

# 第十六讲

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$$\begin{aligned} \operatorname{div}(X) &:= \operatorname{tr}(\nabla X) = \sum_{i=1}^n X^i_{;i} = \sum_{i=1}^n \left( \frac{\partial X^i}{\partial x^i} + X^h \Gamma_{ih}^i \right) \\ &= \sum_{i=1}^n \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} (\sqrt{G} X^i) \end{aligned} \quad \text{?} \quad L_X \Omega = \operatorname{div}(\Omega)$$

Remark: (a)  $\operatorname{tr}(\nabla X) = C(\nabla X)$

(b) normal coordinate around  $p$ :  $g_{ij}(p) = \delta_{ij}$   
 $g_{ij;k}(p) = 0 \Rightarrow \Gamma_{jk}^i(p) = 0$

$$\Rightarrow \underline{g^i_{j;k}(p) = 0} \quad (g^{ij} g_{jk} = \delta^i_k)$$

$\underline{G_{,k}(p) = 0}$  (Exercise)

$$\forall p \in M \quad \sum_{i=1}^n \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} (\sqrt{G} X^i)(p) = \sum_{i=1}^n \left( \frac{\partial X^i}{\partial x^i} + X^h \Gamma_{ih}^i \right)(p)$$

Choose  $(u, x)$  be a normal coordinate around  $p$

$$A^i_{j;k}(p) = \frac{\partial A^i_{j;s}}{\partial x^k}(p)$$

$$\sum_{i=1}^n \frac{\partial X^i}{\partial x^i}(p) = \sum_{i=1}^n \frac{\partial X^i}{\partial x^i}(p) \quad \square$$

Reformulate the Laplace-Beltrami operator

"trace of a (0,2)-tensor  $S$ ",  $S \in \Gamma(T^*M \otimes T^*M)$

$S$  can also be considered as the map

$$\begin{aligned} S: \Gamma(TM) &\longrightarrow \Gamma(T^*M) \xrightarrow{\#} \Gamma(TM) \\ X &\longmapsto S(X, \cdot) \longmapsto \# S(X, \cdot) \end{aligned}$$

$g$  metric tensor. a non-degenerate bilinear form on each  $T_p M$ ,  $\forall p \in M$

$\Rightarrow$  musical isomorphism between  $\Gamma(TM)$  and  $\Gamma(T^*M)$

$$\begin{aligned} \flat : \Gamma(TM) &\rightarrow \Gamma(T^*M) \\ \text{"flat"} \quad X &\longmapsto g(X, \cdot) := \flat(X) \end{aligned}$$

$$\flat(X)(Y) = g(X, Y)$$

$$\begin{aligned} \sharp : \Gamma(T^*M) &\rightarrow \Gamma(TM) \\ \text{"sharp"} \quad \omega &\longmapsto \sharp\omega \end{aligned}$$

$$\forall Y \in \Gamma(TM), \quad g(\sharp\omega, Y) := \omega(Y)$$

Remark: In a local chart  $(U, x)$

$$\flat(X) \left( \frac{\partial}{\partial x^k} \right) = \flat \left( X^i \frac{\partial}{\partial x^i} \right) \left( \frac{\partial}{\partial x^k} \right) = g \left( X^i \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^k} \right) = \underline{X^i g_{ik}}$$

$$\Rightarrow \flat(X) = X^i g_{ik} dx^k$$

$$X \longmapsto \flat(X)$$

$$X^{\otimes 1}$$

$$\left( \sum_{i=1}^n X^i g_{ik} \right)$$

lowering the indices

$$\sharp\omega = \sharp(\omega_i dx^i)$$

$$g(\sharp(\omega_i dx^i), \frac{\partial}{\partial x^k}) := \omega_i dx^i \left( \frac{\partial}{\partial x^k} \right) = \omega_k$$

$$\delta^l \frac{\partial}{\partial x^l} = \delta^l g_{lk} g^{kp} = \omega_k g^{kp}$$

