

• graph  $G$  with NO  $K_{3,3}$ .  $e(G) \leq O(n^{\frac{5}{3}})$ .

$$\sum \# \begin{matrix} u_1 \\ \swarrow \\ u_2 \\ \downarrow \\ u_3 \end{matrix} = \sum_{v \in V(G)} \binom{deg(v)}{3} = \sum_{\{u_1, u_2, u_3\}} \# \begin{matrix} u_1 \\ \swarrow \\ u_2 \\ \downarrow \\ u_3 \end{matrix} \leq \sum_{\{u_1, u_2, u_3\}} 2 \leq 2 \binom{n}{3}$$

## Counting Spanning Trees.

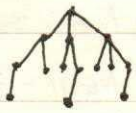
**Def:** A graph  $G$  is connected, if for any 2 vertices  $u$  and  $v$ ,  $G$  has a path from  $u$  to  $v$ ; otherwise, we say  $G$  is disconnected.

eg:  $K_n \checkmark$ ,  $G = \begin{matrix} \triangle \\ \square \end{matrix}$  disconnected.  
component.

**Def:** A component of a graph  $G$  is a maximal connected subgraph of  $G$ .  
 极大连通子图.

**Rank:**  $G$  is disconnected iff  $G$  has  $\geq 2$  components.

**Def:** A graph  $T$  is called a tree if it is connected and has NO cycle.

A vertex in a tree with degree one is a leaf. 

**Fact 1:** Any tree (with  $n \geq 2$  vertices) has at least one leaf.

**Pf:** Suppose to the contrary that any vertex has degree  $n \geq 2$ .

$a_1, a_2, a_3, a_4, \dots, a_n, a_n$

有限, 一定会圈.

As the tree has finite vertices, this process must terminate. When terminating, we find a cycle, a contradiction! #

**Euler's formula:** If  $T=(V, E)$  is a tree, then  $|V|=|E|+1$ .

Pf: By induction, when  $|V|=1$ , clearly hold.

Assuming this holds for all trees with less than  $|V|$ .

Consider  $T=(V, E)$ . By Fact 1,  $T$  has a leaf  $v$ . i.e.  $d(v)=1$ .

Let  $T' = T - \{v\}$ . Then  $T'$  is also a tree:  $\begin{cases} \text{NO cycle} \\ \text{connected.} \end{cases}$   
 $= (V', E')$

So by induction,  $|V'|=|E'|+1$ . but  $|V|=|V'|+1$ ,  $|E|=|E'|+1$ .  
 $v$  is a leaf.

$\Rightarrow |V|=|E|+1$ , #

**Fact 2:** Any tree  $T$  (with  $\geq 2$  vertices) has at least 2 leaves.

Pf: Suppose  $T$  has exactly one leaf  $v$ .

$$\sum_{x \in V} d(x) \geq 1 + \sum_{x \in V, x \neq v} d(x) \geq 1 + 2(|V|-1) = 2|V|-1 = 2(|E|+1)-1 = 2|E|+1.$$

a contradiction!

#

**Tree Characterization Theorem:** Let  $T=(V, E)$  be a graph.

The following are equivalent:

- (i).  $T$  is a tree. (i.e. connected and NO cycle).
- (ii).  $T$  is connected, but deleting any edge will result in a disconnected graph.
- (iii).  $T$  has NO cycle, but adding any new edge  $f$  will create a cycle in  $T+\{f\}$ .

Rmk: (ii) tells that a tree is a "minimal" connected graph.

(iii) ... .. a "maximal" graph with NO cycle.

Proof: (i)  $\Rightarrow$  (ii).

Suppose  $\exists$  an edge  $e^{xy}$  st.  $T-\{e\}$  is still connected.

Then  $T-\{e\}$  has a path  $p$  from  $x$  to  $y$ . But  $p \cup \{xy\}$  forms



a cycle in  $T$ , a contradiction!

(iii)  $\Rightarrow$  (ii). Suppose  $T$  has a cycle  $C$ . Now if we delete any edge  $e$  in  $C$ ,  $T - \{e\}$  is still connected, a contradiction!



