Experimental and theoretical investigation of three-dimensional nitrogen-doped aluminum clusters Al₈N⁻ and Al₈N

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The structure and electronic properties of the Al₈N⁻ and Al₈N clusters were investigated by combined photoelectron spectroscopy and ab initio studies. Congested photoelectron spectra were observed and experimental evidence was obtained for the presence of multiple isomers for Al₈N⁻. Global minimum searches revealed several structures for Al₈N⁻ with close energies. The calculated vertical detachment energies of the two lowest-lying isomers, which are of Cᵥ and C₃ᵥ symmetry, respectively, were shown to agree well with the experimental data. Unlike the three-dimensional structures of Al₆N⁻ and Al₇N⁻, in which the dopant N atom has a high coordination number of 6, the dopant N atom in the two low-lying isomers of Al₈N⁻ has a lower coordination number of 4 and 5, respectively. The competition between the Al−Al and Al−N interactions are shown to determine the global minimum structures of the doped aluminum clusters and results in the structural diversity for both Al₈N⁻ and Al₈N. © 2009 American Institute of Physics. [DOI: 10.1063/1.3097761]

I. INTRODUCTION

Doped clusters are interesting because they can exhibit specific properties tailored with the dopant. Understanding the structures and chemical bonding of such clusters may lead to rational designs of structurally and electronically stable clusters for applications in cluster-assembled nanomaterials or catalysis. While aluminum nitride is an important semiconductor material, there have been relatively few experimental and theoretical studies on small aluminum nitride clusters.¹–¹⁶ Li and Wang reported a set of photoelectron spectra of AlₓN⁻ (x = 2–22) clusters at 193 nm and compared them to those of pure Alₓ⁻ clusters.¹⁰ They found spectral similarity between Al₅N⁻ and Al₆⁻ clusters and suggested that there is a strong charge transfer to form formally N⁻ octahedron is no longer found as a building block in the nitrogen-doped aluminum clusters. In a series of recent studies,¹²,¹³,¹⁶ we combined photoelectron spectroscopy (PES) with global minimum structural search, using a gradient-embedded genetic algorithm followed by high-level ab initio calculations, to elucidate the detailed atomic structures and chemical bonding for several small nitrogen-doped aluminum clusters, AlₓN⁻ (x = 3–7). It was shown that AlₓN⁻ clusters are planar for x = 3–5, whereas their global minimum structures became three-dimensional (3D) for x = 6 and 7 with the nitrogen atom having a coordination number of six.

In the current work, we continue our research on AlₓN⁻ clusters and report the geometric and electronic structures of the Al₈N⁻ cluster using PES and ab initio calculations. Congested PES spectra were observed and interpreted by the ab initio data. A few different structures have been suggested theoretically for AlₓN and AlₓN⁻ in previous studies,⁷–⁹ but they have not been confirmed experimentally. We found that there are several low-lying isomers for Al₈N⁻, which are all 3D and very close in energy. Photoelectron spectra of Al₈N⁻ have been obtained at different experimental conditions, confirming the presence of close-lying isomers that contribute to the experiment. The coordination numbers of the nitrogen atom in these low-lying isomers of Al₈N⁻ vary from 4 to 6. Unlike the 3D clusters of Al₆N⁻ and Al₇N⁻, the N-centered [Al₆N⁻]³⁻ octahedron is no longer found as a building block in the two lowest energy structures of Al₈N⁻.

II. EXPERIMENTAL METHOD

The experiment was performed on a magnetic-bottle PES apparatus with a laser vaporization cluster source, details of which have been published elsewhere.¹⁷ Briefly, the AlₓN⁻ clusters were produced by laser vaporization of an Al/AlN composite disk target using a pure helium carrier gas. The cluster/He gas mixture passed through a 10 cm long, 3 mm diameter tube extension to allow adequate thermalization of the clusters. This was found to produce relatively cold clusters, which were shown to be important to yield well-resolved PES spectra.¹⁸,¹⁹ The anion clusters were extracted from the cluster beam perpendicularly and were analyzed using a time-of-flight mass spectrometer. Only Al₈N⁻ clusters with one N impurity atom could be observed under the experimental condition, as was shown before.¹⁰ The Al₈N⁻ cluster was mass selected and decelerated before being photodetached by a 193 nm laser beam from an ArF laser.
excimer laser, or by a 355 or 266 nm beam from a neodymium-doped yttrium aluminum garnet laser. Photo-electrons were collected at near 100% collecting efficiency by the magnetic bottle and analyzed using a 3.5 m long electron flight tube. The electron energies were calibrated by the known spectrum of Au− (193 nm) or Rh− (355 and 266 nm). The resolution (ΔE0/E0) of the apparatus was about 2.5%, i.e., ~25 meV for 1 eV kinetic energy electrons.

