



中国科学技术大学  
University of Science and Technology of China



GAMES 102在线课程

# 几何建模与处理基础

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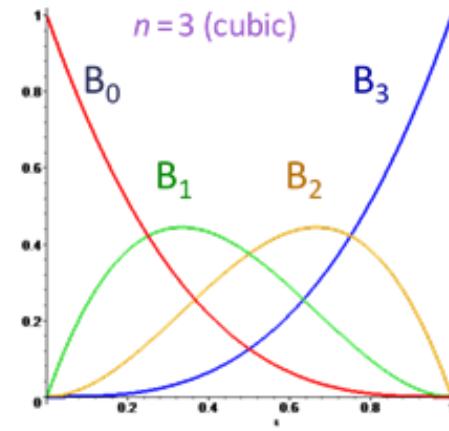
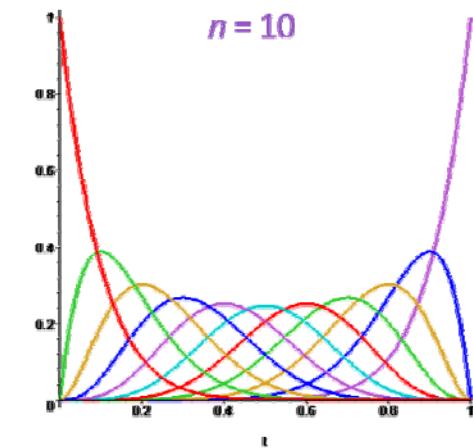
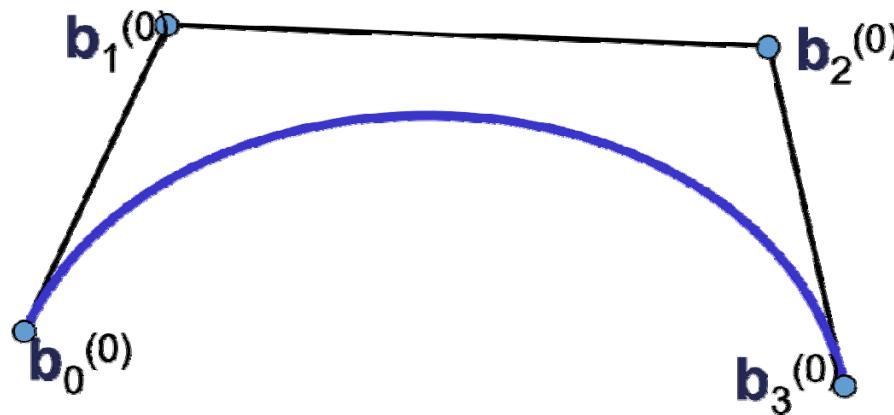
GAMES 102在线课程：几何建模与处理基础

# B样条曲线

# Bezier曲线的不足

- $n$  次 Bezier 曲线:  $n + 1$  个控制顶点

$$x(t) = \sum_{i=0}^n B_i^n(t) \cdot b_i$$

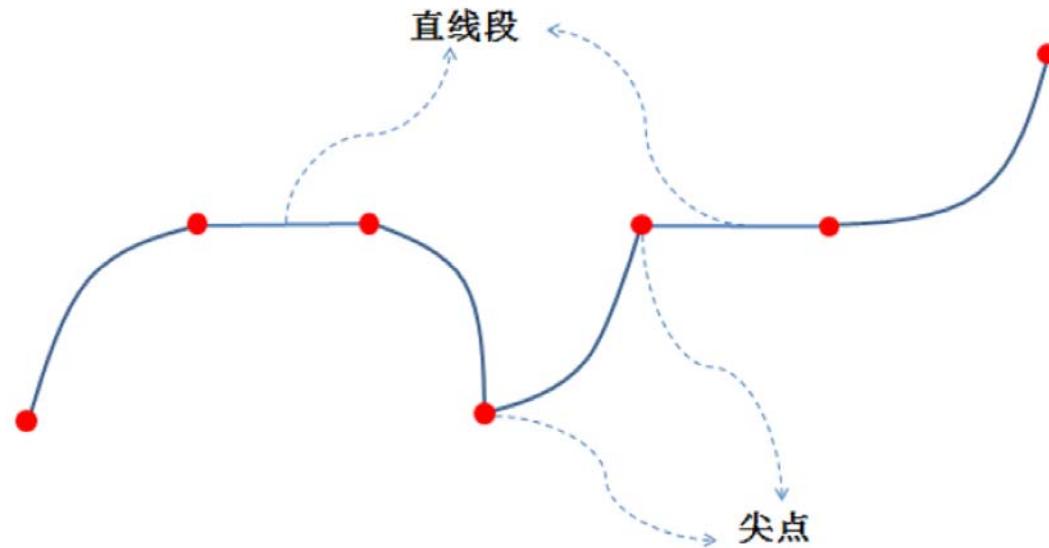


全局性: 牵一发而动全身, 不利于设计

原因: 基函数是全局的

# 样条曲线

- 分段的多项式曲线 (Bezier曲线)
  - 分段表达，具有局部性



有无统一的表达方式?

# 思考：样条曲线的统一表达

- 形式类比：每个控制顶点用一个基函数进行组合

$$\mathbf{x}(t) = \sum_{i=0}^n N_{i,k}(t) \cdot \mathbf{d}_i$$

- 性质要求：
  - 基函数须局部性（局部支集）
  - 基函数要有正性+权性
  - ...
- 如何构造？

# B样条的产生

- Early use of splines on computers for data interpolation
  - Ferguson at Boeing, 1963
  - Gordon and de Boor at General Motors
  - B-splines, de Boor 1972
- Free form curve design
  - Gordon and Riesenfeld, 1974 → B-splines as a generalization of Bezier curves

