

# Exon-intron circular RNAs regulate transcription in the nucleus

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Noncoding RNAs (ncRNAs) have numerous roles in development and disease, and one of the prominent roles is to regulate gene expression. A vast number of circular RNAs (circRNAs) have been identified, and some have been shown to function as microRNA sponges in animal cells. Here, we report a class of circRNAs associated with RNA polymerase II in human cells. In these circRNAs, exons are circularized with introns 'retained' between exons; we term them exon-intron circRNAs or ElciRNAs. ElciRNAs predominantly localize in the nucleus, interact with U1 snRNP and promote transcription of their parental genes. Our findings reveal a new role for circRNAs in regulating gene expression in the nucleus, in which ElciRNAs enhance the expression of their parental genes in *cis*, and highlight a regulatory strategy for transcriptional control via specific RNA-RNA interaction between U1 snRNA and ElciRNAs.

One of the central roles of ncRNAs is to regulate gene expression<sup>1–7</sup>. Multiple long ncRNAs such as XIST and HOTAIR control gene expression through the epigenetic modification of chromatin status<sup>8,9</sup>, and ncRNAs such as U1 RNA and 7SK RNA modulate transcription via their association with the RNA polymerase II (Pol II) transcription complex<sup>10–13</sup>. Multiple ncRNAs such as roX1, roX2 and HOTAIR regulate gene transcription in *trans*, and each affects the expression of genes located away from the locus where it is transcribed<sup>14</sup>. Other ncRNAs such as linc-HOXA1 and Air function in *cis* and may directly affect the expression of only limited neighboring genes<sup>15,16</sup>. There are also ncRNAs, such as ncRNA-a, that execute their gene-regulatory functions with both *cis*- and *trans*-mediated mechanisms<sup>17</sup>.

The existence of circRNAs with covalent linkages in mammalian cells was indicated by EM more than three decades ago<sup>18</sup>, and since then circRNAs generated from exons of specific coding genes have been reported sporadically<sup>19–21</sup>. Through high-throughput RNA sequencing and bioinformatic analysis, circRNAs have now been recognized as a large species of RNAs with thousands of members in animal cells<sup>22–28</sup>, circRNAs often show developmental stage-specific and tissue-specific expression, thus suggesting potential regulatory roles<sup>26,27</sup>.

Several lines of evidence have indicated that circRNAs are most probably noncoding<sup>21,27,29</sup>. Recent reports have demonstrated that at least two circRNAs are able to function as microRNA sponges, with CDR1as as a sponge for miR-7 and circRNA generated from the *Sry* gene (circSry) as a sponge for miR-138 (refs. 27,28). Consistently with their roles in regulating microRNA functions, CDR1as and

circSry are predominantly localized in the cytoplasm<sup>27,28</sup>. Bioinformatic analysis has shown that the majority of circRNAs do not possess multiple binding sites for microRNAs and thus may not function as microRNA sponges<sup>29</sup>.

We set out to identify Pol II-associated ncRNAs, speculating that some of these RNAs might regulate gene transcription. We identified a subclass of circRNAs that, to our knowledge, was previously undescribed, and we found that these circRNAs localize in the nucleus. With further biochemistry and molecular characterizations, we provide lines of evidence that some of these circRNAs regulate the Pol II transcription of their parental genes in *cis* via specific RNA-RNA interaction.

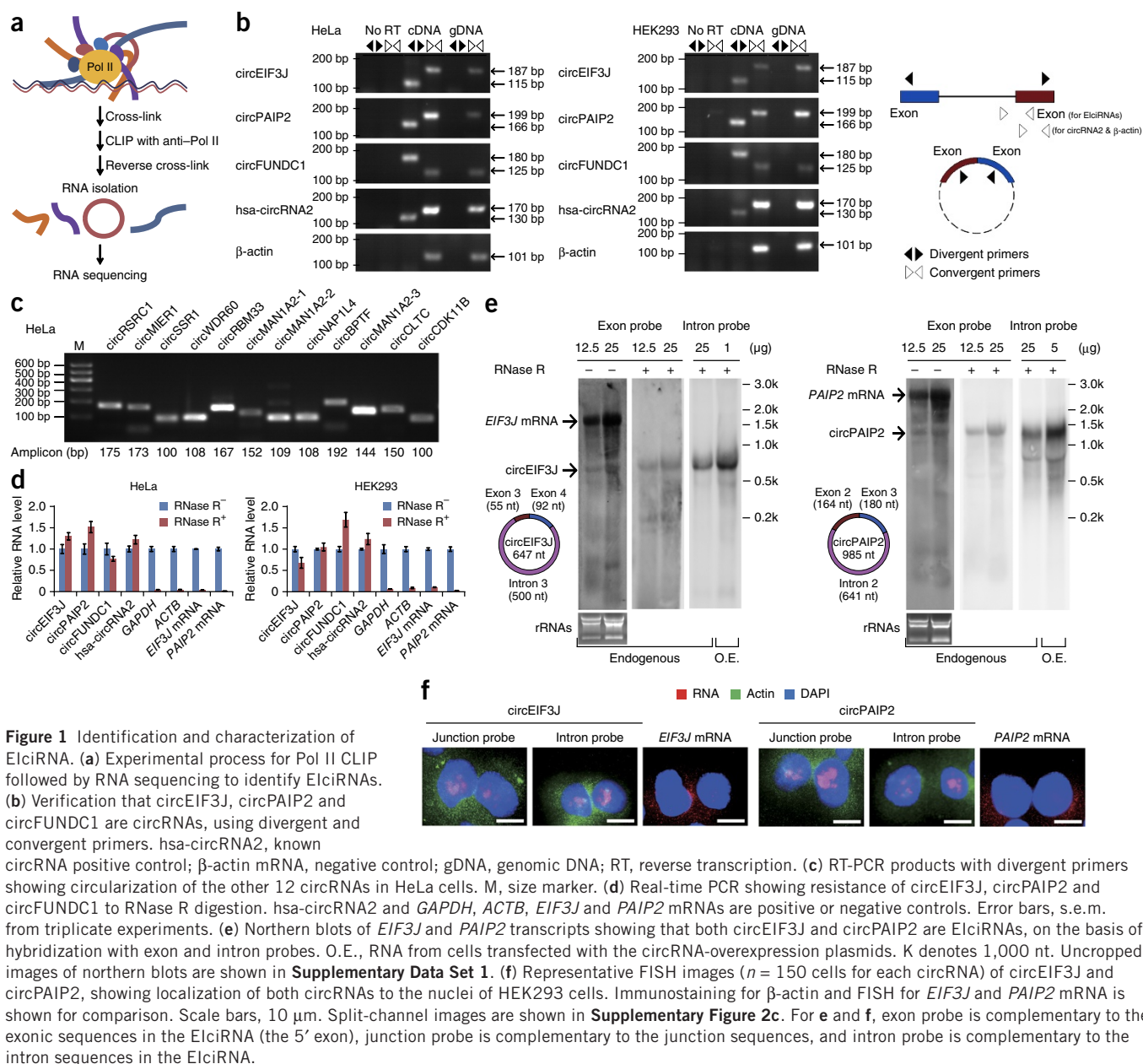
## RESULTS

### A special subclass of circRNAs associated with Pol II

We hypothesized that some ncRNAs are involved in transcriptional regulation and sought to identify Pol II-associated ncRNAs via cross-linking and immunoprecipitation (CLIP) with an antibody to Pol II (Fig. 1a and Supplementary Fig. 1a). RNA sequencing of Pol II CLIP samples and subsequent bioinformatic analysis revealed that some circRNAs were associated with Pol II in HeLa cells (Supplementary Tables 1–3). We identified a total of 111 circRNAs by Pol II CLIP. We noted that, similarly to data described in a previous report<sup>27</sup>, the read number was low for most circRNAs identified. We believe that the number of circRNAs deduced from the deep-sequencing data might be underestimated for two reasons: first, the deep-sequencing protocol was not optimized for detecting circRNAs; second, bioinformatic

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analysis could count only junction sequences as circRNA reads, thus missing all other sequences enclosed within circRNAs. We confirmed the enrichment of the 15 most abundant circRNAs by Pol II RNA immunoprecipitation (RNA IP) and subsequent real-time quantitative PCR (Table 1 and **Supplementary Fig. 1b–e**). Some of the circRNAs, such as circCLTC, demonstrated cell-specific expression in HeLa and HEK293 cells (**Supplementary Fig. 1f**). For the 15 RNAs, we confirmed that they were circular by RNase R digestion and divergent reverse-transcription PCR (RT-PCR; **Fig. 1b–d**), according to previously described methodology<sup>24</sup>. We further analyzed two of these circRNAs, circEIF3J and circPAIP2, by northern blotting and RT-PCR (**Fig. 1b,e**). The data demonstrated that introns between circularized exons were retained in both cases (**Fig. 1e** and **Supplementary Fig. 2a**). In actuality, all 15 circRNAs analyzed appeared to contain intronic sequences when examined by RT-PCR with divergent primers corresponding to the 5' exon and upstream intron closest to the

3' exon in the circRNA (**Supplementary Fig. 2b**). The intron-retention property of these circRNAs is distinct from microRNA-sponge circRNAs and the other circRNAs characterized experimentally, such as hsa-circRNA6, hsa-circRNA2 and hsa-circRNA9 (refs. 27,28); these circRNAs are also formed from exon back-splicing, but they consist exclusively of exonic sequences<sup>27</sup>. We have therefore termed our newly identified intron-containing circRNAs ElciRNAs. We estimated the approximate circEIF3J and circPAIP2 copy number per cell to be ~31 and ~22, respectively, in HeLa cells (copy-number analysis in Online Methods). From northern blots, we also calculated that the ratio of ElciRNA to parental mRNA is ~8.9% (circEIF3J/EIF3J mRNA) and ~9.4% (circPAIP2/PAIP2 mRNA). Fluorescence *in situ* hybridization (FISH) revealed that circEIF3J and circPAIP2 are localized exclusively in the nucleus (**Fig. 1f** and **Supplementary Fig. 2c–g**). The nuclear localization of these ElciRNAs and their association with Pol II suggest that they might be involved in transcriptional regulation.