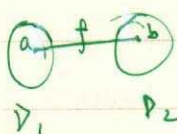
图中删一条边不影响连通性。

(ii)  $\Rightarrow$  (iii). Adding any new edge  $f = uv$  to get  $T + \{f\}$ .

Since  $T$  is connected,  $T$  has a path  $Q$  from  $u$  to  $v$ .

Now  $Q \cup \{f\}$  gives a cycle in  $T + \{f\}$ .

(iii)  $\Rightarrow$  (i). Suppose  $T$  is disconnected, so  $T$  has  $\geq 2$  components say  $D_1, D_2$ .



Pick  $a \in D_1, b \in D_2$ . If we add a new edge  $ab$  to  $T$ , the  $T + \{ab\}$  will have NO cycle, a contradiction to (iii).

加条边并不产生圈。 So  $T$  must be connected.

#

**Def:** Given a graph  $G = (V, E)$ , a graph  $H = (V', E')$  is a spanning subgraph if  $H$  is a subgraph of  $G$  and  $V' = V$ .

**Def:** Given a <sup>connected</sup> graph  $G$  with  $n$  numbered vertices, say  $v_1, v_2, \dots, v_n$ .

Let  $ST(G) =$  Number of spanning trees in  $G$ .

连通图中至少有1个 spanning tree. (删边, 删到没有圈).

**Cayley's Formula:** For  $\forall n \geq 2, ST(K_n) = n^{n-2}$ .

**Proof 1:** We first count the number of spanning trees with given degree sequence say  $d_1, d_2, \dots, d_n$ . where  $\sum_{i=1}^n d_i = 2(n-1)$ .

**Lemma:** Let  $d_1, \dots, d_n$  be positive integers with  $\sum d_i = 2(n-1)$ .

Then the number of spanning trees on vertex set  $\{v_1, v_2, \dots, v_n\}$

and satisfying  $deg(v_i) = d_i$  is equal to  $\frac{(n-2)!}{(d_1-1)! (d_2-1)! \dots (d_n-1)!}$

Pf of lemma: By induction. Base case:  $n=2, d_1=d_2=1. \checkmark$

We assume that this holds for any sequence ~~for~~ of  $n-1$  integers with sum  $\geq (n-2)$ . Let  $f = \{ \text{spanning tree } T \text{ on } \{v_1, \dots, v_n\} \text{ with } d(v_i) = d_i \}$ .

Note that  $\frac{\sum d_i}{n} = \frac{\sum (n-2)}{n} < 2$ , so there exists some  $i$  st.  $d_i = 1$ .

W.L.O.G. Let  $d_n = 1$ . so  $v_n$  is a leaf.

Let  $f_i = \{ T - \{v_n\} : \text{the unique neighbor of } v_n \text{ is } v_i \text{ in } T \in f \}, i=1, 2, \dots, n-1$ .

so  $|f| = \sum_{i=1}^{n-1} |f_i|$

$f_i = \{ \text{spanning tree } T \text{ on } \{v_1, \dots, v_{n-1}\} \text{ with degrees } d_1, \dots, d_{i-1}, \dots, d_{n-1} \}$ .

By induction for each  $f_i$ :

$$|f_i| = \frac{(n-3)!}{(d_1-1)! \dots (d_{i-1}-2)! \dots (d_{n-1}-1)!}$$

$$\Rightarrow |f| = \sum_{i=1}^{n-1} |f_i| = \sum_{i=1}^{n-1} \frac{(n-3)! (d_i-1)}{(d_1-1)! \dots (d_{n-1}-1)!} = \frac{(n-3)!}{(d_1-1)! \dots (d_{n-1}-1)!} \sum_{i=1}^{n-1} (d_i-1)$$

$\sum_{i=1}^{n-1} (d_i-1) = 2(n-2) - (n-1) = n-2$   
 $(d_{n-1}-1)! = 1$

Binomial Thm:  $(x+y)^n = \sum_{\substack{i+j=n \\ i, j \geq 0}} \frac{n!}{i!j!} x^i y^j$