# 启发：

- Bernstein基函数的递推公式：

$$B_i^n(t) = (1 - t)B_i^{(n-1)}(t) + tB_{i-1}^{(n-1)}(1 - t)$$

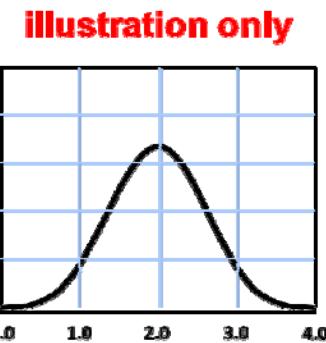
with  $B_0^0(t) = 1, B_i^n(t) = 0$  for  $i \notin \{0 \dots n\}$

- 思路：

- 局部处处类似定义，由一个基函数平移得到
- 高阶的基函数由2个低阶的基函数“升阶”得到
  - 利于保持一些良好的性质，比如提高光滑性

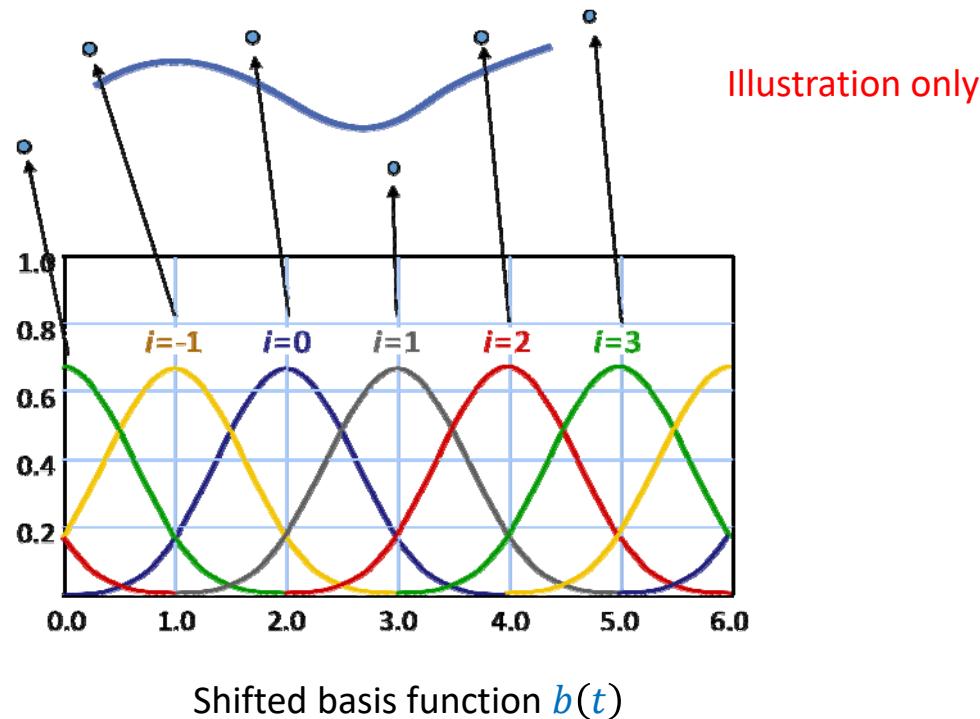
# Key Ideas

- 以三次为例
  - We design one basis function  $b(t)$
  - Properties:
    - $b(t)$  is  $C^2$  continuous
    - $b(t)$  is piecewise polynomial, degree 3 (cubic)
    - $b(t)$  has local support
    - Overlaying shifted  $b(t + i)$  forms a partition of unity
    - $b(t) \geq 0$  for all  $t$
  - In short:
    - All desirable properties build into the basis
    - Linear combinations will inherit these



