

第六章 凝聚态物理里的几何相位

6.1 绝热演化

6.2 Berry Phase/Berry Connection

6.3 磁场中自旋 $1/2$ 粒子的 Berry phase

6.4 几何相位的应用：晶体的电极化理论

6.5 Zak phase：晶体里的 Berry phase

References

- “Geometric Phases in Classical and Quantum Mechanics”, Chruściński and Jamiołkowski, Springer (2004)
- “Topological Insulators and Topological Superconductors”, Bernevig, Princeton (2013)
- “A Short Course on Topological Insulators”, Asbóth, Oroszlány, and Pályi, Springer (2016)
- “Topology and Geometry for Physicists”, Nash and Sen, Dover (1983)

波函数的全局相位

$$i\hbar\partial_t|\psi\rangle = \mathcal{H}(\mathbf{Q})|\psi\rangle$$

$$\mathbf{Q} = (\mathbf{Q}_1, \mathbf{Q}_2, \dots, \mathbf{Q}_p): \text{外界参数}$$

$$\varepsilon_n(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle = \mathcal{H}(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle$$

$$\langle\psi_n(\mathbf{Q})|\psi_m\rangle = \delta_{nm} \quad \sum_m |\psi_m(\mathbf{Q})\rangle\langle\psi_m(\mathbf{Q})| = 1$$

$$|\psi_n(\mathbf{Q})\rangle \Rightarrow |\psi'_n(\mathbf{Q})\rangle = e^{i\theta_n(\mathbf{Q})}|\psi_n(\mathbf{Q})\rangle$$

全局相位

$$\varepsilon_n(\mathbf{Q})|\psi'_n(\mathbf{Q})\rangle = \mathcal{H}(\mathbf{Q})|\psi'_n(\mathbf{Q})\rangle$$

- $\mathbf{Q}$ 不发生改变时，全局相位对 Schrödinger 完全没有影响
- 改变全局相位 $\theta(\mathbf{Q})$ （规范变换）不改变 Schrödinger 方程以及可测量物理量
- 能否测量？ $\Rightarrow$ 绝热演化：让 $\mathbf{Q}$ 缓慢改变
 $\mathbf{Q} = \mathbf{Q}(t) = (Q_1(t), Q_2(t), \dots,)$
- 不同参数下，能量本征态会发生改变

$$\langle\psi_n(\mathbf{Q})|\psi_m(\mathbf{Q})\rangle = \delta_{nm}$$

$$\langle\psi_n(\mathbf{Q})|\psi_m(\mathbf{Q}')\rangle \neq \delta_{nm'}$$

绝热演化

[Michael Berry, “Quantal phase factors accompanying adiabatic changes”,
Proc. Roy. Soc. London A **392**, 45-57, (1984)]

- 选择每一个时刻的能量本征态为基

$$\begin{aligned} \mathbf{Q}(t) &= \mathbf{Q} & \mathcal{H}(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle &= \varepsilon_n(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle \\ \mathbf{Q}(t') &= \mathbf{Q}' & \mathcal{H}(\mathbf{Q}')|\psi_n(\mathbf{Q}')\rangle &= \varepsilon_n(\mathbf{Q}')|\psi_n(\mathbf{Q}')\rangle \end{aligned}$$

- 波函数时间演化

$$\begin{aligned} \mathcal{H}(t) &= \mathcal{H}[\mathbf{Q}(t)] & \mathcal{H}[\mathbf{Q}(t)]|\psi_n[\mathbf{Q}(t)]\rangle &= \varepsilon_n[\mathbf{Q}(t)]|\psi_n[\mathbf{Q}(t)]\rangle \\ &\rightarrow \mathcal{H}(t)|\psi_n(t)\rangle &= \varepsilon_n(t)|\psi_n(t)\rangle \end{aligned}$$

$$\dot{\mathcal{H}}|\psi_m(t)\rangle + \mathcal{H}(t)|\dot{\psi}_m(t)\rangle = \dot{\varepsilon}_m(t)|\psi_m(t)\rangle + \varepsilon_m(t)|\dot{\psi}_m(t)\rangle$$

$$\langle\psi_n(t)|\dot{\mathcal{H}}|\psi_m(t)\rangle + \varepsilon_n(t)\langle\psi_n(t)|\dot{\psi}_m(t)\rangle = \dot{\varepsilon}_m(t)\delta_{nm} + \varepsilon_m(t)\langle\psi_n(t)|\dot{\psi}_m(t)\rangle$$

