

## PATH, CONTINUOUS DEFORMATION AND HOMOTOPY

Last time we learned:

- Connectedness: many different characterizations of disconnected
  - Generalized Intermediate Value Theorem
  - closure, union (under conditions)
  - connectedness is productive (and divisible)
- Connected components: closed (but not necessarily open).
  - A numerical topological invariant: the number of connected components
  - $\pi_c : \mathcal{TOP} \rightarrow \mathcal{TOP}_{totdis}$

### 1. PATH AND PATH-CONNECTEDNESS

We now turn to a closely related conception: the path connectedness. It is more intuitive, and, as we will see soon, can be extended to define “higher level” connectedness which is described by computable algebraic quantities.

**Definition 1.1.** Let  $X$  be a topological space, and  $x, y \in X$ . A *path* from  $x$  to  $y$  is a continuous map  $\gamma : [0, 1] \rightarrow X$  s.t.

$$\gamma(0) = x, \gamma(1) = y.$$

In the case  $x = y$ , we will call the path a *loop* with base point  $x$ .

Notations for *path space* and *loop space*:

$$\begin{aligned}\Omega(X; x_0, x_1) &= \{\gamma \in \mathcal{C}([0, 1], X) \mid \gamma(0) = x_0, \gamma(1) = x_1\}, \\ \Omega(X; x_0) &= \{\gamma \in \mathcal{C}([0, 1], X) \mid \gamma(0) = \gamma(1) = x_0\}.\end{aligned}$$

*Remark.* In our definition, path is a continuous map, not just a “geometric curve”. In other words, different parametrizations of the same geometric curve will be regarded as different paths.

*Remark.* It is possible to define some “algebraic operations” on paths. For example,

- Given any path  $\gamma$  from  $x$  to  $y$ , we can “reverse” the path to get a new path  $\bar{\gamma}$  from  $y$  to  $x$  by letting

$$\bar{\gamma}(t) := \gamma(1 - t).$$

[The map  $\bar{\gamma}$  is continuous because it is the composition of two continuous maps: the map  $\gamma$  and the map  $t \mapsto 1 - t$ .]

- Given two paths,  $\gamma_1$  from  $x$  to  $y$  and  $\gamma_2$  from  $y$  to  $z$ , we can “connect” the two paths to get a new path  $\gamma_1 * \gamma_2$  from  $x$  to  $z$  by letting

$$\gamma_1 * \gamma_2(t) = \begin{cases} \gamma_1(2t), & 0 \leq t \leq \frac{1}{2}, \\ \gamma_2(2t - 1), & \frac{1}{2} \leq t \leq 1. \end{cases}$$

[The continuity of  $\gamma_1 * \gamma_2$  follows from the pasting lemma in PSet 2-2-2.]

- There is a special path from  $x$  to  $x$ : the constant path  $\gamma_x$  defined by

$$\gamma_x(t) = x, \quad \forall t \in [0, 1].$$

Unfortunately these operations are not “very algebraic”. For example,  $\gamma * \bar{\gamma}$  is different from  $\bar{\gamma} * \gamma$ , since the first one is a path from  $x$  to  $x$  while the second one is a path from  $y$  to  $y$ . Even in the case  $x = y$ , they are still different paths since they are loops going in “opposite directions”. Also we want the constant path  $\gamma_x$  to be the identity element, but it is not. We will show how to solve this problem and develop a correct “algebra of paths” next time.

**Definition 1.2.** We say a topological space  $X$  is *path-connected* if any two points in  $X$  can be connected by a path.

It is easy to prove that the conception of path-connectedness is stronger than connectedness:

**Proposition 1.3.** *If  $X$  is path-connected, then  $X$  is connected.*

*Proof.* By contradiction. Suppose there exists nonempty disjoint open sets  $A$  and  $B$  such that  $X = A \cup B$ . Take a point  $x$  in  $A$ ,  $y$  in  $B$  and a path  $\gamma$  from  $x$  to  $y$ . Then

$$[0, 1] = \gamma^{-1}(A) \cup \gamma^{-1}(B)$$

is the union of non-empty disjoint open sets, which contradicts with the connectedness of  $[0, 1]$ .  $\square$

*Example.*

- (1) Any connected open set  $U \subset \mathbb{R}^n$  is path connected.