II. THEORETICAL METHODS

We performed initial computational search for the global minima of Al8N− and Al8N using both our gradient embedded genetic algorithm (GEGA) program written by Alexandrova et al.30,31 and our simulated annealing program written by Call and co-workers.32 We used a hybrid method known as B3LYP22–24 with the small split-valence basis set (3-21G) for energy, gradient, and force calculations, with simulated annealing performing single-point energy calculations and GEGA performing gradient optimizations and frequency calculations. We reoptimized geometries and calculated frequencies for the lowest isomers using the B3LYP and CCSD(T)25–27 methods with the polarized split-valence basis set (6-311+G*).28–30 Total energies of the lowest isomers were also calculated using the CCSD(T) method with the extended 6-311+G(2df) basis set at the optimized CCSD(T)/6-311+G* geometries.

The vertical electron detachment energies (VDEs) were calculated using the RCCSD(T)/6-311+G(2df) method, the restricted outer valence Green Function method (ROVGF/6-311+G(2df))31–35 all at the optimized RCCSD(T)/6-311+G* geometries and the time-dependent DFT method36,37 (TD-B3LYP/6-311+G(2df)) at the optimized B3LYP/6-311+G* geometries. In the last approach, the first VDE was calculated at the B3LYP level of theory as the lowest transition from the singlet state of the anion into the final lowest doublet state of the neutral. Then the vertical excitation energies of the neutral species (at the TD-B3LYP level) were added to the first VDE to obtain the second and higher VDEs. Core electrons were frozen in treating the electron correlation at the RCCSD(T) and ROVGF levels of theory. The B3LYP, RCCSD(T), ROVGF, and TD-B3LYP calculations were performed using the GAUSSIAN 03 and MOLPRO programs.38,39 Molecular structure visualization was done using the MOLDEN 3.4 program.40

IV. EXPERIMENTAL RESULTS

Figure 1 shows the PES spectra of Al8N from three different photon energies. At 355 nm [Fig. 1(a)], two relatively sharp bands (X and A) were observed at VDEs of 2.86 and 3.16 eV, respectively. The adiabatic detachment energy (ADE) was evaluated from the relatively sharp onset of the X band to be 2.75 ± 0.05 eV. A shoulder (X′) on the high binding energy side of band X was discernible, which became more obvious in the 266 nm spectrum [Fig. 1(b)]. In addition, the 266 nm spectrum revealed several new bands: B, C, D, E, and F. The A band seems to show some fine features on its high binding energy side around ~3.3 eV, which could be due to vibrational structures and/or additional electronic transitions. However, the pattern of the fine features seemed to depend on experimental conditions, indicating that they may be from different isomers. At 193 nm [Fig. 1(c)], a new band G was observed at ~4.6 eV, but featureless and continuous signals were observed beyond 4.8 eV. The overall PES spectral pattern was quite congested and complicated, probably due to contributions from more than one isomer. The VDEs of all observed bands are listed in Table I and compared with the theoretical data in Table II.

To affirm the presence of low-lying isomers, we also measured PES spectra under “hot” conditions without using the tube extension in the cluster source, as shown in Fig. 2. These spectra all show a long tail at the low binding energy side due to hot band transitions, implying that clusters gen-

<table>
<thead>
<tr>
<th>Observed features</th>
<th>VDE (eV)</th>
<th>ADE (eV)</th>
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<tbody>
<tr>
<td>X</td>
<td>2.86(4)</td>
<td>2.75(5)</td>
</tr>
<tr>
<td>X'</td>
<td>2.99(5)</td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>3.16(3)</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>3.45(5)</td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>~4.0</td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>4.09(3)</td>
<td></td>
</tr>
<tr>
<td>E</td>
<td>4.26(5)</td>
<td></td>
</tr>
<tr>
<td>F</td>
<td>4.40(5)</td>
<td></td>
</tr>
<tr>
<td>G</td>
<td>~4.6</td>
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erated under this condition were indeed relatively hot. A major difference observed between these two sets of data is that the relative intensity of the X band seems to be enhanced in the “hot” spectra. Note that this is most clearly displayed in the 355 nm spectra. In the 193 nm spectra, all the detachment bands are heavily overlapped due to reduced resolution, so the slight variation in relative intensities is more difficult to distinguish. Even at 266 nm, the spectral congestion made it difficult to compare relative intensity variations at the two experimental conditions. Nevertheless, our data provide clear experimental evidence that at least the X′ band should come from contributions by another isomer. The overall congested spectra are consistent with the presence of multiple isomers, because we observed less congested spectra even for larger Al$_n$N$^-$ clusters. As will be shown below, this is indeed borne by our theoretical calculations.