**Table 1** The top 15 circRNAs enriched in the RNA-sequencing pool from Pol II CLIP

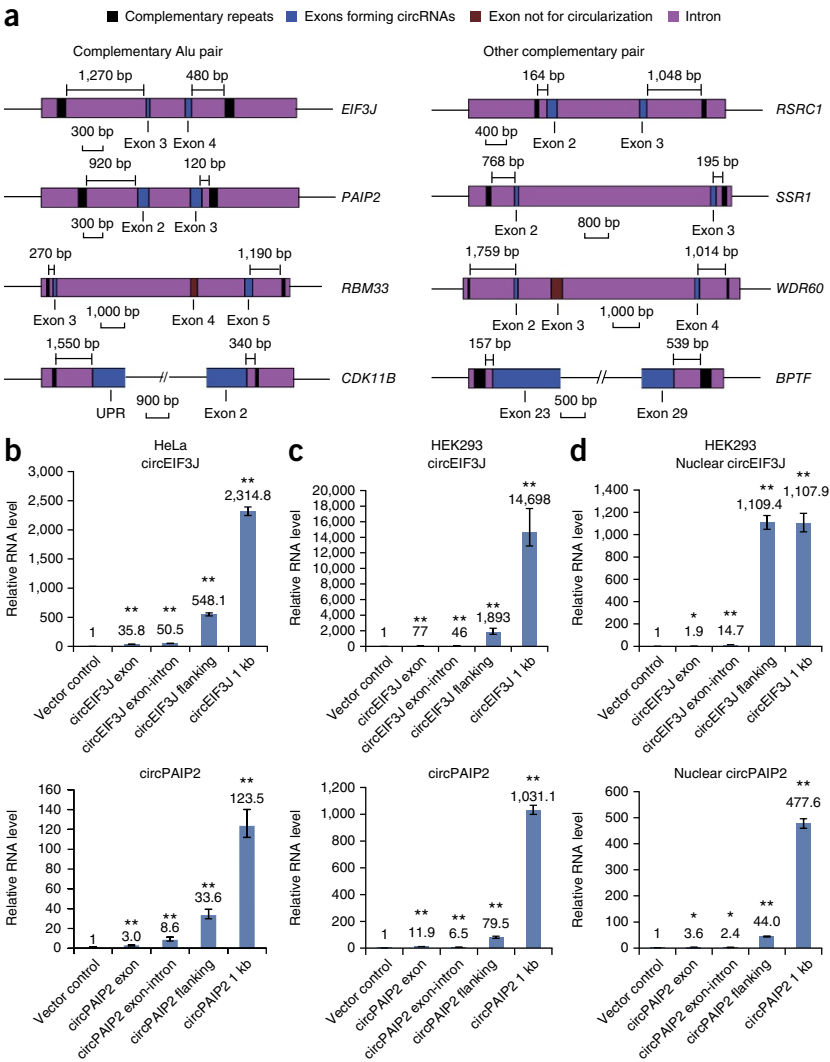
Name	Parental gene	Junction	Linear distance of head-to-tail junction (nt)
circEIF3J	<i>EIF3J</i>	Exon 3-4	647
circPAIP2	<i>PAIP2</i>	Exon 2-3	985
circRSC1	<i>RSC1</i>	Exon 2-3	1,889
circFUND1	<i>FUND1</i>	Exon 4-5(P)	3,364
circMIER1	<i>MIER1</i>	Exon 6-9	5,102
circSSR1	<i>SSR1</i>	Exon 2-3	6,480
circWDR60	<i>WDR60</i>	Exon 2-4	6,837
circRBM33	<i>RBM33</i>	Exon 3-5	8,042
circMAN1A2-1	<i>MAN1A2</i>	Exon 2-4	12,646
circMAN1A2-2	<i>MAN1A2</i>	Exon 2-5	18,464
circNAP1L4	<i>NAP1L4</i>	Exon 2-14	27,979
circBPTF	<i>BPTF</i>	Exon 23-29	30,550
circMAN1A2-3	<i>MAN1A2</i>	Exon 2-6	40,140
circCLTC	<i>CLTC</i>	Exon 2-30	41,533
circCDK11B	<i>CDK11B</i>	UPR-exon2	64,072

Parental gene sequences involved in head-to-tail splicing to form junctions and the linear distances of the head-to-tail junctions are shown. Exon 4-5(P) indicates that part of the known exon 5 sequence was involved in the circularization. UPR, the upstream region of the *CDK11B* gene.

**ElciRNAs can be overexpressed with their flanking sequences**

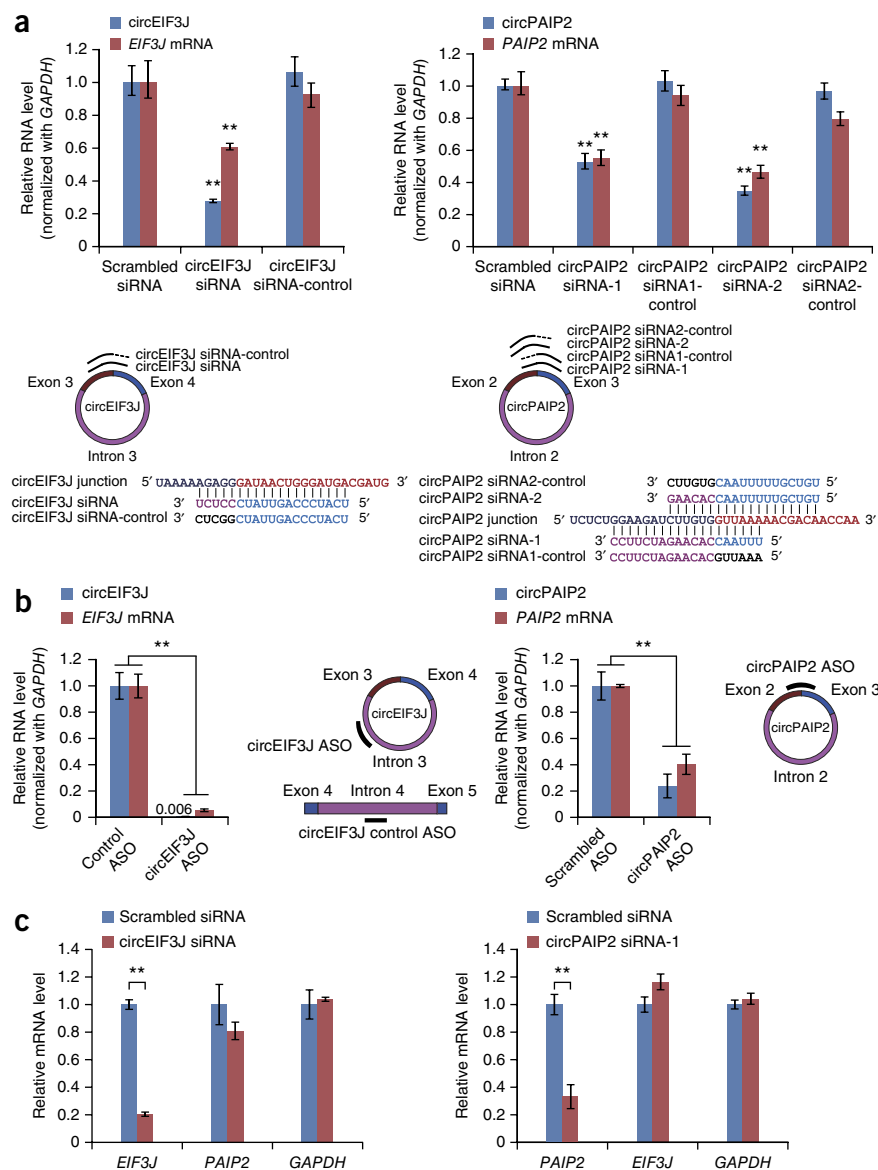
Previous bioinformatic analysis showed that sequences flanking exons forming circRNAs were more likely to contain complementary Alu elements, and there was also indication that flanking inverted repeats might mediate the circularization of circSry<sup>30,31</sup>. The genomic regions of 4 of the 15 circRNAs contained flanking Alu complementary pairs, and four others contained flanking complementary sequence pairs other than Alu (Fig. 2a). We constructed plasmids with DNA sequences corresponding to circEIF3J and circPAIP2 without flanking sequences (retaining the 5' splicing site with the conserved AG and 3' splicing site with the conserved GT); with their endogenous flanking sequences (which include the complementary Alu pairs); or with a 1-kb complementary repeat (detailed plasmid information in Online Methods). The endogenous flanking sequences resulted in the production of circRNAs at relative amounts of ~548-fold for circEIF3J and ~33-fold for

