

## LECTURE 28: VECTOR BUNDLES AND FIBER BUNDLES

### 1. VECTOR BUNDLES

In general, smooth manifolds are very “non-linear”. However, there exist many smooth manifolds which admit very nice “partial linear structures”. For example, given any smooth manifold  $M$  of dimension  $n$ , the tangent bundle

$$TM = \{(p, X_p) \mid p \in M, X_p \in T_p M\}$$

is “linear in tangent variables”. We have seen in PSet 2 Problem 9 that  $TM$  is a smooth manifold of dimension  $2n$  so that the canonical projection  $\pi : TM \rightarrow M$  is a smooth submersion. A local chart of  $TM$  is given by

$$T\varphi = (\pi, d\varphi) : \pi^{-1}(U) \rightarrow U \times \mathbb{R}^n,$$

where  $\{\varphi, U, V\}$  is a local chart of  $M$ . Note that the local chart map  $T\varphi$  “preserves” the linear structure nicely: it maps the vector space  $\pi^{-1}(p) = T_p M$  isomorphically to the vector space  $\{p\} \times \mathbb{R}^n$ . As a result, if you choose another chart  $(\tilde{\varphi}, \tilde{U}, \tilde{V})$  containing  $p$ , then the map  $T\tilde{\varphi} \circ T\varphi^{-1} : \{p\} \times \mathbb{R}^n \rightarrow \{p\} \times \mathbb{R}^n$  is a linear isomorphism which depends smoothly on  $p$ .

In general, we define

**Definition 1.1.** Let  $E, M$  be smooth manifolds, and  $\pi : E \rightarrow M$  a surjective smooth map. We say  $(\pi, E, M)$  is a *vector bundle of rank  $r$*  if for every  $p \in M$ , there exists an open neighborhood  $U_\alpha$  of  $p$  and a diffeomorphism (called the *local trivialization*)

$$\Phi_\alpha : \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbb{R}^r$$

so that

- (1)  $E_p = \pi^{-1}(p)$  is a  $r$  dimensional vector space, and  $\Phi_\alpha|_{E_p} : E_p \rightarrow \{p\} \times \mathbb{R}^r$  is a linear map.
- (2) For  $U_\alpha \cap U_\beta \neq \emptyset$ , there is a smooth map  $g_{\beta\alpha} : U_\alpha \cap U_\beta \rightarrow \text{GL}(r, \mathbb{R})$  so that

$$\Phi_\beta \circ \Phi_\alpha^{-1}(m, v) = (m, g_{\beta\alpha}(m)(v)), \quad \forall m \in U_\alpha \cap U_\beta, v \in \mathbb{R}^r.$$

We will call  $E$  the *total space*,  $M$  the *base* and  $\pi^{-1}(p)$  the *fiber* over  $p$ . (In the case there is no ambiguity about the base, we will denote a vector bundle by  $E$  for short.)

(Roughly speaking, a vector bundle  $E$  over  $M$  is “a smooth varying family of vector spaces parameterized by a base manifold  $M$ ”.)

*Remark.* A vector bundle of rank 1 is usually called a *line bundle*.

*Remark.* It is easy to define conception of a *vector sub-bundle*: a vector bundle  $(\pi_1, E_1, M)$  is a sub-bundle of  $(\pi, E, M)$  if  $(E_1)_p \subset E_p$  for any  $p$ , and  $\pi_1 = \pi|_{E_1}$ .

*Example.* Here are some known examples:

- (1) For any smooth manifold  $M$ ,  $E = M \times \mathbb{R}^r$  is a *trivial bundle* over  $M$ .
- (2) The tangent bundle  $TM$  and the cotangent bundle  $T^*M$  are both vector bundles over  $M$ .
- (3) Given any smooth submanifold  $X \subset M$ , the normal bundle

$$NX = \{(p, v) \mid p \in X, v \in N_p X\},$$

(where  $N_p X$  is the quotient vector space  $T_p M / T_p X$ ) is a vector bundle over  $X$ .  
Note:  $NX$  is NOT a vector sub-bundle of  $TM$ .

- (4) Any rank  $r$  distribution  $\mathcal{V}$  on  $M$  is a rank  $r$  vector bundle over  $M$ . It is a vector *sub-bundle* of the tangent bundle  $TM$ .

