

# Discovering Classes of Strongly Equivalent Logic Programs with Negation as Failure in the Head

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**Abstract.** In this paper, we apply Fangzhen Lin’s methodology of computer aided theorem discovery to discover classes of strongly equivalent logic programs with negation as failure in the head. Specifically, with the help of computers, we discover exact conditions that capture the strong equivalence between small sets of rules, which have potential applications in the theory and practice of logic programming. In the experiment, we extend the previous approach to semi-automatically generate plausible conjectures. We also show that it is possible to divide the original problem in simpler cases and combine their solutions in order to obtain the solution of the original problem.

## 1 Introduction

Fangzhen Lin introduced a methodology, called computer-aided theorem discovery [2], to discover some theorems using computers in a given theory. The methodology has been successfully applied to discover classes of strongly equivalent logic programs in the theory of logic programming [3].

In this paper, we report on another successful experiment of the methodology for logic programs with negation as failure in the head [1] and make three contributions. First, we extend Lin and Chen’s approach [3] to semi-automatically generate candidates of theorems that need to be discovered in the experiment. Second, we show that when the methodology cannot be directly applied, since it would be computationally unfeasible, it is possible to divide the original problem in simpler cases and combine their solutions in order to obtain the solution of the original problem. Third, we discover the new and non-trivial conditions that capture certain classes of strongly equivalent logic programs, which contribute to the theory and practice of logic programming.

## 2 Logic programs with negation as failure in the head

Logic programming with answer set semantics has been considered as one of the most popular nonmonotonic rule-based formalisms [1]. In this paper, we consider only fully grounded finite logic programs.

Let  $L$  be a propositional language, *i.e.*, a set of atoms. An *extended logic program* (ELP) is a finite set of (*extended*) *rules* of the form

$$a_1 \vee \cdots \vee a_k \vee \text{not } a_{k+1} \vee \cdots \vee \text{not } a_h \leftarrow a_{h+1}, \dots, a_m, \text{not } a_{m+1}, \dots, \text{not } a_n, \quad (1)$$

where  $n \geq m \geq h \geq k \geq 0$ ,  $n \geq 1$  and  $a_1, \dots, a_n$  are atoms in  $L$ . If  $h = k$ , it is a *disjunctive rule*; if  $h = k$  and  $m = n$ , it is a *positive rule*. In particular, a *disjunctive logic program* (DLP) is a finite set of disjunctive rules and a positive program is a finite set of positive rules. An ELP is also called a *logic program with negation as failure in the head* [1]. Note that, generally it is impossible to translate an ELP to a DLP without adding new atoms.

We will also write rule  $r$  of form (1) as  $head(r) \leftarrow body(r)$ , where  $head(r) = head^+(r) \vee head^-(r)$ ,  $body(r) = body^+(r) \wedge body^-(r)$ ,  $head^+(r)$  is  $a_1 \vee \dots \vee a_k$ ,  $head^-(r)$  is  $\neg a_{k+1} \vee \dots \vee \neg a_h$ ,  $body^+(r)$  is  $a_{h+1} \wedge \dots \wedge a_m$ , and  $body^-(r)$  is  $\neg a_{m+1} \wedge \dots \wedge \neg a_n$ . In the following, we identify  $head^+(r)$ ,  $head^-(r)$ ,  $body^+(r)$ ,  $body^-(r)$  with their corresponding sets of atoms.

Two ELPs  $P_1$  and  $P_2$  are *strongly equivalent*, if for any ELP  $P'$ , programs  $P_1 \cup P'$  and  $P_2 \cup P'$  have the same set of answer sets. In general, checking if two ELPs or DLPs are strongly equivalent is coNP-complete. There is a mapping from logic programs to propositional theories and showed that two logic programs are strongly equivalent iff their corresponding theories in propositional logic are equivalent. This result provides the basis for applying Lin's computer-aided theory discovery.

### 3 Discovering Classes of Strongly Equivalent ELPs

In this paper, we extend Lin and Chen's approach to discovering classes of strongly equivalent ELPs. We focus on discovering necessary and sufficient conditions for answering the  $k$ - $m$ - $n$  problem for ELPs, *i.e.*, is an ELP  $\{r_1, \dots, r_k, u_1, \dots, u_m\}$  strongly equivalent to an ELP  $\{r_1, \dots, r_k, v_1, \dots, v_n\}$ ?