$$\langle\psi_n(t)|\dot{\psi}_m(t)\rangle = \frac{\langle\psi_n(t)|\dot{\mathcal{H}}|\psi_m(t)\rangle}{\varepsilon_m(t) - \varepsilon_n(t)} \quad \leftarrow \varepsilon_n \neq \varepsilon_m$$

$$\sim 0 \quad \leftarrow \dot{H} \ll |\varepsilon_m - \varepsilon_n|$$

绝热演化

$$|\psi(t)\rangle = \sum_m c_m(t) e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} \leftarrow \text{dynamic phase} |\psi_m(t)\rangle$$

$$\begin{aligned} i\hbar \partial_t |\psi(t)\rangle &= \sum_m [i\hbar \partial_t c_m(t) + \mathcal{E}_m(t)] e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} |\psi_m(t)\rangle \\ &\quad + i\hbar \sum_m c_m(t) e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} |\dot{\psi}_m(t)\rangle \end{aligned}$$

$$\begin{aligned} &= \mathcal{H}(t) |\psi(t)\rangle = \sum_m c_m(t) e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} \mathcal{H}(t) |\psi_m(t)\rangle \\ &= \sum_m c_m(t) e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} \mathcal{E}_m(t) |\psi_m(t)\rangle \end{aligned}$$

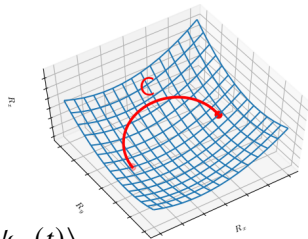
$$0 = \dot{c}_n(t) e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_n(\tau) d\tau} + \sum_m c_m(t) e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} \langle \psi_n(t) | \dot{\psi}_m(t) \rangle$$

$$\dot{c}_n(t) = - \sum_m c_m(t) e^{\frac{i}{\hbar} \int_0^t [\mathcal{E}_n(\tau) - \mathcal{E}_m(\tau)] d\tau} \langle \psi_n(t) | \dot{\psi}_m(t) \rangle$$

只保留 $m = n$

$$\simeq -c_n(t) \langle \psi_n(t) | \dot{\psi}_n(t) \rangle \rightarrow c_n(t) = e^{i\gamma_n(t)} c_n(0)$$

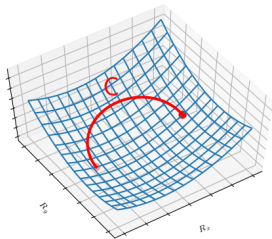
Adiabatic Berry Phase



$$|\psi(t)\rangle = \sum_m e^{i\gamma_m(t)} e^{-\frac{i}{\hbar} \int_0^t \mathcal{E}_m(\tau) d\tau} c_m(0) |\psi_m(t)\rangle$$

$$\begin{aligned} \gamma_n(t) &= i \int_0^t \langle \psi_n(\tau) | \dot{\psi}_n(\tau) \rangle d\tau = i \int_0^t \langle \psi_n[\mathbf{Q}(\tau)] | \partial_{\mathbf{Q}} \psi_n[\mathbf{Q}(\tau)] \rangle \dot{\mathbf{Q}}(\tau) d\tau \\ &= i \int_{\mathbf{Q}(0)}^{\mathbf{Q}(t)} \langle \psi_n(\mathbf{Q}) | \partial_{\mathbf{Q}} \psi_n(\mathbf{Q}) \rangle \cdot d\mathbf{Q} = i \int_C \mathcal{A}_n(\mathbf{Q}) \cdot d\mathbf{Q} \end{aligned}$$

Adiabatic Berry Phase



$\gamma_m(t)$ 是路径依赖, 规范依赖的

$$|\psi_n(\mathbf{Q})\rangle \rightarrow |\psi'_n(\mathbf{Q})\rangle = e^{-i\theta_n(\mathbf{Q})} |\psi_n(\mathbf{Q})\rangle$$

$$\begin{aligned}\mathcal{A}'_n(\mathbf{Q}) &= i\langle\psi_n(\mathbf{Q})|e^{i\theta_n(\mathbf{Q})}e^{-i\theta_n(\mathbf{Q})}[-i\partial_{\mathbf{Q}}\theta_n(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle + |\partial_{\mathbf{Q}}\psi_n(\mathbf{Q})\rangle] \\ &= \partial_{\mathbf{Q}}\theta_n(\mathbf{Q}) + \mathcal{A}_n(\mathbf{Q})\end{aligned}$$

$$\gamma'_n(t) = \theta_n[\mathbf{Q}(t)] - \theta_n[\mathbf{Q}(0)] + \gamma_n(t)$$