# Shifted Basis Functions

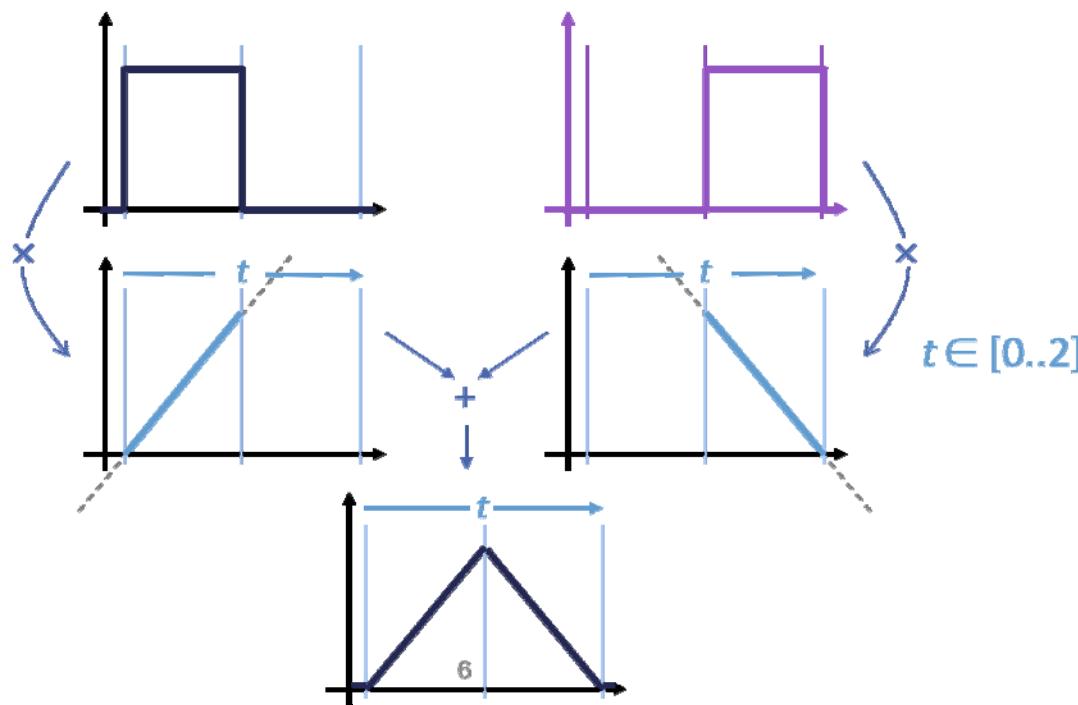
- 型值点参数化：节点向量



Courtesy of Renjie Chen

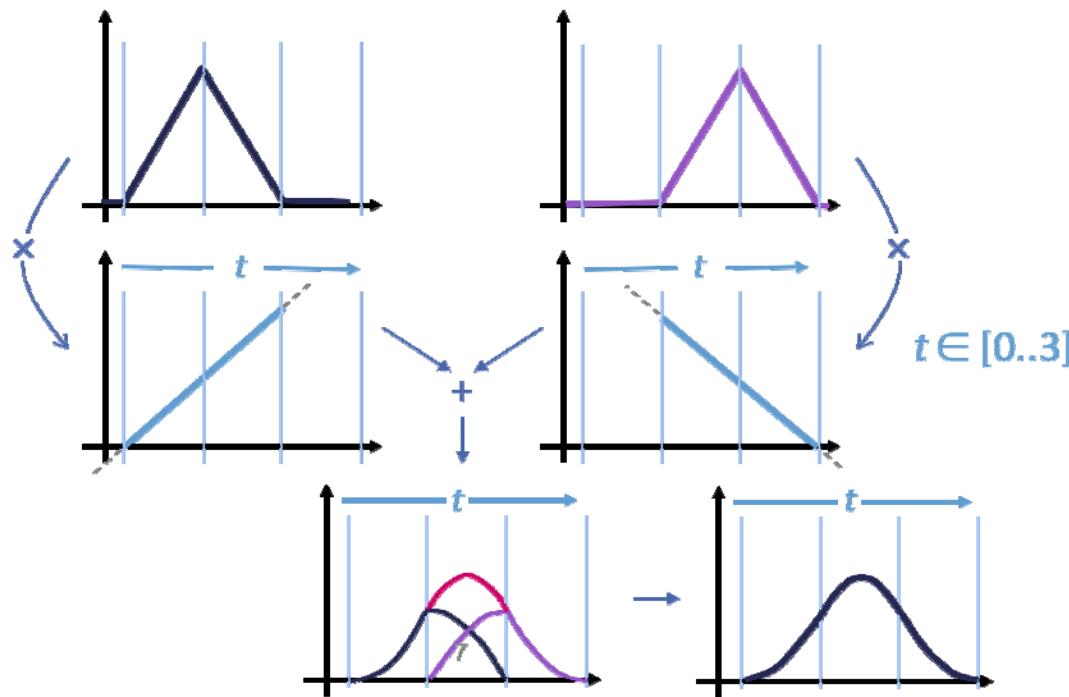
# Repeated linear interpolation

- Another way to increase smoothness:



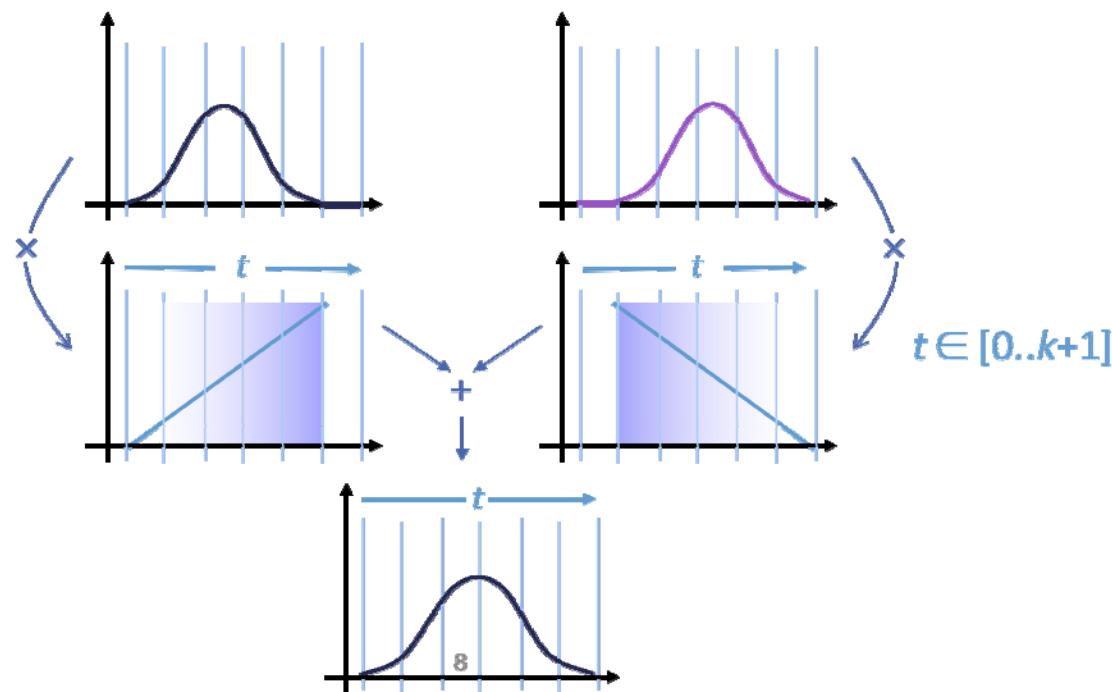
# Repeated linear interpolation

- Another way to increase smoothness:



# Repeated linear interpolation

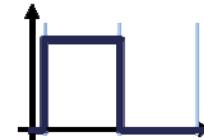
- Another way to increase smoothness



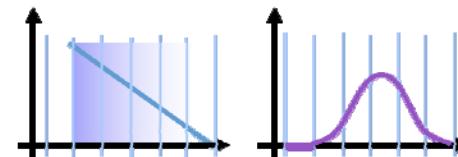
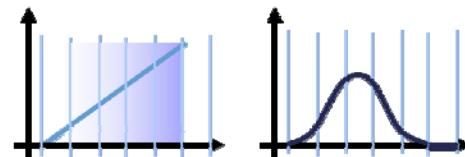
# De Boor Recursion: uniform case

