

# Combinatorics

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## 1 The third proof of Cayley's formula (using Linear Algebra)

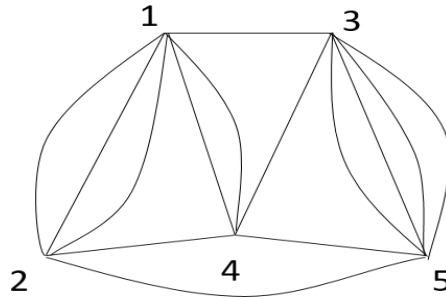
**Definition 1.1.** A multigraph is a graph, where we allow multiple edges between vertices but do not allow loops.

For a multigraph  $G$  in  $[n]$ , we define the Laplace matrix  $Q = (q_{ij})_{n \times n}$  of  $G$  as follows:

$$q_{ij} = \begin{cases} d_G(i), & \text{if } i = j. \\ -m, & \text{if } i \neq j \text{ and there are } m \text{ edges between } i \text{ and } j. \end{cases}$$

Note that  $Q$  is symmetric, and the sum of each row/column is 0.

For example



$$Q = \begin{pmatrix} 6 & -3 & -1 & -2 & 0 \\ -3 & 5 & 0 & -1 & -1 \\ -1 & 0 & 6 & -1 & -4 \\ -2 & -1 & -1 & 5 & -1 \\ 0 & -1 & -4 & -1 & 6 \end{pmatrix}.$$

For an  $n \times n$  matrix  $Q$ , let  $Q_{ij}$  be the  $(n-1) \times (n-1)$  matrix obtained from  $Q$  by deleting the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column.

**Theorem 1.2.** For any multigraph  $G$ ,  $ST(G) = \det(Q_{11})$ , where  $Q_{ij}$  is the  $(n-1) \times (n-1)$  matrix obtained from the Laplace matrix  $Q$  of  $G$  by deleting the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column.

*Proof.* We prove this by using induction on the number of edges in  $G$ . Base case, suppose that  $e(G) = 1$ . Then it holds trivially.

Now we consider a multigraph  $G$  and assume this holds for any multigraph with less than  $e(G)$  edges. Take any edge  $e$  in  $G$ . Define two multigraph as following.

1.  $G - e$  = the multigraph obtained from  $G$  by deleting the edge  $e$ .

2.  $G/e$  = the multigraph obtained from  $G$  by contracting the two endpoints  $x, y$  of  $e$  into a new vertex  $z$  and adding new edges in  $\{zu : xu \in E(G)\} \cup \{zu : yu \in E(G)\}$ .

Let  $Q'$  and  $Q''$  be the Laplace matrices of  $G - e$  and  $G/e$  respectively. If in a multigraph  $G$  the vertex number 1 is not incident to any edge, then we have  $T(G) = 0$ . The first row of the Laplace matrix consists only of zeros, the sum of the rows of  $Q_{11}$  is also zero. Thus,  $\det(Q_{11}) = 0$ . If the vertex number 1 is incident to at least one edge. More precisely, assume that the edge  $e$  has endpoints 1 and 2. So

$$Q' = \begin{pmatrix} 5 & -2 & -1 & -2 & 0 \\ -2 & 4 & 0 & -1 & -1 \\ -1 & 0 & 6 & -1 & -4 \\ -2 & -1 & -1 & 5 & -1 \\ 0 & -1 & -4 & -1 & 6 \end{pmatrix}, Q'' = \begin{pmatrix} 5 & -1 & -3 & -1 \\ -1 & 6 & -1 & -4 \\ -3 & -1 & 5 & -1 \\ -1 & -4 & -1 & 6 \end{pmatrix}.$$

Let  $Q_{11,22}$  be the matrix obtained from  $Q$  by deleting the first two rows and the first two columns. Then we have

$$\det(Q_{11}) = \det((Q')_{11}) + \det(Q_{11,22}). \quad (1.1)$$

We also see that

$$Q_{11,22} = (Q'')_{11}. \quad (1.2)$$

By (1.1) and (1.2) we have

$$\det(Q_{11}) = \det((Q')_{11}) + \det((Q'')_{11}). \quad (1.3)$$

**Claim.** For any edge  $e$  in  $G$ , we have

$$ST(G) = ST(G - e) + ST(G/e). \quad (1.4)$$

*Proof.* We divide the spanning trees of  $G$  into two classes:

-the 1<sup>st</sup> class contains those spanning trees of  $G$  NOT containing  $e$ , which are exactly  $ST(G - e)$ .

-the 2<sup>nd</sup> class contains those spanning trees of  $G$  containing  $e$ . We can easily see that the trees in the 2<sup>nd</sup> class are one-to-one corresponding to the spanning trees of  $G/e$ .