- Fock 1928 年发现绝热演化导致的额外相位, 但是他认为这个相位并不重要且可以忽略: 对于任何路径只要 $\mathbf{Q}(t) \neq \mathbf{Q}(0)$, 我们总可以选择适当的规范 $\theta_n(\mathbf{Q})$, 使得 $\gamma'(t) = 0$ 。
- 1984 年 Michael Berry: 闭合路径 $\mathbf{Q}(T) = \mathbf{Q}(0)$ 中, 这个相位无法消除, 因此可以导致可观察效应。

Berry Curvature/Berry 曲率

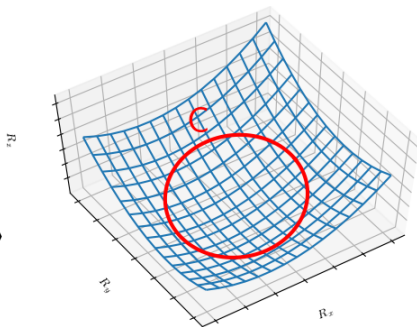
3 维 $\mathbf{Q} = (Q_x, Q_y, Q_z)$ 空间, Stokes 定理

$$\gamma_n(T) = \oint_C \mathcal{A}_n \cdot d\mathbf{Q}$$

$$= \int \int_S \boldsymbol{\Omega}_n(\mathbf{Q}) \cdot d\mathbf{S}$$

$$\boldsymbol{\Omega}_n(\mathbf{Q}) = \nabla_{\mathbf{Q}} \times \mathcal{A}_n(\mathbf{Q})$$

$$= i \langle \nabla_{\mathbf{Q}} \psi_n(\mathbf{Q}) | \times | \nabla_{\mathbf{Q}} \psi_n(\mathbf{Q}) \rangle$$



- $\boldsymbol{\Omega}_n(\mathbf{Q})$ 定义在 $\mathbf{Q}$ 空间上
- 非平凡情况下, 波函数 $|\psi_n(\mathbf{Q})\rangle$ 必然是多分量。
- $\boldsymbol{\Omega}_n(\mathbf{Q})$ 规范不变

$$|\psi_n(\mathbf{Q})\rangle \Rightarrow |\psi'_n(\mathbf{Q})\rangle = e^{i\theta_n(\mathbf{Q})} |\psi_n(\mathbf{Q})\rangle$$

$$\begin{aligned} \boldsymbol{\Omega}'_n &= \boldsymbol{\Omega}_n + i[(\nabla_{\mathbf{Q}} \theta_n) \times \mathcal{A} + \mathcal{A} \times (\nabla_{\mathbf{Q}} \theta_n)] + i(\nabla_{\mathbf{Q}} \theta_n) \times (\nabla_{\mathbf{Q}} \theta_n) \\ &= \boldsymbol{\Omega}_n \end{aligned}$$

Berry Curvature 的计算

$$\mathcal{H}(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle = \mathcal{E}_n(\mathbf{Q})|\psi_n(\mathbf{Q})\rangle \quad \nabla_{\mathbf{Q}}[\mathcal{H}|\psi_n\rangle] = \nabla_{\mathbf{Q}}[\mathcal{E}_n|\psi_n\rangle]$$

$$(\nabla_{\mathbf{Q}}\mathcal{H})|\psi_n\rangle + \mathcal{H}|\nabla_{\mathbf{Q}}\psi_n\rangle = (\nabla_{\mathbf{Q}}\mathcal{E}_n)|\psi_n\rangle + \mathcal{E}_n|\nabla_{\mathbf{Q}}\psi_n\rangle$$

$$\langle\psi_m|\cdots \rightarrow \langle\psi_m|\nabla_{\mathbf{Q}}\mathcal{H}|\psi_n\rangle + \mathcal{E}_m\langle\psi_m|\nabla_{\mathbf{Q}}\psi_n\rangle$$

$$= \nabla_{\mathbf{Q}}\mathcal{E}_n\delta_{nm} + \mathcal{E}_n\langle\psi_m|\nabla_{\mathbf{Q}}\psi_n\rangle$$

$$\langle\psi_m|\nabla_{\mathbf{Q}}\psi_n\rangle = \frac{\langle\psi_m|\nabla_{\mathbf{Q}}\mathcal{H}|\psi_n\rangle}{\mathcal{E}_n - \mathcal{E}_m} \quad m \neq n$$