circPAIP2 in HeLa cells; 1-kb complementary repeats produced a fold overexpression of ~2,310 for circEIF3J and ~123 for circPAIP2 (Fig. 2b). DNA sequences corresponding to circEIF3J and circPAIP2 without flanking sequence but with just the 5' and 3' splicing sites also resulted in the production of circRNAs, although with the lower relative amounts of ~35-fold for circEIF3J and ~3-fold for circPAIP2 (Fig. 2b). These constructs also had similar circRNA-overexpression effects in HEK293 cells (Fig. 2c). The overexpression plasmids also resulted in higher amounts of the corresponding circRNA in the nucleus, and the overexpressed circRNAs were also intron retaining (Fig. 2d and Supplementary Fig. 3a). From the data from these artificial plasmids, it appeared that flanking sequences could facilitate circularization of the RNA, and the circRNA sequences could possess internal circularization characteristics.



**Figure 2** Sequences related to circularization. (a) Schematics showing that genomic regions of 4 out of 15 circRNAs contain flanking Alu complementary pairs, and another four contain flanking complementary sequences other than an Alu element. The linear distances from the repeat sequences to the exons forming circRNAs are labeled. Only those complementary repeats that had at least one part of the two complementary elements within 1.5 kb of the flanking region were counted. (b) Overexpression of circEIF3J and circPAIP2 with various constructs in HeLa cells. Details about the overexpression plasmids are in Online Methods. (c) As in b for HEK293 cells. (d) As in b, measured in HEK293 nuclei. In b–d, error bars, s.e.m. from triplicate transfections. \**P* < 0.05; \*\**P* < 0.01 by two-tailed Student's *t* test.

**Figure 3** ElciRNAs have *cis* regulatory effects. **(a)** Decrease in mRNA levels of the parental genes after knockdown of circEIF3J or circPAIP2 with siRNA. The siRNAs targeted circEIF3J and circPAIP2 at junction sequences. The blue and purple sequences below the histograms show the corresponding 5' and 3' exon sequences forming the junction; mismatched sequences in the corresponding control siRNA are shown in black. **(b)** Decrease in mRNA levels of the parental genes after knockdown of circEIF3J or circPAIP2 with ASOs. For circEIF3J, the ASO targets intron sequences in the ElciRNA, and the control ASO targets a downstream intron. For circPAIP2, the ASO targets the ElciRNA junction (sequences specified in **Supplementary Fig. 3c**). **(c)** Nuclear run-on experiments showing a specific decrease in transcription of the corresponding parental gene after circRNA knockdown. The two genes were cross-examined, and *GAPDH* is a negative control. Throughout figure, error bars, s.e.m. from triplicate transfections.  $^{**}P < 0.01$  by two-tailed Student's *t* test.



circularization characteristics<sup>33</sup>. We later used these overexpression plasmids to investigate whether ElciRNAs could regulate the expression of their parental genes in *trans*.

### ElciRNAs regulate expression in *cis*

We started to evaluate roles of ElciRNAs by knocking down their expression. Knockdown of circEIF3J and circPAIP2 with short interfering RNAs (siRNAs) or RNase H-based antisense oligonucleotides (ASOs)<sup>34</sup> targeting ElciRNA resulted in a decrease in the mRNA levels of the parental genes in HeLa and HEK293 cells, respectively (**Fig. 3a,b** and **Supplementary Fig. 3b,c**). We also examined the 5' neighboring genes of *EIF3J* and *PAIP2* and found that knockdown of circEIF3J and circPAIP2 had no effect on the neighboring genes (**Supplementary Fig. 3d**). To further examine whether the decrease in mRNA levels resulted from a decrease in the transcription of the parental genes, we performed nuclear run-on experiments. We knocked down circEIF3J and circPAIP2 with their corresponding siRNAs and then extracted cell nuclei for run-on experiments. We found that knockdown of circEIF3J and circPAIP2 resulted in lower *EIF3J* and *PAIP2* transcription levels, respectively (**Fig. 3c** and **Supplementary Fig. 3e**). In addition, in nuclear run-on experiments, knockdown of *EIF3J* and *PAIP2* mRNA with siRNA had no effect on the transcription of *EIF3J* and *PAIP2*, respectively (**Supplementary Fig. 3f**). Additionally, knockdown of *EIF3J* or *PAIP2* mRNA with short hairpin RNA (shRNA) or siRNA had no effect on the levels of circEIF3J or circPAIP2 (**Supplementary Fig. 4a–c**). Overexpression of circEIF3J and circPAIP2 from plasmids also had no substantial effect on the levels of *EIF3J* and *PAIP2* mRNA (**Supplementary Fig. 4d,e**). RNA-DNA double FISH revealed that circEIF3J and circPAIP2 each colocalized with the genomic loci of their corresponding parental genes in more than half of the cells (**Fig. 4a,b**). This finding is in contrast with genes that flank *EIF3J* or *PAIP2* at the 5' and 3' ends and the genomic locus for *GAPDH*, which demonstrated no colocalization with the two ElciRNAs (**Fig. 4c**).

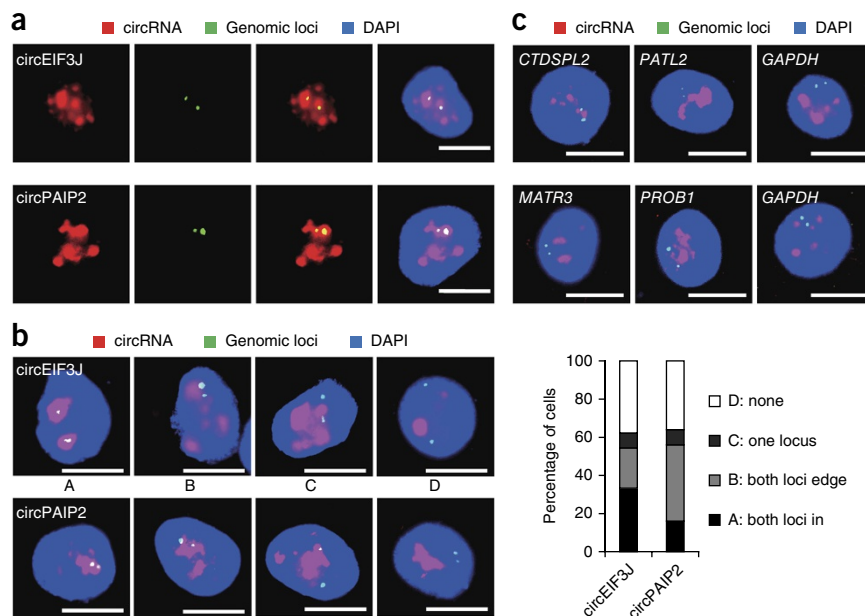
Collectively, these data suggest that circEIF3J and circPAIP2 may regulate the expression of their parental genes in *cis*. However, the localization of these two ElciRNAs in the nucleus was not confined to their parental gene loci, thus indicating potential *trans* effects of these ElciRNAs on loci other than their parental genes. In this study, we chose to focus on the *cis* effects of ElciRNAs on their parental genes.