- The **uniform** B-spline basis of order  $k$  (degree  $k - 1$ ) is given as

$$N_i^1(t) = \begin{cases} 1, & \text{if } i \leq t < i + 1 \\ 0, & \text{otherwise} \end{cases}$$



$$N_i^k(t) = \frac{t-i}{(i+k-1)-i} N_i^{k-1}(t) + \frac{(i+k)-t}{(i+k)-(i+1)} N_{i+1}^{k-1}(t)$$



$$= \frac{t-i}{k-1} N_i^{k-1}(t) + \frac{i+k-t}{k-1} N_{i+1}^{k-1}(t)$$

# B-spline curves: general case

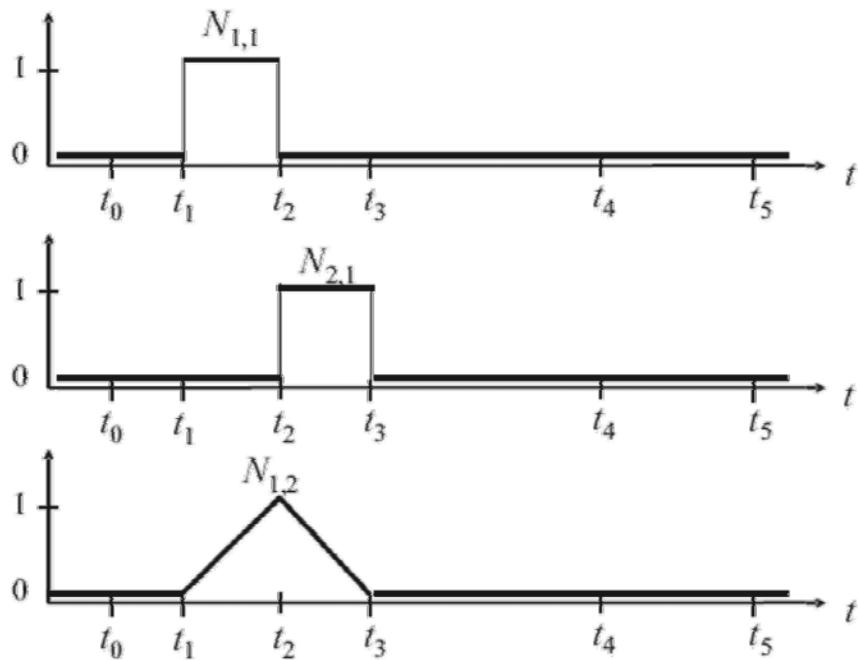
- Given: knot sequence  $t_0 < t_1 < \dots < t_n < \dots < t_{n+k}$   
 $((t_0, t_1, \dots, t_{n+k}))$  is called knot vector)
- Normalized B-spline functions  $N_{i,k}$  of the order  $k$   
(degree  $k - 1$ ) are defined as:

$$N_{i,1}(t) = \begin{cases} 1, & t_i \leq t < t_{i+1} \\ 0, & \text{otherwise} \end{cases}$$

$$N_{i,k}(t) = \frac{t-t_i}{t_{i+k-1}-t_i} N_{i,k-1}(t) + \frac{t_{i+k}-t}{t_{i+k}-t_{i+1}} N_{i+1,k-1}(t)$$

for  $k > 1$  and  $i = 0, \dots, n$

# Example

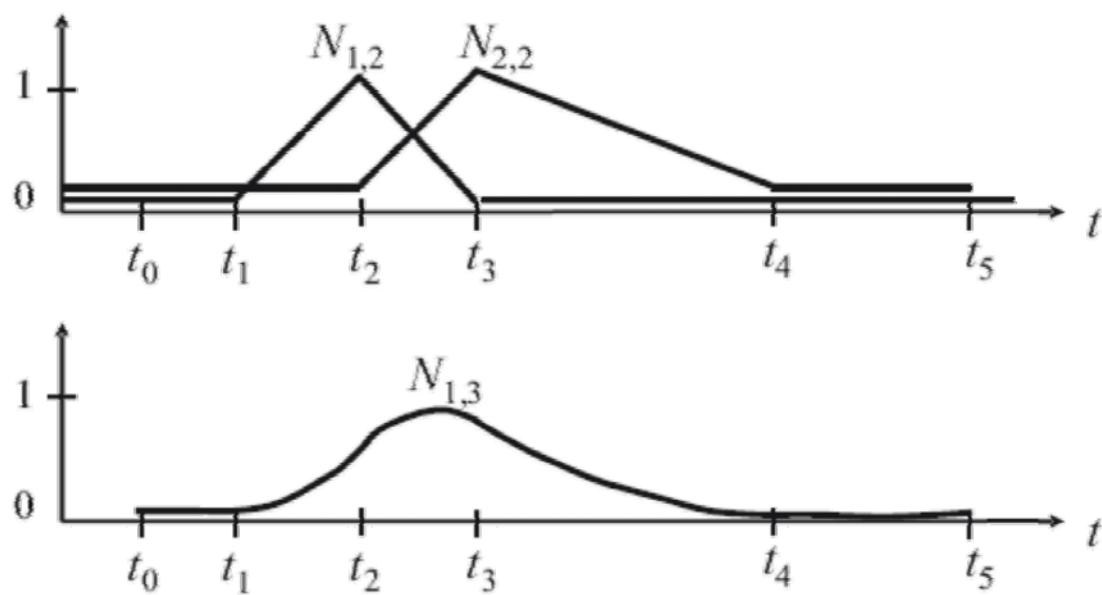


$$N_{i,1}(t) = \begin{cases} 1, & t_i \leq t < t_{i+1} \\ 0, & \text{otherwise} \end{cases}$$

