

# Extremal and Probabilistic Graph Theory

Instructor: Jie Ma, Scribed by Tianchi Yang and Xinyang Ye

Apr 29th 2020, Wednesday

## 1 Lec 18. Random Algebraic Constructions

**Theorem 1.1** (Bukh, 2015). *For any  $s$ , there exists  $C$  relevant to  $s$  such that  $\text{ex}(n, K_{s,C+1}) = \Theta(n^{2-\frac{1}{s}})$ .*

In this lecture, we use algebraic construction to prove the theorem. Let  $q$  be a prime power, and  $F_q$  be the field of order  $q$ . Let  $s \geq 4$  be fixed and  $q \gg s$ . Let  $d = s^2 - s + 2$ , and  $n = q^s$ .

**Definition 1.2.** Let  $\vec{X} = \{x_1, x_2, \dots, x_s\} \in F_q^s$  and  $\vec{Y} = \{y_1, y_2, \dots, y_s\} \in F_q^s$ . Let  $\mathcal{P}$  be all polynomials  $f(\vec{X}, \vec{Y})$  of degree at most  $d$  in each of  $\vec{X}$  and  $\vec{Y}$ , that is,

$$f(\vec{X}, \vec{Y}) = \sum_{(\vec{a}, \vec{b})} \alpha_{\vec{a}, \vec{b}} \cdot x_1^{a_1} x_2^{a_2} \cdots x_s^{a_s} \cdot y_1^{b_1} y_2^{b_2} \cdots y_s^{b_s},$$

over all possible choices that  $\sum_{i \in [s]} a_i \leq d$  and  $\sum_{j \in [s]} b_j \leq d$ , where  $\alpha_{\vec{a}, \vec{b}} \in F_q$ .

**Definition 1.3.** For any  $f(\vec{X}, \vec{Y}) \in \mathcal{P}$ , we can define a bipartite graph  $G_f$  on partition  $(L, R)$  as follows:

$$L = R = F_q^s, \text{ and } \vec{X} \in L \sim \vec{Y} \in R \text{ if and only if } f(\vec{X}, \vec{Y}) = 0.$$

Then by the linearity of expectation,  $E[e(G)] = n^2/q$ . The key idea is to choose a polynomial  $f \in \mathcal{P}$  randomly at uniform and use it to define a bipartite graph  $G_f$ .

**Lemma 1.4.** *For any  $\vec{u}, \vec{v} \in F_q^s$ ,  $\Pr[f(\vec{u}, \vec{v}) = 0] = 1/q$ .*

*Proof.* Note that if  $c$  is a uniformly random constant in  $F_q$ , then  $f(\vec{u}, \vec{v})$  and  $f(\vec{u}, \vec{v}) + c$  are identically distributed. Since all constant elements of  $f \in \mathcal{P}$  are distributed uniformly at random in  $F_q$ , then  $\Pr[f(\vec{u}, \vec{v}) = 0] = \Pr[f(\vec{u}, \vec{v}) = 1] = \cdots$ . So  $\Pr[f(\vec{u}, \vec{v}) = 0] = 1/q$ . ■

**Fact 1.5** (Sampling conditional probability). *Let  $A$  be an event in a probability space:  $P(A) = \sum_{\text{events } B} P[A|B] \cdot P[B]$ . If  $P[A|B] = a$  for any event  $B$ , then  $P(A) = a$ .*

**Lemma 1.6.** *Suppose  $r, s \leq \min\{\sqrt{q}, d\}$ . Let  $U \subseteq F_q^s$  and  $V \subseteq F_q^s$  be sets with  $|U| = s$  and  $|V| = r$ . Then*

$$\Pr[f(\vec{u}, \vec{v}) = 0 \text{ for all } \vec{u} \in U, \text{ and } \vec{v} \in V] = 1/q^{sr}.$$

*Proof.* Call a set of points in  $F_q^s$  simple if the first coordinate of the points are distinct.

(1). First, we give the proof when both  $U$  and  $V$  are simple. In this case, we decompose  $f = g + h$ , where  $h$  contains the  $sr$  monomials  $x_1^i y_1^j$  for  $i = 0, 1, \dots, s-1$  and  $j = 0, 1, \dots, r-1$ , and  $g$  is the linear combination of other monomials.

To prove that  $\Pr[f(\vec{u}, \vec{v}) = 0 \text{ for all } \vec{u} \in U, \text{ and } \vec{v} \in V] = 1/q^{sr}$ , it suffices to prove that the system of  $sr$  equations  $h(\vec{u}, \vec{v}) = -g(\vec{u}, \vec{v})$  for all  $\vec{u} \in U, \vec{v} \in V$  has a unique solution when all

$-g(\vec{u}, \vec{v})$  are given. Note that  $h(\vec{X}, \vec{Y}) = \sum_{i < s, j < r} \alpha_{ij} x_1^i y_1^j$  has  $sr$  terms and the system consists of  $sr$  equations with  $sr$  unknown variables  $\alpha_{ij}$ ,  $0 \leq i \leq s-1$  and  $0 \leq j \leq r-1$ . This is a consequence of the Lagrange interpolation theorem twice:

- The first application gives for all fixed  $\vec{u} \in U$ , the single-variable polynomials  $h_{\vec{u}}(\vec{Y})$  of degree  $r-1$  such that  $h_{\vec{u}}(\vec{v}) = -g(\vec{u}, \vec{v})$  for all  $\vec{v} \in V$ .
- The second application gives a polynomial  $h(\vec{X}, \vec{Y}) = \sum_{0 \leq j \leq r-1} a_j(x_1) y_1^j$  such that each of the coefficients of  $h(\vec{u}, \vec{Y})$  is equal to the respective coefficient of  $h_{\vec{u}}(\vec{Y})$  for all  $\vec{u} \in U$ .

