

第九讲

2020年3月24日 9:29

Thm. Let  $(\tilde{M}, \tilde{g})$  and  $(M, g)$  be two Ric. mfd.s.  $(\tilde{M}, \tilde{g})$  is complete. Let  $\pi: \tilde{M} \rightarrow M$  is a local isometry. Then  $(M, g)$  is complete and  $\pi$  is a Ric. covering map.

Proof: Path-lifting property for geodesics.

Let  $p \in \pi(\tilde{M})$ ,  $\tilde{p} \in \pi^{-1}(p)$

Let  $\gamma: I=[0,1] \rightarrow M$  be a geodesic

with  $\gamma(0)=p, \dot{\gamma}(0)=v$

$\pi$  local isometry  $\Rightarrow d\pi(\tilde{p}): T_{\tilde{p}}\tilde{M} \rightarrow T_p M$   
 linear isometry  $v \in T_p M$

$$\tilde{v} := (d\pi(\tilde{p}))^{-1}(v) \in T_{\tilde{p}}\tilde{M}$$

$\exists$  a geodesic  $\tilde{\gamma}$  on  $\tilde{M}$  with  $\tilde{\gamma}(0)=\tilde{p}, \dot{\tilde{\gamma}}(0)=\tilde{v}$   
 $\uparrow$   
 complete.  $\tilde{\gamma}$  is defined on  $[0, \infty)$

$\pi \circ \tilde{\gamma}: [0, \infty) \rightarrow M$  is a geodesic

$$\pi \circ \tilde{\gamma}(0) = \pi(\tilde{p}) = p,$$

$$(\pi \circ \tilde{\gamma})'(0) = d\pi_{\tilde{\gamma}(0)}(\dot{\tilde{\gamma}}(0)) = d\pi_{\tilde{p}}(\tilde{v}) = v$$

By uniqueness of geodesics, we know  $\pi \circ (\tilde{\gamma}|_I) = \gamma$ .

$M$  complete.  $\xleftarrow{v} \gamma: I \rightarrow M, \pi \circ \tilde{\gamma}: [0, \infty) \rightarrow M$

Remains to show  $\pi$  is a Ric covering map.

①  $\pi$  is surjective.

②  $\forall p \in M, \exists p \in U$  that is evenly covered.

$$\pi^{-1}(U) = \bigcup_{\alpha \in A} \tilde{U}_\alpha, \quad \tilde{U}_\alpha \cap \tilde{U}_\beta = \emptyset$$

$\pi: \tilde{U}_\alpha \rightarrow U$  diffeomorphism

①  $\pi$  is surjective:  $\pi: \tilde{M} \rightarrow M$

$$\forall \tilde{p} \in \tilde{M}, p = \pi(\tilde{p}) \in M, p \in \pi(\tilde{M})$$

$$\forall a \subset M \Rightarrow a \subset \pi(\tilde{M})$$

$$\forall p \in M, \quad \underline{p} = \pi^{-1}(p) \Rightarrow \pi^{-1} : p \in \pi^{-1}(M)$$

$$\forall q \in M, \quad \nexists q \in \pi^{-1}(\tilde{M})$$



$M$  cpl.  $\Rightarrow \exists$  a shortest geodesic  $\gamma$  from  $p$  to  $q$ .  
 $\gamma: [0, r] \rightarrow M$

Let  $\tilde{\gamma}$  be the lift of  $\gamma$  starting at  $\tilde{p}$ ,  $\underline{\pi \circ \tilde{\gamma}} = \gamma$

$$\text{denote } d(p, q) = r, \quad q = \gamma(r)$$

$$\pi(\tilde{\gamma}(r)) = \gamma(r) = q. \Rightarrow q \in \pi^{-1}(M)$$

② Let  $p \in M$ .  $U = B_p(\varepsilon)$  is a normal ball.

$$\pi^{-1}(p) = \{\tilde{p}_\alpha\}_{\alpha \in A}$$

$\tilde{U}_\alpha =$  metric ball of radius  $\varepsilon$  around  $\tilde{p}_\alpha$

$$\text{Claim } \tilde{U}_\alpha \cap \tilde{U}_\beta = \emptyset \quad \forall \alpha \neq \beta \iff \underline{d(\tilde{p}_\alpha, \tilde{p}_\beta) \geq 2\varepsilon}$$

