

$$= -\lambda f(x_0).$$

That is,  $\frac{1}{dx_0} \sum_{y \sim x_0} f(y) = (1-\lambda) f(x_0).$

Then, there must be a neighbor of  $x_0$ , say,  $x_1$  s.t.

$$f(x_1) \geq \frac{1}{dx_0} \sum_{y \sim x_0} f(y) = (1-\lambda) f(x_0).$$

Repeating this argument, we get a sequence of distinct vertices

$$x_0 \sim x_1 \sim x_2 \sim \dots$$

s.t.  $f(x_i) \geq (1-\lambda) f(x_{i-1}) \geq \dots \geq (1-\lambda)^i f(x_0).$

Recalling  $\lambda < 0$ , we have

$$\lim_{i \rightarrow \infty} f(x_i) = \infty.$$

□

Corollary III.3.5: For any bounded function  $f_0$ ,

the bounded solution to

$$\begin{cases} \frac{\partial}{\partial t} u(x,t) = \Delta u(x,t), & \forall (x,t) \in V \times (0,\infty) \\ u(x,0) = f_0(x), & \forall x \in V \end{cases}$$

is unique.

(III.4) Curvature and Gradient estimates.

Recall the Bakry - Émery curvature - dimension inequality is well defined on a locally finite infinite graph. (Indeed, the curvature at a vertex  $x$  is a local concept).

Recall that we say  $CD(K, n)$  holds at  $x \in V$  if

(215)

$$\Gamma_2(f)(x) \geq \frac{1}{n} (\Delta f(x))^2 + K \Gamma(f)(x) \quad (*)$$

holds for all  $f: V \rightarrow \mathbb{R}$ . Observe that only finitely many values of  $f$  is involved in  $(*)$ .

Theorem <sup>III.4.</sup> Let  $G = (V, E)$  be a locally finite graph. Then

$$CD(2, \frac{1}{2} t(x) - 1), \text{ where } t(x) = \min_{y: y \sim x} \left( \frac{4}{d_y} + \frac{\#(x, y)}{D(x)} \right)$$

$\#(x, y) = \#\{z \in V : z \sim x, z \sim y\}$  for  $x \sim y$  and  $D(x) = \max_{y: y \sim x} d_y$  is the ~~local~~ maximal vertex degree in  $S_1(x)$ .

Proof: ~~Recall~~ Let  $f \in C_0(V)$  be a function with finite support. Recall that

$$\Gamma(f)(x) = \Gamma(f, f)(x) = \frac{1}{2} \frac{1}{d_x} \sum_{y: y \sim x} (f(y) - f(x))^2.$$

Moreover,

$$\Gamma_2(f)(x) = \Gamma_2(f, f)(x) = \frac{1}{2} (\Delta \Gamma(f)(x) - 2 \Gamma(f, \Delta f)(x)).$$

We have

$$\Delta \Gamma(f)(x) = \frac{1}{d_x} \sum_{y: y \sim x} (\Gamma(f)(y) - \Gamma(f)(x))$$

$$= \frac{1}{d_x} \sum_{y: y \sim x} \left[ \frac{1}{2 d_y} \sum_{z: z \sim y} (f(z) - f(y))^2 - \frac{1}{2} \frac{1}{d_x} \sum_{z: z \sim x} (f(z) - f(x))^2 \right]$$

$$= \frac{1}{2} \frac{1}{d_x} \sum_{y: y \sim x} \frac{1}{d_y} \sum_{z: z \sim y} (f(z) - f(y))^2 - \frac{1}{2} \frac{1}{d_x} \sum_{z: z \sim x} (f(z) - f(x))^2$$

$$= \frac{1}{2} \frac{1}{d_x} \sum_{y: y \sim x} \frac{1}{d_y} \sum_{z: z \sim y} \left[ (f(z) - f(y) + f(x) - f(y))^2 - 2(f(z) - f(y))(f(x) - f(y)) - (f(x) - f(y))^2 \right] - \frac{1}{2} \frac{1}{d_x} \sum_{z: z \sim x} (f(z) - f(x))^2$$

$$\begin{aligned}
&= \frac{1}{2} \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - 2f(y) + f(z))^2 \\
&\quad - \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(z) - f(y))(f(x) - f(y)) - \frac{1}{dx} \sum_{y: y^rx} (f(x) - f(y))^2 \\
&= \frac{1}{2} \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - 2f(y) + f(z))^2 \\
&\quad - \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - f(y))(f(x) - 2f(y) + f(z))
\end{aligned}$$

and

$$\begin{aligned}
2\Gamma(f, \Delta f)(x) &= \frac{1}{dx} \sum_{y: y^rx} (f(y) - f(x)) (\Delta f(y) - \Delta f(x)) \\
&= -\Delta f(x)^2 + \frac{1}{dx} \sum_{y: y^rx} (f(y) - f(x)) \cdot \frac{1}{dy} \sum_{z: z^ry} (f(z) - f(y)) \\
&= -(\Delta f(x))^2 - \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - f(y))(f(z) - f(y))
\end{aligned}$$