*Example.* The *canonical line bundle* over  $\mathbb{R}P^n = \{l \text{ is a line through } 0 \text{ in } \mathbb{R}^{n+1}\}$  is

$$\gamma_n^1 = \{(l, x) \mid l \in \mathbb{R}P^n, x \in l \subset \mathbb{R}^{n+1}\}.$$

(Can you write down a local trivialization?)

In particular if  $n = 1$ , we have  $\mathbb{R}P^1 \simeq S^1$ . In this case the canonical line bundle  $\gamma_1^1$  is nothing else but the infinite Möbius band, which is a line bundle over  $S^1$ .

Another way to obtain the infinite Möbius band  $\gamma_1^1$  is to identify  $S^1$  with  $[0, 1]$ , with end points 0 and 1 “glued together”. Then the Möbius band is  $[0, 1] \times \mathbb{R}$ , with “boundary lines  $\{0\} \times \mathbb{R}$  and  $\{1\} \times \mathbb{R}$  glued together” via  $(0, t) \sim (1, -t)$ .

*Example.* One can extend operations on vector spaces to operations on vector bundles.

- (1) Given any vector bundle  $(\pi, E, M)$ , one can define the dual vector bundle by replacing each  $E_p$  with its dual  $E_p^*$ . (How to define local trivializations? What are the transition maps  $g_{\beta\alpha}$ ’s?) For example,  $T^*M$  is the dual bundle of  $TM$ .
- (2) Let  $(\pi_1, E_1, M)$  and  $(\pi_2, E_2, M)$  be two vector bundles over  $M$  of rank  $r_1$  and  $r_2$  respectively. Then the *direct sum bundle*  $(\pi_1 \oplus \pi_2, E_1 \oplus E_2, M)$  is the rank  $r_1 + r_2$  vector bundle over  $M$  with fiber  $(\pi_1 \oplus \pi_2)^{-1}(p) = (E_1)_p \oplus (E_2)_p$ . (How to define local trivializations? What are the transition maps  $g_{\beta\alpha}$ ’s?)
- (3) Then the *tensor product bundle*  $(\pi_1 \otimes \pi_2, E_1 \otimes E_2, M)$  is the rank  $r_1 r_2$  vector bundle over  $M$  with fiber  $(\pi_1 \otimes \pi_2)^{-1}(p) = (E_1)_p \otimes (E_2)_p$ . (How to define local trivializations? What are the transition maps  $g_{\beta\alpha}$ ’s?) For example, we have the  *$(k, l)$ -tensor bundle*  $\otimes^{k,l} TM := (TM)^{\otimes k} \otimes (T^*M)^{\otimes l}$  over  $M$ .
- (4) Similarly one can define the *exterior power bundle*  $\Lambda^k T^*M$ , whose fiber at point  $p \in M$  is the linear space  $\Lambda^k T_p^*M$ . (Local trivializations? Transition maps?)
- (5) Let  $f : N \rightarrow M$  be a smooth map, and  $(\pi, E, M)$  a vector bundle over  $M$ . Then one can define a *pull-back bundle*  $f^*E$  over  $N$  by setting the fiber over  $x \in N$  to be the fiber of  $E_{f(x)}$ . (Local trivializations? Transition maps?)

In particular, the restriction of a vector bundle  $(\pi, E, M)$  to a submanifold  $N$  of the base manifold  $M$  is a vector bundle over the submanifold  $N$ . (Note: this is not a vector sub-bundle of  $(\pi, E, M)$ !)

We have seen that any smooth manifold can be embedded into the simplest manifold: an Euclidian space. A natural question is whether the same conclusion holds for vector bundles? More precisely, can we embed any vector bundle  $(\pi, E, M)$  into some trivial bundle  $M \times \mathbb{R}^N$  as a vector sub-bundle? The answer is yes if  $M$  is compact, and the proof is similar to the proof of the “simple Whitney embedding theorem” in Lecture 9. (The conclusion could be wrong if  $M$  is non-compact.)