$$\mathcal{A}_n = i\langle\psi_n|\nabla_{\mathbf{Q}}\psi_n\rangle$$

$$\mathbf{\Omega}_n = \nabla_{\mathbf{Q}} \times \mathcal{A}_n = i\langle\nabla_{\mathbf{Q}}\psi_n|\times|\nabla_{\mathbf{Q}}\psi_n\rangle = \sum_m i\langle\nabla_{\mathbf{Q}}\psi_n|\psi_m\rangle \times \langle\psi_m|\nabla_{\mathbf{Q}}\psi_n\rangle$$

$$= i \sum_{m \neq n} \langle\nabla_{\mathbf{Q}}\psi_n|\psi_m\rangle \times \langle\psi_m|\nabla_{\mathbf{Q}}\psi_n\rangle$$

$$= -i \sum_{m \neq n} \frac{\langle\psi_n|\nabla_{\mathbf{Q}}\mathcal{H}|\psi_m\rangle \times \langle\psi_m|\nabla_{\mathbf{Q}}\mathcal{H}|\psi_n\rangle}{(\mathcal{E}_n - \mathcal{E}_m)^2}$$

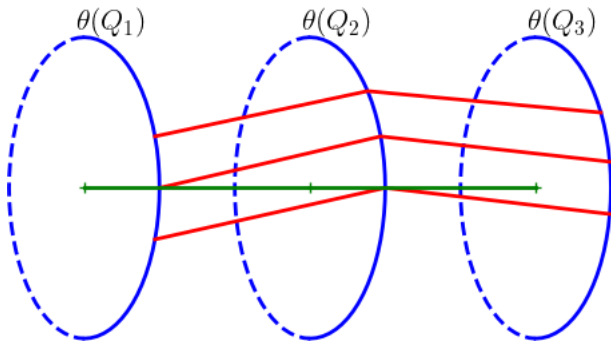
Berry Connection and Berry Curvature 的几何意义

[“Holonomy, the Quantum Adiabatic Theorem, and the Berry Phase”,
Barry Simon, PRL **51**, 2167 (1983)]

- 参数 $\mathbf{Q}$ 构成一个空间 $\Rightarrow$ 底流形 (Base manifold)
- 在每个 $\mathbf{Q}$ 点定义量子态、波函数 $|\psi_n(\mathbf{Q})\rangle$
- 每个 $\mathbf{Q}$ 点波函数有相位的自由度, 可用另一个参数 $\theta_n(\mathbf{Q})$ 描述: $|\psi_n(\mathbf{Q})\rangle \rightarrow e^{i\theta_n(\mathbf{Q})}|\psi_n(\mathbf{Q})\rangle$
 - $\Rightarrow$ 这个相位几何上等价于一条线 $\Rightarrow$ fiber/ray/line
 - $\Rightarrow$ 更准确一点说, 这个 fiber 等价于一个“直的”圆 (S^1)
- 几何体: $\mathbf{Q}$ 空间和 fiber 的组合 $\Rightarrow$ bundle
 - $\Rightarrow$ 整个几何体是 $\mathbf{Q}$ 空间上的 principal U(1) bundle
 - $\Rightarrow$ 所谓的几何拓扑属性都是针对这个 bundle 而言 U(1) bundle over $\mathbf{Q}$ space
 - $\mathcal{A}_n(\mathbf{Q})$: Connection of line bundle/U(1) bundle
 - $\Rightarrow$ 描述不同点上的 fiber 如何连接在一起
 - $\Omega_n(\mathbf{Q})$: Curvature of line bundle/U(1) bundle
 - $\Rightarrow$ bundle 上的曲率

Berry connection and Berry Curvature 的几何意义

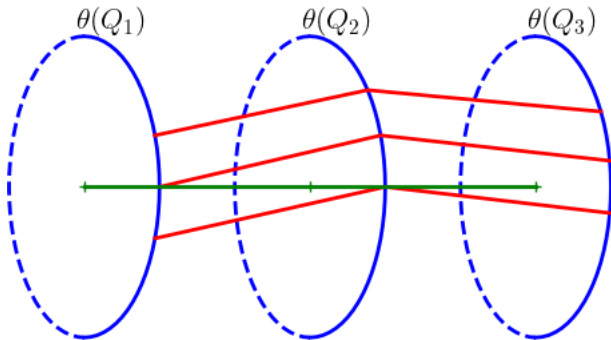
[“Holonomy, the Quantum Adiabatic Theorem, and the Berry Phase”, Barry Simon, PRL **51**, 2167 (1983)]