Combining the above calculation yields

$$\begin{aligned}
2\Gamma_2(f)(x) &= \Delta \Gamma(f)(x) + 2\Gamma(f, \Delta f)(x) \\
&= \frac{1}{2} \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - 2f(y) + f(z))^2 + (\Delta f(x))^2 \\
&\quad - \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - f(y))(f(x) - f(y))
\end{aligned}$$

That is

$$\Gamma_2(f)(x) = Hf(x) - \Gamma(f)(x) + \frac{1}{2}(\Delta f(x))^2$$

where  $Hf(x) := \frac{1}{2} \frac{1}{dx} \sum_{y: y^rx} \frac{1}{dy} \sum_{z: z^ry} (f(x) - 2f(y) + f(z))^2$

can be considered as an Hessian term.

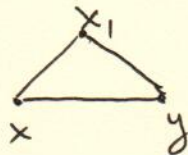
That is, we show  $CD(2, -1)$  always holds at  $x$ . (217)

Of course, we can dig more from  $Hf(x)$ :

$$\begin{aligned} Hf(x) &= \frac{1}{4} \frac{1}{dx} \sum_{y: y \sim x} \frac{1}{dy} \sum_{z: z \sim y} (f(z) - 2f(y) + f(x))^2 \\ &= \frac{1}{4} \frac{1}{dx} \sum_{y: y \sim x} \frac{1}{dy} \left( 4(f(x) - f(y))^2 + \sum_{\substack{z: z \sim y \\ z \neq x}} (f(z) - 2f(y) + f(x))^2 \right) \\ &\geq \frac{1}{dx} \sum_{y: y \sim x} \frac{1}{dy} (f(x) - f(y))^2 \geq \frac{2}{D(x)} \Gamma(f)(x). \end{aligned}$$

That is, we show  $CD(2, \frac{2}{D(x)} - 1)$  always holds at  $x$ .

For a given p-edge  $\{x, y\}$ , if there exists  $x_1$  s.t.  $x_1 \sim x, x_1 \sim y$ .



we have

$$\begin{aligned} &\frac{1}{dy} (f(x) - 2f(y) + f(x))^2 + \frac{1}{dx_1} (f(y) - 2f(x_1) + f(x_1))^2 \\ &\geq \frac{1}{D(x)} \left[ (f(x_1) - f(y))^2 + (f(x_1) - f(y))^2 + 2(f(x) - f(y))(f(x_1) - f(y)) \right. \\ &\quad \left. + (f(x) - f(x_1))^2 + (f(y) - f(x_1))^2 + 2(f(y) - f(x_1))(f(x) - f(x_1)) \right] \\ &= \frac{1}{D(x)} \left[ (f(x) - f(y))^2 + (f(x) - f(x_1))^2 + 2(f(x_1) - f(y))^2 + 2(f(x) - f(y)) \cdot \right. \\ &\quad \left. (f(x_1) - f(y)) \right] \\ &= \frac{1}{D(x)} \left[ (f(x) - f(y))^2 + (f(x) - f(x_1))^2 + 4(f(x_1) - f(y))^2 \right] \\ &\geq \frac{1}{D(x)} \left[ (f(x) - f(y))^2 + (f(x) - f(x_1))^2 \right] \end{aligned}$$

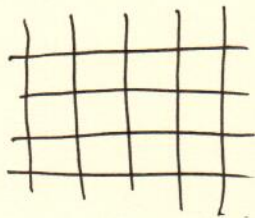
Therefore,

$$\begin{aligned} Hf(x) &\geq \frac{1}{dx} \sum_{y: y \sim x} \frac{1}{dy} \left( 4(f(x) - f(y))^2 + \frac{\#(x, y)}{D(x)} (f(x) - f(y))^2 \right) \\ &\geq \frac{1}{2} \Gamma(f)(x). \end{aligned}$$