$$\Rightarrow B^p = \omega_k g^{kp} \delta^p$$

$$\omega \longmapsto \sharp\omega$$

$$\omega_k$$

$$\omega_k g^{kp}$$

raising the indices  $\square$

Define the trace of a  $(0, 2)$ -tensor  $S$  as

$$\text{tr } S := \text{tr} (X \longmapsto \sharp S(X, \cdot)).$$

$$\text{In local charts, } S = S \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right) dx^i \otimes dx^j$$

$$X \mapsto S(X, \cdot) \mapsto \# S(X, \cdot)$$

$$\frac{\partial}{\partial x^i} \mapsto S_{ij} dx^j \mapsto \underbrace{(g^{jk} S_{ij})}_{\frac{\partial}{\partial x^k}}$$

$$\Rightarrow \text{matrix } (g^{jk} S_{ij})_{i,j,k=1,\dots,n}$$

$$\text{tr } S = \text{tr } (g^{jk} S_{ij})_{i,j,k} = \sum_{i,j=1}^n g^{ji} S_{ij}$$

$$S = S_{ij} dx^i \otimes dx^j$$

$$\text{tr } S = \underline{g^{ij} S_{ij}} \quad \square$$

$$\forall f \in C^\infty(M), \quad (0,2)\text{-tensor Hess } f := \nabla^2 f = \nabla(\nabla f) = \nabla df$$

Lemma:  $\forall f \in C^\infty(M)$ , we have

$$\Delta f = \text{tr Hess } f.$$

$\parallel$   
div(grad f)

$$\text{Proof: } g(\nabla_X \text{grad } f, Y) \stackrel{\nabla g \equiv 0}{=} X(g(\text{grad } f, Y)) - g(\text{grad } f, \nabla_X Y)$$

$$= X(Y(f)) - \nabla_X Y(f)$$

$$= \text{Hess } f(Y, X)$$

$$\stackrel{T \equiv 0}{=} \text{Hess } f(X, Y)$$

$$\Rightarrow \# \text{Hess } f(X, \cdot) = \nabla_X \text{grad } f$$

$$\Rightarrow \text{tr Hess } f = \text{tr } (X \mapsto \# \text{Hess } f(X, \cdot))$$

$$= \text{tr } (X \mapsto \nabla_X \text{grad } f)$$

$$= \text{tr} (\nabla \text{grad} f)$$

$$= \text{div} (\text{grad} f) = \Delta f. \quad \square$$

Remark:  $\mathbb{R}^n$ ,  $\Delta f = \sum_{i=1}^n \frac{\partial^2 f}{\partial x_i^2}$   $\bullet$   $g_{ij} = \delta_{ij}$

(M, g)  $\Delta f = \text{tr Hess} f = g^{ij} \text{Hess} f \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right)$

$$= \bullet \underbrace{g^{ij} \nabla^2 f \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right)}$$

$$= g^{ij} (f_{;i})_{;j} = \underline{g^{ij} f_{;ij}}$$

$$\Delta f = g^{ij} f_{;ij} = \underline{g^{ij} \left( \frac{\partial^2 f}{\partial x^i \partial x^j} - \frac{\partial f}{\partial x^h} \Gamma_{ji}^h \right)}$$

$$f_{;i} = \frac{\partial f}{\partial x^i}$$

$$(f_{;i})_{;j} = \frac{\partial f_{;i}}{\partial x^j} - f_{;ih} \Gamma_{ji}^h$$

$$\Delta f = \underline{\frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} \left( \sqrt{G} g^{ij} \frac{\partial f}{\partial x^j} \right)}$$

$$= \underline{g^{ij} \frac{\partial^2 f}{\partial x^i \partial x^j} + \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} (\sqrt{G} g^{ij}) \frac{\partial f}{\partial x^j}}$$

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Show:  $\boxed{- \sum_{ij} g^{ij} \Gamma_{ji}^h = \sum_i \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} (\sqrt{G} g^{ih})}$

$$= \sum_i \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} (\sqrt{G}) g^{ih} + \sum_i \frac{\partial}{\partial x^i} g^{ih}$$

$$= \sum_{ik} \Gamma_{ki}^k g^{ih} + \sum_i \underline{g^{ih}_{;i}}$$

Levi-Civita:  $\nabla g = 0$

$$\underline{g_{ij;k} = 0 = g_{ij,k} - g_{hj} \Gamma_{ki}^h - g_{ih} \Gamma_{kj}^h}$$

$$\partial_{ij;k} = 0 = \partial_{j,k} - \partial_{ij} \cdot k_i + \partial_{ih} \cdot k_j$$