$$\begin{aligned} |\psi_n(\mathbf{Q} + \delta\mathbf{Q})\rangle &= |\psi_n(\mathbf{Q})\rangle + \delta\mathbf{Q} \partial_{\mathbf{Q}} |\psi_n(\mathbf{Q})\rangle \\ &= |\psi_n(\mathbf{Q})\rangle + \delta\mathbf{Q} \sum_m |\psi_m(\mathbf{Q})\rangle \langle \psi_m(\mathbf{Q}) | \partial_{\mathbf{Q}} \psi_n(\mathbf{Q}) \rangle \\ &\simeq |\psi_n(\mathbf{Q})\rangle - i\delta\mathbf{Q} |\psi_n(\mathbf{Q})\rangle [i\langle \psi_n(\mathbf{Q}) | \partial_{\mathbf{Q}} \psi_n(\mathbf{Q}) \rangle] = \mathcal{A}_n(\mathbf{Q}) \\ &= [1 - i\delta\mathbf{Q} \mathcal{A}_n(\mathbf{Q})] |\psi_n(\mathbf{Q})\rangle \simeq e^{-i\delta\mathbf{Q} \cdot \mathcal{A}_n(\mathbf{Q})} |\psi_n(\mathbf{Q})\rangle \end{aligned}$$

Berry connection and Berry Curvature 的几何意义

[“Holonomy, the Quantum Adiabatic Theorem, and the Berry Phase”, Barry Simon, PRL **51**, 2167 (1983)]



$$e^{i\theta_n(\mathbf{Q})}|\psi_n(\mathbf{Q})\rangle \Leftrightarrow e^{i\theta_n(\mathbf{Q}+\delta\mathbf{Q})}|\psi_n(\mathbf{Q}+\delta\mathbf{Q})\rangle \simeq e^{i\theta_n(\mathbf{Q}+\delta\mathbf{Q})}e^{-i\delta\mathbf{Q}\mathcal{A}_n}|\psi_n\rangle$$
$$\theta_n(\mathbf{Q}+\delta\mathbf{Q}) \Leftrightarrow \theta_n(\mathbf{Q}) + \delta\mathbf{Q}\mathcal{A}_n(\mathbf{Q})$$

$\Rightarrow \mathcal{A}_n(\mathbf{Q})$ 包含了如何 “连接” “相邻” 的 fiber/line/ray 的信息

Berry Connection and Berry Curvature 的几何意义

绝热演化 $\Rightarrow$ U(1) bundle 上的平行移动 (Parallel transport)

$\Rightarrow$ 尽可能保持波函数不改变 $\Leftrightarrow$ 使得波函数的“距离”尽可能小

$$\begin{aligned}d &= \left| e^{i\theta_n(\mathbf{Q}+\delta\mathbf{Q})} |\psi_n(\mathbf{Q}+\delta\mathbf{Q})\rangle - e^{i\theta_n(\mathbf{Q})} |\psi_n(\mathbf{Q})\rangle \right| \\&= \left| |\psi_n(\mathbf{Q}+\delta\mathbf{Q})\rangle - e^{-i[\theta_n(\mathbf{Q}+\delta\mathbf{Q})-\theta_n(\mathbf{Q})]} \boxed{= \delta\theta} |\psi_n(\mathbf{Q})\rangle \right| \\&= \left| |\psi_n(\mathbf{Q})\rangle + \delta\mathbf{Q} \sum_m |\psi_m\rangle \langle \psi_m | \partial_{\mathbf{Q}} \psi_n \rangle - e^{-i\delta\theta} |\psi_n\rangle \right| \\&= \left| [e^{-i\delta\mathbf{Q} \cdot \mathcal{A}_n} - e^{-i\delta\theta}] |\psi_n\rangle + \delta\mathbf{Q} \sum_{m \neq n} (\langle \psi_m | \partial_{\mathbf{Q}} \psi_n \rangle) |\psi_m\rangle \right|\end{aligned}$$

$\Rightarrow$ 要使得 d 最小, 必须 $\delta\theta = \delta\mathbf{Q} \cdot \mathcal{A}_n(\mathbf{Q})$