That is,  $CD(2, \frac{1}{2}(x-1))$  always holds at  $x \in V$ .  $\square$  (218)

Example: Ricci flat graphs à la Chung and Yau, (1996)

Intuition: lattice  $\mathbb{Z}^2$ . We can define maps:



$$\eta_1 = \text{Up} : V \rightarrow V$$

$$\eta_2 = \text{Down} : V \rightarrow V$$

$$\eta_3 = \text{Left} : V \rightarrow V$$

$$\eta_4 = \text{Right} : V \rightarrow V$$

Then, we have

$$(i) \eta_i x \sim x, \forall x \in V, \forall i$$

$$(ii) \eta_i x \neq \eta_j x, \forall x \in V, \forall i \neq j$$

$$(iii) \eta_j \eta_i x = \eta_i \eta_j x, \forall x \in V, \forall i, j.$$

For Abelian Cayley graphs, such maps can be given by its generators. We consider a general flattening notion.

Definition III.4.2. Let  $G = (V, E)$  be a  $d$ -regular graph.

We say  $x \in V$  is Ricci flat if there exists maps

$$\eta_i : B_1(x) \rightarrow V, \quad i=1, 2, \dots, d.$$

with the following property:

$$(i) \eta_i u \sim u, \forall u \in B_1(x)$$

$$(ii) \eta_i u \neq \eta_j u, \forall u \in B_1(x), \forall i \neq j$$

$$(iii) \bigcup_j \eta_i \eta_j x = \bigcup_j \eta_j \eta_i x, \quad \forall i. \quad \square$$

Rmk.: (iii) is an "average" commutability.

For any  $f : B_2(x) \rightarrow \mathbb{R}$ , we define

$$\nabla_j f: B_1(x) \rightarrow \mathbb{R}$$

(219)

$$\text{s.t. } \nabla_j f(y) = f(\eta_j y) - f(y), \quad \forall y \in B_1(x).$$

Then  $\forall f: V \rightarrow \mathbb{R}$ , we have

$$\Delta f(x) = \frac{1}{d} \sum_{i=1}^d (f(\eta_i x) - f(x)) = \frac{1}{d} \sum_{i=1}^d \nabla_i f(x).$$

Lemma III.4.3: ~~For any  $f: B_1(x) \rightarrow \mathbb{R}$ ,  $\mathbb{P}$~~

Property (iii) holds  $\Leftrightarrow [\Delta, \nabla_j] f(x) = 0, \quad \forall j, \forall f: B_2(x) \rightarrow \mathbb{R}$ .

Proof: We calculate

$$\begin{aligned} [\Delta, \nabla_j] f(x) &= \Delta(\nabla_j f)(x) - \nabla_j(\Delta f)(x) \\ &= \frac{1}{d} \sum_{i=1}^d (\nabla_j f(\eta_i x) - \nabla_j f(x)) - (\Delta f(\eta_j x) - \Delta f(x)) \\ &= \frac{1}{d} \sum_{i=1}^d (f(\eta_j \eta_i x) - f(\eta_i x) - f(\eta_j x) + f(x)) \\ &\quad - \frac{1}{d} \sum_{i=1}^d (f(\eta_i \eta_j x) - f(\eta_j x) - f(\eta_i x) + f(x)) \\ &= \frac{1}{d} \sum_{i=1}^d (f(\eta_j \eta_i x) - f(\eta_i \eta_j x)). \quad \square \end{aligned}$$

Theorem III.4.4: If  $G$  is Ricci flat at  $x \in V$ . Then  $CD(0, \infty)$  holds at  $x$ .

Proof: (follows Schmuckenschläger 1998). We calculate

$$\begin{aligned} \Gamma_2(f, f)(x) &= \frac{1}{2} \Delta \Gamma(f, f)(x) - \Gamma(f, \Delta f)(x) \\ &= \frac{1}{2} \Delta \left( \frac{1}{2d} \sum_{i=1}^d (\nabla_i f)^2 \right)(x) - \frac{1}{2} \frac{1}{d} \sum_{j=1}^d \nabla_j f(x) \nabla_j (\Delta f)(x). \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{4d} \sum_{i=1}^d \Delta (\nabla_j f)^2(x) - \frac{1}{2d} \sum_{j=1}^d \nabla_j f(x) \nabla_j (\Delta f)(x) \quad (220) \\
&= \frac{1}{2d} \sum_{i=1}^d \left( \Gamma(\nabla_j f, \nabla_j f)(x) + \nabla_j f(x) \cdot \Delta(\nabla_j f)(x) \right) - \frac{1}{2d} \sum_{j=1}^d \nabla_j f(x) \nabla_j (\Delta f)(x) \\
&= \frac{1}{2d} \sum_{i=1}^d \Gamma(\nabla_j f, \nabla_j f)(x) + \frac{1}{2d} \sum_{j=1}^d \nabla_j f(x) \underbrace{[\Delta, \nabla_j] f(x)}_{=0 \text{ for Ricci flat.}} \\
&= \frac{1}{2d} \sum_{i=1}^d \Gamma(\nabla_j f, \nabla_j f)(x) \geq 0. \quad \square
\end{aligned}$$

