from  $10^{0}$  to  $10^{6}$   $s^{-1}$ , respectively. The evaporation rate of (MGA)(SA) is consistently lower than that of (MGA)(SA)<sub>2</sub> in the range 200–320 K. For  $(MGA)(MSA)_n$  (n = 1-2)clusters, when the temperature varies from 200 to 320 K, the evaporation rates of (MGA)(MSA) and (MGA)(MSA)<sub>2</sub> vary from  $10^{0}$  to  $10^{7}$  s<sup>-1</sup> and from  $10^{4}$  to  $10^{9}$  s<sup>-1</sup>, respectively. The evaporation rate of  $(MGA)(MSA)_2$  is about 2-4 orders of magnitude higher than that of (MGA)(MSA). In addition, for  $(MGA)_i(SA)_i$  (*i* = 1-2, *j* = 1-2) and  $(MGA)_i(MSA)_i$  (*i* = 1-2, j = 1-2), the evaporation rates of all clusters at 298.15 K were also investigated and are listed in Table S3 in the Supporting Information. For MGA-SA, the evaporation rates of (MGA)(SA), (MGA)(SA)<sub>2</sub>, (MGA)<sub>2</sub>(SA), and  $(MGA)_2(SA)_2$  at 298.15 K are 2.90 × 10<sup>5</sup>, 5.01 × 10<sup>5</sup>, 7.40  $\times$  10<sup>5</sup>, and 8.21  $\times$  10<sup>14</sup> s<sup>-1</sup>, respectively. For MGA–MSA, the evaporation rates of (MGA)(MSA), (MGA)(MSA)<sub>2</sub>, (MGA)<sub>2</sub>(MSA), and (MGA)<sub>2</sub>(MSA)<sub>2</sub> at 298.15 K are 4.00  $\times$  10<sup>6</sup>, 1.50  $\times$  10<sup>9</sup>, 6.26  $\times$  10<sup>7</sup>, and 5.67  $\times$  10<sup>14</sup> s<sup>-1</sup>, respectively. Thus, for  $(MGA)_i(SA)_i$  (i = 1-2, j = 1-2) and  $(MGA)_i(MSA)_i$  (i = 1-2, j = 1-2) clusters, the heterodimer has the lowest evaporation rate, indicating that the heterodimer is more stable than the clusters of other sizes. Comparing  $(MGA)_i(SA)_j$  (i = 1-2, j = 1-2) with the corresponding  $(MGA)_i (MSA)_i$  (i = 1-2, j = 1-2), the clusters of MGA-SA are more stable than those of MGA-MSA, except for the comparison of  $(MGA)_2(SA)_2$  and  $(MGA)_2(MSA)_2$ .

Furthermore, regarding whether clusters can exist stably with a certain concentration, two aspects should be mainly



**Figure 3.** Conformational population changes for the low-energy isomers of  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) as a function of temperature from 50 to 350 K.



Figure 4. Total evaporation rates of  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) vs temperature.

considered: formation by collision of small clusters or monomers and evaporation of the clusters.<sup>94,120</sup> Assuming equilibrium and detailed balance, the formation and evaporation of clusters are equal (i.e., F = 0 in eq 7)<sup>119,121</sup>

$$F_{(ij,kl)} = \beta_{ij,kl} c_{ij} c_{kl} - \gamma_{ij,kl} c_{ij,kl}$$
<sup>(7)</sup>

where *F* is the growth flux,  $c_i$  is the concentration of the cluster *i*,  $\beta_{ij}$  is the collision coefficient between clusters *i* and *j*, and  $\gamma$  is the evaporation rate. Therefore, when the formation is greater than evaporation, the clusters could be generated with a certain concentration (i.e., F > 0 in eq 7).

At 278.15 K, we set the MGA concentration as 10 pptv  $(2.64 \times 10^{14} \text{ molecules m}^{-3})$  and the concentration of SA or MSA as  $10^7$  molecules cm<sup>-3</sup> ( $10^{13}$  molecules m<sup>-3</sup>). For the (MGA)(SA) cluster, the collision coefficient between MGA and SA monomers is  $3.75 \times 10^{-16} \text{ m}^3 \text{ s}^{-1}$ , the total evaporation