Reason: [The continuity method] Fix any  $x \in U$  and consider the set

$$A = \{y \in U \mid \text{there exists a path from } x \text{ to } y\}.$$

Then

- $A$  is open: For any  $y \in A$ , we take  $\varepsilon > 0$  small enough such that  $B(y, \varepsilon) \subset U$ . Let  $\gamma_1$  be a path in  $U$  connecting  $x$  to  $y$ . For any  $y_1 \in B(y, \varepsilon)$ , let  $\gamma_2$  be the “line segment path” connecting the center  $y$  to  $y_1$ , which is given explicitly by

$$\gamma_2(t) = ty_1 + (1 - t)y.$$

Then  $\gamma * \gamma_2$  is a path from  $x$  to  $y_1$ . So  $y_1 \in A$ .

- $A$  is closed: By the same argument one can prove if  $y \notin A$ , then for any point  $y_1 \in B(y, \varepsilon)$ , we also have  $y_1 \notin A$ . So  $A^c$  is open, i.e.  $A$  is closed.

Since  $U$  is connected and since  $A$  is non-empty (we always have  $x \in A$  since we have the constant curve), we conclude  $A = U$ . So any point in  $U$  can be connected to  $x$ . It follows that any two points can be connected by a path: first connect one point to  $x$ , then connect  $x$  to the other point.

By the same argument one can prove:

**Fact:** A topological manifold is path connected if and only if it is connected.

- (2)  $\mathbb{R}^2 \setminus \mathbb{Q}^2$  is path connected.

Reason: Since  $\mathbb{Q}^2$  is a countable set, for any  $x \in \mathbb{R}^2 \setminus \mathbb{Q}^2$ , there exists uncountably many lines  $l$  s.t.

$$x \in l \subset \mathbb{R}^2 \setminus \mathbb{Q}^2.$$

Now for  $x \neq y \in \mathbb{R}^2 \setminus \mathbb{Q}^2$ , pick two such lines, one contains  $x$  and the other contains  $y$ , such that they are not parallel. Now you travel from  $x$  through the first line to the intersection point, then through the second line to  $y$ .

- (3) The topologist's sine curve

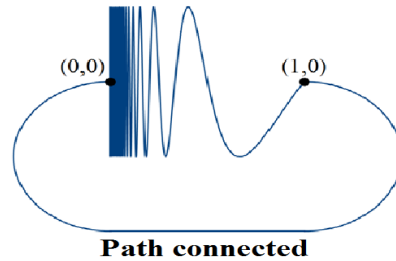
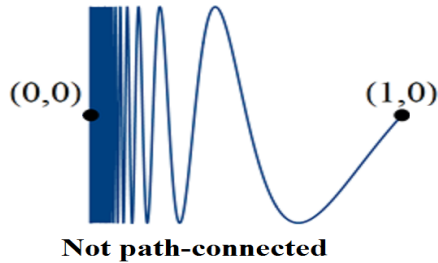
$$X = \{(x, \sin \frac{\pi}{x}) \mid 0 < x \leq 1\} \cup \{(0, y) \mid -1 \leq y \leq 1\}$$

is connected (we have seen this in Lecture 15). But it is NOT path connected.

Reason: There is no path in  $X$  connecting the point  $(0, 0)$  to  $(1, 0)$ .