V. THEORETICAL RESULTS
A. Al$_8$N$^-$

We initially performed simulated annealing and GEGA searches for the global minimum of Al$_8$N$^-$ at the B3LYP/3-21G level of theory separately for singlet and triplet states. Both methods revealed an identical global minimum structure II (C$_{2v}$, 1A$^\prime$) (Fig. 3). The geometries and frequencies for the low-lying structures of Al$_8$N$^-$ were then recalculated at the B3LYP/6-311+G$^*$ level of theory. Finally, single point

![Fig. 2. Same as Fig. 1, but taken under hotter source conditions.](image)
calculations for the lowest-lying isomers were calculated at RCCSD(T)/6-311+G(2df) at the optimized CCSD(T)/6-311+G* structures. Geometries, symmetry, electronic state, and relative energies at B3LYP/6-311+G* and at CCSD(T)/6-311+G*+ZPE//B3LYP/6-311+G* for the first seven lowest-lying singlet and the lowest triplet isomers of Al₈N⁻ are displayed in Fig. 3.

Isomers III, IV, and VI can be considered as all three possible combinations of the Al₈N⁻ octahedron bicapped by two formal Al⁺ cations. We discussed the structure and bonding of the perfect octahedral Al₈N³⁻ previously. Structure V can be viewed as a distorted aluminum octahedron bicapped with an Al and an Al–N fragment to opposite sides. Finally, structure VII is formally a trigonal prism Al₈N³⁻ bicapped by two Al⁺ cations.

The structure II (1'A', 1a²2a²1a²2a²3a²4a²2a²2a²5a²6a²7a²3a²8a²4a²2a²5a²10a²) (the molecular orbitals are arranged according to its VDEs) of C₈ symmetry was found to be the global minimum (Fig. 3) at both the B3LYP/3-21G and the B3LYP/6-311+G* levels of theory. However, at the CCSD(T)/6-311+G*+ZPE//B3LYP/6-311+G* level of theory the structure I (1'A, 1a1²2a1²2b1²1b1²3a1²2b1²2b1²4a1²5a1²3b1²6a1²2a1²3 b1²4b1²) of C₂v symmetry is lower than structure II by 1.9 kcal/mol. It should be stressed that from the theoretical point of view, such a small energy difference is beyond our ability to determine the global minimum structure of this anion with certainty. Unlike the 3D global minimum structures of Al₈N²⁻ and Al₈N³⁻, in which the nitrogen atom has a high coordination number of 6, 13, and 16 in both low-lying isomers of Al₈N⁻ (I and II), the nitrogen atom has low coordination numbers of 4 and 5, respectively. Structure I can be described as an Al₈ octahedron bonded by an Al–N–Al motif. On the basis of the natural population analysis the Al–N–Al motif carries formal negative charge of −1. Structure II can be considered as originating from structure I by moving one bottom aluminum atom from the Al₈ octahedron to the top to bond with the N atom. Both isomers I and II seem to have been missed in a previous study by Leskiew et al.⁷

The next five low-lying isomers of Al₈N⁻ were found to be 3.1 kcal/mol (isomer III), 4.4 kcal/mol (isomer IV), 5.2 kcal/mol (isomer V), 6.0 kcal/mol (isomer VI), and 9.9 kcal/mol (isomer VII) higher in energy than the global minimum (isomer I) at the CCSD(T)/6-311+G*+ZPE//B3LYP/6-311+G* level of theory. Structures III–VII have the following symmetry, electronic state, and configuration.
optimized B3LYP/6-311+G* geometries. The structures of the eleven lowest-lying doublet isomers and one lowest quartet isomer of Al8N are shown in Fig. 4.