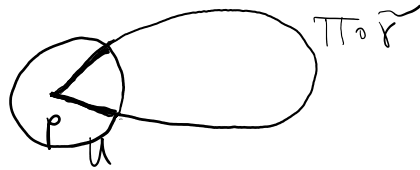
Proof:  $\tilde{M}$  cpl.  $\Rightarrow \exists$  a shortest geodesic  $\tilde{\gamma}$  from  $\tilde{p}_\alpha$  to  $\tilde{p}_\beta$

$\pi$  local isometry  $\pi \circ \tilde{\gamma}$  is a geodesic in  $M$  from  $p$  to  $p$

$$p \in U = B_p(\varepsilon)$$

$$\text{length}(\pi \circ \tilde{\gamma}) \geq 2\varepsilon$$

$$\text{length}(\tilde{\gamma}) = d(\tilde{p}_\alpha, \tilde{p}_\beta) \quad \square$$



$$\text{Claim } \pi^{-1}(U) = \bigcup_{\alpha \in A} \tilde{U}_\alpha \iff \pi\left(\bigcup_{\alpha \in A} \tilde{U}_\alpha\right) = U$$

$$\text{Proof: } \bigcup_{\alpha \in A} \tilde{U}_\alpha = \pi^{-1}(U) \quad \text{'' } B_{\tilde{p}_\alpha}(\varepsilon) \text{''}$$

$$\forall \tilde{q} \in \bigcup_{\alpha \in A} \tilde{U}_\alpha, \quad \tilde{q} \in \tilde{U}_\alpha \text{ for some } \alpha.$$

$$? \quad \tilde{q} \in \pi^{-1}(U) \iff \underline{\pi(\tilde{q}) \in U = B_p(\varepsilon)}$$

$$\checkmark \quad d(\tilde{q}, \tilde{p}_\alpha) < \varepsilon, \quad \tilde{M} \text{ cpl. } \Rightarrow \exists \text{ shortest geodesic } \tilde{\gamma} \text{ from } \tilde{p}_\alpha \text{ to } \tilde{q}$$

$$\underline{\pi \circ \tilde{\gamma}} \text{ is } \hat{\Delta} \text{ geodesic on } M \text{ from } p \text{ to } q := \pi(\tilde{q})$$

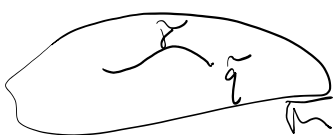
$$\text{length}(\pi \circ \tilde{\gamma}) = \text{length}(\tilde{\gamma}) < \varepsilon$$

$$\Rightarrow d(p, q) < \varepsilon. \Rightarrow q = \pi(\tilde{q}) \in U.$$

$$\pi^{-1}(U) \subset \bigcup_{\alpha} \tilde{U}_\alpha$$



$\pi^{-1}(U) \subset \bigcup_{\alpha \in A} \tilde{U}_\alpha$



$\forall \tilde{q} \in \pi^{-1}(U), \text{ set } q := \pi(\tilde{q}) \in U.$

$\exists$  a shortest geodesic  $\gamma$  in  $U$  from  $q$  to  $p$ .

$\gamma(0) = q, \gamma(r) = p, r = d(p, q) \leq \epsilon$

Let  $\tilde{\gamma}$  be the lift of  $\gamma$  in  $\tilde{M}$  starting at  $\tilde{q}$ .

$\pi \circ \tilde{\gamma} = \gamma \Rightarrow \pi(\tilde{\gamma}(r)) = \gamma(r) = p$

$\Rightarrow \tilde{\gamma}(r) \in \pi^{-1}(p) = \bigcup_{\alpha \in A} \tilde{P}_\alpha$

$\Rightarrow \tilde{\gamma}(r) = \tilde{P}_\alpha$  for some  $\alpha \in A.$

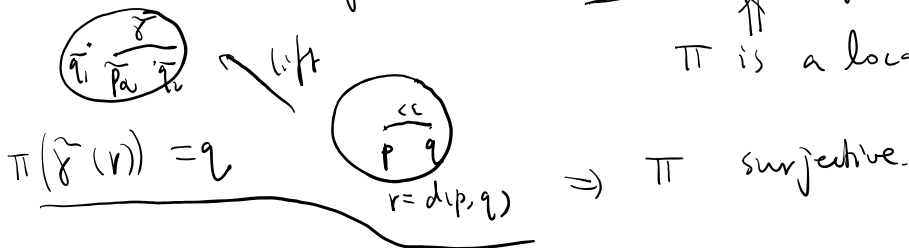
$\Rightarrow d(\tilde{q}, \tilde{P}_\alpha) < \epsilon \Rightarrow \tilde{q} \in B_{\tilde{P}_\alpha}(\epsilon) = \tilde{U}_\alpha. \square$

$\pi : \tilde{U}_\alpha \rightarrow U$  is a diffeomorphism.

