

Combinatorics, 2016 Fall, USTC

Week 11, November 15 and 17

**Poset**

Poset:  $P=(X, \preceq)$

**Definition 1.** An element  $x \in X$  is minimal, if  $x$  has no predecessor.

**Definition 2.**  $\alpha(P)$  =max size of anti-chain in  $P$ .  $w(P)$ =max size of a chain in  $P$ .

**Fact:** The set of all minimal element in  $P$  forms an anti-chain of  $P$ .

Then  $\forall$  poset  $P=(X, \preceq)$ , we have

$$\alpha(P) \cdot w(P) \geq |X|$$

*Proof.* We inductively define a sequence of posets  $P_i = (X_i, \preceq)$  and a sequence of sets  $M_i \subset P_i$ , such that each  $M_i$  is the set of minimal elements of  $P_i$ , and  $X_i = X - \sum_{j=0}^{i-1} M_j$ , where  $M_0 = \phi$ .

Now suppose we obtain  $P_1, P_1, \dots, P_l$  and  $M_i \subset P_i$  for  $1 \leq i \leq l$ .

By the Fact, each  $M_i$  is an anti-chain of  $P_i$ ; since  $P_i$  is the restricted subposet of  $P$  on  $X_i$ ,  $M_i$  is also an anti-chain of  $P$ . So

$$|M_i| \leq \alpha(P).$$

It suffices to find a chain  $x_1 < x_2 < \dots < x_l$  in  $P$ , such that  $x_i \in P_i = (X_i, \preceq)$ .

If this holds,

$$X = M_1 \cup M_2 \cup \dots \cup M_l$$

$$\implies |X| = \sum_{i=1}^l |M_i| \leq \alpha(P) \cdot l \leq \alpha(P)w(P).$$

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We claim something stronger holds:

$\forall x \in M_{i+1}$  and  $\forall i < l$ ,  $\exists y \in M_i$ , such that  $y < x$ .

proof: By definition of  $M_i$ .

### Ramsey Theroem

The order from disorder!

**Definition 3.** (Erdős-Szekeres Theroem) Consider a squence  $X = (x_1, x_2, \dots, x_n)$  of real number of length n. A subsequence  $(x_{i_1}, x_{i_2}, \dots, x_{i_m})$  of X, where  $i_1 < i_2 < \dots < i_m$  is monotone, if either  $x_{i_1} \leq x_{i_2} \leq \dots \leq x_{i_m}$  or  $(x_{i_1} \geq x_{i_2} \geq \dots \geq x_{i_m})$ .

For example,  $(10, 9, 7, 4, 5, 1, 2, 3) \longrightarrow (10, 9, 7, 5, 1)$

**Theorem 4.** (Erdős-Szekeres) For any sequence  $(x_1, x_2, \dots, x_{n^2+1})$  of length  $n^2 + 1$ , there exists one monotone subsequence of length  $n+1$ .

*Proof.* Let  $X = [n^2 + 1]$ . We define a poset  $P = (X, \preceq)$  as following:

$i \preceq j$  if and only if  $i \leq j$  and  $x_i \leq x_j$ .

It is easy to verify that P indeed is a poset(refexive antisymmetric & transitive)

By the previous result that  $\alpha(P) \cdot w(P) \geq |X| = n^2 + 1$ , we have 2 cases to consider:

case 1:  $\alpha(P) \geq n + 1$ .

So  $P$  has an anti-chain of size  $n+1$ , say  $\{i_1, i_2, \dots, i_{n+1}\}$ . We may assume  $i_1 < i_2 < \dots < i_{n+1}$ . But each  $(i_j, i_k)$  is incomparable in  $P$ . Thus, assuming  $i_j < i_k$ , we see that  $x_{i_j} > x_{i_k}$ .

$$\implies x_{i_1} > x_{i_2} > \dots > x_{i_{n+1}}$$

. This is a decreasing subsequence of  $(x_1, x_2, \dots, x_{n^2+1})$ .

case 2:  $w(P) \geq n + 1$ .

So  $P$  has a chain, say  $x_{i_1} \preceq x_{i_2} \preceq \dots \preceq x_{i_{n+1}}$ . By definition, we have  $i_1 < i_2 < \dots < i_{n+1}$  and  $x_{i_1} \leq x_{i_2} \leq \dots \leq x_{i_{n+1}}$ . So we have an increasing subsequence of length  $n+1$ .

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**Rmk:** In fact, the proof shows that we can have a strictly increasing subsequence or a decreasing subsequence.

**Exercise:** Find examples to show that E-S Thm is best possible.

## The Pigeonhole Principle

Let  $X$  be a set with at least  $1 + \sum_{i=1}^k (n_i - 1)$  elements and let  $X_1, X_2, \dots, X_k$  be disjoint sets forming a partition of  $X$ . Then, there exists  $i$ , s.t.  $|X| \geq n_i$ .