According to our GEGA search, isomer IX was found to be the global minimum structure (Fig. 4). This structure (C3v, A1g, 1a122a1′23a22′1e22′4a22′5a22′6a22′7e87a12), which is only 1.1 kcal/mol higher in energy at RCCSD(T)/6-311+G* level for the CCSD(T)/6-311+G** level and isomer II (tAg), isomer III (tAgb) occurs in the gap region of the PES spectra and it is expected to have negligible contributions to the observed spectrum. Both isomers IV and V are relatively high in energy and it is reasonable that they are not present in our experiment.

The first VDE of the lowest energy isomer I (tA1, tC2v) agrees well with the X band [Fig. 5(a)]. However, its second VDE calculated at RCCSD(T) is 3.25 eV, much larger than the experimental VDE (2.99 eV) of the second band (X’), indicating that the observed PES spectra cannot be explained by a single isomer I. As discussed above, the experimental observation suggests the X’ band to be contributed by another isomer. Indeed, the first VDE (2.93 eV) of isomer II (tA’, tC’), which is only 1.9 kcal/mol at the CCSD(T) level above isomer I, matches the X’ band (2.99 eV) quite well [Fig. 5(a)]. Thus, isomer I and II should be the major carriers of the PES spectra. As seen in Fig. 5(b), isomer III (tA1, tC2v) also gives detachment transition features, which are within the observed PES pattern. While we cannot assign this isomer definitively, we also cannot completely rule it out. According to our calculations, isomer III is 3.1 kcal/mol above the global minimum, so its population, if there is any, should be much smaller relative to isomers I and II and can be ruled out in our assignments. The calculated VDEs for the first VDE of the lowest energy isomer I (tA1, tC2v) agrees well with the X band [Fig. 5(a)]. However, its second

VI. INTERPRETATION OF THE PES SPECTRA

Our extensive structural search for Al8N− revealed five low-lying isomers within about less than 5 kcal/mol at the CCSD(T)/6-311+G(2df)//CCSD(T)/6-311+G* +ZPE/B3LYP/6-311+G* level of theory (Fig. 3). Those isomers are so close in energies that potentially they could all contribute to the experimentally observed PES spectra. Indeed, the congested spectral pattern of Al8N− suggested potential complications due to the presence of more than one isomer, as evidenced experimentally (Figs. 1 and 2). To aid the assignments, the calculated VDEs of the first few detachment channels for these five low-lying isomers of Al8N− at our highest level of theory, RCCSD(T)/6-311+G(2df), are compared to the 266 nm PES spectrum in Fig. 5, where the calculated VDEs are plotted as vertical bars. Clearly, isomer IV (tA1, tC3v) can be ruled out, because its first VDE is very low at 2.53 eV [Fig. 5(b)], which is absent in the experimental data. Similarly, the third VDE of isomer IV (tA1, tC3v) occurs in the gap region of the PES spectra and it is expected to have negligible contributions to the observed spectrum. Both isomers IV and V are relatively high in energy and it is reasonable that they are not present in our experiment.

The first VDE of the lowest energy isomer I (tA1, tC2v) agrees well with the X band [Fig. 5(a)]. However, its second VDE calculated at RCCSD(T) is 3.25 eV, much larger than the experimental VDE (2.99 eV) of the second band (X’), indicating that the observed PES spectra cannot be explained by a single isomer I. As discussed above, the experimental observation suggests the X’ band to be contributed by another isomer. Indeed, the first VDE (2.93 eV) of isomer II (tA’, tC’), which is only 1.9 kcal/mol at the CCSD(T) level above isomer I, matches the X’ band (2.99 eV) quite well [Fig. 5(a)]. Thus, isomer I and II should be the major carriers of the PES spectra. As seen in Fig. 5(b), isomer III (tA1, tC2v) also gives detachment transition features, which are within the observed PES pattern. While we cannot assign this isomer definitively, we also cannot completely rule it out. According to our calculations, isomer III is 3.1 kcal/mol above the global minimum, so its population, if there is any, should be much smaller relative to isomers I and II and can be ruled out in our assignments. The calculated VDEs for
isomers I, II, and III of \( \text{Al}_8\text{N}^- \) at the TD-B3LYP/6-311+
\( \text{G}(2d,f) \), ROVGF/6-311+G(2d,f), and RCCSD(T)/6-311+
\( \text{G}(2d,f) \) levels of theory are presented in Table II, as well as the
tentative spectral assignments. We can see that higher
energy detachment channels of these isomers are also consistent
with the PES data.

