## Combinatorics, 2016 Fall, USTC

## Week 10, November 8

## Partially Ordered Sets

Let X be a finite set.

**Definition 1.** R is called a "relation" on the set X, if  $R \subseteq X \times X$  where  $X \times X = \{(x_1, x_2) : x_1, x_2 \in X\}$ . Denote the Cartesion product if  $(x, y) \in R$ , then xRy.

**Definition 2.** A partially ordered set (poset for short) is an ordered pair (X, R), where X is a finite set and R is a relation on X such that the following holds:

- (1) R is reflective: xRy for  $\forall x \in X$
- (2) R is antisymmetric: if xRy and yRx, then x=y
- (3) R is transitive: if xRy and yRz, then xRz

**Examples.** Consider the poset  $(2^{[n]}, \subseteq)$ , where " $\subseteq$ " denotes the inclusion relationship.

We often use " $\preccurlyeq$ " to replace the use of "R". So  $(X, R) \Rightarrow (X, \preccurlyeq)$ . If  $x \preccurlyeq y$  but  $x \neq y$ , then  $x \prec y$ , and we say x is predecessor of y.

**Definition 3.** Let  $(X, \preceq)$  be a poset, we say element x is an immediate predecessor of y, if

(1)  $x \prec y$ 

(2) NO  $t \in X$  s.t.  $x \prec t \prec y$ 

If x sa an immediate predecessor of y, then we write  $x \triangleleft y$ .

Fact: For  $x, y \in (X, \preceq)$ ,  $x \prec y$  if and only if there exists  $x_1, x_2, ..., x_k \in X$  s.t.  $x \lhd x_1 \lhd x_2 \lhd ... \lhd x_k \lhd y$  (Note that k = 0 i.e.  $x \lhd y$ ).

*Proof.*  $(\Leftarrow)$  trivial

( $\Rightarrow$ ) For  $x \prec y$ , let  $M_{xy} = \{t \in X : x \prec t \prec y\}$ . We prove by induction on  $|M_{xy}|$ . Because  $|M_{xy}| = 0 \Rightarrow x \vartriangleleft y$ . So suppose it holds for  $x \prec y$  with  $|M_{xy}| < n$ . Consider  $x \prec y$  with  $|M_{xy}| = n \geqslant 1$ . Pick any  $t \in M_{xy}$ , consider  $M_{xt}$ ,  $M_{ty}$ . Clearly  $M_{xt} \subsetneq M_{xy}$  and  $M_{ty} \subsetneq M_{xy}$  (Because of transitivity). By induction on  $M_{xt}$ ,  $M_{ty}$ , there exists  $x_1, x_2, ..., x_k \in X$  and  $y_1, y_2, ..., y_l \in X$  s.t.  $x \vartriangleleft x_1 \vartriangleleft x_2 \vartriangleleft ... \vartriangleleft x_k \vartriangleleft t$  and  $t \vartriangleleft y_1 \vartriangleleft y_2 \vartriangleleft ... \vartriangleleft y_l \vartriangleleft y$ ,  $\Rightarrow x \vartriangleleft x_1 \vartriangleleft x_2 \vartriangleleft x_k \vartriangleleft t \vartriangleleft y_1 \vartriangleleft ... \vartriangleleft y_l \vartriangleleft y$ . We are done.

One property that posets have is that we can express them in diagrams **Definition 4.** The Hassa diagrams of a poset  $(X, \preceq)$  is a drawning in the plane such that

- (1) Each element of X is drawn as a nod in the plane
- (2) Each pair x, y with  $x \triangleleft y$  is connected by a line segment
- (3) If  $x \triangleleft y$ , then the nod x must appear lower in the plane then the nod y

The fact that  $x \prec y$  iff  $x \lhd x_1 \lhd x_2 \lhd ... \lhd x_k \lhd y$  now can be restated as follows:  $x \prec y$  if and only if we can find a path in the Hassa diagram from nod x to nod y, strictly from bottom to top.

**Definition 5.** Let  $(X, \preceq)$  and  $(X', \preceq')$  be two posets. A mapping  $f: X \to X'$  is called an embedding of  $(X, \preceq)$  and  $(X', \preceq')$  if

- (1) f is injective
- (2)  $f(x) \leq f(y)$  iff  $x \leq y$ .

**Theorem 6.** For every poset  $(X, \preceq)$  there exists an embedding into the poset  $\mathscr{B}_X = (2^X, \subseteq)$ 

*Proof.* Consider the mapping  $f: X \to 2^X$  by  $f(x) = \{y \in X : y \leq x\}$ . Let us verify that such f is an embedding of  $(X, \leq)$  into  $\mathscr{B}_X$ .

Firstly, f is injective

Suppose f(x) = f(y) for  $x, y \in X \implies x \in f(y) \implies x \leq y$ , similarly  $y \leq x$ . Thus x = y.

Secondly,  $f(x) \subseteq f(y)$  iff  $x \leq y$ .

If 
$$x \leq y$$
, then  $\forall t \in f(x)$  has  $t \leq x \leq y \Rightarrow t \in f(y) \Rightarrow f(x) = f(y)$ .  
If  $f(x) \subseteq f(y)$ , then  $x \subseteq f(x) \subseteq f(y) \Rightarrow x \leq y$ 

**Definition 7.** Let  $P = (X, \preceq)$  be a poset.

- (1) For distinct  $x, y \Rightarrow X$ , if  $x \prec y$  or  $y \prec x$ , then we say x, y are comparable. Otherwise x, y are incomparable
- (2) The set  $A \subseteq X$  is an antichain of P, if any two elements of A are incomparable. Let  $\alpha(P)$  be the maximum size of an antichain in P
- (3) The set  $A \subset X$  is a chain of P, if any two elements of A are comparable. Let  $\omega(P)$  be the maximum size of a chain of P

Consider the Hassa diagram,  $\omega(P)$  means the max length of a path (from bottom to top) in this diagram. So  $\omega(P)$  is also called the height of P. And  $\alpha(P)$  is called the width of P.

**Definition 8.** An element  $x \in X$  is minimal in  $P = (X, \preceq)$ , if x has NO predecessor in P.

Fact: The set of minimal elements of  $P = (X, \preceq)$  forms an antichain of P.

**Theorem 9.** For 
$$\forall$$
 poset  $P = (X, \preccurlyeq)$ ,  $\alpha(P) \cdot \omega(P) = |X|$ 

Proof. We will inductively define a sequence of poset  $P_i$  and set  $M_i$  for  $1 \le i \le l$  s.t.  $M_i$  is the set of minimal elements of  $P_i = (X_i, \preccurlyeq)$  and  $X_i = X - \bigcup_{j=1}^{i-1} M_j$  as following. First, set  $P_1 = P = (X, \preccurlyeq), X_1 = X$  and  $M_1 = \varnothing$ . Assume posets  $P_i = (X_i, \preccurlyeq)$  and  $M_{i-1}$  are defined for all  $1 \le i \le k$ . Let  $M_i = \{$  all minimal elements of  $P_i\}$  and let  $X_{i+1} = X - M_1 \bigcup ... \bigcup M_i$ . Then let  $P_{i+1}$  be the subposet of P restricted on  $X_{i+1}$ . We keep doing this until  $X_{l+1} = \varnothing$ . By Fact 2, each  $M_i$  for  $1 \le i \le l$  is an antichain of  $P_i$  and thus it is also an antichain of P. So  $|M_i| \le \alpha(P)$