**Theorem 1.2.** *If  $M$  is compact, then any vector bundle  $E$  over  $M$  is isomorphic to a sub-bundle of a trivial vector bundle over  $M$ .*

*Proof.* (Please compare this proof with the proof of Theorem 1.1 in Lecture 9). Take a finite open cover  $\{U_i\}_{1 \leq i \leq k}$  of  $M$  so that  $E$  is trivial over each  $U_i$  via the trivialization maps  $\Phi_i = (\pi, \Phi_i^2) : \pi^{-1}(U_i) \rightarrow U_i \times \mathbb{R}^r$ . Let  $\{\rho_i\}_{1 \leq i \leq k}$  be a P.O.U. subordinate to  $\{U_i\}_{1 \leq i \leq k}$ . Consider

$$\Phi : E \rightarrow M \times (\mathbb{R}^r)^k, \quad v \mapsto (\pi(v), \rho_1(\pi(v))\Phi_1^2(v), \dots, \rho_k(\pi(v))\Phi_k^2(v)).$$

Note that on each fiber  $E_p$ ,  $\Phi$  is a linear isomorphism onto its image. The conclusion follows, since the image of  $\Phi$  is a vector sub-bundle of  $M \times \mathbb{R}^{rk}$ : for any  $p \in M$ , there exists  $i$  so that  $\rho_i(p) \neq 0$ . Then the map  $\Phi_i^2$  induces a local trivialization near  $p$ .  $\square$

As a corollary we get the following fundamental fact in topological K-theory:

**Corollary 1.3.** *If  $M$  is compact, then for any vector bundle  $E$  over  $M$ , there exists a vector bundle  $F$  over  $M$  so that  $E \oplus F$  is a trivial bundle over  $M$ .*

*Proof.* We have seen that  $E$  is a vector sub-bundle of a trivial bundle  $M \times \mathbb{R}^N$  over  $M$ . Now we put an inner product on  $\mathbb{R}^N$ , and take the fiber  $F_p$  of  $F$  at  $p \in M$  to be the orthogonal complement of  $E_p$  in  $\mathbb{R}^N$ . (One should check that  $F$  is a vector bundle over  $M$ .)  $\square$

## 2. SECTIONS OF VECTOR BUNDLES

The following definition is natural:

**Definition 2.1.** A (smooth) section of a vector bundle  $(\pi, E, M)$  is a (smooth) map  $s : M \rightarrow E$  so that  $\pi \circ s = \text{Id}_M$ . The set of all sections of  $E$  is denoted by  $\Gamma(E)$ , and the set of all smooth sections of  $E$  is denoted by  $\Gamma^\infty(E)$ .

*Remark.* Obviously if  $s_1, s_2$  are smooth sections of  $E$ , so is  $as_1 + bs_2$ . So  $\Gamma^\infty(E)$  is an (infinitely dimensional) vector space. In fact, one can say more: if  $s$  is a smooth section of  $E$  and  $f$  is a smooth function on  $M$ , then  $fs$  is a smooth section of  $E$ . So  $\Gamma^\infty(E)$  is a  $C^\infty(M)$ -module. (According to the famous Serre-Swan theorem, there is an equivalence of categories between that of finite rank vector bundles over  $M$  and finitely generated projective modules over  $C^\infty(M)$ .)

*Remark.* Many geometrically interesting objects on  $M$  are defined as smooth sections of some (vector) bundles over  $M$ . For example,

- A smooth *vector field* on  $M$  = a smooth section of  $TM$ .
- A smooth  $(k, l)$ -*tensor field* on  $M$  = a smooth section of  $\otimes^{k,l}TM$ .
- A smooth  $k$ -*form* on  $M$  = a smooth section of  $\Lambda^k T^*M$ .
- A *volume form* on  $M$  = a non-vanishing smooth section of  $\Lambda^n T^*M$ .
- A *Riemannian metric* on  $M$  = a smooth section of  $\otimes^2 T^*M$  satisfying some extra (symmetric, positive definite) conditions
- A *symplectic form* on  $M$  = a smooth section of  $\Lambda^2 T^*M$  satisfying some extra (closed, non-degenerate) conditions

By definition any vector bundle admits a trivial smooth section: the zero section

$$s_0 : M \rightarrow E, \quad p \mapsto (p, 0).$$

On the other hand, it is possible that a vector bundle admits no non-vanishing section. For example, we have seen

- $M$  is orientable if and only if  $\Lambda^n T^*M$  admits a global non-vanishing section.
- $TS^{2n}$  admits no non-vanishing section.

Here is another example:

*Example.* Consider the canonical line bundle  $\gamma_n^1$  over  $\mathbb{R}\mathbb{P}^n$ . We claim that there is no non-vanishing smooth section  $s : \mathbb{R}\mathbb{P}^n \rightarrow \gamma_n^1$ . To see this we consider the composition

$$\varphi : S^n \xrightarrow{P} \mathbb{R}\mathbb{P}^n \xrightarrow{s} \gamma_n^1,$$

where  $P$  is the projection  $p(\pm x) = l_x$ , the line through  $x$ . By definition,  $\varphi$  is of form

$$x \mapsto (l_x, f(x)x),$$

where  $f$  is a smooth function on  $S^n$  satisfying  $f(-x) = -f(x)$ . By mean value property,  $f$  vanishes at some  $x_0$ . It follows that  $s$  vanishes at  $x_0$  also.