An extension:  $(x_1 + \dots + x_n)^n = \sum_{\substack{i_1 + \dots + i_n = n \\ i_j \geq 0}} \frac{n!}{i_1! \dots i_n!} x_1^{i_1} \dots x_n^{i_n}$

When  $x_1 = \dots = x_n = 1$ ,  $k^n = \sum_{\substack{i_1 + \dots + i_n = n \\ i_j \geq 0}} \frac{n!}{i_1! \dots i_n!} \dots (*)$

Back to Proof 1:  $ST(K_n) = \sum_{\sum d_i = 2(n-1)} \# \text{ spanning trees with degree } d_1, \dots, d_n$

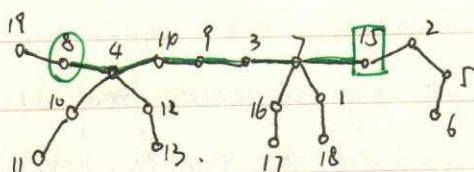
$$\stackrel{\text{by lemma}}{=} \sum_{\sum d_i = 2(n-1)} \frac{(n-2)!}{(d_1-1)! \dots (d_n-1)!}$$

$$= \sum_{\sum (d_i-1) = n-2} \frac{(n-2)!}{(d_1-1)! \dots (d_n-1)!} \stackrel{(*)}{=} n^{n-2}$$

$\Rightarrow ST(K_n) = n^{n-2}$

#

Proof 2:



两个特殊点可以相同.

Given a spanning tree, choose 2 special vertices.

(One with a circle and the other with a square).

We call such a subject (the spanning tree with 2 special vertices) as a vertebrate.

Let  $V = \{ \text{all } \text{vertebrate} \text{ on } n \text{ number vertices say } 1, 2, \dots, n \}$

•  $|V| = ST(k_n) \cdot n^2$ .

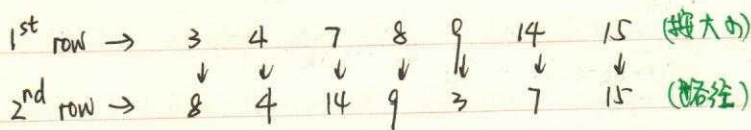
# { mappings  $f: [n] \rightarrow [n] \} = n^n$ . Our goal is to find a bijection between  $V$  and the family  $f = \{ \text{mapping } f: [n] \rightarrow [n] \}$ .

Lemma 2: Such a bijection exists.  $\Rightarrow |V| = ST(k_n) n^2 = n^n \Rightarrow ST(k_n) = n^{n-2}$

Pf: Let  $\mathcal{D} = \{ \text{all digraphs on } \{1, 2, \dots, n\} \text{ s.t. each vertex has exactly one out-neighbor} \}$ .

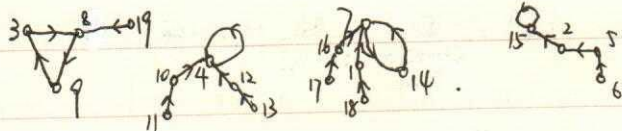


Let the unique path in  $W$  from  $\circ \rightarrow \square$  be the "chord" of  $W$ .

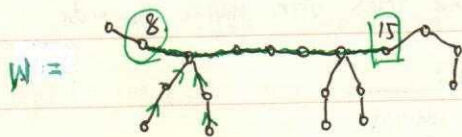


$\varphi: V \rightarrow \mathcal{D}$

$\varphi(W) =$



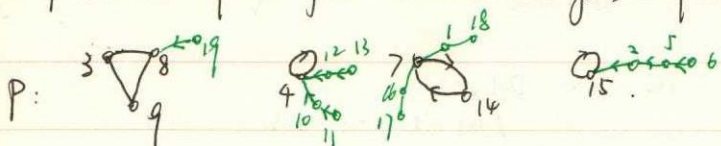
每个点有一个出度. 定义了一个  $f: [n] \rightarrow [n]$ .



所有延伸出来的点都指向孤立点.