**(1) Two equal degrees.**

**Theorem 5.** *Any graph has two vertices of the same degree.*

*Proof.* Let  $G$  be a graph with  $n$  vertices. The degrees are from 0 to  $n-1$ . So the only exceptional case will be that there is exactly one vertex of degree  $i$  for  $\forall i \in \{0, 1, \dots, n-1\}$ . But it is impossible to have a vertex with degree 0 and a vertex with degree  $n-1$  at the same time. ■

**Exercise:** For  $\forall n$ , find an  $n$ -vertex graph  $G$ , which has exactly two vertices with the same degree.

**(2) Subsets without divisors.**

**Question:** How large a subset  $S \subset [2n]$  can be such that for  $\forall i, j \in S$ , we have  $i \nmid j$  &  $j \nmid i$ ?

Obviously, we can take  $S = \{n + 1, n + 2, \dots, 2n\}$  with  $|S| = n$ .

**Theorem 6.** For any  $S \subset [2n]$  with  $|S| \geq n + 1$ , there exists  $i, j \in S$  such that  $i \mid j$ .

*Proof.* For each odd  $2k-1$ , define  $S_{2k-1} = \{2^i \cdot (2k - 1) \in S, \text{ for some } i\}$  for some  $k=1,2,\dots,n$ .

Clearly,  $S = \bigcup_{k=1}^n S_{2k-1}$  can be partitioned into  $n$  subsets. But  $|S| \geq n + 1$ , by P-P,  $\exists k \in [n]$ , s.t.  $|S_{2k-1}| \geq 2$ . ■

**(3) Rational approximation.**

**Theorem 7.** Given  $n > 0$ , for any  $x \in R$ , there is a rational number  $p/q$  with  $1 \leq q \leq n$  such that  $|x - \frac{p}{q}| < \frac{1}{nq}$ .

*Proof.* Consider  $x > 0$ , let  $\{x\} = x - [x]$  be the fractional part of  $x$ . Consider  $\{ix\}$ , for  $i=1,2,\dots,n+1$ , where are  $n+1$  real numbers in  $[0,1)$ . Partition  $[0,1)$  into  $n$  subintervals  $[0, \frac{1}{n}), [\frac{1}{n}, \frac{2}{n}), \dots, [\frac{n-1}{n}, 1)$ . By P-P, there are 2 numbers say  $\{ix\}, \{jx\}$  (let  $\{jx\} > \{ix\}$ ) belonging to the same subinterval.

$$\implies \{(j - i)x\} = \{jx\} - \{ix\} \in [0, \frac{1}{n}).$$

Let  $q=j-i$ , then  $qx = p + \epsilon$ , where  $\epsilon = \{qx\} \in [0, \frac{1}{n})$  and  $p \in Z$ .

$$\implies x = \frac{p}{q} + \frac{\epsilon}{q}, \text{ where } |\frac{\epsilon}{q}| < \frac{1}{nq}. \quad \blacksquare$$

## Erdős-Szekeres Theroem

**Theorem 8.** For any sequence of  $mn+1$  real numbers  $\{a_0, a_1, \dots, a_{mn+1}\}$ , there is an increasing subsequence of length  $m+1$  or a decreasing subsequence of length  $n+1$ .

*Proof. (the second proof)*

For each  $i \in \{0, 1, \dots, mn\}$ , let  $t_i$  be the maximum length of an increasing subsequence starting at  $a_i$ . If  $\exists i$ , s.t.  $t_i \geq m+1$ , then we are done. So we may assume  $t_i \in \{1, 2, \dots, m\}$  for  $\forall i \in \{0, 1, \dots, mn\}$ . By P-P, there exists some  $s \in \{1, 2, \dots, m\}$  such that there are at least  $n+1$  many  $t_i$ 's satisfying that  $t_i = s$ . Let these indexes  $i$ 's be  $i_1 < i_2 < \dots < i_{n+1}$ .

**Claim:**  $a_{i_1} \geq a_{i_2} \geq \dots \geq a_{i_{n+1}}$ .

*Proof.* Otherwise, there  $\exists j$ , s.t.  $a_{i_j} < a_{i_{j+1}}$ . Then we would extend the maximal increasing subsequence starting at  $a_{i_{j+1}}$ , by adding  $a_{i_j}$ , to get an increasing subsequence starting at  $a_{i_j}$  of length  $s+1$ . Therefore, this contradicts  $t_{i_j} = s$ . ■

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## Ramsey's Theorem

**Fact:**(A party of six) Suppose a party has 6 participants. Participants may know each other or not. Then there must be 3 participants who know each other or don't know each other.