### ElciRNAs interact with Pol II, U1 snRNP and gene promoters

Using pulldown assays with biotin-labeled oligonucleotides complementary to specific ElciRNA sequences, we analyzed the proteins and RNAs coprecipitating with the two ElciRNAs. Furthermore, to investigate potential interactions between ElciRNAs and chromatin, we also examined genomic DNA coprecipitated (in chromatin isolation by RNA purification (ChIRP) experiments) with specific ElciRNAs (**Fig. 5a** and **Supplementary Fig. 5a**; efficiency and specificity of these pulldowns in **Supplementary Fig. 5a**). Pulldown with either circEIF3J or circPAIP2 yielded not only Pol II but also the U1A and U1C proteins and U1 small nuclear RNA (snRNA; **Fig. 5b,c** and **Supplementary Fig. 5b–f**). U2 snRNA could also be pulled down with the circRNAs, but it appears that the association between

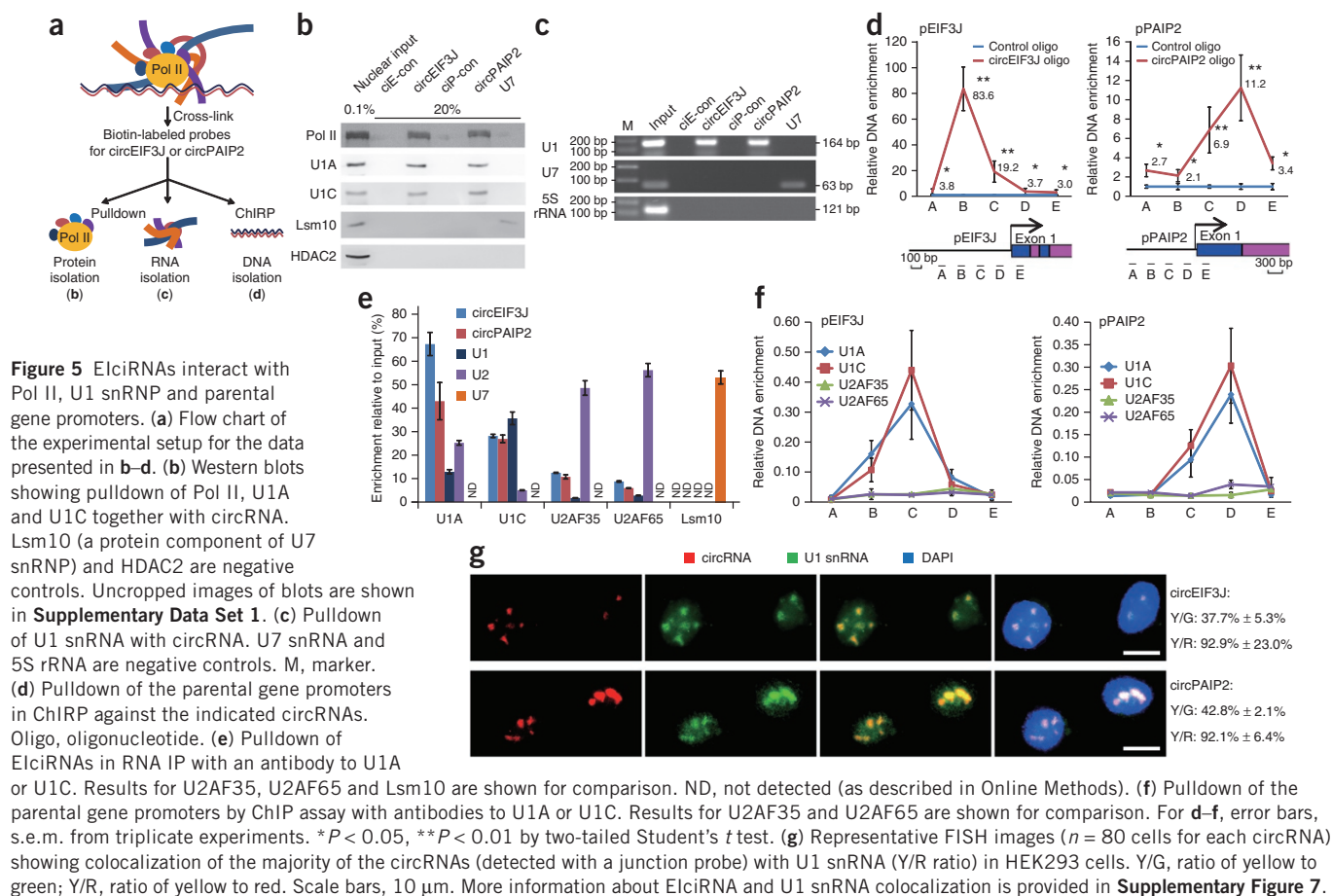
**Figure 4** Double FISH for circRNA and its parental or neighboring gene loci.

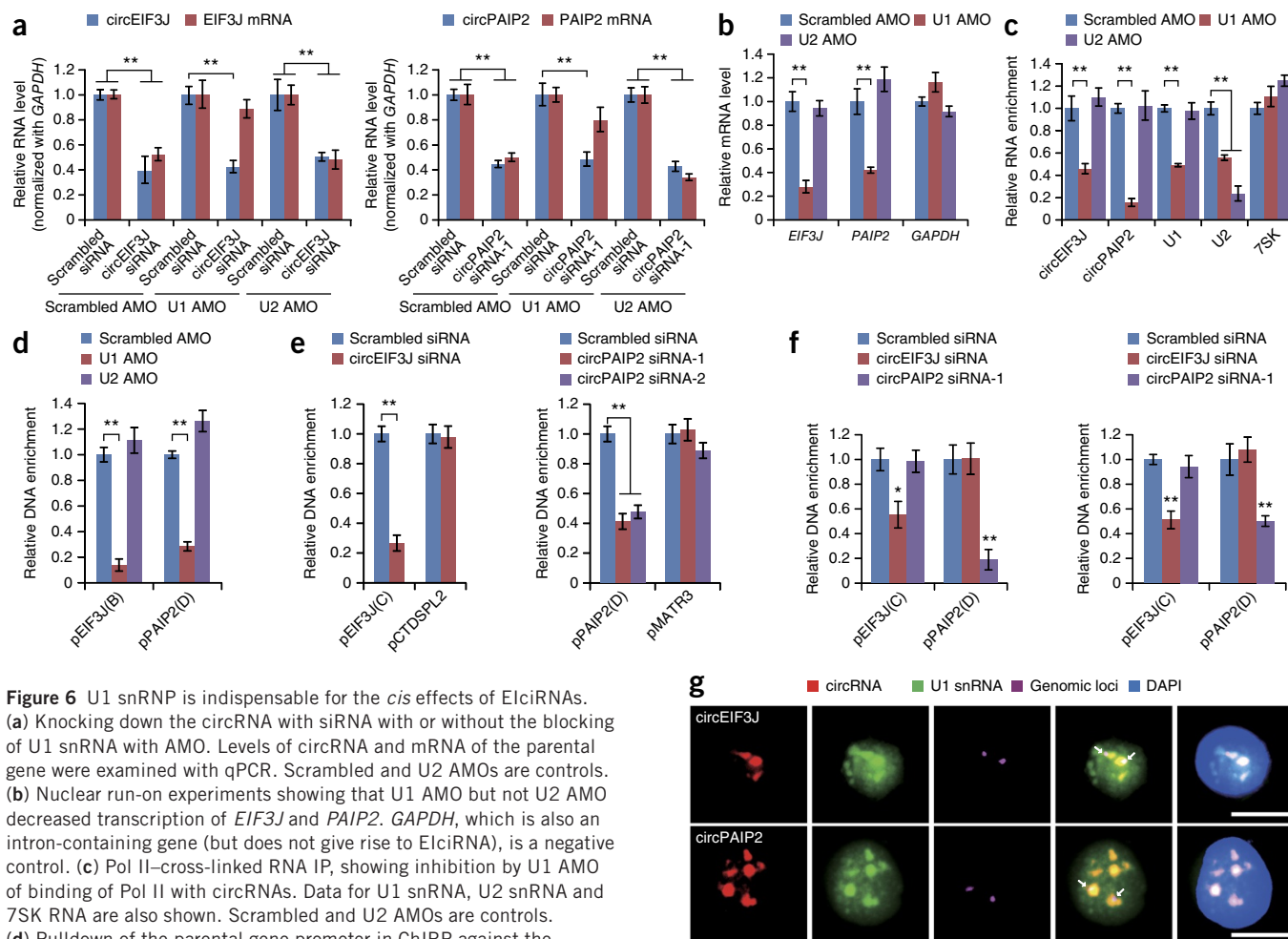
(a) Representative FISH images ( $n = 30$  for circEIF3J and  $n = 16$  for circPAIP2) showing colocalization of circRNAs (detected with a junction probe) and the corresponding parental gene loci. DAPI, 4',6-diamidino-2-phenylindole stain. (b) FISH images demonstrating four categories in the colocalization of circRNA and parental gene loci: A, both gene loci are localized inside the circRNA signal; B, both gene loci are localized at the edge of, and colocalized with, circRNA; C, one gene locus is, and the other one is not, colocalized with circRNA; D, neither locus is colocalized with circRNA. Images shown in a belong to the category A. Statistical analysis for the four categories is shown in bar graph. Both parental gene loci are colocalized (categories A + B) with the circRNA in more than half of the cells, and the percentages are ~54.4% for circEIF3J and ~56% for circPAIP2 ( $n = 90$  cells for circEIF3J and 100 cells for circPAIP2). (c) Representative double FISH images ( $n = 40$  cells for each image panel) showing no circRNA colocalization with the genomic loci of *GAPDH* and corresponding 5' or 3' neighboring genes. For *EIF3J*, 5' gene *CTDSPL2* and 3' gene *PATL2*; for *PAIP2*, 5' gene *MATR3* and 3' gene *PROB1*. The *CTDSPL2* and *PROB1* genomic loci were occasionally just at the edge of the corresponding circRNA without colocalization. The circRNA FISH signal was detected with a junction probe in HEK293. Scale bars, 10  $\mu$ m.