Following Lin's computer-aided theory discovery, we first construct a first-order language  $F_L$  based on the propositional language  $L$  of ELPs. In specific,  $F_L$  has equality, two unary predicates  $H_1$  and  $H_2$ , and four unary predicates  $PH_r$ ,  $NH_r$ ,  $PB_r$ , and  $NB_r$  for each rule  $r$  in  $L$ . An *intended model* of  $F_L$  is one whose domain is  $L$ , and for each rule  $r \in L$ , the unary predicates  $PH_r$ ,  $NH_r$ ,  $PB_r$ , and  $NB_r$  are interpreted by the sets of atoms  $head^+(r)$ ,  $head^-(r)$ ,  $body^+(r)$ , and  $body^-(r)$ , respectively.

**Theorem 1.**  $P_1$  and  $P_2$  are strongly equivalent in  $L$  iff the following sentence

$$\forall x(H_1(x) \supset H_2(x)) \supset \left( \bigwedge_{r \in P_1} \gamma(r) \equiv \bigwedge_{r \in P_2} \gamma(r) \right) \quad (2)$$

is true in all intended models of  $F_L$ , where  $\gamma(r)$  is the conjunction of the following two sentences:

$$\begin{aligned} & [\forall x(PB_r(x) \supset H_1(x)) \wedge \forall x(NB_r(x) \supset \neg H_2(x))] \supset [\exists x(PH_r(x) \wedge H_1(x)) \vee \exists x(NB_r(x) \wedge \neg H_2(x))], \\ & [\forall x(PB_r(x) \supset H_2(x)) \wedge \forall x(NB_r(x) \supset \neg H_2(x))] \supset [\exists x(PH_r(x) \wedge H_2(x)) \vee \exists x(NB_r(x) \wedge \neg H_2(x))]. \end{aligned}$$

Given a  $k$ - $m$ - $n$  problem, *i.e.*,  $P_1 = \{r_1, \dots, r_k, u_1, \dots, u_m\}$  and  $P_2 = \{r_1, \dots, r_k, v_1, \dots, v_n\}$ , if a conjecture for answering the  $k$ - $m$ - $n$  problem is represented by the formula  $\exists x \forall y \Phi$  in  $F_L$ , then verifying the conjecture is equivalent to verifying the formula  $\exists x \forall y \Phi \supset (2)$ . Now we have the following theorem.

**Theorem 2.** *Given ELPs  $P_1 = \{r_1, \dots, r_k, u_1, \dots, u_m\}$  and  $P_2 = \{r_1, \dots, r_k, v_1, \dots, v_n\}$  in the propositional language  $L$ , if  $\exists \mathbf{x} \forall \mathbf{y} \Phi$  is a property about  $P_1$  and  $P_2$  in  $F_L$ , where  $\mathbf{x}$  is a tuple of  $w$  variables, and  $\Phi$  a quantifier-free, function-free, and constant-free formula, then the following two assertions are equivalent:*

1. *If  $\exists \mathbf{x} \forall \mathbf{y} \Phi$  is true in  $F_L$ , then  $P_1$  is strongly equivalent to  $P_2$ .*
2. *For any ELPs  $P_1$  and  $P_2$  with at most  $w + 2(k + \max\{m, n\})$  atoms when  $\min\{m, n\} > 0$  and  $\max\{w + 2k, 1\}$  atoms when  $\min\{m, n\} = 0$ , if  $\exists \mathbf{x} \forall \mathbf{y} \Phi$  is true in an intended model of  $F_L$ , then  $P_1$  is strongly equivalent to  $P_2$ .*

Then the correctness of the conjecture for the  $k$ - $m$ - $n$  problem can be verified by considering corresponding ELPs with a small size of atoms.

## 4 The Theorems

### 4.1 The 0-1-0 problem

This problem asks if a rule can always be deleted from any program. With the help of computers<sup>1</sup>, we get the following experimental result:

**Lemma 1.** *If a rule  $r$  mentions three distinct atoms, then  $\{r\}$  is strongly equivalent to  $\emptyset$  iff  $(\text{head}^+(r) \cup \text{body}^-(r)) \cap \text{body}^+(r) \neq \emptyset$  or  $\text{head}^-(r) \cap \text{body}^-(r) \neq \emptyset$ .*

**Lemma 2.** *If there is a rule  $r$  such that  $\{r\}$  and  $\emptyset$  are strongly equivalent, but the condition in Lemma 1 does not hold, then there is a such rule that mention at most three atoms.*

**Theorem 3 (The 0-1-0 problem).** *Lemma 1 holds in the general case, without any restriction on the number of atoms in  $r$ .*