磁场中自旋 1/2 粒子的 Berry phase

参数空间： $\mathbf{B}$ ，球面（磁场大小不变）或者球（磁场大小可变）

$$\mathbf{B} = (B_x, B_y, B_z) = B(\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta) = B\hat{e}_r = B\hat{n}$$

$$\mathcal{H} = \boldsymbol{\sigma} \cdot \mathbf{B} = \begin{pmatrix} B_z & B_x - iB_y \\ B_x + iB_y & -B_z \end{pmatrix} \quad \begin{aligned} \hat{e}_r &= (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta) \\ \hat{e}_\theta &= (\cos \theta \cos \phi, \cos \theta \sin \phi, -\sin \theta) \\ \hat{e}_\phi &= (-\sin \phi, \cos \phi, 0) \end{aligned}$$

$$|\psi_+\rangle = \begin{pmatrix} \cos \frac{\theta}{2} \\ \sin \frac{\theta}{2} e^{i\phi} \end{pmatrix} \quad |\psi_-\rangle = \begin{pmatrix} -\sin \frac{\theta}{2} e^{-i\phi} \\ \cos \frac{\theta}{2} \end{pmatrix}$$

$$\partial_B |\psi_\pm\rangle = 0$$

$$\partial_\theta |\psi_+\rangle = \frac{1}{2} \begin{pmatrix} -\sin \frac{\theta}{2} \\ \cos \frac{\theta}{2} e^{i\phi} \end{pmatrix} = \frac{1}{2} |\psi_-\rangle \quad \partial_\theta |\psi_-\rangle = \frac{1}{2} \begin{pmatrix} -\cos \frac{\theta}{2} e^{-i\phi} \\ -\sin \frac{\theta}{2} \end{pmatrix} = -\frac{1}{2} |\psi_+\rangle$$

$$\partial_\phi |\psi_+\rangle = i \begin{pmatrix} 0 \\ \sin \frac{\theta}{2} e^{i\phi} \end{pmatrix} \quad \partial_\phi |\psi_-\rangle = i \begin{pmatrix} \sin \frac{\theta}{2} e^{-i\phi} \\ 0 \end{pmatrix}$$

$$\mathcal{A}_\pm = i\langle \psi_\pm | \nabla_{\mathbf{B}} | \psi_\pm \rangle = i\langle \psi_\pm | \hat{e}_r \partial_B + \frac{\hat{e}_\theta}{B} \partial_\theta + \frac{\hat{e}_\phi}{B \sin \theta} \partial_\phi | \psi_\pm \rangle$$

$$= \mp \frac{\sin^2 \theta / 2}{\sin \theta} \hat{e}_\phi = \mp \frac{\sin \theta / 2 \hat{e}_\phi}{2B \cos \theta / 2} = \mp \frac{1}{2B} \tan \frac{\theta}{2} \hat{e}_\phi$$

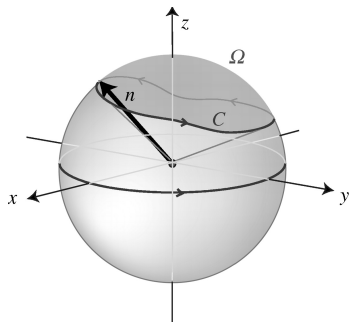
磁场中自旋 1/2 粒子的 Berry phase

$$\begin{aligned}\boldsymbol{\Omega}_{\pm} &= \nabla \times \mathcal{A}_{\pm} = \left(\hat{e}_r \partial_B + \frac{\hat{e}_{\theta}}{B} \partial_{\theta} + \frac{\hat{e}_{\phi}}{B \sin \theta} \partial_{\phi} \right) \times \left(\frac{\mp}{2B} \tan \frac{\theta}{2} \hat{e}_{\phi} \right) \\ &= \pm \frac{\hat{e}_r \times \hat{e}_{\phi}}{2B^2} \tan \frac{\theta}{2} \mp \frac{\hat{e}_{\theta} \times \hat{e}_{\phi}}{4B^2 \cos^2 \theta/2} \mp \frac{\tan \frac{\theta}{2}}{2B^2 \sin \theta} \hat{e}_z\end{aligned}$$

$$\hat{e}_r \times \hat{e}_{\phi} = -\hat{e}_{\theta} \quad \hat{e}_{\theta} \times \hat{e}_{\phi} = \hat{e}_r \quad \hat{e}_{\phi} \times \partial_{\phi} \hat{e}_{\phi} = \hat{e}_z = \cos \theta \hat{e}_r - \sin \theta \hat{e}_{\theta}$$

$$\begin{aligned}\boldsymbol{\Omega}_{\pm} &= \mp \frac{1}{4B^2} \left(\frac{1}{\cos^2 \theta/2} + \frac{\cos \theta}{\sin \theta} \tan \frac{\theta}{2} \right) \hat{e}_r \\ &= \mp \frac{\hat{e}_r}{2B^2} = \mp \frac{\hat{n}}{2B^2}\end{aligned}$$

$$\begin{aligned}\gamma_{\pm}(T) &= \oint \mathcal{A}_{\pm} \cdot d\mathbf{R} \\ &= \int \int_S \boldsymbol{\Omega}_{\pm}(R) \cdot d\mathbf{S} = \mp \Omega_C / 2\end{aligned}$$