U2 snRNA and circRNA may be indirect (Supplementary Fig. 5d). We repeated these experiments with another set of biotin-labeled oligonucleotides complementary to exonic sequences in EICiRNA

and obtained similar results (Supplementary Fig. 5e,f). Sites within the promoter and first-exon regions of the parental genes also coprecipitated (Fig. 5d and Supplementary Fig. 6a,b). Conversely,





**Figure 6** U1 snRNP is indispensable for the *cis* effects of ElciRNAs.

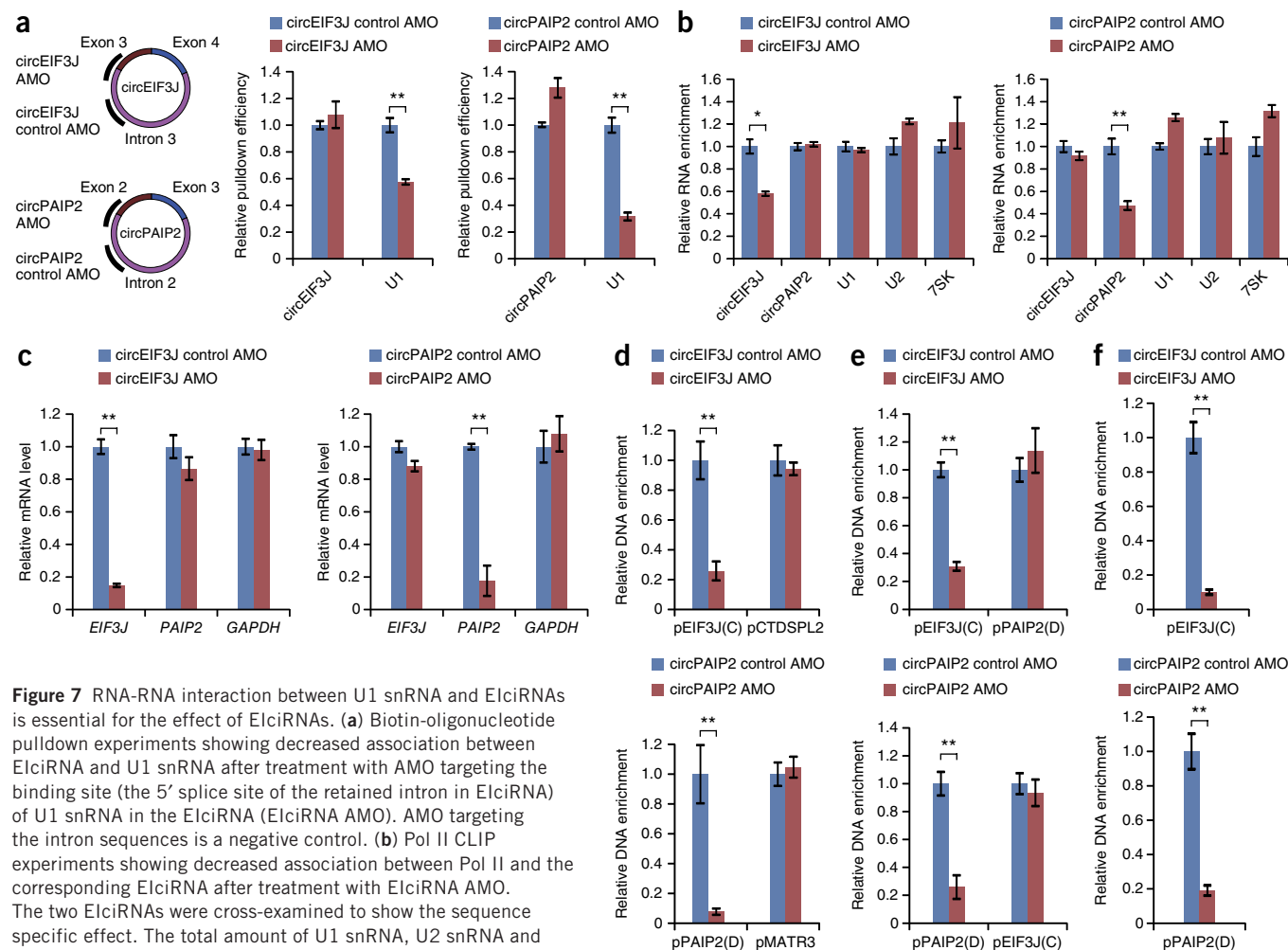
(a) Knocking down the circRNA with siRNA with or without the blocking of U1 snRNA with AMO. Levels of circRNA and mRNA of the parental gene were examined with qPCR. Scrambled and U2 AMOs are controls. (b) Nuclear run-on experiments showing that U1 AMO but not U2 AMO decreased transcription of *EIF3J* and *PAIP2*. *GAPDH*, which is also an intron-containing gene (but does not give rise to ElciRNA), is a negative control. (c) Pol II-cross-linked RNA IP, showing inhibition by U1 AMO of binding of Pol II with circRNAs. Data for U1 snRNA, U2 snRNA and 7SK RNA are also shown. Scrambled and U2 AMOs are controls. (d) Pulldown of the parental gene promoter in ChIP against the corresponding circRNA with or without the blocking of U1 snRNA with AMO. pEIF3J(B), the B site shown in **Figure 3d**; pPAIP2(D), the D site shown in **Figure 3d**. Scrambled and U2 AMOs are controls. (e) Pol II ChIP assay showing reduced Pol II binding to the parental gene promoters upon circRNA knockdown via siRNA. Data are also shown for promoters of corresponding neighboring genes (*CTDSPL2* for *EIF3J*; *MATR3* for *PAIP2*). pEIF3J(C), the C site shown in **Figure 3d**. (f) U1A and U1C ChIP assay showing reduced binding of U1A and U1C to the promoters of corresponding parental genes upon circRNA knockdown via siRNA. For **e** and **f**, more details are shown in **Supplementary Figure 8a,b**. (g) FISH images showing localization of the two parental gene loci (white arrow) to regions enriched for ElciRNA (detected with junction probe) and U1 snRNA in ~65.4% of cells for *EIF3J* and ~51.3% cells for *PAIP2* ( $n = 52$  cells for *EIF3J*, 39 cells for *PAIP2*). Additional information about circRNA, U1 snRNA and parental-gene-loci FISH images is shown in **Supplementary Figure 7b**. \* $P < 0.05$ ; \*\* $P < 0.01$  by two-tailed Student's *t* test. Error bars, s.e.m. from triplicate experiments.

pulldown with the U1A or U1C proteins coprecipitated a substantial amount of ElciRNAs; pulldown with Lsm10 (a protein component of U7 small nuclear ribonucleoprotein (snRNP) or auxiliary factors (U2AF65 and U2AF35) for U2 recognition of the 3' splice sites did not result in substantial enrichment in ElciRNAs (**Fig. 5e**). U1A and U1C but not U2AF65 or U2AF35 interacted with the promoter regions of corresponding parental genes, as demonstrated by chromatin immunoprecipitation (ChIP; **Fig. 5f**). ChIP results with antibodies to U1A, U1C, U2AF35 or U2AF65 for the promoter of the neighboring gene (*CTDSPL2* for *EIF3J* and *MATR3* for *PAIP2*) showed no enrichment (**Supplementary Fig. 6c**), thus indicating that the U1 snRNP binds to the promoters of some genes, such as *EIF3J* and *PAIP2*, but not to the promoters of genes such as *CTDSPL2* and *MATR3*. Our results (**Fig. 5d,f**) indicate that ElciRNA and the U1 snRNP (U1A and U1C) occupy a region ~300 bp upstream of the transcriptional start site of the parental genes, suggesting that ElciRNAs, U1 snRNP and Pol II might interact with each other at promoter regions of the parental genes. Dual RNA FISH for ElciRNA and U1 snRNA revealed that

the majority of circEIF3J or circPAIP2 colocalized with U1 snRNA in the nucleus, further indicating potential interaction between them (**Fig. 5g** and **Supplementary Fig. 7**).