### 4.2 The 1-1-0 and the 0-1-1 problems

With the help of computers, we get the following result for the 1-1-0 problem:

**Lemma 3.** *For any two rules  $r_1$  and  $r_2$  that mention four atoms,  $\{r_1, r_2\}$  and  $\{r_1\}$  are strongly equivalent iff one of the following three conditions is true:*

1.  *$\{r_2\}$  is strongly equivalent to  $\emptyset$ .*
2.  *$\text{head}^+(r_1) \subseteq \text{head}^+(r_2) \cup \text{body}^-(r_2)$ ,  $\text{head}^-(r_1) \subseteq \text{head}^-(r_2) \cup \text{body}^+(r_2)$ ,  $\text{body}^+(r_1) \subseteq \text{body}^+(r_2)$ , and  $\text{body}^-(r_1) \subseteq \text{body}^-(r_2)$ .*
3.  *$\text{head}^+(r_1) \subseteq \text{body}^-(r_2)$ ,  $\text{head}^-(r_1) \subseteq \text{head}^-(r_2) \cup \text{body}^+(r_2)$ ,  $\text{body}^+(r_1) \subseteq \text{head}^-(r_2) \cup \text{body}^+(r_2)$ , and  $\text{body}^-(r_1) \subseteq \text{body}^-(r_2)$ .*

**Lemma 4.** *If there are two rules  $r_1$  and  $r_2$  such that  $\{r_1, r_2\}$  and  $\{r_1\}$  are strongly equivalent, but none of the three conditions in Lemma 3 hold, then there are two such rules that mention at most four atoms.*

**Theorem 4 (The 1-1-0 problem).** *Lemma 3 holds in the general case, without any restriction on the number of atoms in  $r_1$  and  $r_2$ .*

<sup>1</sup> Source codes of computer programs for verifying conjectures can be downloaded from <http://staff.ustc.edu.cn/%7ejianmin/discover/code.zip>.

**Theorem 5 (The 0-1-1 problem).** For any two rules  $r_1$  and  $r_2$ ,  $\{r_1\}$  and  $\{r_2\}$  are strongly equivalent iff one of the following three conditions is true:

1.  $\{r_1\}$  and  $\{r_2\}$  are both strongly equivalent to  $\emptyset$ .
2.  $body^+(r_1) = body^+(r_2)$ ,  $body^-(r_1) = body^-(r_2)$ ,  $head^-(r_1) \cup body^+(r_1) = head^-(r_2) \cup body^+(r_2)$ , and  $head^+(r_1) \cup body^-(r_1) = head^+(r_2) \cup body^-(r_2)$ .
3.  $head^+(r_1) \subseteq body^-(r_1)$ ,  $head^+(r_2) \subseteq body^-(r_2)$ ,  $body^-(r_1) = body^-(r_2)$ , and  $head^-(r_1) \cup body^+(r_1) = head^-(r_2) \cup body^+(r_2)$ .

#### 4.3 The 2-1-0, 0-2-1, and 0-2-2 problems

As the 2-1-0 problem is too hard to be solved directly, we need to first divide the problem into simpler cases.

*Property 1.* For any rules  $r_i$  and  $r_3$ ,  $\{r_i, r_3\}$  and  $\{r_i\}$  are not strongly equivalent iff  $\{r_3\}$  is not strongly equivalent to  $\emptyset$  and one of the five conditions is true:

1. There is an atom  $p$  such that:  $p \in body^-(r_i)$  and  $p \notin body^-(r_3)$ .
2. There is an atom  $p$  such that:  $p \in head^-(r_i)$  and  $p \notin head^-(r_3) \cup body^+(r_3)$ .
3. There is an atom  $p$  such that:  $p \in body^+(r_i)$  and  $p \notin head^-(r_3) \cup body^+(r_3)$ .
4. There is an atom  $p$  such that:  $p \in head^+(r_i)$  and  $p \notin head^+(r_3) \cup body^-(r_3)$ .
5. There are two atoms  $p, q$  such that:  $p \in body^+(r_i)$ ,  $p \notin body^+(r_3)$ ,  $p \in head^-(r_3)$ ,  $q \in head^+(r_i)$ ,  $q \notin body^-(r_3)$  and  $q \in head^+(r_3)$ .