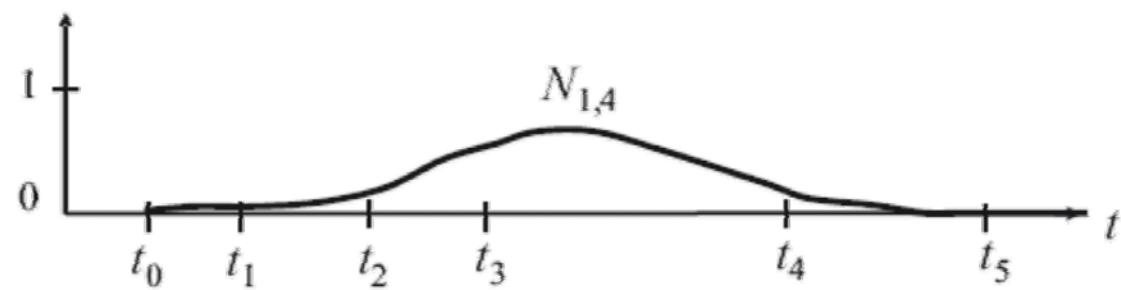
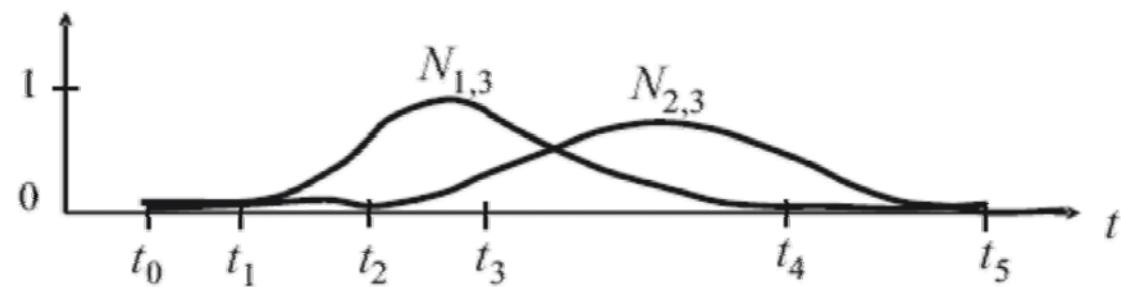
$$N_{i,k}(t) = \frac{t-t_i}{t_{i+k-1}-t_i} N_{i,k-1}(t) + \frac{t_{i+k}-t}{t_{i+k}-t_{i+1}} N_{i+1,k-1}(t)$$

for  $k > 1$  and  $i = 0, \dots, n$

# Example



# Example



# Basis properties

- For the so defined basis functions, the following properties can be shown:
  - $N_{i,k}(t) > 0$  for  $t_i < t < t_{i+k}$
  - $N_{i,k}(t) = 0$  for  $t_0 < t < t_i$  or  $t_{i+k} < t < t_{n+k}$
  - $\sum_{i=0}^n N_{i,k}(t) = 1$  for  $t_{k-1} \leq t \leq t_{n+1}$
- For  $t_i \leq t_j \leq t_{i+k}$ , the basis functions  $N_{i,k}(t)$  are  $C^{k-2}$  at the knots  $t_j$
- The interval  $[t_i, t_{i+k}]$  is called support of  $N_{i,k}$

# B-spline curves

- B-spline curves
  - Given:  $n + 1$  control points  $\mathbf{d}_0, \dots, \mathbf{d}_n \in \mathbb{R}^3$   
knot vector  $T = (t_0, \dots, t_n, \dots t_{n+k})$
  - Then, the B-spline curve  $\mathbf{x}(t)$  of the order  $k$  is defined as

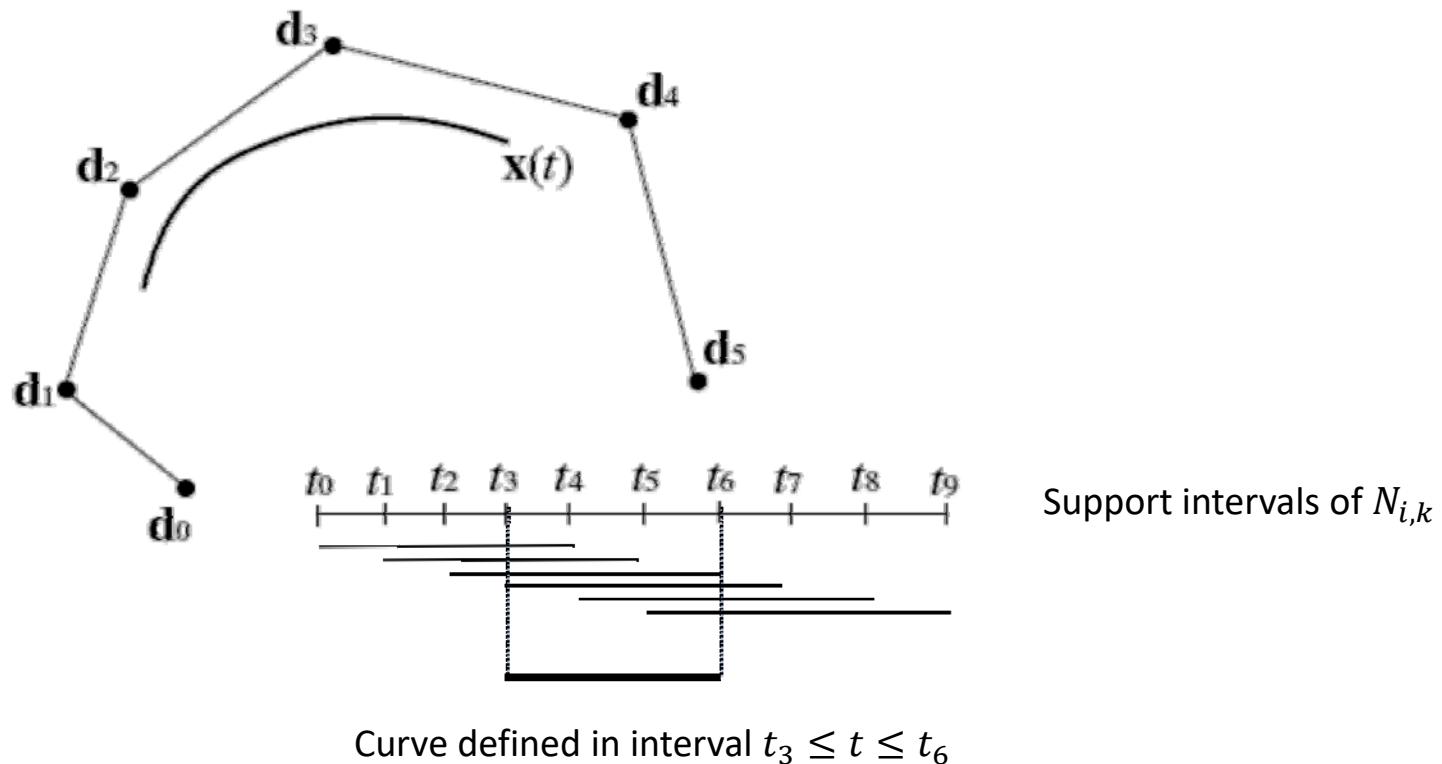
$$\mathbf{x}(t) = \sum_{i=0}^n N_{i,k}(t) \cdot \mathbf{d}_i$$