Claim:  $g^j_{;k} = 0 = g^j_{;k} + g^{hj} \Gamma_{kh}^i + g^{ih} \Gamma_{kh}^j$

In local charts.

$$A^i_{j_1 \dots j_s ; k}$$

$$\left( \underbrace{\phi^i_{j_1 \dots j_s}}_{\downarrow} \underbrace{\psi^h_{k_1 \dots k_r}}_{\downarrow} \right)_{;k} = \left( \phi^i_{j_1 \dots j_s} \right)_{;k} \psi^h_{k_1 \dots k_r} + \phi^i_{j_1 \dots j_s} \psi^h_{k_1 \dots k_r ; k}$$

$$\underbrace{C(\phi \otimes \psi)}_{\downarrow} = \nabla \phi \otimes \psi + \phi \otimes \nabla \psi \quad \square$$

Proof:  $\nabla(\phi \otimes \psi) = \nabla \phi \otimes \psi + \phi \otimes \nabla \psi \quad \square$

$$\left( \sum_{i_r=1}^n \phi^i_{j_1 \dots j_s} \psi^h_{i_r k_1 \dots k_r} \right)_{;k} = \sum_{i_r} \phi^i_{j_1 \dots j_s ; k} \psi^h_{i_r k_1 \dots k_r} + \sum_{i_r} \phi^i_{j_1 \dots j_s} \psi^h_{i_r k_1 \dots k_r ; k}$$

$$\underbrace{C(\phi \otimes \psi)}_{\downarrow}$$

$$g_{ij;k} = 0 \Rightarrow g^j_{;k} = 0, \forall k$$

$$\left( g_{ij} g^{jk} \right)_{;p} = \left( \delta_i^k \right)_{;p} = \frac{\partial \delta_i^k}{\partial x^p} + \delta_i^h \Gamma_{ph}^k - \delta_h^k \Gamma_{pi}^h$$

$$\parallel = \Gamma_{pi}^k - \Gamma_{pi}^k = 0$$

$$g_{ij;p} g^{jk} + g_{ij} g^{jk}_{;p}$$

$$\Downarrow \Rightarrow \left( g_{ij} \right) g^{jk}_{;p} = 0 \Rightarrow g^{jk}_{;p} = 0, \forall p$$

§7 Ricci identity.

$$A \in \Gamma(\otimes^{r,s} TM)$$

$$\nabla^2 A(\dots, X, Y) = \nabla_Y \nabla_X A(\dots) - \nabla_{\nabla_X Y} A(\dots)$$

$$\begin{aligned}
& \nabla^2 A (\dots, X, Y) - \nabla^2 A (\dots, Y, X) \\
&= (\nabla_Y \nabla_X A - \nabla_{\nabla_Y X} A - \nabla_X \nabla_Y A + \nabla_{\nabla_X Y} A) (\dots) \\
&= - (\nabla_X \nabla_Y A - \nabla_Y \nabla_X A - \underbrace{\nabla_{\nabla_X Y - \nabla_Y X} A}_{\substack{[X, Y] \\ T \equiv 0}}) (\dots) \\
&= - (\nabla_X \nabla_Y A - \nabla_Y \nabla_X A - \nabla_{[X, Y]} A) (\dots) \quad (\star)
\end{aligned}$$

Definition:  $\forall X, Y \in \Gamma(TM), \forall A \in \Gamma(\otimes^{r,s} TM)$ , define

$$\underline{R(X, Y)A} := \nabla_X(\nabla_Y A) - \nabla_Y(\nabla_X A) - \nabla_{[X, Y]} A$$