To see this we suppose  $\gamma : [0, 1] \rightarrow X$  is a path with  $\gamma(0) = (0, 0)$  and  $\gamma(1) = (1, 0)$ . Write  $\gamma(t) = (\gamma_1(t), \gamma_2(t))$ . Let  $s = \sup\{t \mid \gamma_1(t) = 0\}$ . Then  $s < 1$ ,  $\gamma_1(s) = 0$  and  $\gamma_1(t) > 0$  for all  $t > s$ . It follows that for  $t > s$ ,  $\gamma_2(t) = \sin \frac{\pi}{\gamma_1(t)}$ . Now take a decreasing sequence  $t_n \rightarrow s$  with  $\gamma_1(t_n) = \frac{2}{2n+1}$ . [The existence of such sequence is guaranteed by the continuity of  $\gamma_1$ .] Then  $\gamma_2(t_n)$  is an oscillating sequence and thus does not converge to  $\gamma_2(s)$ , a contradiction.

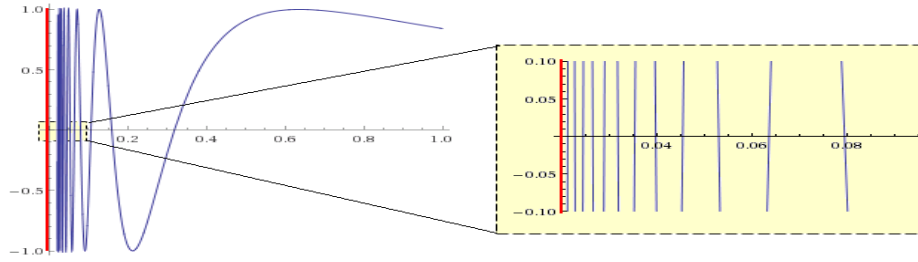
Of course you can make the space path connected by adding a path:



*Remark.* So in general

- connected space need not be path connected.
- the closure of path connected subset need not be path connected.

If you think about the above example carefully, you will find that near any “bad point”, say  $(0,0)$ , inside any small neighborhood you can find infinitely many “vertical curves” that are disconnected:



In other words, it is not “locally path connected” at these bad points:

**Definition 1.4.** We say a topological space  $X$  is *locally path connected at  $x$*  if for any open neighborhood  $U$  of  $x$ , there exists an open neighborhood  $V$  of  $x$  inside  $U$  which is path connected.

As usual, we say a topological space  $X$  is *locally path connected* if it is locally path connected at any point.

For example, any open set in  $\mathbb{R}^n$  (or more generally any locally Euclidean space which includes all topological manifolds) is locally path connected. It turns out that any connected topological space without such bad points is path-connected, and the proof is the same as the proof of path connectedness for connected Euclidean domains above:

**Proposition 1.5.** *If  $X$  is connected and locally path connected, then  $X$  is path connected.*

*Proof.* Fix any  $x \in X$ . Let

$$P = \{y \in X \mid y \text{ can be connected by path to } x\}.$$

By locally path connectedness,

- if a point is in  $P$ , then a neighborhood of this point is in  $P$ ,
- if a point is in  $P^c$ , then a neighborhood of this point is in  $P^c$ .

So  $P$  is both open and closed. Since  $X$  is connected and  $P$  is non-empty, we must have  $P = X$ .  $\square$

Last time we showed connectedness is preserved under continuous maps, under union with nonempty intersection, and under products. The same properties holds for path connectedness, and the proofs are simpler:

**Proposition 1.6.** *Let  $f : X \rightarrow Y$  be continuous. Then for any path connected subset  $A \subset X$ , the image  $f(A)$  is path connected.*

*Proof.* For any  $f(x_1), f(x_2) \in f(A)$ , we pick a path  $\gamma : [0, 1] \rightarrow A$  from  $x_1$  to  $x_2$ . Then  $f \circ \gamma : [0, 1] \rightarrow f(A)$  is a path from  $f(x_1)$  to  $f(x_2)$ .  $\square$

As a consequence, any quotient space of a path connected space is still path connected. So like connectedness, “path connectedness” is also a divisible topological property.<sup>1</sup>