- The points  $\mathbf{d}_i$  are called *de Boor points*

**Carl R. de Boor**  
German-American mathematician  
University of Wisconsin-Madison

# Example

- $k = 4, n = 5$



# B-spline curves

- Multiple weighted knot vectors
  - So far:  $T = (t_0, \dots, t_n, \dots, t_{n+k})$  with  $t_0 < t_1 < \dots < t_{n+k}$
  - Now: also multiple knots allowed, i.e. with  $t_0 \leq t_1 \leq \dots \leq t_{n+k}$
- The recursive definition of the B-spline function  $N_{i,k}$  ( $i = 0, \dots, n$ ) works nonetheless, as long as no more than  $k$  knots coincide

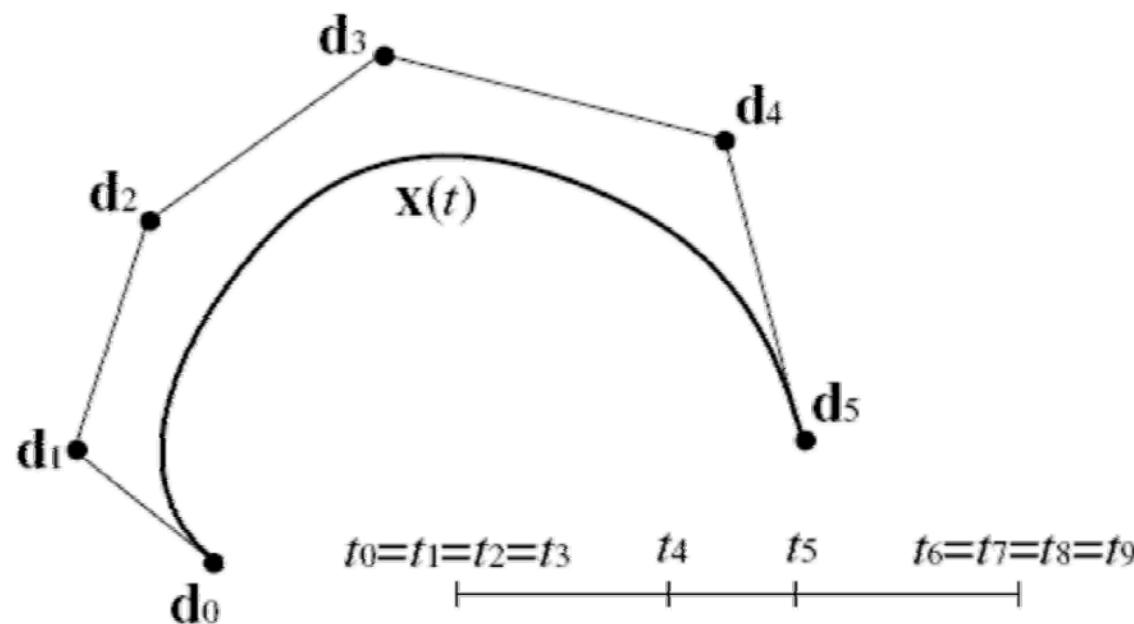
# B-spline curves

- Effect of multiple knots:
  - set:  $t_0 = t_1 = \cdots = t_{k-1}$
  - and  $t_{n+1} = t_{n+2} = \cdots = t_{n+k}$

$d_0$  and  $d_n$  are interpolated

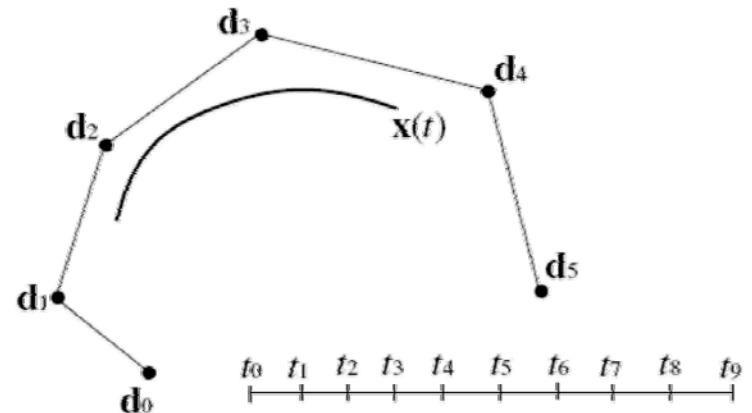
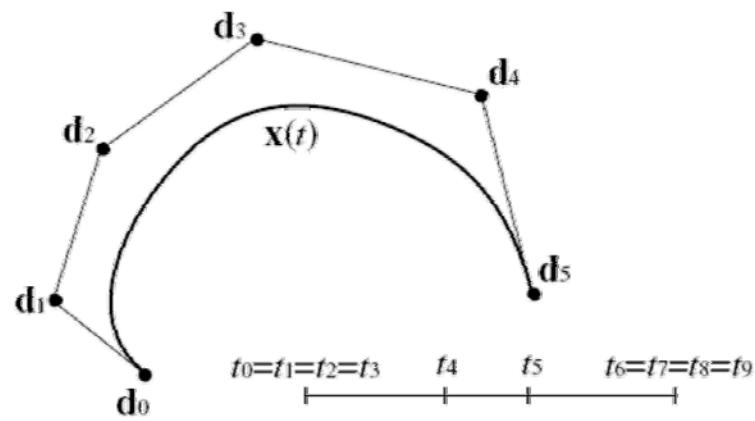
# B-spline curves