Theorem (Ricci Identity).  $\forall X, Y \in \Gamma(TM), \forall A \in \Gamma(\otimes^{r,s} TM)$

we have

$$\underline{\nabla^2 A (\dots, X, Y) - \nabla^2 A (\dots, Y, X)}$$

$$= - \underline{R(X, Y)A} (\dots) \quad \square$$

Remark: (a)  $R(X, Y)A = -R(Y, X)A$

(b)  $R: \Gamma(TM) \times \Gamma(TM) \times \Gamma(\otimes^{r,s} TM) \rightarrow \Gamma(\otimes^{r,s} TM)$

$$(X, Y, A) \longmapsto R(X, Y)A$$

is linear over  $C^\infty$  fcts w.r.t. all of its arguments

Proof:  $R(fX_1 + gX_2, Y)A = fR(X_1, Y)A + gR(X_2, Y)A$

$$\boxed{R(X, Y)(fA) \neq fR(X, Y)A}$$

$$R(X, Y)(A_1 + A_2) = R(X, Y)A_1 + R(X, Y)A_2 \quad \checkmark$$

$$\nabla^2(fA) (\dots, X, Y) \neq f \nabla^2 A (\dots, X, Y) \quad !!$$

Exercise.  $\square$

locality:  $R(X, Y)A(p)$  is only dependent on  $X(p), Y(p), A(p)$ .

Only need consider on  $(U, x)$

$$R\left(X^i \frac{\partial}{\partial x^i}, Y^j \frac{\partial}{\partial x^j}\right) \left( A_{j_1 \dots j_s}^{i_1 \dots i_r} \frac{\partial}{\partial x^{i_1}} \otimes \dots \otimes \frac{\partial}{\partial x^{i_r}} \otimes dx^{j_1} \otimes \dots \otimes dx^{j_s} \right)_p$$

$$= X^i(p) Y^j(p) A_{j_1 \dots j_s}^{i_1 \dots i_r}(p) R\left(\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}\right) \frac{\partial}{\partial x^{i_1}} \otimes \dots \otimes \frac{\partial}{\partial x^{i_r}} \otimes dx^{j_1} \otimes \dots \otimes dx^{j_s} \Big|_p$$

Levi-Civita connection

(c) Particular case:  $(0, 0)$ -tensor  $f$ ,  $f \in C^\infty(M)$

$$R(X, Y)f = \nabla^2 f(Y, X) - \nabla^2 f(X, Y)$$

$$= -T(X, Y)f \stackrel{T=0}{=} 0$$

In  $(U, x)$   $(f_{;k})_{;l} = (f_{;l})_{;k}$   
 $f''_{;ikl} = f''_{;ilk}$

(d) Particular case:  $(1, 0)$ -tensor  $Z \in \Gamma(TM)$

$$R(X, Y)Z = \nabla^2 Z(Y, X) - \nabla^2 Z(X, Y)$$

$$= \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z$$

$$R : \Gamma(TM) \times \Gamma(TM) \times \Gamma(TM) \rightarrow \Gamma(TM)$$

$$(X, Y, Z) \longmapsto R(X, Y)Z$$

$R$   $(1, 3)$ -tensor in the sense.

$$R(\omega, X, Y, Z) := \omega(R(X, Y)Z)$$

We call  $R$  is a curvature tensor.

In local chart:

$$\text{Notation: } R\left(\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}\right) \frac{\partial}{\partial x^k} := \left[ R^k_{lij} \right] \frac{\partial}{\partial x^l}$$

Notation:  $R\left(\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}\right) \frac{\partial}{\partial x^k} := \left[ R^{\ell ij} \right] \frac{\partial}{\partial x^k}$

$$\begin{aligned} \partial_i = \frac{\partial}{\partial x^i} \quad R\left(\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}\right) \frac{\partial}{\partial x^k} &= \nabla_{\partial_i} \nabla_{\partial_j} \partial_k - \nabla_{\partial_j} \nabla_{\partial_i} \partial_k \\ &= \nabla_{\partial_i} (\Gamma_{jl}^{\alpha} \partial_{\alpha}) - \nabla_{\partial_j} (\Gamma_{il}^{\mu} \partial_{\mu}) \\ &= \partial_i (\Gamma_{jl}^{\alpha}) \partial_{\alpha} + \Gamma_{jl}^{\alpha} \Gamma_{i\alpha}^k \partial_k - \partial_j \Gamma_{il}^{\mu} \partial_{\mu} \\ &\quad - \Gamma_{il}^{\mu} \Gamma_{j\mu}^k \partial_k \\ &= (\partial_i \Gamma_{jl}^k - \partial_j \Gamma_{il}^k + \Gamma_{jl}^{\alpha} \Gamma_{i\alpha}^k - \Gamma_{il}^{\mu} \Gamma_{j\mu}^k) \partial_k \end{aligned}$$