*Property 2.* For any rules  $r_1, r_2$  and  $r_3$ , one of the four conditions is true:

1.  $\{r_3\}$  is strongly equivalent to  $\emptyset$ .
2.  $\{r_i, r_3\}$  is strongly equivalent to  $\{r_i\}$ , for  $i = 1, 2$ .
3.  $\{r_3\}$  is not strongly equivalent to  $\emptyset$ , one of the conditions from (1) - (4) of Property 1 is true, and the condition (5) of Property 1 is not true, where  $i = 1$  or  $2$ .
4.  $\{r_3\}$  is not strongly equivalent to  $\emptyset$ ,  $\{r_1, r_3\}$  is not strongly equivalent to  $\{r_1\}$ ,  $\{r_2, r_3\}$  is not strongly equivalent to  $\{r_2\}$ , and the condition (5) of Property 1 is true, where  $i = 1$  or  $2$ .

**Lemma 5.** For any three rules  $r_1, r_2$  and  $r_3$  that make the condition (3) of Property 2 true and mention at most five atoms,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent if there is an atom  $p$  such that:

1.  $p \in (head^-(r_1) \cup body^+(r_1)) \cap (body^-(r_2) \cup head^+(r_2))$ ,
2.  $\{r_i^*, r_3\}$  is strongly equivalent to  $\{r_i^*\}$ , for  $i = 1, 2$ ,
3. If  $p \in body^+(r_1) \cap body^-(r_2)$ , then  $head^+(r_1) \subseteq body^-(r_3)$ ,
4. If  $p \in body^+(r_1) \cap head^+(r_2)$ , then  $head^+(r_1) \subseteq body^-(r_3)$  or  $body^+(r_2) \subseteq body^+(r_3)$ ,

where  $r_1^*$  is a new rule obtained from  $r_1$  by deleting  $p$  from  $head^-(r_1)$  and  $body^+(r_1)$ , and  $r_2^*$  is obtained from  $r_2$  by deleting  $p$  from  $body^-(r_2)$  and  $head^+(r_2)$ .

**Lemma 6.** For any three rules  $r_1, r_2$  and  $r_3$  that make the condition (3) of Property 2 true and mention at most five atoms,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent if there is an atom  $p$  such that:

1.  $p \in (\text{body}^-(r_1) \cup \text{head}^+(r_1)) \cap (\text{head}^-(r_2) \cup \text{body}^+(r_2))$ ,
2.  $\{r_i^*, r_3\}$  is strongly equivalent to  $\{r_i^*\}$ , for  $i = 1, 2$ ,
3. If  $p \in \text{body}^-(r_1) \cap \text{body}^+(r_2)$ , then  $\text{head}^+(r_2) \subseteq \text{body}^-(r_3)$ ,
4. If  $p \in \text{head}^+(r_1) \cap \text{body}^+(r_2)$ , then  $\text{head}^+(r_2) \subseteq \text{body}^-(r_3)$  or  $\text{body}^+(r_1) \subseteq \text{body}^+(r_3)$ ,

where  $r_1^*$  is a new rule obtained from  $r_1$  by deleting  $p$  from  $\text{body}^-(r_1)$  and  $\text{head}^+(r_1)$ , and  $r_2^*$  is obtained from  $r_2$  by deleting  $p$  from  $\text{head}^-(r_2)$  and  $\text{body}^+(r_2)$ .

**Lemma 7.** For any three rules  $r_1$ ,  $r_2$  and  $r_3$  that make the condition (3) of Property 2 true and mention at most five atoms,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent iff the condition in Lemma 5 or Lemma 6 is true.

**Lemma 8.** If there are three rules  $r_1$ ,  $r_2$  and  $r_3$  such that the condition (3) of Property 2 is true,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent, but the condition in Lemma 7 does not hold, then there are three such rules that mention at most five atoms.

**Theorem 6.** Lemma 7 holds in the general case, without any restriction on the number of atoms in  $r_1$ ,  $r_2$  and  $r_3$ .

**Lemma 9.** For any three rules  $r_1$ ,  $r_2$  and  $r_3$  that make the condition (4) of Property 2 true and mention at most six atoms,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent if there are two atoms  $p$  and  $q$  such that:

1.  $p \in \text{head}^-(r_1) \cap \text{head}^+(r_2) \cap \text{head}^+(r_3)$ ,  $p \notin \text{body}^+(r_1)$  and  $p \notin \text{body}^-(r_2)$ ,
2.  $q \in \text{head}^+(r_1)$  and  $q \in \text{body}^+(r_2)$ ,
3.  $\{r_i^*, r_3\}$  is strongly equivalent to  $\{r_i^*\}$ , for  $i = 1, 2$ ,
4.  $\text{body}^+(r_2) \setminus \{q\} \subseteq \text{body}^+(r_3)$ , and  $\text{body}^+(r_1) \subseteq \text{body}^+(r_3)$ ,

where  $r_1^*$  is a new rule obtained from  $r_1$  by deleting  $p$  from  $\text{head}^-(r_1)$  and deleting  $q$  from  $\text{head}^+(r_1)$ , and  $r_2^*$  is obtained from  $r_2$  by deleting  $p$  from  $\text{head}^+(r_2)$ .