Using this twice, we show the solution is unique.

(2). Now we consider the general  $U$  and  $V$ . It suffices to find invertible linear transformation  $T$  and  $S : F_q^s \rightarrow F_q^s$  such that  $TU$  and  $SV$  are simple. Indeed,  $\mathcal{P}$  is invariant under the actions of these transformations on the first  $s$  variables  $\vec{X}$  and then on the latter  $s$  variables  $\vec{Y}$ . Hence, if we array for  $TU$  and  $SV$  to be the simple, we reduce to (1). To find such  $T : F_q^s \rightarrow F_q^s$ , it suffices to find a linear map  $T_1 : F_q^s \rightarrow F_q$ , that injective on  $U$ . We then find an invertible map  $T : F_q^s \rightarrow F_q^s$ , where first coordinate is  $T_1$ . To find such a  $T_1$ , we pick  $T_1$  uniformly at random among all linear maps  $F_q^s \rightarrow F_q$ . Then for all points  $(\vec{u}_1, \vec{u}_2) \in U$ ,  $Pr[T_1(\vec{u}_1) = T_1(\vec{u}_2)] = 1/q$ . So by union bound,

$$Pr[\vec{u}_1, \vec{u}_2 \in U \text{ with } T_1(\vec{u}_1) = T_1(\vec{u}_2)] = \frac{1}{q} \binom{|U|}{2} < 1,$$

implying the existence of the desired  $T_1 : F_q^s \rightarrow F_q$ . And the construction for  $S$  is similar. ■

Fix  $U \subseteq F_q^s$  with  $|U| = s$ . We want to count the common neighbours of the vertices in  $U$ . We will use the **moments method**. Let  $I(\vec{v}) = 1$  if  $\vec{v}$  is adjacent to any  $\vec{u} \in U$ , and otherwise  $I(\vec{v}) = 0$ . Let  $X = |N(U)|$ . Then  $X = \sum_{\vec{v}} I(\vec{v})$ , and

$$E[X^d] = E\left[\left(\sum_{\vec{v} \in F_q^s} I(\vec{v})\right)^d\right] = \sum_{\vec{v}_1, \dots, \vec{v}_d \in F_q^s} E[I(\vec{v}_1)I(\vec{v}_2) \cdots I(\vec{v}_d)] = \sum_{1 \leq r \leq d} \binom{q^s}{r} q^{-rs} M_r \leq \sum_{r \leq d} M_r \triangleq M,$$

where  $M_r$  is defined to the number of surjective mappings from  $[d]$  to  $[r]$ . By Markov's inequality,

$$Pr(X \geq \lambda) = Pr(X^d \geq \lambda^d) \leq \frac{E[X^d]}{\lambda^d} \leq \frac{M}{\lambda^d}$$

**Lemma 1.7.** *For all  $s, d$ , there exists a constant  $C$  such that if  $f_1(\vec{Y}), f_2(\vec{Y}), \dots, f_s(\vec{Y})$  are polynomials over  $Y \in F_q^s$  of degree at most  $d$ , then*

$$\{\vec{y} \in F_q^s : f_1(\vec{y}) = f_2(\vec{y}) = \dots = f_s(\vec{y}) = 0\}$$

*has size either at most  $C$  or at least  $q - C\sqrt{q} \geq q/2$ .*

**Remark 1.8.** This lemma can be reduced for an important result in algebraic geometry, known as the Lang-Weil Bound (1954). It says that roughly, the number of points in an  $r$ -dimensional algebraic variety in  $F_q^s$  is around  $q^r$  (assuming some irreducibility conditions)

Let  $X$  be the number of common neighbours of vectors  $\vec{u}_1, \vec{u}_2, \dots, \vec{u}_s \in U$ , then

$$\begin{aligned} X &= |\{\vec{v} \in F_q^s : \vec{v} \sim \vec{u}_i, i \in [s]\}| = |\{\vec{v} \in F_q^s : f(\vec{u}_i, \vec{v}) = 0, i \in [s]\}| \\ &= |\{\vec{y} \in F_q^s : f_{\vec{u}_1}(\vec{y}) = f_{\vec{u}_2}(\vec{y}) = \dots = f_{\vec{u}_s}(\vec{y}) = 0\}|. \end{aligned}$$

By lemma 1.7, if  $X > C$ , then  $X > q/2$  implies

$$\Pr(X > C) = \Pr(X \geq \frac{q}{2}) \leq \frac{E[X^d]}{(q/2)^d} \leq \frac{M}{(q/2)^d}.$$

So the number of  $s$ -subsets in  $L$  or in  $R$  with more than  $C$  common neighbours is at most  $2 \binom{n}{s} \frac{M}{(q/2)^d} = O(q^{s-2})$  in expectation. Take such a  $G$  and remove a vertex from every such  $s$ -subset to create a new graph  $G'$ . We see that  $G'$  is  $K_{s,C+1}$ -free,  $v(G') \leq 2n$ , and

$$e(G') \geq e(G) - |\#s\text{-subsets}| \cdot n \geq \frac{n^2}{q} - O(q^{s-2})n = (1 - o(1))n^{2-\frac{1}{s}}.$$