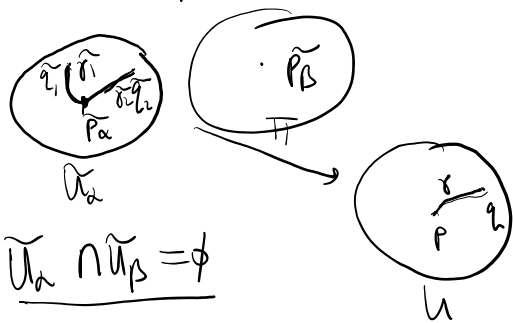
$\pi(\tilde{U}_\alpha) = U$

①  $\pi$  injective ②  $d\pi$  is nonsingular everywhere.

$\pi$  is a local isometry.



Assume we have  $\tilde{q}_1, \tilde{q}_2 \in \tilde{U}_\alpha$  st.  $\pi(\tilde{q}_1) = \pi(\tilde{q}_2) =: q \in U.$



$\gamma : [0, 1] \rightarrow U$

$\gamma(0) = q, \gamma(1) = p$

lift of  $\gamma : \tilde{\gamma}_i : [0, 1] \rightarrow U$

$\tilde{\gamma}_1(0) = \tilde{q}_1$

$\tilde{\gamma}_2 : [0, 1] \rightarrow U \quad \tilde{\gamma}_2(0) = \tilde{q}_2$

$\pi \circ \tilde{\gamma}_i = \gamma, i=1, 2.$

$\pi(\tilde{\gamma}_i(1)) = \gamma(1) = p \Rightarrow \tilde{\gamma}_1(1) = \tilde{\gamma}_2(1) = \tilde{P}_\alpha$

$d\pi(\tilde{P}_\alpha)(\dot{\gamma}_i(1)) = \dot{\gamma}(1), i=1, 2.$

contrasting to the fact that  $\pi$  is a local isometry

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(II 1/2) Function Spaces and Laplace-Beltrami operator on Rie. mfd.

curves  
Geodesic

$$\gamma: I \subset \mathbb{R} \rightarrow M \quad \text{map.}$$

$\hookrightarrow$  critical point of Energy functional.

functions  $f: M \rightarrow \mathbb{R}$

harmonic  $\hookrightarrow$  critical point of energy functional  
fct

$(M, g)$  Rie mfd. regular Borel measure  $\text{vol}_g$   
 $\forall K \subset M$  cpt  $\text{vol}_g(K) < \infty$

Definition: If  $0 < p < \infty$ , if  $f: M \rightarrow \mathbb{R} \cup \{\pm\infty\}$  be a measurable function on  $M$ , define  
$$\|f\|_p := \left( \int_M |f|^p d\text{vol}_g \right)^{1/p}$$

The  $L^p$ -space is

$$L^p(\text{vol}_g) = \left\{ f: M \rightarrow \mathbb{R} \cup \{\pm\infty\} : \begin{array}{l} f \text{ is measurable} \\ \|f\|_p < \infty \end{array} \right\}$$

•  $L^p(\text{vol}_g)$  is complete for  $1 \leq p < \infty$

•  $C^0(M)$  is dense in  $L^p(\text{vol}_g)$ ,  $1 \leq p < \infty$ .

$L^p(\text{vol}_g)$  as the completion of  $C^0(M)$  w.r.t.  $\|\cdot\|_p$   
 $(1 \leq p < \infty)$

In particular,  $p=2$ ,  $L^2(\text{vol}_g)$

inner product,  $(f_1, f_2) := \int_M f_1 \cdot f_2 d\text{vol}_g, \forall f_1, f_2 \in L^2(\text{vol}_g)$

$L^2(\text{vol}_g)$  is Hilbert space.

Aim.  $L^2$  (vol),  $((M, g)$  compact) has a complete orthonormal basis given by the eigenfunction of the Laplace-Beltrami operator.