- Example:  $k = 4, n = 5$



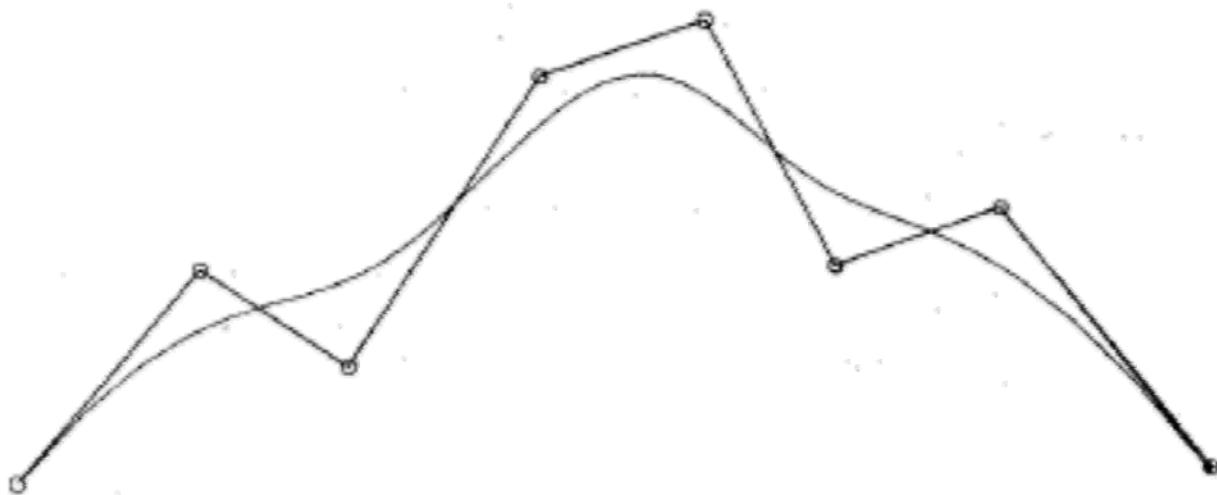
# B-spline curves

- Example:  $k = 4, n = 5$

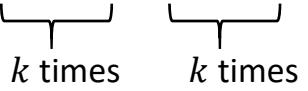


# B-spline curves

- Further example

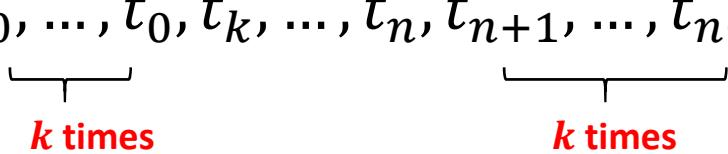


# B-spline curves

- Interesting property:
    - B-spline functions  $N_{i,k}$  ( $i = 0, \dots, k - 1$ ) of the order  $k$  over the knot vector  $T = (t_0, t_1, \dots, t_{2k-1}) = (0, \dots, 0, 1, \dots, 1)$   


The diagram shows the knot vector  $T$  as a sequence of values. It starts with a series of zeros, followed by a series of ones. Above the zeros, a bracket indicates that there are  $k$  zeros. Above the ones, another bracket indicates that there are  $k$  ones.
- are Bernstein polynomials  $B_i^{k-1}$  of degree  $k - 1$

# B-spline curves properties

- Given:
  - $T = (t_0, \dots, t_0, t_k, \dots, t_n, t_{n+1}, \dots, t_{n+1})$   

  - de Boor polygon  $d_0, \dots, d_n$
- Then, the following applies for the related B-spline curve  $x(t)$ :

# B-spline curves properties

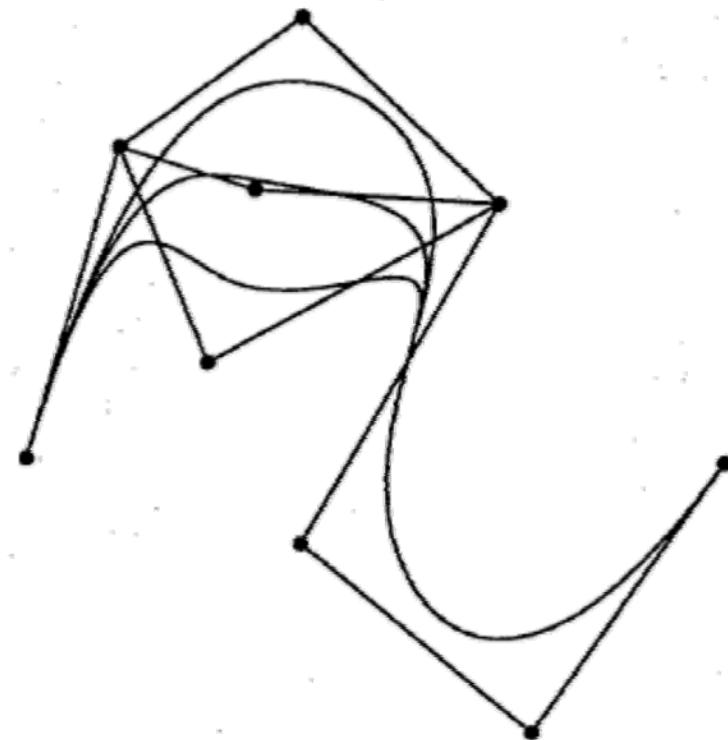
- $\mathbf{x}(t_0) = \mathbf{d}_0, \mathbf{x}(t_{n+1}) = \mathbf{d}_n$  (end point interpolation)
- $\dot{\mathbf{x}}(t_0) = \frac{k-1}{t_k - t_0} (\mathbf{d}_1 - \mathbf{d}_0)$  (tangent direction at  $\mathbf{d}_0$ , similar in  $\mathbf{d}_n$ )
- $\mathbf{x}(t)$  consists of  $n - k + 2$  polynomial curve segments of degree  $k - 1$  (assuming no multiple inner knots)

