

Combinatorics, 2016 Fall, USTC  
Outlines in Week 1

**2016.9.6**

**Combinatorics**

1. Basic
2. Graph Theory
3. Extremal Combinatorics

**Some notations**

- Write  $[n] = \{1, 2, \dots, n\}$
- For set  $X$ ,  $|X| = \#elements\ in\ X$
- $2^X = \{A : A \subseteq X\}$ , So  $2^{[n]} = \{all\ sets\ of\ [n]\}$
- Fact 1.  $|2^X| = 2^{|X|}$
- $\binom{X}{k} = \{A : A \subseteq X, |A| = k\}$
- Fact 2. Let  $|X| = n$ , then

$$|\binom{X}{k}| = \frac{n!}{k!(n-k)!} = \binom{n}{k}$$

binomial coefficient

- Remark.  $\binom{n}{k}$  stands for the number of selections of size  $k$  out of  $n$  distinct objects.  
For  $n < k$ , let  $\binom{n}{k} = 0$ ,  $\binom{n}{0} = 1$

**Properties on Binomial coefficient**

- $\binom{n}{k} = \binom{n}{n-k}$  for  $k \leq n$
- $\binom{n+1}{k+1} = \binom{n}{k+1} + \binom{n}{k}$   
Combinatorial proof?
- Pascal triangle
- Fact 3. The number of integer solutions  $(x_1, x_2, \dots, x_n)$  to  $x_1 + x_2 + \dots + x_n = k$ , where  $x_i \in \{0, 1\}$ , is  $\binom{n}{k}$
- Fact 4. The number of integer solution  $(x_1, x_2, \dots, x_n)$  to  $x_1 + x_2 + \dots + x_n = k$ , where  $x_i > 0$ , is  $\binom{n+k-1}{n-1}$

**Counting functions**

- Let  $X, Y$  be sets such that  $|X| = n$ ,  $Y = [r]$   
Let  $X^Y = \{\text{all functions } f : Y \rightarrow X\}$
- Claim 1.  $|X^Y| = |X|^{|Y|} = n^r$
- Claim 2. There are  $(n)_r$  injections  $f : Y \rightarrow X$ , where  $n \geq r$   
 $\Rightarrow \# \text{ of such strings} = n(n-1)\dots(n-r+1) = (n)_r$
- **Definition.** (The Stirling number of the 2nd kind)  
Let  $S(r, n)$  be the number of partitions of  $[r]$  into  $n$  unordered non-empty subsets  
i.e.  $S(r, 1) = 1$ ,  $S(3, 2) = 3$ ,  $S(4, 2) = 7$
- Exercise.  $S(r, 2) = \frac{1}{2} \sum_{i=1}^{r-1} \binom{r}{i} = \frac{1}{2} \binom{r}{2-2} = \binom{r-1}{2-1}$
- **Thm.** Let  $r \geq n$ , then  $\# \text{ surjection } f : Y \rightarrow X = S(r, n) \cdot n!$

## 2016.9.8

### Binomial Thm

- Consider a polynomial  $f(x)$ . Let  $[x^k]f$  be the coefficient of the term  $x^k$  in  $f(x)$ .  
i.e.  $f(x) = 3 + 2x - 10x^5 \Rightarrow [x^4]f = 0$
- Exercise 1. How many ways to form 16 cents, given 2 dimes, 3 nickels and 6 pennies?  
To see this, often multiplying out the parenthesis, each term  $x^{16}$  is formed by multiplying some  $x^{i_1}$  in 1st factor, some  $x^{i_2}$  in 2nd factor and  $x^{i_3}$  in 3rd factor with  $i_1 + i_2 + i_3 = 16$ , and each term  $x^{16}$  will contribute 1 to  $[x^{16}]f$ . This finishes the proof.
- Fact 1. For  $j = 1, 2, \dots, n$ , let  $f_j(x) = \sum_{k \in I_j} x^k$  where  $I_j$  is a set of non-negative integers, and let  $f(x) = \prod_{j=1}^n f_j(x)$ . Then,  $[x^k]f$  equals the number of solutions  $(i_1, i_2, \dots, i_n)$  to  $i_1 + i_2 + \dots + i_n = k$  where  $i_j \in I_j$
- Fact 2. Let  $f_1, \dots, f_n$  be polynomials and  $f = f_1 f_2 \dots f_n$ . Then,

$$[x^k]f = \sum_{i_1 + i_2 + \dots + i_n = k, i_j \geq 0} ([x^{i_1}]f_1)([x^{i_2}]f_2)\dots([x^{i_n}]f_n)$$

- **Binomial Thm.** For  $\forall$  positive integer  $n$  and  $\forall$  real  $x$ ,

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$

- Exercise 2.  $\sum_{i=0}^n \binom{n}{i}^2 = \binom{2n}{n}$
- Exercise 3.  $\sum_{k=\text{odd}, 0 \leq k \leq n} \binom{n}{k} = \sum_{k=\text{even}, 0 \leq k \leq n} \binom{n}{k} = 2^{n-1}$
- Exercise 4.  $\sum_{k=0}^n k \binom{n}{k} = n2^{n-1}$
- Exercise 5. (Vandermonde's Convolution Thm):  $\forall n, m, k \geq 0, \binom{n+m}{k} = \sum_{j=0}^k \binom{n}{j} \binom{m}{k-j}$

## Estimating

- **Thm.** For  $\forall n \geq 1, e(\frac{n}{e})^n \leq n! \leq en(\frac{n}{e})^n$   
 $\Rightarrow (n-1)! \leq e^{n \lg n - n + 1} = e(\frac{n}{e})^n$   
 $\Rightarrow n! \leq ne(\frac{n}{e})^n$

The prove of lower bound is simple, which is left as an exercise.

- Recall(Stirling formula):  $n! \sim \sqrt{2\pi n}(\frac{n}{e})^n$  where  $f(n) \sim g(n)$  means  $\lim_{n \rightarrow +\infty} \frac{f(n)}{g(n)} = 1$
- Exercise.  $n! \leq e\sqrt{n}(\frac{n}{e})^n$
- Fact. If n is even,  $\binom{n}{0} < \binom{n}{1} < \dots < \binom{n}{\frac{n}{2}} > \binom{n}{\frac{n}{2}+1} > \dots > \binom{n}{n}$   
 If n is odd,  $\binom{n}{0} < \binom{n}{1} < \dots < \binom{n}{\lfloor \frac{n}{2} \rfloor} = \binom{n}{\lceil \frac{n}{2} \rceil} > \dots > \binom{n}{n}$
- **Corollary.**  $\frac{2^n}{n+1} \leq \binom{n}{\lfloor \frac{n}{2} \rfloor} \leq 2^n$
- Remark. By Stirling formula  $\binom{n}{\frac{n}{2}} \sim \sqrt{\frac{2}{\pi}} \cdot \frac{2^n}{\sqrt{n}}$
- **Thm.** For  $\forall 1 \leq k \leq n, (\frac{n}{k})^k \leq \binom{n}{k} \leq (\frac{en}{k})^k$