*Proof.* We can construct a graph  $G$  on  $[6]$ . Each vertex  $i$  represents one participant:  $i$  and  $j$  are adjacent iff they know each other. Then we need to

show that there are 3 vertices in  $G$  which form a triangle  $K_3$  or an independent set  $I_3$ .

Consider vertex 1. From the point of view of 1, 1 is adjacent to  $\geq 3$  vertices or is not adjacent to  $\geq 3$  vertices. By symmetry, 1 is adjacent to 2,3,4. If one of pairs  $\{2,3\}, \{2,4\}, \{3,4\}$  is adjacent, then we have a  $K_3$ . Otherwise, we have an  $I_3 = \{2,3,4\}$ . ■

**Definition 9.** A  $r$ -edge-coloring of  $K_n$  is a function  $f: E(K_n) \rightarrow \{1, 2, \dots, r\}$  which assigns one of the colors  $1, 2, \dots, r$  to each edge of  $K_n$ .

**Definition 10.** Suppose there is an  $r$ -edge-coloring of  $K_n$ . A clique in  $K_n$  is called monochromatic, if all its edges are colored by the same color.

**Theorem 11.** (*Ramsey's Thm(2-colors-version)*) Let  $k, l \geq 2$  be integers. There exists an integer  $N = N(k, l)$ , s.t. any 2-edge-coloring of  $K_N$  (with colors red and blue) has a blue  $K_k$  or a red  $K_l$ .

*Proof.* We will prove by induction on  $k+l$  that  $N = \binom{k+l-2}{k-1}$  will suffice.

Base case:  $k + l = 4 \iff k = l = 2$ . It is trivial.

Assume that it holds for  $k' + l' \leq k + l - 1$ . Let  $N_1 = \binom{k+l-3}{k-2}$ ,  $N_2 = \binom{k+l-3}{k-1}$ , and  $N = \binom{k+l-2}{k-1}$ .

Note that  $N_1 + N_2 = N$ .

Consider any 2-edge-coloring of  $K_N$ . Consider any vertex  $x$ . Let  $A = \{y \in V(K_n) - \{x\} : \text{edge } xy \text{ is blue}\}$  and  $B = \{y \in V(K_n) - \{x\} : \text{edge } xy \text{ is red}\}$ . So  $|A| + |B| = N - 1 = N_1 + N_2 - 1$ . Thus, either  $|A| \geq N_1$  or  $|B| \geq N_2$ .

Case 1:  $|A| \geq N_1 = \binom{k+l-3}{k-2}$ .

The vertices of A contains a  $K_{\binom{(k-1)+l-2}{(k-1)-1}}$  where edges are blue or red. By induction on this  $K_{\binom{(k-1)+l-2}{(k-1)-1}}$  for the pair  $\{k-1, l\}$ , so A has a blue  $K_{k-1}$  or a red  $K_l$ . We can add the vertex x to get a blue  $K_k$ . So we have done.

Case 2:  $|B| \geq N_2 = \binom{k+l-3}{k-1}$ .

Similarly. ■

**Definition 12.** For  $k, l \geq 2$ , the Ramsey Number  $R(k, l)$  denotes the smallest integer  $N$  s.t. any 2-edge-coloring of  $K_N$  has a blue  $K_k$  or a red  $K_l$ .

**Corollary 1:**  $R(k, l) \leq \binom{k+l-2}{k-1}$ .

Let us try to understand this definition more:

- $R(k, l) \leq L \iff$  any 2-edge-coloring of  $K_L$  has a blue  $K_k$  or a red  $K_l$ .
- $R(k, l) \geq M \iff$  there exists a 2-edge-coloring of  $K_M$  which has no blue  $K_k$  nor red  $K_l$ .

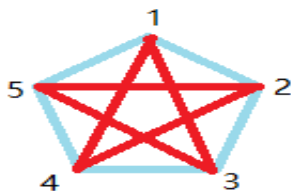
**Corollary 2:** (Exercise)  $R(k, l) \leq R(k-1, l) + R(k, l-1)$ .

**Fact 1:**  $R(k, l) = R(l, k)$

**Fact 2:**  $R(2, l) = l$  and  $R(k, 2) = k$ .

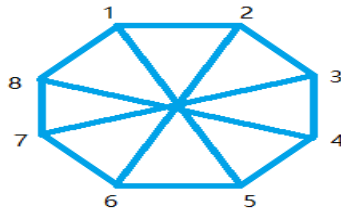
**Fact 3:**  $R(3, 3) = 6$ .

Why? A party of six tells us that  $R(3, 3) \leq 6$ ; in the other hand, the following example tells us that  $R(3, 3) > 5$ .



**Fact 4:**  $R(3, 4) = 9$ .

Consider the graph:



It has NO  $K_3$  nor  $I_4$ .  $\implies R(3, 4) > 8$ . The fact  $R(3, 4) \leq 9$  will follow by a theorem which we prove next time.