**Proposition 1.7.** *Let  $X_\alpha$  be path connected and  $\cap_\alpha X_\alpha \neq \emptyset$ . Then  $\cup_\alpha X_\alpha$  is path connected.*

*Proof.* Take  $x_0 \in \cap_\alpha X_\alpha$ . For any  $x_1 \in X_{\alpha_1}$  and  $x_2 \in X_{\alpha_2}$ , there exist paths  $\gamma_1$  from  $x_1$  to  $x_0$  and  $\gamma_2$  from  $x_0$  to  $x_2$ . It follows  $\gamma_1 * \gamma_2$  is a path from  $x_1$  to  $x_2$ .  $\square$

**Proposition 1.8.** *If each  $X_\alpha$  is path connected, then the product space  $\prod_\alpha X_\alpha$  is also path connected (w.r.t. the product topology).*

*Proof.* For any  $(x_\alpha), (y_\alpha) \in \prod_\alpha X_\alpha$ , we pick paths  $\gamma_\alpha : [0, 1] \rightarrow X_\alpha$  from  $x_\alpha$  to  $y_\alpha$ . Then

$$\gamma : [0, 1] \rightarrow \prod_\alpha X_\alpha, \quad \gamma(t) = (\gamma_\alpha(t))$$

is continuous and is a path from  $\gamma(0) = (x_\alpha)$  to  $\gamma(1) = (y_\alpha)$ . [Here we used the fact a map to the product is continuous iff each component of the map is continuous.]  $\square$

We can also define an equivalence relation via path:

$$x \stackrel{\mathcal{P}}{\sim} y \iff \exists \text{ path in } X \text{ connecting } x \text{ and } y.$$

It is easy to check  $\stackrel{\mathcal{P}}{\sim}$  is a equivalence relation: the three conditions for equivalence relation are exactly the three items in the remark at the end of page 1.

**Definition 1.9.** Let  $X$  be a topological space.

- (1) Each equivalence class for  $\stackrel{\mathcal{P}}{\sim}$  is called a *path component* of  $X$ .
- (2) The set of  $\stackrel{\mathcal{P}}{\sim}$ -equivalence classes is denoted by  $\pi_0(X)$ .

*Remark.* Last time we proved that any connected component is a closed subset (which need not be open). From the example of topologist’s sine curve we conclude that a path component could be neither closed nor open.

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<sup>1</sup>A topological property  $P$  is called *divisible* if for any  $X$  satisfying (P), any quotient space of  $X$  also satisfies (P).

Since any continuous map  $f : X \rightarrow Y$  will map a path component in  $X$  into a path component in  $Y$ , we naturally get a well-defined map

$$\pi_0(f) : \pi_0(X) \rightarrow \pi_0(Y), \quad [x] \mapsto [f(x)].$$

Obviously in the case  $f$  is a homeomorphism, the map  $\pi_0(f)$  is a bijection whose inverse is given by  $\pi_0(f^{-1})$ .

Again, we can think of  $\pi_0(X)$  as a quotient topological space (with the quotient topology). For the case of topologist's sine curve, the quotient space  $\pi_0(X)$  consists of two elements. Let's use " $v$ " to represent the vertical line segment part and use " $s$ " to represent the sine curve part. Then we have

$$\pi_0(\text{topologist's sine curve}) = \{v, s\}, \quad \mathcal{T}_{\text{quotient}} = \{\emptyset, s, \{v, s\}\}.$$

This is a very bad topological space: it is not totally disconnected (in fact it is path connected via  $\gamma(t) = s$  for  $t < 1/2$  and  $\gamma(t) = v$  for  $t \geq 1/2$ ); AND it is not even (T1). [One can check that the space of connected components,  $\pi_c(X)$ , is always a (T1) space when endowed with the quotient topology.]