rate is  $4.49 \times 10^4$  s<sup>-1</sup>, and the final stable concentration obtained by clusters dynamics simulation is  $2.20 \times 10^7$ molecules  $m^{-3}$ . Then, the F value of (MGA)(SA) cluster obtained by eq 7 is  $2.20 \times 10^9$  m<sup>-3</sup> s<sup>-1</sup>. For the (MGA)(MSA) cluster, the collision coefficient between MGA and MSA monomers is  $4.00 \times 10^{-16}$  m<sup>3</sup> s<sup>-1</sup>, the total evaporation rate is  $5.20 \times 10^5$  s<sup>-1</sup>, and the final stable concentration obtained by clusters dynamics simulation is  $2.03 \times 10^6$  molecules m<sup>-3</sup>. Then, the *F* value of (MGA)(MSA) cluster obtained by eq 7 is  $4.00 \times 10^8 \text{ m}^{-3} \text{ s}^{-1}$ . Both the F values of (MGA)(SA) and (MGA)(MSA) clusters are positive, indicating that the (MGA)(SA) and (MGA)(MSA) clusters should be stable in MGA-SA and MGA-MSA systems, respectively. In addition, the temperature dependence of the evaporation rates of the (MGA)(SA) and (MGA)(MSA) clusters is consistent with that of the SA dimer (cluster containing two SA molecules), and the stable existence of the SA dimer has been observed in the experiment.<sup>122,123</sup>

On the basis that the cluster exists stably with a certain concentration, we analyze the flux of the cluster to a large-sized cluster by formation rates in the next section.

3.5.2. Formation Rates. In this study, the formation rates of the clusters in  $(MGA)_i(SA)_j$  (i = 1-2, j = 1-2) and  $(MGA)_i(MSA)_j$  (i = 1-2, j = 1-2) systems were analyzed by ACDC. The thermodynamic data calculated at the DF-MP2-F12/VDZ-F12//M06-2X/6-311++G(3df,3pd) level are listed in Table S4 in the Supporting Information. First, the MGA concentration was set at 10 pptv and the concentration of SA or MSA was set in the range  $10^5$  to  $10^9$  molecules cm<sup>-3</sup>. The formation rates of (MGA)(SA), (MGA)(SA)<sub>2</sub>, (MGA)-(MSA), and (MGA)(MSA)<sub>2</sub> clusters versus the concentration of SA or MSA at 298.15 K are shown in Figure 5a. For MGA-

Article



Figure 5. Formation rates of (MGA)(SA),  $(MGA)(SA)_2$ , (MGA)(MSA), and  $(MGA)(MSA)_2$  clusters vs the concentration of SA or MSA at (a) 298.15 K, and the formation rates of the (MGA)(SA) and (MGA)(MSA) clusters vs the concentration of SA or MSA at (b) 208 and 248 K.

SA, the formation rates of (MGA)(SA) and (MGA)(SA)<sub>2</sub> vary from  $10^{-3}$  to  $10 \text{ cm}^{-3} \text{ s}^{-1}$  and from  $10^{-12}$  to  $10^{-4} \text{ cm}^{-3} \text{ s}^{-1}$ , respectively, with the SA concentration varying from  $10^5$  to  $10^9$ molecules cm<sup>-3</sup>. For MGA–MSA, the formation rates of (MGA)(MSA) and (MGA)(MSA)<sub>2</sub> range from  $10^{-4}$  to  $10^0$ cm<sup>-3</sup> s<sup>-1</sup> and from  $10^{-14}$  to  $10^{-6}$  cm<sup>-3</sup> s<sup>-1</sup>, respectively, with the MSA concentration ranging from  $10^5$  to  $10^9$  molecules cm<sup>-3</sup>. Both the formation rates of (MGA)(SA) and (MGA)-(MSA) clusters are quite large, implying that the (MGA)(SA) and (MGA)(MSA) clusters could play an important role in NPF events, and the formation rates of (MGA)(SA)<sub>2</sub> and (MGA)(MSA)<sub>2</sub> clusters are relatively small.

The temperature dependence of the formation rates of (MGA)(SA) and (MGA)(MSA) were then studied, and the results are shown in Figure 5b. As the temperature decreases, the formation rates of (MGA)(SA) and (MGA)(MSA) increase. At 248 K, when the concentration of SA or MSA ranges between  $10^{5}$  and  $10^{9}$  molecules cm<sup>-3</sup>, the formation rates of (MGA)(SA) and (MGA)(MSA) are in the ranges of  $10^{0}$  to  $10^{4}$  cm<sup>-3</sup> s<sup>-1</sup> and  $10^{-1}$  to  $10^{3}$  cm<sup>-3</sup> s<sup>-1</sup>, respectively. At 208 K, when the concentration of SA or MSA varies from 10<sup>5</sup> to  $10^9$  molecules cm<sup>-3</sup>, the formation rates of (MGA)(SA) and (MGA)(MSA) vary from  $10^3$  to  $10^7$  cm<sup>-3</sup> s<sup>-1</sup> and from  $10^2$  to  $10^6$  cm<sup>-3</sup> s<sup>-1</sup>, respectively. The formation rates at 208 K are  $10^3$  times as high as those at 248 K, which shows the same kind of temperature dependence as the experiments of Dunne et al.<sup>124</sup> In their experiments on SA-ammonia, the nucleation rates at 208 K were 10<sup>4</sup> times as high as those at 248 K.