### U1 snRNP is indispensable for the *cis* effects of ElciRNAs

It may be possible that U1 snRNP mediates the function of ElciRNAs. Indeed, we found that blocking U1 snRNA with a U1 antisense morpholino (AMO) abolished the effects of ElciRNA knockdown on the mRNA levels of the parental genes, whereas a U2 AMO had no such effect (**Fig. 6a**). Furthermore, U1 AMO but not U2 AMO decreased transcription of *EIF3J* and *PAIP2* in nuclear run-on experiments (**Fig. 6b**). The U1 AMO also substantially decreased the association between Pol II and the individual ElciRNAs examined (**Fig. 6c**) but not the interaction between Pol II and 7SK RNA, which was a negative control<sup>11,12</sup>. The interaction between ElciRNAs and the promoters of the parental genes was also reduced upon U1 AMO treatment (**Fig. 6d**). Conversely, knockdown of ElciRNA with siRNA decreased the binding of Pol II and specific U1 snRNP proteins (U1A and U1C) to their parental



**Figure 7** RNA-RNA interaction between U1 snRNA and ElciRNAs is essential for the effect of ElciRNAs. **(a)** Biotin-oligonucleotide pulldown experiments showing decreased association between ElciRNA and U1 snRNA after treatment with AMO targeting the binding site (the 5' splice site of the retained intron in ElciRNA) of U1 snRNA in the ElciRNA (ElciRNA AMO). AMO targeting the intron sequences is a negative control. **(b)** Pol II CLIP experiments showing decreased association between Pol II and the corresponding ElciRNA after treatment with ElciRNA AMO. The two ElciRNAs were cross-examined to show the sequence specific effect. The total amount of U1 snRNA, U2 snRNA and 7SK RNA binding to Pol II was not significantly altered. **(c)** Nuclear run-on experiments showing decreased transcription of the corresponding parental gene after treatment with ElciRNA AMO. *GAPDH* is a negative control, and the two genes were cross-examined. **(d)** Pol II CHIP showing impeded binding of Pol II to the promoter of the corresponding parental gene after treatment with ElciRNA AMO. **(e)** U1C CHIP showing decreased binding of U1 snRNP to the promoter of the corresponding parental gene after treatment with ElciRNA AMO. The two genes were cross-examined. **(f)** ElciRNA ChIRP showing attenuation of the association between the ElciRNA and the parental gene promoter after treatment with the corresponding ElciRNA AMO. Throughout figure, error bars, s.e.m. from triplicate experiments. \* $P < 0.05$ ; \*\* $P < 0.01$  by two-tailed Student's  $t$  test.

gene promoters (Fig. 6e,f and Supplementary Fig. 8a,b). Pol II binding to the gene bodies of the parental genes was also decreased upon the knockdown of the corresponding circRNA (Supplementary Fig. 8c,d). In contrast, mRNA knockdown with siRNA had no effect on Pol II binding at the gene promoter (Supplementary Fig. 8e). FISH images showed that ElciRNA and U1 snRNA are concentrated around parental gene loci in more than half of the cells (Fig. 6g and Supplementary Fig. 7b). Together, these data indicate that U1 snRNP mediates the effects of ElciRNA on the expression of parental genes.

#### U1 snRNA-ElciRNA interaction is essential for the effect

We next examined where the U1 snRNA binding site is located in the ElciRNAs. On the basis of sequence complementarity, there might be only one U1 snRNA-binding site in each of the two ElciRNAs, potentially at the 5' splice site of the retained intron. Sterically blocking this site with AMO decreased interaction between U1 snRNA and ElciRNA, as examined by ElciRNA pulldown with antisense biotin-labeled oligonucleotides (Fig. 7a). Interactions between Pol II and ElciRNA examined with Pol II CLIP also decreased upon AMO blocking of the U1-binding site (Fig. 7b). Furthermore, AMO blocking

of the binding site of U1 snRNA in the corresponding ElciRNA decreased the transcription of the parental gene in nuclear run-on experiments (Fig. 7c). Consistently with the decreased transcription, the interactions between the parental gene promoter and Pol II were also decreased (Fig. 7d). Binding of U1 snRNP to the parental gene promoter, examined with ChIP, and interaction of ElciRNA with the parental gene promoter, examined with ChIRP, were all substantially attenuated by these AMO blockages (Fig. 7e,f). These data demonstrate that the binding of U1 snRNA to ElciRNA is critical for every aspect as well as the whole process of transcriptional enhancement by ElciRNAs. Thus the specific RNA-RNA interaction between U1 snRNA and ElciRNA via the U1-binding site in ElciRNA is essential for the transcription-enhancing effect of ElciRNAs.

#### DISCUSSION

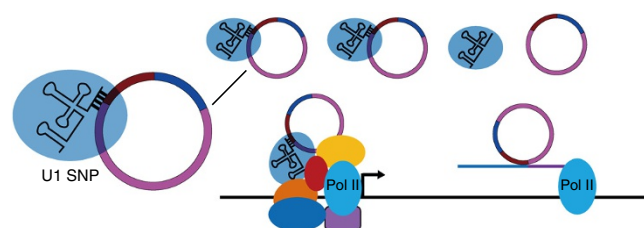
Here we identified a special class of circRNAs as ElciRNAs (for example, circEIF3J and circPAIP2). ElciRNAs might hold factors such as U1 snRNP through RNA-RNA interaction between U1 snRNA and ElciRNA, and then the ElciRNA-U1 snRNP complexes might further interact with the Pol II transcription complex at the promoters of

parental genes to enhance gene expression (model in Fig. 8). We speculate that once the transcription of a gene is turned on, the generation of ElciRNA from the gene would further promote the gene's transcription, hence generating positive feedback. Regulation at the transcriptional level by ncRNAs is a fundamental aspect of gene expression<sup>35,36</sup>. The conventional function of U1 snRNA is splicing<sup>37</sup>, but additional lines of evidence have shown that U1 snRNP has other roles, such as stimulating early transcriptional events, preventing premature polyadenylation and determining promoter directionality<sup>10,38–42</sup>. Specific RNA-RNA interaction between ncRNAs such as that between U1 snRNA and ElciRNA might be one of the central themes underlying the functional mechanism of ncRNAs<sup>43</sup>. However, our data also do not exclude other potential regulatory roles and mechanisms of ElciRNAs.

Biogenesis of circRNAs has been a recent hotspot of research. Our work (Fig. 2) and several publications have shown a link between flanking repeat sequences and biogenesis of circRNAs<sup>30,32,33</sup>. The internal sequences also contribute to circRNA biogenesis, as noticed by us (Fig. 2b–d) and also by others<sup>33</sup>. Whether circRNAs are generated cotranscriptionally or post-transcriptionally is still a topic under debate<sup>33,44</sup>. In our model, whether ElciRNAs are generated cotranscriptionally or post-transcriptionally is not an issue for their *cis* function, as long as they are generated at the spot of transcription. To our knowledge, researchers have not previously described any circRNA tissue or cell specificity similar to our observations for circCLTC (Supplementary Fig. 1f). The mRNA of the CLTC-encoding gene is expressed in both HeLa and HEK293 cells, although only HeLa cells express circCLTC. Beyond the nucleotide sequences, biogenesis of circRNAs seems to be regulated by some mechanisms with cell and/or gene specificity. It is possible that there is a relationship of competition between the linear mRNA and the circRNA regarding which one is generated from the single linear RNA precursor during splicing<sup>44</sup>. However, once generated, ElciRNAs may modulate the expression of the parental genes transcriptionally to increase levels of both circRNA and mRNA. The two ElciRNAs that we characterized in detail also showed some degree of heterogeneity in their amounts and distributions among individual cells (Figs. 4, 5g and 6g, and Supplementary Fig. 7), and this may indicate certain dynamic features of gene expression and gene regulation.

Recently, an argument has been raised that only very limited circRNAs (maybe just several of them) could act as microRNA sponges<sup>29</sup>. It has also been noted that, according to bioinformatic analysis, ~20% of the thousands of circRNAs in mammalian cells might have retained introns<sup>29</sup>. The majority of circRNAs have low abundance, and doubts have been raised regarding a biological function for most circRNAs<sup>29</sup>. For the *cis* effect, such as we present here for ElciRNAs, the abundance of individual circRNAs does not necessarily need to be high.

By showing that ElciRNAs promote the transcription of parental genes via interaction with U1 snRNP, we provide new insight into a gene-expression fine-tuning mechanism that works via RNA-RNA interaction. The identification of ElciRNAs in this study, together with circRNAs formed exclusively with either exonic or intronic sequences<sup>27–29,45</sup>, suggests that there are at least three distinct circRNA populations in animal cells. Also, certain exonic sequences, which have been classically viewed as 'protein-coding' sequences, contribute to the formation of at least two types of 'noncoding' circular transcripts of exonic circRNAs and ElciRNAs. It is also fascinating that exon-only circRNAs may be involved in regulatory functions in the cytoplasm<sup>27,28</sup>, whereas the ElciRNAs identified in this study appear to be efficiently retained for transcriptional



**Figure 8** A working model for the *cis* effects of ElciRNAs on the expression of parental genes. ElciRNAs might hold factors such as U1 snRNP through specific RNA-RNA interaction between U1 snRNA and ElciRNA, and then the ElciRNA–U1 snRNP complexes might further interact with the Pol II transcription complex at the promoters of parental genes to enhance gene expression.

regulation in the nucleus. Furthermore, we speculate that the functions and related mechanisms of circRNAs may be rather diverse, and therefore further studies will need to be performed to rigorously explore the physiological roles of circRNAs.

## METHODS

Methods and any associated references are available in the [online version of the paper](#).

**Accession codes.** RNA-sequencing data have been deposited in the Gene Expression Omnibus database under accession code [GSE64443](#).