# B-spline curves properties

- Multiple inner knots  $\Rightarrow$  reduction of continuity of  $x(t)$ .  
 $l$ -times inner knot ( $1 \leq l < k$ ) means  
 $C^{k-l-1}$ -continuity
- Local impact of the de Boor points: moving of  $d_i$  only changes the curve in the region  $[t_i, t_{i+k}]$
- The insertion of new de Boor points does not change the polynomial degree of the curve segments

# B-spline curves properties

- Locality of B-spline curves



# B-spline curves

- Evaluation of B-spline curves
  - Using B-spline functions
  - Using the de Boor algorithm

Similar algorithm to the de Casteljau algorithm for Bezier curves;  
consists of a number of linear interpolations on the de Boor polygon

# The de Boor algorithm

- Given:

$\mathbf{d}_0, \dots, \mathbf{d}_n$ : de Boor points

$(t_0, \dots, t_{k-1} = t_0, t_k, t_{k+1}, \dots, t_n, t_{n+1}, \dots, t_{n+k} = t_{n+1})$ :  
Knot vector

- wanted:

Curve point  $\mathbf{x}(t)$  of the B-spline curve of the order  $k$

# The de Boor algorithm

1. Search index  $r$  with  $t_r \leq t < t_{r+1}$
2. for  $i = r - k + 1, \dots, r$

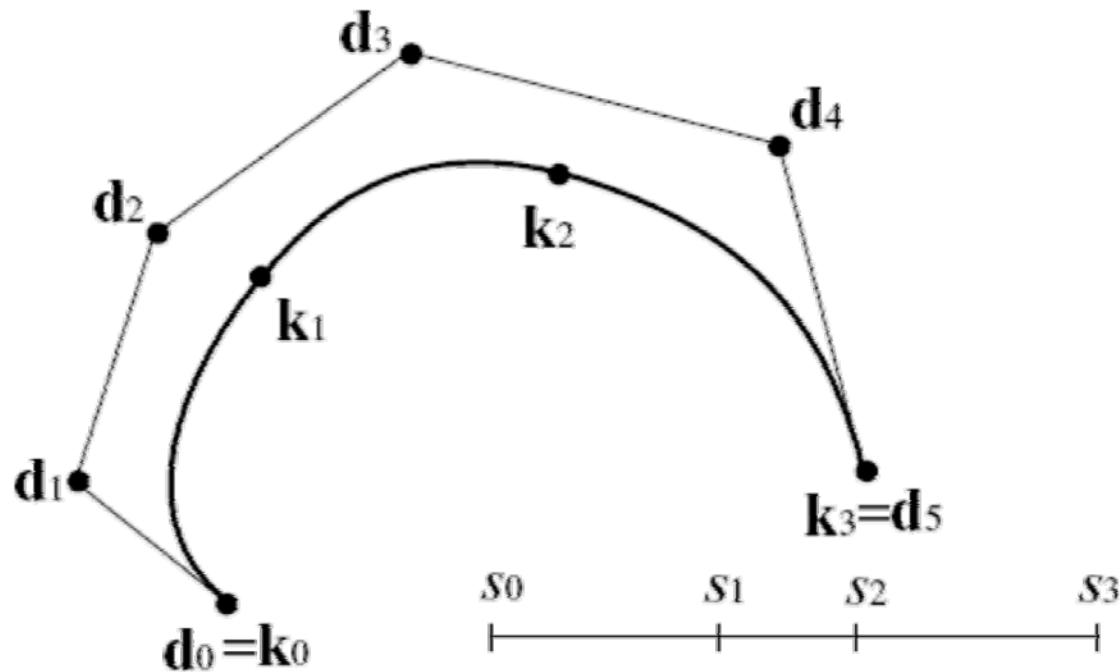
$$d_i^0 = d_i$$

- for  $j = 1, \dots, k - 1$ 
  - for  $i = r - k + 1 + j, \dots, r$ 
$$d_i^j = (1 - \alpha_i^j) \cdot d_{i-1}^{j-1} + \alpha_i^j \cdot d_i^{j-1}$$
with  $\alpha_i^j = \frac{t - t_i}{t_{i+k-j} - t_i}$

Then:  $d_r^{k-1} = x(t)$

# B样条曲线：分段Bezier曲线

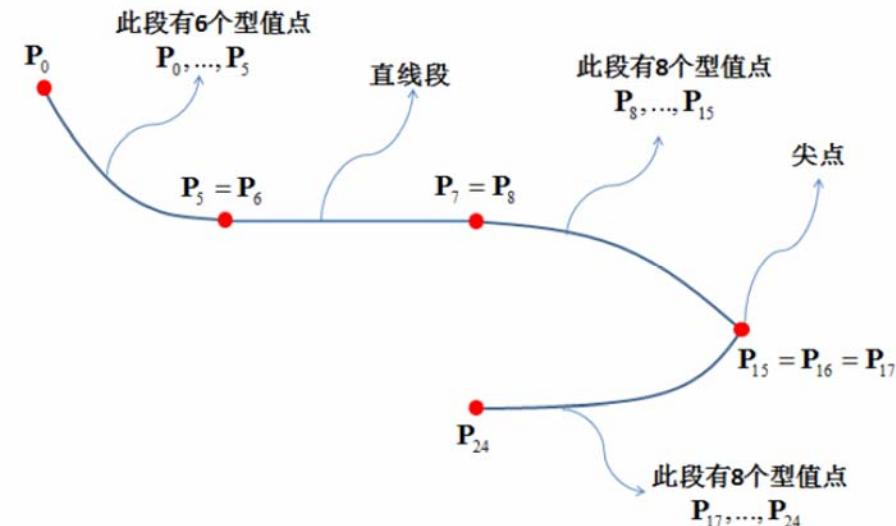
- $n = 3$



B: Basic (亦称“基本样条”)

# B样条的其他理论知识

- B样条的许多性质
  - 局部凸包性、变差缩减性、包络性
  - B样条的导数、积分递推式、几何作图
- 重节点的B样条基函数及B样条曲线
- Bezier样条曲线转换为B样条曲线
- **B样条插值方法**
- ...





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谢谢！