§1: Gradient, divergence, Laplace-Beltrami operator.

$$(\mathbb{R}^n, \text{Eucl.}) \quad f: \mathbb{R}^n \rightarrow \mathbb{R} \quad C^\infty$$

gradient of  $f$ :  $\nabla f := \text{grad } f$  is smooth vector field.

$$\nabla f := \sum_{i=1}^n \frac{\partial f}{\partial x^i} \frac{\partial}{\partial x^i} \cong \left( \frac{\partial f}{\partial x^1}, \dots, \frac{\partial f}{\partial x^n} \right)$$

For a smooth vector field  $X = \sum_{i=1}^n X^i \frac{\partial}{\partial x^i}$ , the divergence

$$\text{div}(X) := \sum_{i=1}^n \frac{\partial X^i}{\partial x^i}$$

Laplace operator.  $\Delta$ :

$$(M, g) \quad \forall f \in C^\infty(\mathbb{R}^n), \quad \Delta f := \sum_{i=1}^n \frac{\partial^2 f}{(\partial x^i)^2} = \text{div}(\nabla f)$$

• Gradient.  $\forall f \in C^\infty(M)$

The gradient  $\nabla f := \text{grad } f$  of  $f$  is a smooth vector field. s.t.

$$\langle \text{grad } f, X \rangle = X(f), \quad \forall \text{ smooth v.f. } X.$$

Expression in local chart:  $(U, x)$

$\forall X = X^i \frac{\partial}{\partial x^i}$ , we have

$$\langle \text{grad } f, X \rangle = \left\langle \underbrace{(\text{grad } f)^j \frac{\partial}{\partial x^j}}_{\text{grad } f}, X^i \frac{\partial}{\partial x^i} \right\rangle$$

$$= (\text{grad } f)^j X^i g_{ji}$$

$$X(f) = X^i \frac{\partial f}{\partial x^i}, \quad \forall (X^1, \dots, X^n)$$

$$\Rightarrow (\text{grad } f)^j X^i g_{ji} = X^i \frac{\partial f}{\partial x^i}, \quad \forall (X^1, \dots, X^n)$$

$$\Rightarrow (\text{grad } f)^j \underbrace{g_{ji} g^{ik}}_{\delta_j^k} = \frac{\partial f}{\partial x^i} g^{ik}$$

$$\Rightarrow (\text{grad} f)^k = g^{ik} \frac{\partial f}{\partial x^i}$$

$$\Rightarrow \text{grad} f = \sum_{j=1}^n (\text{grad} f)^j \frac{\partial}{\partial x^j} = \sum_{j=1}^n \sum_{i=1}^n g^{ij} \frac{\partial f}{\partial x^i} \frac{\partial}{\partial x^j}$$

$$\boxed{\text{grad} f = g^{ij} \frac{\partial f}{\partial x^i} \frac{\partial}{\partial x^j}}$$

Remark (1)  $(\mathbb{R}^n, (g_{ij}) = (\delta_{ij}))$  ✓

(2)  $f \in C^\infty(M)$ ,  $f^{-1}(c)$   $c \in \mathbb{R}$

$c$  regular value of  $f$ ,  $f^{-1}(c)$  is a submtd.

$\text{grad} f$  vertical to  $f^{-1}(c)$

$$\forall X \in \Gamma(T f^{-1}(c)) \circ$$

$$\langle \text{grad} f, X \rangle \Big|_{f^{-1}(c)} = X(f) \Big|_{f^{-1}(c)} = 0. \quad \square$$

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Divergence: smooth vector fields  $X$  on  $(M, g)$

div(X) chart  $(U, x)$

volume element: volume  $n$ -form:

$$\Omega_0 := \sqrt{\det(g_{ij})} dx^1 \wedge \dots \wedge dx^n$$

$$G := \det(g_{ij}) \quad \Omega_0 = \sqrt{G} dx^1 \wedge \dots \wedge dx^n$$

Lie derivative

$$\boxed{L_X \Omega_0 = \text{div}(X) \Omega_0} \text{ define.}$$

linear space of  $n$ -form on  $X(U) \subset \mathbb{R}^n$   
is of dimension one.

$M$  orientable,  $\Rightarrow$  global volume  $n$ -form.

Remark (1). div(X) is well defined.

Remark (1).  $\text{div}(X)$  is well defined.