We then define a digraph  $P$  as follows:

- The vertex set consists of vertices of the chord.
  - The edges are from the vertex in 1<sup>st</sup> row to the one below it.
- So each vertex in  $P$  has exactly one edge going out and exactly one edge going in.
- $\Rightarrow P$  consists of disjoint directed cycles (possibly containing loops and 2 cycles).



Next we extend  $P$  to all vertices  $[n]$  by following:

- We can back to  $W$  and remove all edges of the chord.
- Direct the remaining of the components st. they point to the vertices of the chord contained in that component.
- The edges in (ii), together with the edges of  $P$ , define a new graph  $\mathcal{D}$  on  $[n]$ .

Let us define a mapping  $\varphi: \mathcal{V} \rightarrow \mathcal{D}$  by  $w \mapsto \varphi(w)$  as above.

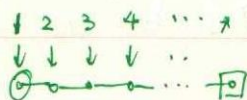
We want to show: step 1:  $\exists$  a bijection between  $\mathcal{V}$  and  $\mathcal{D}$ .

step 2:  $\exists$  a bijection between  $\mathcal{D}$  and  $\mathcal{F}$ .

For step 2, it is easy, as for each digraph  $G \in \mathcal{D}$ , there is a unique  $f \in \mathcal{F}$ , such that if  $i \rightarrow j$  is an edge of  $G$ , then  $f(i) = j$ .  $G \in \mathcal{D} \iff f \in \mathcal{F}$ .

For Step 1, 2 things left: ①. Need to define  $\varphi^{-1}: \mathcal{D} \rightarrow \mathcal{V}$  st.  $\varphi^{-1} \circ \varphi = Id$ .

②. How to define  $\varphi^{-1}$ ? For each  $G \in \mathcal{D}$ , the vertices of  $G$  belonging to direct cycles will form the chord:



And the remaining vertices give rise to other edges of  $W$ .

②.  $\forall G \in \mathcal{D}, \exists w \in \mathcal{V}$  st.  $\varphi(w) = G$  (hw).

Combining ① & ②, now we see  $\exists$  a bijection between  $\mathcal{V}$  and  $\mathcal{D}$ .

$\Rightarrow$  by step 1 & 2,  $\exists$  a bijection between  $\mathcal{V}$  and  $\mathcal{F}$ . #

Proof 3: (Linear Algebra).

Def: For a graph  $G$  on  $n$  vertices define the Laplace matrix  $Q$  of  $G$  as follows: <sup>Given</sup>

- $Q_{ii} = d_G(i)$
- $Q_{ij} = \begin{cases} -1, & \text{if } ij \in E(G) \\ 0, & \text{otherwise} \end{cases}$  for  $i \neq j$ .

$\begin{pmatrix} d(1) & 0 & -1 & 0 & \dots \\ & & & & & \dots \\ & & & & & & \dots \\ & & & & & & & \dots \\ & & & & & & & & \dots \end{pmatrix} \rightarrow 0$

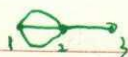
Prk: the sum of all rows is  $\vec{0}$ .  $\det Q = 0$ .

eg1: Laplace matrix of  $K_n$  is  $A \cong \begin{pmatrix} n-1 & -1 & \dots & -1 \\ -1 & n-1 & & -1 \\ \vdots & & \ddots & \vdots \\ -1 & \dots & -1 & n-1 \end{pmatrix}_{n \times n}$ .

Let  $Q_{ij}$  be an  $(n-1) \times (n-1)$  matrix <sup>obtained</sup> from  $Q$  by deleting the  $i$ th row and the  $j$ th column.

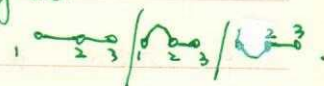
Thm: For  $\forall$  multigraph  $G$ ,  $ST(G) = \det Q_{ii}$ .