*Note: Any Supplementary Information and Source Data files are available in the online version of the paper.*

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## AUTHOR CONTRIBUTIONS

G.S. conceived this project, designed experiments and supervised their execution. G.S. wrote the manuscript with the assistance of Z.L. and C.H. Z.L., C.H. and C.B. performed most of the experiments and analyzed most of the data. L.C., M.L., X.W., G.Z., B.Y., W.H., L.D., Y.J., P.X. and H.L. performed some of the experiments and (or) data analysis. X.W., P.Z., Z.C., Q.W. and Y.Z. performed bioinformatic analysis. All authors discussed the results and made comments on the manuscript.

## COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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## ONLINE METHODS

**Cross-linking immunoprecipitation (CLIP) assay.** CLIP was carried out as previously described with some modifications<sup>46</sup>. Briefly, the cultured cells were irradiated in a UV cross-linker (254 nm, 400 mJ/cm<sup>2</sup>, 1 min) and then harvested in ice-cold lysis buffer (10 mM HEPES, pH 7.4, 200 mM NaCl, 30 mM EDTA and 0.5% Triton-X 100), 100 units/ml RNasin Plus RNase Inhibitor (Promega), 1.5 mM DTT, and 1× protease-inhibitor cocktail (Sangon). Cells were sonicated for 15 min with a Bioruptor (Diagenode), the cell suspension was centrifuged at 12,000g for 5 min at 4 °C, and the supernatant was collected and precleared with protein G–Sepharose 4 Fast Flow suspension (GE Amersham) for 1 h at 4 °C (Input). Anti-Pol II antibody (Abcam, cat. no. ab5095) or IgG (as control) was added to couple antigen for 1 h at 4 °C, and then Protein G–Sepharose 4 Fast Flow suspension was added to perform CLIP for at least 3 h at 4 °C. The antibody–Protein G bead complexes were washed five times with lysis buffer, and one-fifth volume of solution after the last wash was saved for western blots. Then the antibody–Protein G bead complexes were resuspended in 50 µl elution buffer (100 mM Tris, pH 7.8, 10 mM EDTA, and 1% SDS) and were reverse cross-linked with 30 µg of proteinase K at 65 °C for 1 h; this was followed with phenol/chloroform (pH 4.2) extraction to obtain RNA. To prepare CLIP RNA for deep sequencing, HeLa cells were pretreated with 20 µg/ml  $\alpha$ -amanitin (Sigma) for 6 h before harvesting, and anti-RNA polymerase II CTD phospho-S2 antibody, ChIP grade (Abcam, cat. no. ab5095) was used for CLIP.  $\alpha$ -amanitin was used to inhibit transcription, and it presumably would reduce the association of newly synthesized RNAs with Pol II. For later verification of enrichment of circRNAs, CLIP assays were performed without  $\alpha$ -amanitin. To analyze whether the presence of genomic DNA was necessary for the association between Pol II and circRNAs, we added DNase I (Promega) to the cell suspension after sonication and then followed this with Pol II immunoprecipitation. For AGO2 CLIP, a monoclonal antibody to AGO2 from Sigma (cat. no. SAB4200085) was used. For these and all the other antibodies used, validation is provided on the manufacturers' websites.

**RNA sequencing and bioinformatic analysis.** For high-throughput sequencing, CLIP RNA samples were prepared according to the manufacturer's instructions and were applied to an Illumina GAIIx system for 80-nt single-end sequencing. In total, we obtained 8,975,835 and 5,088,901 reads from the control (IgG-CLIP) library and the sample (Pol II-CLIP) library, respectively. Reference genome hg19 was downloaded from the UCSC genome browser (<http://genome.ucsc.edu/>)<sup>47</sup>. Reads shorter than 50 nt were filtered out first, and the remaining reads were used to predict circRNAs according to the approach established by Rajewsky and colleagues<sup>27</sup>. In brief, we aligned reads to the reference genome and discarded reads that aligned contiguously and full length to the genome; from the remaining reads, we extracted 20-mers from both ends and aligned them independently to find unique anchor positions, and then we extended the anchor alignments to detect the breakpoints flanked by GU/AG splice sites.

**Northern blot.** Digoxin-labeled RNA probes were prepared with DIG Northern Starter Kit (Roche) with the corresponding PCR products as templates for T7 transcription according to the manual. Total RNA with or without RNase R digestion and RiboRuler High Range RNA Ladder (Thermo Scientific) were loaded on a 2% agarose gel containing 1% formaldehyde and were run for 1.5 h in MOPS buffer. In the O.E. lanes, total RNA was from overexpression with the circEIF3J\_exon/intron or circPAIP2\_exon/intron plasmids. RNA was transferred onto Hybond-N<sup>+</sup> membranes (GE Healthcare) by capillary transfer. Hybridization was performed at 62 °C overnight. Membranes were stringently washed twice in 0.1× SSC and 0.1% SDS at 62 °C for 30 min, and detection was performed according to the manual (Roche, DIG Northern Starter Kit). Images were taken with an ImageQuant LAS4000 Biomolecular Imager (GE Healthcare). Original images of northern blots can be found in **Supplementary Data Set 1**.

**Plasmids and plasmid construction.** All plasmids were constructed with restriction-enzyme digestion and ligation or with recombinant methods. Oligos for primers for all plasmid construction, probe preparation, siRNA, ASO, AMO, and biotin-oligo are listed in **Supplementary Table 4**. All plasmids were sequenced for confirmation. The shRNA plasmids for knockdown of *EIF3J* mRNA (shEIF3J-1, TRCN0000062016; shEIF3J-2, TRCN0000062017) and *PAIP2* mRNA (shPAIP2-1, TRCN0000219946; shPAIP2-2, TRCN0000153174) with negative shRNA control (SHC002) were obtained from the MISSION shRNA Library (Sigma).

For the overexpression of circRNAs (**Fig. 2**), circEIF3J\_exon and circPAIP2\_exon are plasmids with inserts corresponding to the two exons forming the circRNA (with 5'-AG and 3'-GT included in the insertion). circEIF3J\_exon/intron and circPAIP2\_exon/intron are plasmids with insertions corresponding to the exon/intron sequences forming the circRNA (with 5' AG and 3' GT included in the insertion). circEIF3J\_flanking and circPAIP2\_flanking are plasmids with insertions corresponding to sequences forming the circRNA plus the 5' and 3' flanking genomic sequences. circEIF3J\_1 kb and circPAIP2\_1 kb are plasmids with insertions corresponding to sequences including the 5' flanking genomic sequences (~1 kb), the sequences forming the circRNA, and reverse-complementary sequences of the 1-kb 5' flanking sequence. The vector was pEGFP-C1 for these overexpression constructs. Further information about these plasmids can be obtained upon request.

**Quantification of circRNA copy number per cell.** DNA fragments corresponding to circEIF3J or circPAIP2 were amplified with cDNA, and then purified dsDNA was used to plot a standard curve by real-time PCR. circRNA copy number in HeLa cells was calculated as follows. Total RNA was extracted from  $1.0 \times 10^6$  cells, and cDNA was then synthesized. The copy number per cell of each circRNA was calculated on the basis of cell numbers and the Ct value from real-time PCR using the synthesized cDNA.

**Fluorescence in situ hybridization (FISH).** RNA probes were transcribed by the TranscriptAid T7 High Yield Transcription Kit (Thermo Scientific), with the corresponding insertion in the T vector as a template for transcription, and were labeled with Alexa Fluor546, Fluor488, or Fluor647 with the ULYSIS Nucleic Acid Labeling Kit (Invitrogen), which added a Fluor on every G of the probe to amplify the fluorescence intensity. Cells and RNA probes were denatured at 80 °C for 10 min and then incubated at 42 °C for 15–17 h with human Cot-1 DNA (Life Technologies, final concentration 30 ng/µl). Slides were washed with 2× SSC at 45 °C for 10 min. For DNA-RNA double FISH, DNA probes were prepared with genomic PCR and then labeled with a ULYSIS Nucleic Acid Labeling Kit; then hybridization was performed with the same conditions as for RNA FISH. For FISH with RNase R digestion, fixed cells were washed in PBS, treated with RNase R at 37 °C for 30 min and then fixed again before being subjected to the regular FISH protocol. FISH signal for circRNA was detected with junction probe if not specified.

**Immunofluorescence staining.** For  $\beta$ -actin immunostaining, slides after FISH were incubated with antibody against  $\beta$ -actin (Abcam, ab8227, 1:100 dilution) for 4 h; this was followed with incubation with Alexa Fluor555-labeled secondary antibody (Life Technologies, ab150074).

**Confocal microscopy.** Fluorescence signals were gathered with an Andor iXonEM+ DV897K EM CCD camera mounted on an Andor Revolution XD laser confocal microscope system (Andor Technology), with the Andor IQ 10.1 software.

**Image processing and quantification.** Cell images were processed with ImageJ image-acquisition software, and color channels were also merged by ImageJ. Quantification of band intensity from northern blotting was also performed with ImageJ. The area (same size as the band below) just above the band of measurement was used as background subtraction.