(2).  $(U, X)$  chart.

Cartan's magical formula:  $M$ . w  $p$ -form on  $M$ .  
 $X$  vector field.

$$L_X \omega = \underbrace{i(X)}_{\text{contraction}} d\omega + d(i(X)\omega)$$

$$\text{Def } i(X)\omega(Y_1, \dots, Y_{p-1}) := \omega(X, Y_1, \dots, Y_{p-1})$$

Apply Cartan's formula to  $\Omega_0$ .

$$\begin{aligned} L_X \Omega_0 &= i(X) d\Omega_0 + d(i(X)\Omega_0) \\ &= \underbrace{d(i(X)\Omega_0)}_{\text{div}(X)\Omega_0} \end{aligned}$$

$$\Rightarrow \boxed{d(i(X)\Omega_0) = \text{div}(X)\Omega_0}$$

$$X = X^i \frac{\partial}{\partial x^i} \quad i(X)\Omega_0 = i(X) \sqrt{G} dx^1 \wedge \dots \wedge dx^n = X^i \sqrt{G} \cdot \underbrace{i\left(\frac{\partial}{\partial x^i}\right) dx^1 \wedge \dots \wedge dx^n}_{\text{contraction}}$$

$$\forall Y_1, \dots, Y_{n-1}, \quad i\left(\frac{\partial}{\partial x^i}\right) dx^1 \wedge \dots \wedge dx^n (Y_1, \dots, Y_{n-1})$$

$$= \underline{dx^1 \wedge \dots \wedge dx^n} \left( \frac{\partial}{\partial x^i}, Y_1, \dots, Y_{n-1} \right)$$

$$\stackrel{\cdot}{=} (-1)^{i-1} dx^1 \wedge \dots \wedge \widehat{dx^i} \wedge \dots \wedge dx^n (Y_1, \dots, Y_{n-1})$$

$$\Rightarrow i(X)\Omega_0 = \sum_{i=1}^n X^i \sqrt{G} (-1)^{i-1} dx^1 \wedge \dots \wedge \widehat{dx^i} \wedge \dots \wedge dx^n$$

$$d(i(X)\Omega_0) = \sum_{i=1}^n (-1)^{i-1} \frac{\partial (X^i \sqrt{G})}{\partial x^k} \underline{dx^k \wedge dx^1 \wedge \dots \wedge \widehat{dx^i} \wedge \dots \wedge dx^n}$$

$$= \sum_{i=1}^n (-1)^{i-1} \frac{\partial (X^i \sqrt{G})}{\partial x^i} \underline{dx^i \wedge dx^1 \wedge \dots \wedge \widehat{dx^i} \wedge \dots \wedge dx^n}$$

$$= \underbrace{\sum_{i=1}^n \frac{\partial (X^i \sqrt{G})}{\partial x^i}}_{\text{div}(X)} \sqrt{G} dx^1 \wedge \dots \wedge dx^n$$

$$= (\text{div}(X)) \Omega_0$$

$$\Rightarrow \boxed{\text{div}(X) = \frac{1}{\sqrt{G}} \sum_{i=1}^n \frac{\partial (\sqrt{G} X^i)}{\partial x^i}}, \quad X = X^i \frac{\partial}{\partial x^i}$$

Remark.  $(\mathbb{R}^n, (\delta_{ij}))$

Thm (Divergence Thm) Let  $X$  be a smooth vector field on  $(M, g)$  with compact support; Then

$$\int_M \underline{\operatorname{div}(X)} \, d\operatorname{vol}_g = 0.$$

Proof: partition of unity.

$\{U_\alpha\}_{\alpha \in A}$  locally finite covering via charts.

$\{\phi_\alpha\}_{\alpha \in A}$  p.o.u. subordinated to  $\{U_\alpha\}_{\alpha \in A}$ .