Furthermore, we set the MGA concentration to 10 pptv and the concentration of SA or MSA to  $10^7$  molecules cm<sup>-3</sup> to investigate the formation rates of  $(MGA)_i(SA)_j$  (i = 1-2, j = 1-2) and  $(MGA)_i(MSA)_j$  (i = 1-2, j = 1-2) at 298.15 K. As listed in Table S3 in the Supporting Information, for MGA–SA, the formation rates of (MGA)(SA),  $(MGA)(SA)_2$ ,  $(MGA)_2(SA)$ , and  $(MGA)_2(SA)_2$  were  $3.71 \times 10^{-1}$ ,  $1.15 \times 10^{-8}$ ,  $6.51 \times 10^{-8}$ , and  $8.58 \times 10^{-16}$  cm<sup>-3</sup> s<sup>-1</sup>, respectively. For MGA–MSA, the formation rates of  $(MGA)(MSA)_2$ ,  $(MGA)_2$ ,  $(MGA)_2$ ,  $(MGA)_2$ , and  $(MGA)_2$ , and  $(MGA)_2$ ,  $(MSA)_2$ ,  $(MGA)_2$ ,  $(MGA)_2$ ,  $(MGA)_2$ ,  $(MGA)_2$ ,  $(MGA)_2$ ,  $(MSA)_3$ , and  $(MGA)_2$ ,  $(MSA)_2$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_3$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_3$ ,  $(MGA)_3$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_3$ ,  $(MGA)_3$ ,  $(MGA)_2$ ,  $(MSA)_3$ ,  $(MGA)_3$ , (

In general, MGA–SA and MGA–MSA clusters contribute to NPF mainly in the form of the heterodimer. The formation rates of MGA–SA clusters are higher than those of MGA– MSA clusters, which indicates that, in the atmosphere, MGA is easier to nucleate with SA than MSA is, which is consistent with the above analysis of evaporation rates.

# 4. CONCLUSIONS

The structures, thermodynamic properties, evaporation rates, and formation rates of  $(MGA)_i(SA)_j$  (i = 1-2, j = 1-2) and  $(MGA)_i(MSA)_i$  (i = 1-2, j = 1-2) clusters were analyzed. The  $(MGA)(SA)_m$  (m = 1-2) and  $(MGA)(MSA)_n$  (n = 1-2) clusters have favorable and comparable Gibbs free energies, binding energies, and formation enthalpies. The (MGA)(SA) and (MGA)(MSA) clusters are the most stable clusters in the given MGA–SA and MGA–MSA systems, respectively, and the formation rates of them are quite large, suggesting that both the (MGA)(SA) and (MGA)(MSA) clusters to NPF events.

Our theoretical studies show that the heteromolecular nucleation between MGA and SA or MSA could play an important role in nucleation events, which is consistent with the previous HOMs experimental results. The temperature dependence of formation rates and total evaporation rates indicates that the lower the temperature, the lower the total evaporation rates and the higher the formation rates, which corresponds to the phenomenon that haze in winter is more serious than in summer. The nucleation ability of the MGA-MSA system is slightly weaker than that of the MGA-SA system, which may rely on the fact that the acidity of SA is stronger than that of MSA. Our results provide a theoretical basis for understanding the nucleation mechanism involving HOMs. Taking the complexity of the atmospheric environment into account, further research on MGA-SA and MGA-MSA systems could be extended to ternary systems such as MGA-SA-amine and MGA-MSA-amine.

# ASSOCIATED CONTENT

#### **S** Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpca.9b03142.

Benchmarks of the DF-MP2-F12/VDZ-F12 theory level, the structures of the clusters containing two MGA molecules, the Cartesian coordinates of all structures optimized at M06-2X/6-311++G(3df,3pd) theory level, the formation entropies and enthalpies of clusters obtained at DF-MP2-F12/VDZ-F12//M06-2X/6-311+ +G(3df,3pd) theory level, and the formation rates and total evaporation rates of clusters obtained by atmospheric dynamics simulation at 298.15 K (PDF)

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#### Notes

The authors declare no competing financial interest.

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