Although there might be no non-vanishing global sections, locally there are plenty of non-vanishing sections. Let  $E$  be a rank  $r$  vector bundle over  $M$ , and  $U$  an open set in  $M$ .

**Definition 2.2.** A *local frame* of  $E$  over  $U$  is an ordered  $r$ -tuple  $s_1, \dots, s_r$  of smooth section of  $E$  over  $U$  so that for each  $p \in U$ ,  $s_1(p), \dots, s_r(p)$  form a basis of  $E_p$ .

*Example.* Let  $M$  be a smooth manifold and  $U$  be a coordinate patch. Then

- $\partial_1, \dots, \partial_n$  form a local frame of  $TM$  over  $U$ .
- $dx^1, \dots, dx^n$  form a local frame of  $T^*M$  over  $U$ .

The following fact is basic. We will leave the proof as an exercise.

**Proposition 2.3.** Let  $E$  be a smooth vector bundle over  $M$ .

- (1) A section  $s \in \Gamma(E)$  is smooth if and only if for any  $p \in M$ , there is a neighborhood  $U$  of  $p$  and a local frame  $s_1, \dots, s_r$  of  $E$  over  $U$  so that  $s = c_1 s_1 + \dots + c_r s_r$  for some smooth functions  $c_1, \dots, c_r$  defined in  $U$ .
- (2)  $E$  is a trivial bundle if and only if there exists a global frame of  $E$  on  $M$ .

## 3. DE RHAM COHOMOLOGY GROUPS OF VECTOR BUNDLES

We have seen

$$H_{dR}^k(M \times \mathbb{R}^r) \simeq H_{dR}^k(M) \quad \text{and} \quad H_c^k(M \times \mathbb{R}^r) \simeq H_c^{k-r}(M).$$

A vector bundle  $E$  can be viewed as a “twisted product” of a smooth manifold  $M$  (the base) with a vector space (the fiber). So it is natural to study the relation between the cohomology groups of  $E$  and the cohomology groups of  $M$ .

**Proposition 3.1.** *For any vector bundle  $E$  over  $M$ , one has*

$$H_{dR}^k(E) = H_{dR}^k(M), \quad \forall k.$$

*Proof.* This is a consequence of the homotopy invariance:  $E$  is homotopy equivalent to  $M$ , since if we let  $s_0 : M \rightarrow E$  be the zero section, then  $\pi \circ s_0 = \text{Id}_M$ , and  $s_0 \circ \pi \sim \text{Id}_E$  via the homotopy

$$F : E \times \mathbb{R} \rightarrow E, \quad (x, v, t) \mapsto (x, tv).$$

□

In general, the same result fails for compact supported cohomology groups. For example, let  $E$  be the infinite Möbius band, which is a line bundle over  $S^1$ . Since  $E$  is non-orientable, one has

$$H_c^2(E) = 0 \neq \mathbb{R} \simeq H_{dR}^1(S^1) = H_c^1(S^1).$$

On the other hand, if we assume orientability, then

**Proposition 3.2.** *Let  $E$  be a rank  $r$  vector bundle over  $M$ . Assume both  $E$  and  $M$  are oriented with finite dimensional compact supported de Rham cohomology groups, then*

$$H_c^k(E) \simeq H_c^{k-r}(M), \quad \forall k.$$

*Proof.* We apply Poincaré duality twice:

$$H_c^k(E) \simeq H_{dR}^{n+r-k}(E) \simeq H_{dR}^{n+r-k}(M) \simeq H_c^{k-r}(M).$$