So although we can regard  $\pi_0$  as a functor mapping topological spaces  $X$  to the quotient topological space  $\pi_0(X)$  and mapping continuous functions  $f : X \rightarrow Y$  to  $\pi_0(f) : \pi_0(X) \rightarrow \pi_0(Y)$  [which is continuous w.r.t. the quotient topology], in general people would rather forget about the topological structure on the quotient since in general it will not give us any useful information.

In other words, we will only regard  $\pi_0(X)$  as a set. It is easy to check that the maps  $\pi_0(f) : \pi_0(X) \rightarrow \pi_0(Y)$  associated to continuous maps  $f : X \rightarrow Y$  still satisfies the conditions of a functor. So from path components relation we get a functor

$$\pi_0 : \mathcal{TOP} \rightarrow \mathcal{SET}, \quad X \mapsto \pi_0(X), f \mapsto \pi_0(f).$$

*Remark.* Of course we lost a lot of information when applying the functor  $\pi_0$ . But this is exactly the philosophy of algebraic topology: to distinguish topological spaces could be very hard, but very often it would be easier to distinguish objects in simpler categories (like  $\mathcal{SET}$ ,  $\mathcal{GROUP}$ , or  $\mathcal{VECTORS\,SPACE}$ ). For example, by counting the cardinality of  $\pi_0(X)$ , we are able to distinguish many topological spaces, e.g. in Lecture 1 we have mentioned

# 3 ≠ 4    THREE ≠ FOUR

The second group of figures are topologically different because they have different  $\pi_0$ . For the first group, one can either use  $\pi_0$  by carefully deleting points in each sides, or by looking at  $\pi_1$ , the fundamental group, which counts the "holes" in the figure.

## 2. CONTINUOUS DEFORMATION

In Lecture 1 we have seen the importance of “continuous deformation” in topology via pictures, but without giving it a precise definition. With general topology at hand, we can always give a precise meaning when we talk about “continuous” objects in abstract setting:

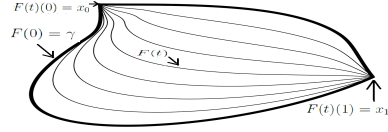
A *continuous deformation* of an object  $x_0$  in an abstract topological space  $X$  is a continuous map  $f : [0, 1] \rightarrow X$  with  $f(0) = x_0$ , which may have some extra constraints depending on the problem.

For example, given a path  $\gamma$  from  $x_0$  to  $x_1$  inside a space  $X$ , a *continuous deformation of the path*  $\gamma$  with endpoints fixed is a continuous map

$$F : [0, 1] \rightarrow \Omega(X; x_0, x_1) = \{\gamma \in \mathcal{C}([0, 1], X) \mid \gamma(0) = x_0, \gamma(1) = x_1\}$$

which satisfies conditions

$$\begin{aligned} F(0) &= \gamma, \\ F(t)(0) &= x_0, \\ F(t)(1) &= x_1. \end{aligned}$$



Wait a minute! We have not specify a topology on the path space  $\Omega(X; x_0, x_1)$  yet. Without a topology, it would make no sense to talk about the continuity of  $F$ !

Fortunately  $\Omega(X; x_0, x_1)$  is a subspace of  $\mathcal{C}([0, 1], X)$ , on which we already have several topologies. In the general case where  $X$  is a topological space, from the “convergence point of view” the best topology on  $\mathcal{C}([0, 1], X)$  is the compact-open topology. [Note: we don’t want to use the product (=pointwise convergence) topology here, since we want the limit of a sequence of path (=continuous maps) to be a path!]