$$\#\{\alpha \in A \mid \operatorname{supp}(X) \cap U_\alpha\} < \infty$$

$$X = \sum_{\alpha \in A} \phi_\alpha X$$

$$\begin{aligned} \int_M \operatorname{div}(X) \, d\operatorname{vol}_g &= \int_M \operatorname{div}\left(\sum_{\alpha} \phi_\alpha X\right) \, d\operatorname{vol}_g \\ &= \int_{\operatorname{supp}(X)} \sum_{\alpha} \operatorname{div}(\phi_\alpha X) \, d\operatorname{vol}_g = \sum_{\alpha} \int_{U_\alpha} \operatorname{div}(\phi_\alpha X) \, d\operatorname{vol}_g \end{aligned}$$

$$\int_{U_\alpha} \operatorname{div}(\phi_\alpha X) \, d\operatorname{vol}_g$$

$$= \int_{x_\alpha(U_\alpha)} \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} (\sqrt{G} \phi_\alpha X^i) \sqrt{G} \, dx^1 \dots dx^n$$

$$= \int_{x_\alpha(U_\alpha)} \sum_{i=1}^n \frac{\partial}{\partial x^i} (\underbrace{\sqrt{G} \phi_\alpha X^i}_{\in C_0^\infty(U_\alpha)}) \, dx^1 \dots dx^n = 0.$$

□

Laplace - Beltrami operator :  $\Delta$ .

$$\forall f \in C^\infty(M), \quad \underline{\Delta f := \operatorname{div}(\operatorname{grad} f)}$$

in local chart  $(U, x)$ :

$$\Delta f := \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} \left( \sqrt{G} \cdot \underbrace{(\operatorname{grad} f)^i}_{g^{ij} \frac{\partial f}{\partial x^j}} \right)$$

$$\boxed{\Delta f := \frac{1}{\sqrt{G}} \frac{\partial}{\partial x^i} \left( \sqrt{G} g^{ij} \frac{\partial f}{\partial x^j} \right)}$$

$f \in C^\infty \rightarrow f \in C^\infty$



$$f \in C_0^\infty \Rightarrow \int_M \Delta f \, d\text{vol}_g = 0.$$

• Green's formula:

Thm: Let  $f, h \in C^\infty(M)$ , at least one of them has cpt support. Then

$$\begin{aligned} \int_M f \Delta h \, d\text{vol}_g &= - \int_M \langle \text{grad} f, \text{grad} h \rangle \, d\text{vol}_g \\ &= \int_M \Delta f \cdot h \, d\text{vol}_g. \end{aligned}$$

in short:  $(f, \Delta h) = - (\text{grad} f, \text{grad} h) = (\Delta f, h)$

Proof:  $\boxed{\text{div}(fX) = f \cdot \text{div}(X) + \langle \text{grad} f, X \rangle}$

Apply the above formula to  $X = \text{grad} h$

$$\int_M \text{div}(\underbrace{f \cdot \text{grad} h}_0) = \int_M f \Delta h + \int_M \langle \text{grad} f, \text{grad} h \rangle$$

$$(f, \Delta h) = (\Delta f, h) \quad \square$$

Remark:  $(M, g)$  compact.  $\forall f, h \in C^\infty(M)$ ,

$$(f, \Delta h) = (\Delta f, h)$$

• Energy functional.  $f: M \rightarrow \mathbb{R} \quad C^\infty$

$$E(f) := \frac{1}{2} \int_M \langle \nabla f, \nabla f \rangle \, d\text{vol}_g$$

Thm: A smooth critical point  $f$  of  $E$  ~~is~~ in the sense.

$$\left. \frac{d}{dt} \right|_{t=0} E(f + t\eta) = 0$$

for all  $\eta \in C_0^\infty(M)$ , is harmonic. i.e.,  $\Delta f = 0$ .

Proof: We compute  $\langle \nabla f + t \nabla \eta, \nabla f + t \nabla \eta \rangle$

$$0 = \left. \frac{d}{dt} \right|_{t=0} \frac{1}{2} \int_M \langle \nabla(f+t\eta), \nabla(f+t\eta) \rangle \, d\text{vol}_g$$

$$= \int_M \left. \frac{d}{dt} \right|_{t=0} \langle \nabla f + t \nabla \eta, \nabla f + t \nabla \eta \rangle \, d\text{vol}_g$$

$$\frac{1}{2} \int_M \frac{d}{dt} \Big|_{t=0} \langle \sigma f, \sigma \eta \rangle \, d\text{vol}_g$$

$$= \int_M \langle \sigma f, \sigma \eta \rangle \, d\text{vol}_g$$

$$\text{Green's} \quad - \int_M \Delta f \cdot \eta \, d\text{vol}_g \quad \forall \eta \in C_0^\infty(M).$$

$$\Rightarrow \quad \underline{\Delta f = 0} \quad \text{harmonicity.} \quad \square$$

harmonic map.

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