This proves (1.4) ■

By induction, we have  $ST(G - e) = \det(Q'_{11})$ ,  $ST(G/e) = \det((Q'')_{11})$ . By (1.3), we have  $ST(G) = \det(Q_{11})$ . ■

*Proof of Cayley's Formula.* For  $K_n$ , we have

$$Q = \begin{pmatrix} n-1 & -1 & \cdots & -1 \\ -1 & n-1 & \cdots & -1 \\ \vdots & \vdots & \ddots & \vdots \\ -1 & -1 & \cdots & n-1 \end{pmatrix}_{n \times n},$$

which implies that  $ST(G) = \det(Q_{11}) = n^{n-2}$ . ■

## 2 Intersecting Family

**Definition 2.1.** A family  $\mathcal{F} \subset 2^{[n]}$  is intersecting if for any  $A, B \in \mathcal{F}$ , we have  $A \cap B \neq \emptyset$ .

**Fact 2.2.** For any intersecting family  $\mathcal{F} \subset 2^{[n]}$ , we have  $|\mathcal{F}| \leq 2^{n-1}$ .

*Proof.* Consider all pairs  $\{A, A^c\}$  for all  $A \subset [n]$ . Note that there are exactly  $2^{n-1}$  such pairs, and  $\mathcal{F}$  can have at most one subset from every pairs. This proves  $|\mathcal{F}| \leq 2^{n-1}$ .  $\blacksquare$

Note that this is tight:

- $\mathcal{F} = \{A \subset [n] : 1 \in A\}$ .
- For  $n$  is odd,  $\mathcal{F} = \{A \in [n] : |A| > \frac{n}{2}\}$ .

A harder problem: What is the largest intersecting family  $\mathcal{F} \subset \binom{[n]}{k}$ , for fixed  $k$ ?

**Theorem 2.3** (Erdős-Ko-Rado Theorem). For  $n \geq 2k$ , the largest intersecting family  $\mathcal{F} \subset \binom{[n]}{k}$  has size  $\binom{n-1}{k-1}$ .

Moreover, if  $n > 2k$ , then the largest intersecting family  $\mathcal{F} \subset \binom{[n]}{k}$  must be  $\mathcal{F} = \{A \in \binom{[n]}{k} : t \in A\}$  for some fixed  $t \in [n]$ .

*Proof.* Take a cyclic permutation  $\pi = (a_1, a_2, \dots, a_n)$  of  $[n]$ . Note that there are  $(n-1)!$  cyclic permutations of  $[n]$  in total.

Let  $\mathcal{F}_\pi = \{A \in \mathcal{F} : A \text{ appears as } k \text{ consecutive numbers in the circuit of } \pi.\}$

**Claim 1.** For all cyclic permutation  $\pi$ , assume  $n \geq 2k$ , then  $|\mathcal{F}_\pi| \leq k$ .

*Proof.* Pick  $A \in \mathcal{F}_\pi$ , say  $A = \{a_1, a_2, \dots, a_k\}$ . We call the edges  $a_n a_1, a_k a_{k+1}$  as the boundary edges of  $A$ , and the edges  $a_1 a_2, a_2 a_3, \dots, a_{k-1} a_k$  as the inner-edges of  $A$ . We observe that for any distinct  $A, B \in \mathcal{F}_\pi$ , the boundary-edges of  $A$  and  $B$  are distinct. For any  $B \in \mathcal{F}_\pi \setminus \{A\}$ , as  $A \cap B \neq \emptyset$ , we see that one of the boundary-edges of  $B$  must be an inner-edge of  $A$ . But  $A$  has  $k-1$  inner-edges, so we see that there are at most  $k-1$  many subsets in  $\mathcal{F}_\pi \setminus \{A\}$ . So  $|\mathcal{F}_\pi| \leq k$ .  $\blacksquare$

Next we do a double-counting. Let  $N$  be the number of pairs  $(\pi, A)$ , where  $\pi$  is a cyclic permutation of  $[n]$ , and  $A \in \mathcal{F}_\pi$ . By Claim 1,  $N = \sum_\pi |\mathcal{F}_\pi| \leq k(n-1)!$ . Fix  $A$ , how many cyclic  $\pi$  such that  $A \in \mathcal{F}_\pi$ ? The answer is  $k!(n-k)!$ . So the number of cyclic permutations  $\pi$  such that  $\pi$  contains the elements of  $A$  as  $k$  consecutive numbers is  $k!(n-k)!$ . So we have

$$k(n-1)! \geq N = \sum_{A \in \mathcal{F}} k!(n-k)! = |\mathcal{F}| k!(n-k)!,$$

which implies that

$$|\mathcal{F}| \leq \frac{k \cdot (n-1)!}{k!(n-k)!} = \binom{n-1}{k-1}.$$

If  $n > 2k$ , for the extremal case  $\mathcal{F} = \binom{[n]}{k-1}$ , we want to show  $\mathcal{F}$  must be a star. From the previous proof, we see that for any cycle permutation  $\pi$ ,  $|\mathcal{F}_\pi| = k$ . And we have following claim.

**Claim 2.** Fix any  $\pi = (a_0, a_1, \dots, a_{n-1})$ . If  $\mathcal{F}_\pi = \{A_1, A_2, \dots, A_k\}$ , then  $A_1 \cap A_2 \cap \dots \cap A_k = \{t\}$  for some  $0 \leq t \leq n-1$ , where  $A_j = \{a_{j+r}, a_{j+r+1}, \dots, a_{j+r+k-1}\}$  for  $1 \leq j \leq k$  and for some  $0 \leq r \leq n-1$  (where the indices are taken under the additive group  $\mathbb{Z}_n$ ).