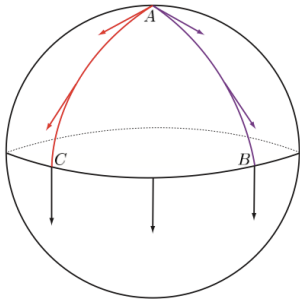


🔍 $\gamma_{\pm}$ 的几何含义：球面上切矢量
“平行移动”之后和原矢量的夹角。

磁场中的自旋的几何：球面上矢量的“平行移动”

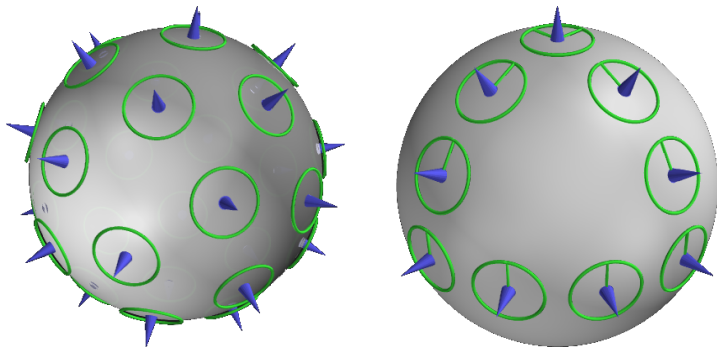
Parallel transport: 在曲面上沿某曲线移动矢量，使得移动前后矢量“平行”。大体上：移动时保持矢量和该曲线夹角不变。

- 球面上的矢量平行移动一圈回到原点，和原矢量有个夹角，
 $\gamma_C = \Omega_C/2$ anholonomy angle
- $\gamma_C \neq 0$ 是球面弯曲造成，
由球面的几何性质决定。
- $\gamma_C =$ 磁场中自旋 $1/2$ 粒子的
Berry Phase 角度。



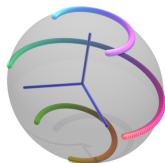
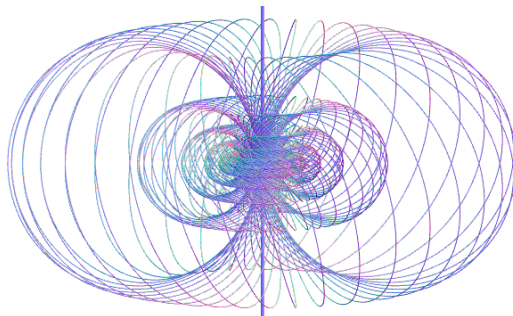
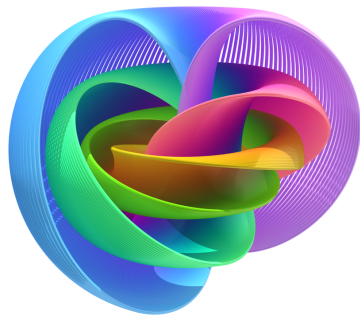
磁场中自旋的 line bundle

- 磁场中自旋 $1/2$ 粒子的 line bundle 是球面上的一系列单位圆。
- 这种 bundle 的几何属性和参数空间 ($\hat{B} = B\hat{n}$, 即球面) 的切矢量丛完全等价。
- 这是一个非常特殊的情况, 通常情况下, 这两者并不等价。例拓扑绝缘体的 Bloch bundle 通常不等价于参数空间的切矢量丛。



📖 这个 bundle 等价于三维球面 S^3 : Hopf fibration

Hopf fibration



- 四维空间中的三维球面 ($x_1^2 + x_2^2 + x_3^2 + x_4^2 = 1$) 在三维空间中的投影
⇒ 在二维平面上的显示

<https://vlad0007.github.io/Hopf-fibration-quantum-rotations/>

- “Hopf fibration — seven times in physics”, Urbantke, J. Geom. Phys. **46**, 125 (2003)

“磁单极” / “Magnetic” monopole

- 真实空间里的磁场: $\mathbf{B} = \nabla_{\mathbf{r}} \times \mathbf{A}$
- 参数空间里的“有效”磁场: $\mathbf{\Omega}_n(\mathbf{Q}) = \nabla_{\mathbf{Q}} \times \mathcal{A}_n(\mathbf{Q})$
- 磁场中的自旋: $\mathbf{\Omega}_+(\mathbf{B}) = \hat{B}/(2B^2)$

$$4\pi = \oint\oint_{\mathbf{Q}} \mathbf{\Omega}_+ \cdot d\mathbf{S}_{\mathbf{B}} = \iiint \nabla_{\mathbf{B}} \cdot \mathbf{\Omega}_+ dV_{\mathbf{B}} = 4\pi \iiint \delta(\mathbf{B}) dV_{\mathbf{B}}$$