Overall, the experimental data are in good agreement
with the combined detachment transitions calculated for the
two lowest isomers (I and II), indicating that these structures are
competing for the global minimum of \( \text{Al}_8\text{N}^- \). The higher
energy of isomer II is consistent with its slightly enhanced
atom carrying an effective charge of

\[
\text{tetrahedron can be approximately rationalized as the central N}
\]

The global minimum structure of \( \text{Al}_8\text{N}^- \) is built upon the square-planar \( \text{Al}_4\text{N}^- \) structure with the additional Al atom bonded to one of its four edges outside the first
coordination layer. However, a 3D structure, which can be viewed as a tetrahedral \( \text{Al}_4 \) cluster bound to an AlN unit, was found to be a low-lying isomer and was also present in the PES spectra of \( \text{Al}_8\text{N}^- \). Thus, the Al−Al interactions start to be important structure-forming factors in \( \text{Al}_4\text{N}^- \). For \( \text{Al}_7\text{N}^- \), we found two close low-lying isomers, which appear to optimize both the Al−Al and Al−N interactions. For \( \text{Al}_2\text{N}^- \) and \( \text{Al}_3\text{N}^- \), our study found only one dominating isomer in which the N atom has high coordination number of 6 and 7, respectively. Particularly for \( \text{Al}_2\text{N}^- \), the seven Al atoms are all in the first coordination sphere and bound to the N atom, which seems to optimize Al−N interactions. We have also shown that the global minimum structures of the \( \text{Al}_8\text{N}^- \) and \( \text{Al}_7\text{N}^- \) can be viewed as being evolved from the N-centered \( \text{Al}_6\text{N}^3^- \) octahedron. The chemical bonding in the \( \text{Al}_6\text{N}^3^- \) octahedron can be approximately rationalized as the central N
atom carrying an effective charge of \(-3 \) \( \text{(N}^3^- \) ), which is
ionically bound to an octahedral \( \text{Al}_6\text{N}^- \) cluster, thus optimizing
both Al−Al and Al−N interactions.

For \( \text{Al}_8\text{N}^- \), this \( \text{Al}_6\text{N}^3^- \) octahedron is no longer found as a building block in the two lowest-lying isomers (Fig. 3). Instead, the two lowest isomers (I and II) of \( \text{Al}_8\text{N}^- \) can both be viewed as a distorted AlN\(^{-}\) square bound to four additional Al atoms. Unlike the 3D structures of \( \text{Al}_6\text{N}^- \) and \( \text{Al}_7\text{N}^- \), the Al−Al interactions seem to be dominating in \( \text{Al}_8\text{N}^- \), although isomers III and IV do contain the \( \text{Al}_6\text{N}^3^- \) octahedron units and they are not too high in energy. Thus,

the more complicated balance between Al−Al and Al−N in-


teractions in the larger \( \text{Al}_8\text{N}^- \) cluster is responsible for its
structural diversity. It should also be pointed out that in all the
low-lying isomers for \( \text{Al}_8\text{N}^- \) we found that the effective atomic charges on the N atom (calculated using natural bond analysis at B3LYP/6-311+G\(^*\)) vary from \(-2.1\)\( \epsilon \) to \(-2.5\)\( \epsilon \), indicating the formation of the nearly complete shell \( 2e^22p^6 \)
in the ionic limit \( (\text{N}^3^-) \), which is consistent with the previous experimental observations.10

VIII. SUMMARY

We investigated the structural properties of the \( \text{Al}_8\text{N}^- \) cluster using a combined PES and \textit{ab initio} study. The cal-
culated VDEs were compared to the complicated PES spec-
tral features and confirmed the presence of multiple isomers in
the experiment for \( \text{Al}_8\text{N}^- \). The global minimum structures of \( \text{Al}_8\text{N}^- \) and \( \text{Al}_5\text{N}^- \) were located using both genetic algo-

rithm and simulated annealing. We found that at least two
low-lying isomers of \( \text{Al}_8\text{N}^- \) were populated experimentally and contribute to the observed PES spectra. Unlike the 3D structures of \( \text{Al}_8\text{N}^- \) and \( \text{Al}_5\text{N}^- \), where the N atom has a high
coordination number of 6, in the two low-lying isomers of
\( \text{Al}_8\text{N}^- \), the N atom has low coordination numbers of 4 and 5. The competition between Al−Al and Al−N interactions are
found to dictate the structures of the N-doped aluminum
clusters and results in the diverse low-lying structures for
\( \text{Al}_5\text{N}^- \) and \( \text{Al}_8\text{N}^- \).

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