*Proof.* With loss of generality, suppose that  $A = \{a_1, \dots, a_k\} \in \mathcal{F}_\pi$ . From the preview proof, we know  $a_i a_{i+1}$  is boundary-edge of some  $B_i \in \mathcal{F}_\pi$  where  $i \in [k-1]$ , and for any distinct  $A, B \in \mathcal{F}_\pi$ , the boundary-edges of  $A$  and  $B$  are distinct. For any  $C \in \mathcal{F}$ , we color the two boundary-edges by 1 and 0, respectively, according to the clockwise direction. Since  $a_0 a_1$  has color 1 and  $a_k a_{k+1}$  has color 0. There must exist  $\ell \in [k]$  such that  $a_{\ell-1} a_\ell$  has color 1 and  $a_\ell a_{\ell+1}$  has color 0. Let  $A_1 = \{a_{\ell-k+1}, a_{\ell-k+2}, \dots, a_{\ell-1}, a_\ell\}$  and  $A_k = \{a_\ell, a_{\ell+1}, \dots, a_{\ell+k-1}\}$ . Since  $\mathcal{F}$  is intersecting and  $n > 2k$ , there dose not exist  $j$  such that  $a_{j-1} a_j$  has color 0 and  $a_j a_{j+1}$  has color 1. Then  $a_{\ell-1+i}, a_{\ell+i}$  has color 0 for every  $i \in [k]$ . This finishes the proof. ■

Fix  $\pi$ , let  $\mathcal{F}_\pi = \{A_1, A_2, \dots, A_k\}$  and let  $A_1 \cap A_2 \cap \dots \cap A_k = \{t\}$ . If any element of  $\mathcal{F}$  contains  $t$ , then  $\mathcal{F}$  is a star, we are done. So we may assume that there exists  $A_0 \in \mathcal{F}$  such that  $t \notin A_0$ .

**Claim 3.** For any  $B \in \binom{A_1 \cup A_k \setminus \{t\}}{k-1}$ , we have  $B \cup \{t\} \in \mathcal{F}$ .

*Proof of Claim 3.* Consider another cycle permutation  $\pi'$  with  $A_1, A_k$  unchanged, but the order of the integers inside  $A_1 \setminus \{t\}$  and  $A_k \setminus \{t\}$  are changed.

Since  $A_1, A_k \in \mathcal{F}_{\pi'}$ , by Claim 2 all other  $k$ -sets in  $A_1 \cup A_k$  formed by  $k$  consecutive integers on  $\pi'$  are also in  $\mathcal{F}_{\pi'} \subseteq \mathcal{F}$ . Repeating using the argument, we prove Claim 3. ■

**Claim 4.** The subset  $A_0 \in \mathcal{F}$  (with  $t \notin A_0$ ) satisfies  $A_0 \subseteq A_1 \cup A_k \setminus \{t\}$ .

*Proof of Claim 4.* Otherwise,  $A_0$  has at most  $k-1$  elements in  $A_1 \cup A_k$ . Then we have  $|A_1 \cup A_k - A_0| \geq k$  (as  $|A_1 \cup A_k| = 2k-1$ ). So, we can pick a  $k$ -subset  $B \subseteq A_1 \cup A_k - A_0$  such that  $t \in B$ . By Claim 3, we have  $B \in \mathcal{F}$ . But  $A_0 \cap B = \emptyset$ , contradicting that  $\mathcal{F}$  is intersecting. This proves Claim 4. ■

**Claim 5.** We have  $\binom{A_1 \cup A_k}{k} \subseteq \mathcal{F}$ .

*Proof of Claim 5.* Consider any  $i \in A_0$ , let  $B_i = (A_1 \cup A_k \setminus A_0) \cup \{i\}$ . Since  $t \in B_i$ , by Claim 3, we have  $B_i \in \mathcal{F}$ . Repeating the proof of Claim 3, we can obtain that any  $k$ -subset of  $A_1 \cup A_k$  containing  $i$  belongs to  $\mathcal{F}$ . In other words, any  $k$ -subset  $B$  of  $A_1 \cup A_k$  must intersect  $A_0$ , and thus belongs to  $\mathcal{F}$ . Then we have  $\binom{A_1 \cup A_k}{k} \subseteq \mathcal{F}$ . ■

If there exists a  $k$ -subset  $C \in \mathcal{F}$  such that  $B \not\subseteq A_1 \cup A_k$ , then  $|A_1 \cup A_k - B| \geq k$ . So there exists  $D \subseteq A_1 \cup A_k - C$  with  $|D| = k$ . By Claim 5, we have  $D \in \mathcal{F}$ , but  $C \cap D = \emptyset$ , a contradiction. This proves  $\binom{A_1 \cup A_k}{k} = \mathcal{F}$ .

Since  $n > 2k$ , we see  $|\mathcal{F}| = \binom{2k-1}{k} = \binom{2k-1}{k-1} < \binom{n-1}{k-1} = |\mathcal{F}|$ , a contradiction. This completes the proof. ■