In particular,  $ST(K_n) = \det A_{ii} = \begin{vmatrix} n-1 & -1 & \dots & -1 \\ \vdots & & & \vdots \\ -1 & \dots & -1 & n-1 \end{vmatrix}_{(n-1) \times (n-1)} = n^{n-2}$ .

eg:   $d(1) = 3$ .  
 两个点间可以有多于1条边。  
 (No loops).

letting  $\begin{cases} Q_{ii} = d_G(i) \\ Q_{ij} = -m \text{ where } m \text{ is} \\ \# \text{ edges between } i \text{ and } j. \end{cases}$

Spanning tree:



Proof of Thm: we count each spanning tree with different edges in  $ST(G)$  (eg:  $ST(C_3) = 3$ )

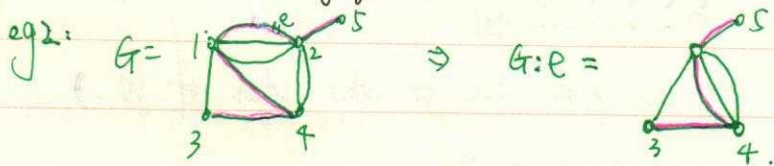
Prove by induction on number of edges of  $G$ :

• Base case:  $G = e$  ✓.

• Let  $e$  be any edge in  $G$ , say  $e = 12$ .

Define  $G - e$  = the graph obtained from  $G$  by deleting  $e$ .

$G : e$  = the graph obtained from  $G$  by contracting the edge  $e$ ,  
 i.e. merging 1 and 2 into one vertex.



claim:  $ST(G) = ST(G - e) + ST(G : e)$ .  $\forall e$ .

Pf of claim: we can divide the spanning trees of  $G$  into 2 classes.

- The 1<sup>st</sup> class contains the spanning trees of  $G$  without containing  $e$ .

(Note that these trees are exactly the spanning trees of  $G-e$ , which are of size  $ST(G-e)$ )

- The 2<sup>nd</sup> class contains the spanning trees of  $G$  with  $e$ . We see that these trees are in one-to-one correspondence with the spanning trees of  $G:e$ .

so that # 2<sup>nd</sup> class =  $ST(G:e)$ .

Next, we analyze how the operations of  $G-e$  and  $G:e$  effect the Laplace matrix

• Let  $Q'$  be the Laplace matrix of  $G-e$ .

$G$  in eq 2.  $Q = \begin{pmatrix} 5 & -3 & -1 & -1 & 0 \\ -3 & 6 & 0 & -2 & 1 \\ -1 & 0 & 2 & 1 & 0 \\ -1 & -2 & 1 & 4 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{pmatrix} Q_{11}$        $Q' = \begin{pmatrix} 4 & -2 & * \\ -2 & 5 & * \\ * & * & \end{pmatrix} Q'_{ii}$

⊗  $Q'_{ii}$  is obtained from  $Q_{ii}$  by subtracting 1 from the element in the upper left corner of  $Q_{ii}$ . i.e.  $Q'_{ii} = Q_{ii} - \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & 0 \end{pmatrix}_{(m_i) \times (m_i)}$

• Let  $Q''$  be the Laplace matrix of  $G:e$ .

Here we relabel the vertices of  $G:e$  as follows: (i) The new vertex get label 1 (ii) other  $i$  get label  $i-1$ .

$Q'' = \begin{pmatrix} 5 & -1 & -3 & -1 \\ -1 & 2 & 1 & 0 \\ -3 & 1 & 4 & 0 \\ -1 & 0 & 0 & 1 \end{pmatrix} Q''_{ii} \Rightarrow Q''_{ii} = Q_{11,22}$

$\Rightarrow Q_{11} = \begin{pmatrix} x_{11} & x_{12} & \dots \\ * & Q_{11,22} \end{pmatrix}_{(m) \times (m)}$

$\det Q_{11} = \det Q'_{ii} + \det \begin{pmatrix} 1 & 0 & \dots & 0 \\ * & Q_{11,22} \end{pmatrix}$

$Q'_{ii} = \begin{pmatrix} x_{11}-1 & x_{12} & \dots \\ * & Q_{11,22} \end{pmatrix}$

$= \det Q'_{ii} + \det Q_{11,22} = \det Q'_{ii} + \det Q''_{ii}$

by induction  $= ST(G-e) + ST(G:e) \stackrel{\text{claim}}{=} ST(G)$

$\Rightarrow ST(G) = \det Q_{11}$

#