More generally, consider a map  $f \in \mathcal{C}(X, Y)$ , where  $X, Y$  are topological spaces. A *continuous deformation of  $f$*  over a parameter space  $T$  should be a continuous map

$$F : T \rightarrow \mathcal{C}(X, Y), \quad t \mapsto F(t) = f_t \in \mathcal{C}(X, Y)$$

such that  $f_{t_0} = f$  for some  $t_0 \in T$ , where the topology on  $\mathcal{C}(X, Y)$  is the *compact-open topology*  $\mathcal{T}_{c.o.}$ . By definition, this topology is generated by a sub-base

$$\mathcal{S}_{c.o.} = \{S(K, U) \mid K \subset X \text{ is compact and } U \subset Y \text{ is open}\},$$

where

$$S(K, U) = \{f \in \mathcal{C}(X, Y) \mid f(K) \subset U\}.$$

Now suppose we have a continuous family (with parameter space  $T$ ) of maps in  $\mathcal{C}(X, Y)$ . That is, we have a map  $F \in \mathcal{C}(T, \mathcal{C}(X, Y))$ . This is still conceptionally complicated. But given any such  $F$  we can define a much simpler map

$$G \in \mathcal{M}(T \times X, Y), \quad G(t, x) := F(t)(x).$$

It turns out that under mild conditions,  $F$  is continuous if and only if  $G$  is continuous!

**Proposition 2.1.** *Suppose  $X$  is locally compact Hausdorff,  $Y, T$  are arbitrary topological spaces. Consider the correspondence (which is bijection)*

$$\begin{aligned}\mathcal{M}(T, \mathcal{M}(X, Y)) &\longleftrightarrow \mathcal{M}(T \times X, Y) \\ F(t)(x) &\longleftrightarrow G(t, x) := F(t)(x)\end{aligned}$$

Then  $F \in \mathcal{C}(T, \mathcal{C}(X, Y))$  if and only if  $G \in \mathcal{C}(T \times X, Y)$ .

*Proof.* ( $\Leftarrow$ ) Suppose  $G \in \mathcal{C}(T \times X, Y)$ . Then given any  $t \in T$ ,  $F(t)$  is continuous since it can be written as the composition of continuous maps

$$X \xrightarrow{j_t} T \times X \xrightarrow{G} Y,$$

where  $j_t(x) = (t, x)$  is the “canonical embedding at level  $t$ ”. So  $F$  maps  $T$  into  $\mathcal{C}(X, Y)$ . To prove  $F$  is continuous as a map from  $T$  to  $\mathcal{C}(X, Y)$ , it is enough to prove

(\*)  $F^{-1}(S(K, U))$  is open in  $T$  for any compact  $K \subset X$  and open  $U \subset Y$ .

Suppose  $F(t) \in S(K, U)$ . Then by definition of  $G$ ,  $G(\{t\} \times K) \subset U$ , i.e.

$$\{t\} \times K \subset G^{-1}(U).$$

By continuity of  $G$ ,  $G^{-1}(U)$  is open in  $T \times X$ . Since  $K$  is compact, by the tube lemma in Lecture 6, there exists open sets  $V \ni t$  in  $T$  and  $W \supset K$  in  $X$  such that

$$V \times W \subset G^{-1}(U).$$

It follows that for any  $t \in V$ ,

$$F(t)(K) \subset G(V \times K) \subset G(V \times W) \subset U.$$

It follows that  $V \subset F^{-1}(S(K, U))$ , which proves (\*).

( $\Rightarrow$ ) Suppose  $F \in \mathcal{C}(T, \mathcal{C}(X, Y))$ . To prove  $G$  is continuous, we need to show

(\*\*)  $G^{-1}(U)$  is open in  $T \times X$  for any open set  $U \subset Y$ .

Suppose  $G(t, x) \in U$ , i.e.  $F(t)(x) \in U$ . Then  $F(t) \in S(\{x\}, U)$ . In PSet 5-3-1 we have seen that if  $X$  is locally compact and Hausdorff, then

$$S(\{x\}, U) = \bigcup_{\text{compact neighborhood } K \text{ of } x} S(K, U).$$

So there exists an open neighbourhood  $W_x$  of  $x$  s.t.  $\overline{W_x}$  is compact, and

$$F(t) \in S(\overline{W_x}, U).$$

Since  $F$  is continuous, and  $t \in F^{-1}(S(\overline{W_x}, U))$ , there must exist an open neighborhood  $V$  of  $t$  s.t.