**Lemma 10.** For any three rules  $r_1$ ,  $r_2$  and  $r_3$  that make the condition (4) of Property 2 true and mention at most six atoms,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent if there are two atoms  $p$  and  $q$  such that:

1.  $p \in \text{head}^+(r_1) \cap \text{head}^-(r_2) \cap \text{head}^+(r_3)$ ,  $p \notin \text{body}^-(r_1)$  and  $p \notin \text{body}^+(r_2)$ ,
2.  $q \in \text{body}^+(r_1)$  and  $q \in \text{head}^+(r_2)$ ,
3.  $\{r_i^*, r_3\}$  is strongly equivalent to  $\{r_i^*\}$ , for  $i = 1, 2$ ,
4.  $\text{body}^+(r_1) \setminus \{q\} \subseteq \text{body}^+(r_3)$ , and  $\text{body}^+(r_2) \subseteq \text{body}^+(r_3)$ ,

where  $r_1^*$  is a new rule obtained from  $r_1$  by deleting  $p$  from  $\text{head}^+(r_1)$ , and  $r_2^*$  is obtained from  $r_2$  by deleting  $p$  from  $\text{head}^-(r_2)$  and deleting  $q$  from  $\text{head}^+(r_2)$ .

**Lemma 11.** For any three rules  $r_1$ ,  $r_2$  and  $r_3$  that make the condition (4) of Property 2 true and mention at most six atoms,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent iff the condition in Lemma 7, Lemma 9 or Lemma 10 is true.

**Lemma 12.** *If there are three rules  $r_1$ ,  $r_2$  and  $r_3$  such that the condition (4) of Property 2 is true,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent, but the condition in Lemma 11 does not hold, then there are three such rules that mention at most six atoms.*

**Theorem 7.** *Lemma 11 holds in the general case, without any restriction on the number of atoms in  $r_1$ ,  $r_2$  and  $r_3$ .*

**Theorem 8.** *For any three rules  $r_1$ ,  $r_2$  and  $r_3$ ,  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent iff one of the following three conditions is true:*

1.  $\{r_3\}$  is strongly equivalent to  $\emptyset$ .
2.  $\{r_i, r_3\}$  is strongly equivalent to  $\{r_i\}$ , where  $i = 1$  or  $2$ .
3. the condition in Lemma 5, 6, 6, or 10 is true.

**Theorem 9 (The 0-2-1 problem).** *For any three rules  $r_1$ ,  $r_2$  and  $r_3$ ,  $\{r_1, r_2\}$  and  $\{r_3\}$  are strongly equivalent iff the following two conditions are true:*

1.  $\{r_1, r_2, r_3\}$  and  $\{r_1, r_2\}$  are strongly equivalent, and
2.  $\{r_i, r_3\}$  and  $\{r_3\}$  are strongly equivalent, for  $i = 1, 2$ .

**Theorem 10 (The 0-2-2 problem).** *For any rules  $r_1$ ,  $r_2$ ,  $r_3$  and  $r_4$ ,  $\{r_1, r_2\}$  and  $\{r_3, r_4\}$  are strongly equivalent iff the following two conditions are true:*

1.  $\{r_1, r_2, r_i\}$  and  $\{r_1, r_2\}$  are strongly equivalent, for  $i = 3, 4$ , and
2.  $\{r_3, r_4, r_i\}$  and  $\{r_3, r_4\}$  are strongly equivalent, for  $i = 1, 2$ .

## 5 Conclusion

In this paper, we report on another successful experiment of Lin's computer-aided theory discovery for discovering classes of strongly equivalent extended logic programs. The paper makes three contributions. First, we extend Lin and Chen's approach to semi-automatically generate plausible conjectures. Second, we show that when the methodology cannot be directly applied, since it would be computationally unfeasible, it is possible to divide the original problem in simpler cases and combine their solutions in order to obtain the solution of the original problem. Third, we discover the new and non-trivial conditions that capture certain classes of strongly equivalent extended logic programs, which contribute to the theory and practice of logic programming.

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