**Cell culture and transfection of plasmids, siRNA, ASO, and AMO.** HEK293 and HeLa cells were maintained under standard culture conditions with DMEM plus 10% FBS at 37 °C and 5% CO<sub>2</sub>. Plasmid transfection was conducted with Lipofectamine 2000 (Invitrogen) according to the supplier's protocol. To make sure that the transfection efficiency was about the same, real-time PCR for plasmid DNA with *GAPDH* genomic DNA as an endogenous loading control was performed with the transfected cells. Transfection of siRNA was conducted with Lipofectamine 2000 or Oligofectamine (Invitrogen) according to the standard protocol. 2'-O-methyl RNA/DNA antisense oligonucleotides (ASOs), which were modified by changing the five nucleotides at the 5' and 3' ends into 2'-O-methyl ribonucleotides, were synthesized (RiboBio). All bases of ASOs were converted into phosphorothioate oligonucleotides<sup>31</sup>. Antisense Morpholino oligonucleotides (AMOs), including U1 AMO, U2 AMO, and scrambled AMO, were synthesized at

Gene Tools. ASO and AMO treatments were performed with electroporation with the Nucleofector system (Lonza) according to the manufacturer's instructions. To minimize side effects of AMO, cells were harvested for analysis or downstream experiments 8 h after AMO transfection. To maximize the knockdown efficiency, ASO transfection was performed twice: 36 h after the first transfection, the second electroporation was performed, and this was followed with cell harvest 12 h later. The final concentrations were: ASO, 5  $\mu$ M; U1 AMO and U2 AMO, 75  $\mu$ M; and AMO against ElcRNAs and the corresponding controls, 7.5  $\mu$ M.

**Nuclear run-on assay.** For nuclei isolation, cells were rinsed with PBS and harvested in ice-cold hypotonic solution (150 mM KCl, 4 mM MgOAc, and 10 mM Tris-HCl, pH 7.4) and were pelleted by centrifugation. Then pellets were resuspended in lysis buffer (150 mM KCl, 4 mM MgOAc, 10 mM Tris-HCl, pH 7.4, and 0.5% NP-40). The crude nuclei were then prepared by sucrose density gradient centrifugation. The nuclear run-on protocol was modified from Guang *et al.*<sup>48</sup>. The nuclear run-on mixture (10 mM ATP, CTP, GTP, BrUTP, and the crude nuclei) was incubated at 28 °C for 5 min in the presence of RNase inhibitor (Promega). The RNA was isolated by TRIzol reagent (Life Technologies) per the manufacturer's instructions, and DNA was eliminated by DNase I (Takara) treatment. Nascent transcripts were immunoprecipitated by anti-BrdU antibody (Abcam, cat. no. ab1893) and converted to cDNA for real-time PCR assay.

**Western blot.** For western blots, whole cell lysates and IP mixtures were separated on SDS-PAGE gels and then transferred to PVDF membranes (Millipore). Membranes were processed according to the ECL western blotting protocol (GE Healthcare). The following antibodies were used in western blots: anti-RNA polymerase II CTD phospho-S2 antibody (Abcam, cat. no. ab5095), anti-U1A (Santa Cruz Biotechnology, cat. no. SC-101149), anti-U1C (Santa Cruz Biotechnology, cat. no. SC-101549), anti-U2AF35 (Santa Cruz Biotechnology, cat. no. SC-19961), anti-U2AF65 (Santa Cruz Biotechnology, cat. no. SC-48804), anti-Lsm10 (Abcam, cat. no. ab180128) and anti-HDAC2 (Cell Signaling Technologies, cat. no. 5113). Images were taken with an ImageQuant LAS4000 Biomolecular Imager (GE Healthcare). Original images of western blots can be found in **Supplementary Data Set 1**.

**Chromatin immunoprecipitation (ChIP).** ChIP was carried out as described previously, with modifications<sup>49</sup>. Cells were fixed on plates with 1% formaldehyde for 10 min at room temperature. Cross-linking was then quenched with 0.125 M glycine for 5 min, and the cells were pelleted at 800g. Cell pellets were resuspended in 1 ml of SDS lysis buffer (1% (w/v) SDS, 10 mM EDTA, 50 mM Tris-HCl, pH 8.1) containing complete protease inhibitor cocktail (Roche) and were incubated for 10 min on ice. Cell extracts were sonicated for 15 min with a Bioruptor (Diagenode) to obtain up to 500-bp DNA fragments. A 100- $\mu$ l sample of the supernatant was saved as input. The remaining was diluted 1:10 in ChIP dilution buffer (0.01% (w/v) SDS, 1.1% (v/v) Triton X-100, 1.2 mM EDTA, 16.7 mM Tris-HCl, pH 8.1, and 167 mM NaCl) containing protease inhibitors. The chromatin solution was precleared, immunoprecipitated with antibody to Pol II (Thermo Scientific, cat. no. 01671887), U1A (Santa Cruz Biotechnology, cat. no. SC-101149), U1C (Santa Cruz Biotechnology, cat. no. SC-101549), U2AF35 (Santa Cruz Biotechnology, cat. no. SC-19961), and U2AF65 (Santa Cruz Biotechnology, cat. no. SC-48804). The immune complexes were eluted in 1% (w/v) SDS and 50 mM NaHCO<sub>3</sub>, and cross-links were reversed for 6 h at 65 °C. Samples were digested with proteinase K for 1 h at 45 °C, and the DNA was extracted with phenol/chloroform/isoamyl alcohol. Eluted DNA was subjected to quantitative real-time PCR (qPCR) for the detection of enriched genomic DNA regions with the corresponding PCR primer pairs.

**Biotin-oligo pulldown of RNA.** Biotin-oligo pulldown was carried out as previously described, with some modifications<sup>50</sup>. Briefly, log-phase cells were cross-linked with 1% glutaraldehyde or formaldehyde in PBS for 10 min at room temperature, and cross-linking was then quenched with 0.125 M glycine for 5 min. The cells were pelleted and resuspended in swelling buffer (0.1 M Tris, pH 7.0, 10 mM KOAc, and 15 mM MgOAc, with freshly added 1% NP-40, 1 mM DTT, complete protease inhibitor, and 0.1 U/ $\mu$ l RNase inhibitor) for 10 min on ice. Cell suspensions were then homogenized and pelleted at 2,500g for 5 min. Nuclei were further lysed in nuclear lysis buffer (50 mM Tris, pH 7.0, 10 mM EDTA, and 1% SDS; with freshly added 1 mM DTT, complete protease inhibitor, and 0.1 U/ $\mu$ l RNase inhibitor) on ice for 10 min and were sonicated until most chromatin had solubilized and DNA was in the size range of 100–500 bp. Chromatin was diluted in two times volume with hybridization buffer (750 mM NaCl, 1% SDS, 50 mM Tris, pH 7.0, 1 mM EDTA, 15% formamide, 1 mM DTT, protease inhibitor, and 0.1 U/ $\mu$ l RNase inhibitor). Biotin-DNA oligos (100 pmol) were added to 3 ml of diluted chromatin, which was mixed by end-to-end rotation at 37 °C for 4 h. M-280 Streptavidin Dynabeads (Life Technologies) were washed three times in nuclear lysis buffer, which was blocked with 500 ng/ $\mu$ l yeast total RNA and 1 mg/ml BSA for 1 h at room temperature, then washed three times again in nuclear lysis buffer before being resuspended. 100  $\mu$ l washed/blocked Dynabeads was added per 100 pmol of biotin-DNA oligos, and the whole mix was then rotated for 30 min at 37 °C. Beads were captured by magnets (Life Technologies) and washed five times with 40 $\times$  the volume of Dynabeads with wash buffer (2 $\times$  SSC, 0.5% SDS, and 0.1 mM DTT and PMSF (fresh)). Beads were then subjected to RNA elution, DNA elution, or protein elution.

**PCR reactions.** Total RNA was extracted from cells with TRIzol reagent (Invitrogen) according to the manufacturer's procedures. Complementary DNA for quantitative reverse-transcription PCR (qRT-PCR) was synthesized from total RNA with the GoScript Reverse Transcription System (Promega) according to the supplied protocol, with random hexamer primers or oligo dT. Quantitative PCR (qPCR) was performed with Platinum SYBR Green qPCR Supermix UDG (Invitrogen) on a PikoReal Real-Time PCR System (Thermo Scientific) according to standard procedures. For semiquantitative PCR and semiquantitative RT-PCR, PCR cycle numbers were set between 20 and 25 to avoid saturation of PCR reactions. In real-time PCR data shown in the figures, ND (not detected) refers to a Ct value >35; Ct values for all inputs were 21–23.

**Statistical analysis.** The values reported in the graphs represent averages of three independent experiments or the actual number of cells stated in the figure legends, with error bars showing s.e.m., if applicable. After analysis of variance by *F* test, the statistical significance and *P* value were evaluated by Student's *t* test.

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## Corrigendum: Exon-intron circular RNAs regulate transcription in the nucleus

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In the version of this article initially published, the convergent primers depicted in the schematic in Figure 1b were incorrectly placed. The error has been corrected in the HTML and PDF versions of the article.