$$V \subset F^{-1}(S(\overline{W_x}, U)),$$

i.e.  $G(V, \overline{W_x}) \subset U$ . It follows

$$V \times W_x \subset V \times \overline{W_x} \subset G^{-1}(U).$$

This completes the proof. □



*Remark.* We only used LCH in the proof of the second part. In other words, without LCH condition we can still claim: Any function  $G \in \mathcal{C}(T \times X, Y)$  defines a continuous family of continuous maps:  $F \in \mathcal{C}(T, \mathcal{C}(X, Y))$ .

### 3. HOMOTOPY OF MAPS

Now we introduce the most important object on which we study the path and path components. Suppose  $X$  and  $Y$  are topological spaces and  $f_0, f_1 \in \mathcal{C}(X, Y)$ .

**Definition 3.1.** We say  $f_0, f_1 \in \mathcal{C}(X, Y)$  are *homotopic* if there is a continuous map

$$F : [0, 1] \times X \rightarrow Y$$

such that  $F(0, x) = f_0(x), F(1, x) = f_1(x), \forall x \in X$ . Such an  $F$  is called a *homotopy* between  $f_0$  and  $f_1$ .

Notation: If  $f_0$  is homotopic to  $f_1$ , we will write

$$f_0 \sim f_1.$$

In view of proposition 2.1, when  $X$  is LCH we have

$f_0$  and  $f_1$  are homotopic

$\iff f_0$  can be deformed continuously to  $f_1$  with parameter space  $[0, 1]$

$\iff f_0$  and  $f_1$  lie in the same path component in  $(\mathcal{C}(X, Y), \mathcal{T}_{c.o.})$ .

In view of the remark above, even in the bad case where  $X$  is not LCH, we are still safe to say: if  $f_0$  and  $f_1$  are homotopic, then they lie in the same path component of  $(\mathcal{C}(X, Y), \mathcal{T}_{c.o.})$ .

It is easy to check that homotopy gives an equivalence relation on  $\mathcal{C}(X, Y)$  :

- $f \sim f$ ,
- $f_1 \sim f_2 \implies f_2 \sim f_1$ ,
- $f_1 \sim f_2, f_2 \sim f_3 \implies f_1 \sim f_3$ .

In the case where  $X$  is LCH, this is just the equivalence relation defined by path in  $\mathcal{C}(X, Y)$ .

Notation: For each  $f \in \mathcal{C}(X, Y)$ , we denote

$[f]$  = the homotopy equivalence class containing  $f$

and we denote

$$[X, Y] = \mathcal{C}(X, Y)/\sim = \text{the set of homotopy classes.}$$

So if  $X$  is LCH, then  $[X, Y] = \pi_0(\mathcal{C}(X, Y))$ .

A special case: If  $X = \{\text{pt}\}$  is a single point set, then a continuous map  $f : X \rightarrow Y$  is equivalent to a point in  $Y$ . In this case “two continuous maps  $f_0, f_1$  are homotopic” is equivalent to “two points can be connected by a path in  $Y$ ”. In other words,

$$[\{\text{pt}\}, Y] = \pi_0(Y).$$

Here are some natural operations on homotopy classes of maps

(1) Composition

$$\begin{aligned} [X, Y] \times [Y, Z] &\rightarrow [X, Z] \\ ([f], [g]) &\mapsto [g \circ f]. \end{aligned}$$

(2) Pull-back

$$\begin{aligned} F : X_0 \rightarrow X_1 \quad \rightsquigarrow \quad F^* : [X_1, Y] &\rightarrow [X_0, Y] \\ [f] &\mapsto F^*([f]) = [f \circ F]. \end{aligned}$$

(3) Push-forward

$$\begin{aligned} F : Y_0 \rightarrow Y_1 \quad \rightsquigarrow \quad F_* : [X, Y_0] &\rightarrow [X, Y_1] \\ [f] &\mapsto F_*([f]) = [F \circ f]. \end{aligned}$$

One can check that these operations are well-defined, i.e. they are independent of the choices of representatives in each class.