Remark: In fact, if  $x$  is Ricci flat, it holds that  $\text{CD}(d, 0) \Rightarrow \text{CD}(0, d)$ , which implies  $\text{CD}(0, \infty)$ .  
We leave this to the readers.

Gradient estimate:

Theorem III.4.5: Let  $G = (V, E)$  be a locally finite graph. Then the following are equivalent

(a)  $\text{CD}(K, \infty)$  holds at each  $x \in V$ .

(b)  $\Gamma(P_t f) \leq e^{-2kt} P_t(\Gamma(f))$  for any bounded function  $f: V \rightarrow \mathbb{R}$ .

Proof: (a)  $\Rightarrow$  (b). First consider  $f \in C_0(V)$ .

Consider the function

$$F(s) = e^{-2ks} \underbrace{P_s \Gamma(P_{t-s} f)}_{:= G(s)}, \quad 0 \leq s \leq t.$$

Recall that if  $f$  is bounded, so is  $\Gamma(f)$ .

if  $f \in \ell^2(V)$ , so is  $\Gamma(f) \in \ell^1(V)$ .

$$F(0) = \Gamma(P_t f), \quad F(t) = e^{-2kt} P_t \Gamma(f).$$

Next, we hope to calculate  $\frac{\partial}{\partial s} F(s)$ . For that purpose, we need  $\frac{\partial}{\partial s} G(s)$ .

Formally, we have

$$\begin{aligned} \frac{\partial}{\partial s} G(s) &\stackrel{(*)}{=} \frac{\partial}{\partial s} \sum_{y \in V} P_s(x, y) \Gamma(P_{t-s}f, P_{t-s}f)(y) dy \\ &= \sum_{y \in V} \Delta P_s(x, y) \Gamma(P_{t-s}f, P_{t-s}f)(y) dy \\ &\quad + \sum_{y \in V} P_s(x, y) \underbrace{\Gamma(P_{t-s}f, -\Delta P_{t-s}f)(y)}_{\text{finite sum}} dy. \end{aligned}$$

To ensure the above formal derivative is indeed the derivative of  $G(s)$ , we ~~need~~ can show that the absolute values of the summands are ~~un~~ uniformly (in  $s$ ) controlled by a summable function.

For any  $s \in (0, t)$ , we ~~can~~ may assume that  $s \in [\varepsilon, t - \varepsilon]$ ,  $\varepsilon > 0$ .

then

$$P_s(x, y) \Gamma(P_{t-s}f, P_{t-s}f)(y) \leq \sup_{s \in [\varepsilon, t - \varepsilon]} \Gamma(P_{t-s}f)(y) = h(y).$$

$$\begin{aligned} P_s(x, y) |\Gamma(P_{t-s}f, \Delta P_{t-s}f)(y)| &\leq \sup_{s \in [\varepsilon, t - \varepsilon]} \Gamma(P_{t-s}f, \Delta P_{t-s}f)(y) \\ &= g(y). \end{aligned}$$

We claim  $\sum_{y \in V} h(y) dy < \infty$  and  $\sum_{y \in V} g(y) dy < \infty$ .

Notice that  $\Delta P_{t-s}f = P_{t-s} \Delta f$  and

~~$$\Gamma(P_{t-s}f, P_{t-s} \Delta f)(y) \leq \sqrt{\Gamma(P_{t-s}f)(y) \Gamma(P_{t-s} \Delta f)(y)}$$~~

$$\leq \frac{1}{2} (\Gamma(P_{t-s}f)(y) + \Gamma(P_{t-s} \Delta f)(y)).$$

$$\Rightarrow \sum_{y \in V} g(y) dy \leq \frac{1}{2} \left( \sum_{y \in V} \Gamma(P_{t-s}f)(y) dy + \sum_{y \in V} \Gamma(P_{t-s} \Delta f)(y) dy \right)$$