□

Now let  $M$  be an oriented, compact and connected smooth  $n$ -manifold, and  $E$  an oriented vector bundle of rank  $r$  over  $M$ . The constant function 1 on  $M$  gives us a degree-0 cohomology class  $[1] \in H_{dR}^0(M)$ . So the isomorphism above produces an element

$$[T] \in H_c^r(E).$$

**Definition 3.3.** We call  $[T]$  the *Thom class* of  $(\pi, E, M)$ .

*Remark.* The isomorphism  $H_c^k(E) \simeq H_c^{k-r}(M)$  is called the *Thom duality*. It is given explicitly by an *integration along the fibers* map

$$\pi_* : \Omega_c^k(E) \rightarrow \Omega^{k-r}(M).$$

More precisely, on a local trivialization we can pick coordinates  $x^1, \dots, x^n$  of  $M$  and  $s^1, \dots, s^r$  of the fiber. For any  $k$ -form ( $k \geq r$ )  $\omega$  on  $M$ , locally we can write

$$\omega = f(x, s)(\pi^*\theta) \wedge ds^1 \cdots \wedge ds^r + \text{terms with less fiber 1-forms,}$$

where  $\theta$  is a  $(k - r)$ -form on  $M$ , and  $f$  is compactly supported. Then

$$\pi_*\omega := \theta \int_{\mathbb{R}^r} f(x, s) ds^1 \cdots ds^r.$$

One can check that

- $\pi_*\omega$  is well-defined.
  - To prove the independence of the choices of the fiber variables  $s^1, \dots, s^r$ , one need the following fact: Since  $E$  and  $M$  are both orientable, one can always choose fiber variables so that the transition maps  $g_{\alpha\beta} \in \text{GL}^+(n, \mathbb{R})$ .
- $d\pi_* = \pi_*d$ . ( $\implies \pi_*$  induces a linear map  $\pi_* : H_c^k(E) \rightarrow H_c^{k-c}(M)$ .)
- The induced map  $\pi_* : H_c^k(E) \rightarrow H_c^{k-c}(M)$  is an isomorphism.
- Moreover, one has the projection formula

$$\pi_*(\pi^*\theta \wedge \omega) = \theta \wedge \pi_*\omega, \quad \forall \theta \in \Omega^*(M) \text{ and } \omega \in \Omega_c^*(E).$$

The Thom class gives us the “inverse” to the “integration along fibers map”. More precisely, by definition,  $\pi_*([T]) = 1$ . By using the projection formula above, one has

$$(\pi_*)^{-1}([\omega]) = [\pi^*\omega] \cup [T], \quad \forall \omega \in Z_c^*(E).$$

Let  $E$  be an oriented vector bundle of rank  $r$  over an oriented connected compact smooth manifold  $M$ . Let  $s : M \rightarrow E$  be any global section of  $E$ . Then by using the pull-back, we get an element

$$s^*([T]) \in H_{dR}^r(M).$$

**Proposition 3.4.** *The de Rham cohomology class  $s^*([T])$  is independent of the choices of  $s$ .*

*Proof.* Let  $s_0 : M \rightarrow E$  be the zero section. Then  $s_0 \sim s$  via the homotopy

$$F : M \times \mathbb{R}, (m, t) \mapsto (m, ts(m)).$$

So  $s^*([T]) = s_0^*([T])$ . □

**Definition 3.5.** The de Rham cohomology class

$$\chi(E) := s^*([T]) \in H_{dR}^r(M)$$

is called the *Euler class* of  $E$ .

The Euler class of a vector bundle is an obstruction to the existence of a non-vanishing global section.

**Theorem 3.6.** *If an oriented vector bundle  $E$  over an oriented compact connected smooth manifold  $M$  admits a non-vanishing global section, then  $\chi(E) = 0$ .*

*Proof.* Let  $s : M \rightarrow E$  be a non-vanishing section. Take  $T \in Z_c^r(E)$  so that  $[T]$  is the Thom class. Take  $c \in \mathbb{R}$  so that the section  $s_1 = cs$  does not intersect  $\text{supp}(T)$ . It follows

$$\chi(E) = s_1^*([T]) = [s_1^*T] = 0.$$

□

Finally we remark that for  $E = TM$  the tangent bundle, one has

$$\chi(TM) = \chi(M)[\mu],$$

where  $\mu \in \Omega^n(M)$  is any top form with  $\int_M \mu = 1$ , and

$$\chi(M) = \sum_{i=0}^n (-1)^i \dim H_{dR}^i(M)$$

is the Euler characteristic number of  $M$ . This explains the name “Euler class”. Moreover, this explains why any smooth vector field on  $S^{2n}$  admits at least one zero, while there exists non-vanishing smooth vector fields on  $S^{2n+1}$ :

$$\chi(S^{2n}) \neq 0 \quad \text{while} \quad \chi(S^{2n+1}) = 0.$$