The simplest continuous maps are the constant maps, i.e. mapping all points in  $X$  to a single point in  $Y$ .

**Definition 3.2.**  $f \in \mathcal{C}(X, Y)$  is *null-homotopic* if it is homotopic to a constant map.

The conception is very useful in geometry.

*Example.*

- (1) Let  $Y \subset \mathbb{R}^n$  be convex, or more generally, be a “star-shaped” region, i.e.

$$\exists y_0 \in Y \text{ such that } \forall y \in Y, \text{ the line segment } \overline{y_0 y} \subset Y.$$

Then for any  $X$ ,

- any map  $f \in \mathcal{C}(X, Y)$  is null-homotopic.

Reason: The homotopy from  $f$  to a constant map is given by

$$F : [0, 1] \times X \rightarrow Y, \quad t \mapsto F(t, x) = ty_0 + (1 - t)f(x).$$

- any map  $f \in \mathcal{C}(Y, X)$  is null-homotopic.

Reason: The homotopy from  $f$  to a constant map is given by

$$F : [0, 1] \times Y \rightarrow X, \quad t \mapsto F(t, y) = f(ty_0 + (1 - t)y).$$

**Definition 3.3.** We say a topological space  $X$  is *contractible* if the identity map  $\text{Id}_X$  is null-homotopic.

Example: Any star-shaped region in  $\mathbb{R}^n$  is contractible.

- (2) Let  $X = Y = S^1 \subset \mathbb{C}$ . Let  $f_n \in \mathcal{C}(X, Y)$  be the map

$$f_n(z) = z^n.$$

We will see that all these  $f_n$  are NOT homotopic to each other, and are not null-homotopic.

- (3) Let  $i$  be the inclusion map

$$i : S^{n-1} \hookrightarrow B^n = \{x \in \mathbb{R}^n \mid |x| \leq 1\}.$$

**Fact:** There exists  $f \in \mathcal{C}(B^n, S^{n-1})$  with

$$f \circ i = \text{Id}_{S^{n-1}}$$

if and only if  $\text{Id}_{S^{n-1}} \in \mathcal{C}(S^{n-1}, S^{n-1})$  is null-homotopic.

*Proof.* • If such an  $f$  exists, then  $\text{Id}_{S^{n-1}} \sim c$  via

$$F : [0, 1] \times S^{n-1} \rightarrow S^{n-1}, (t, x) \mapsto f(tx),$$

where

$$F(0, x) = f(0) \in S^{n-1}$$

is a constant point, and

$$F(1, x) = f(x) = x$$

on  $S^{n-1}$ .

- Conversely if there exists  $F : [0, 1] \times S^{n-1} \rightarrow S^{n-1}$  s.t.

$$F(0, x) = c, \quad F(1, x) = x.$$

Then we can define  $f : B^n \rightarrow S^{n-1}$  by

$$f(x) = \begin{cases} F(|x|, \frac{x}{|x|}) & x \neq 0, \\ c & x = 0. \end{cases}$$

It remains to check  $f$  is continuous at  $x = 0$ . Since  $S^{n-1}$  is compact, the continuous function  $F$  is uniformly continuous. So  $\forall \varepsilon > 0, \exists \delta > 0$  s.t.

$$|F(t, x) - F(0, x)| < \varepsilon$$

for  $\forall t < \delta$  and  $\forall x \in S^{n-1}$ . So  $f$  is continuous.  $\square$

**Note:** We will see that for any  $n$ ,  $\text{Id}_{S^{n-1}}$  is never null-homotopic. (This is equivalent to Brouwer's fixed point theorem: Any continuous function  $f : B^n \rightarrow B^n$  has a fixed point!)