$$\Rightarrow \boxed{R^k_{lij} = \frac{\partial \Gamma_{jl}^k}{\partial x^i} - \frac{\partial \Gamma_{il}^k}{\partial x^j} + \Gamma_{jl}^{\alpha} \Gamma_{i\alpha}^k - \Gamma_{il}^{\mu} \Gamma_{j\mu}^k}$$

Theorem (Riemann identity: local version)

Let  $A_{j_1 \dots j_s}^{i_1 \dots i_r}$  be the components of a  $(r, s)$ -tensor in  $(U, x)$ .

Then

$$\begin{aligned} &(A_{j_1 \dots j_s}^{i_1 \dots i_r})_{ikl} - (A_{j_1 \dots j_s}^{i_1 \dots i_r})_{ilk} \\ &= \sum_{\alpha=1}^s A_{j_1 \dots j_{\alpha-1} h j_{\alpha+1} \dots j_s}^{i_1 \dots i_r} R^h_{j\alpha k l} - \sum_{\beta=1}^r A_{j_1 \dots j_s}^{i_1 \dots i_{\beta-1} h i_{\beta+1} \dots i_r} R^{\beta}_{h k l} \quad \square \end{aligned}$$

Proof: This is a tensor identity. For any  $p \in U$ , take a normal coordinate of  $p$ . Then

$$\begin{aligned} (A_{j_1 \dots j_s}^{i_1 \dots i_r})_{ikl}(p) &= \frac{\partial}{\partial x^k} (A_{j_1 \dots j_s}^{i_1 \dots i_r})_{il}(p) \\ &= \partial_k (\partial_l A_{j_1 \dots j_s}^{i_1 \dots i_r} + \sum_{\beta=1}^r A_{j_1 \dots j_s}^{i_1 \dots i_{\beta-1} h i_{\beta+1} \dots i_r} \Gamma_{kh}^{\beta} \\ &\quad - \sum_{\alpha=1}^s A_{j_1 \dots j_{\alpha-1} h j_{\alpha+1} \dots j_s}^{i_1 \dots i_r} \Gamma_{kja}^h) (p) \end{aligned}$$



$$= \partial_{l,k}^2 A_{j_1 \dots j_s}^{i_1 \dots i_r}(p) + \sum_{\beta=1}^r A_{j_1 \dots j_s}^{i_1 \dots i_{\beta-1} h i_{\beta+1} \dots i_r} \partial_l \Gamma_{kh}^{i_\beta}(p) - \sum_{\alpha=1}^s A_{j_1 \dots j_{\alpha-1} h j_{\alpha+1} \dots j_s} \partial_l \Gamma_{kj_\alpha}^h(p)$$

Therefore,

$$\text{LHS}(p) = \sum_{\beta=1}^r A_{j_1 \dots j_s}^{i_1 \dots i_{\beta-1} h i_{\beta+1} \dots i_r} \left( \partial_l \Gamma_{kh}^{i_\beta} - \partial_k \Gamma_{lh}^{i_\beta} \right)(p) = R^{i_\beta}_{hkk}(p)$$

$$- \sum_{\alpha=1}^s A_{j_1 \dots j_{\alpha-1} h j_{\alpha+1} \dots j_s} \left( \partial_l \Gamma_{kj_\alpha}^h - \partial_k \Gamma_{lj_\alpha}^h \right)(p) = R^h_{j\alpha k}$$

$$= \text{RHS}(p) \quad \text{by} \quad R^{i_\beta}_{hkk} = -R^{i_\beta}_{hkl}, \quad R^h_{j\alpha k} = -R^h_{j\alpha kl} \quad \square$$