$\Rightarrow$ 点“磁荷”产生的有效“磁场”

$\Rightarrow \mathbf{B} \rightarrow 0 \longrightarrow \mathbf{\Omega}_+(\mathbf{B}) \rightarrow \infty$

$\Rightarrow \mathcal{A}_+ = \frac{\sin \theta/2}{2B^2 \cos \theta/2} \mathbf{e}_{\phi} \xrightarrow{\theta \rightarrow \pi} \infty$

$\Rightarrow$ 一般情况下 $\nabla_{\mathbf{Q}} \cdot \mathbf{\Omega} = \nabla_{\mathbf{Q}} \cdot [\nabla_{\mathbf{Q}} \times \mathcal{A}] = 0$

$\Rightarrow$ 非平凡拓扑必然意味着存在“磁单极”

- 存在“磁单极”的必要条件

$$\mathbf{\Omega}_n(\mathbf{Q}) = -i \sum_{m \neq n} \frac{\langle \psi_n | \nabla_{\mathbf{Q}} \mathcal{H} | \psi_m \rangle \times \langle \psi_m | \nabla_{\mathbf{Q}} \mathcal{H} | \psi_n \rangle}{[\mathcal{E}_n(\mathbf{Q}) - \mathcal{E}_m(\mathbf{Q})]^2}$$

$$\mathbf{\Omega}_n(\mathbf{Q}) \rightarrow \infty \Leftarrow \mathcal{E}_n(\mathbf{Q}) = \mathcal{E}_m(\mathbf{Q})$$

$\Rightarrow$ 在扩大的参数空间存在能级简并

受对称保护的拓扑性质

- 一般情况下

$$\gamma_c = \oint \mathcal{A} \cdot d\mathbf{l} \text{ 取值任意}$$

- Chiral symmetry

磁场只能躺在 $x - y$ 平面 $\mathbf{B} = B(\cos \phi, \sin \phi, 0)$

$$\Rightarrow \sigma_z \mathcal{H} \sigma_z = -\mathcal{H}$$

$$\Rightarrow \gamma_C = 2n\pi, n = 0, \pm 1, \pm 2, \dots$$

More than 3D

$$\boldsymbol{Q} = (Q_1, Q_2, Q_3, \dots, Q_p)$$

$$\gamma(C) = \oint_C \mathcal{A}(\boldsymbol{Q}) \cdot d\boldsymbol{Q} = \sum_{\mu=1}^p \oint_C \mathcal{A}_i(\boldsymbol{Q}) dQ_i$$

$$= \int \int_S \boldsymbol{\Omega}_{\mu\nu}(\boldsymbol{Q}) \cdot d\boldsymbol{S}_{\mu\nu}$$

$$\boldsymbol{\Omega}_{\mu\nu} = \partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu$$

$$d\boldsymbol{S}_{\mu\nu} = dQ_\mu \wedge dQ_\nu = -dQ_\nu \wedge dQ_\mu$$

几何相位的应用：晶体的电极化理论

“Theory of Polarization: A Modern Approach”, R. Resta and D. Vanderbilt, Chap. 2 of “Physics of Ferroelectrics: A Modern Perspective”, Springer (2007)

“A beginner’s guide to the modern theory of polarization”, N. A. Spaldin, J. Sol. Stat. Chem. **195**, 2 (2012)

● 分子/原子团（有限体系）的电极化

$$\mathbf{P} = \sum_i q_i (\mathbf{r}_i + \mathbf{R}_0) = \left(\sum_i q_i \mathbf{r}_i \right) + \mathbf{R}_0 \sum_i q_i = \mathbf{0} = \sum_i q_i \mathbf{r}_i$$

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- 分子/原子团（有限体系）的电极化
- 晶体（周期结构）中的电极化， N 个晶胞

$$\begin{aligned}\mathbf{P} &= \sum_{li} q_i \mathbf{r}_{li} = \sum_{li} q_i (\mathbf{R}_l + \mathbf{r}_i) = \sum_l \sum_i (q_i \mathbf{r}_i) + \sum_l \mathbf{R}_l \left(\sum_i q_i \right) = 0 \\ &= N\Omega \left(\sum_i q_i \mathbf{r}_i \right) / \Omega = N\Omega \mathbf{p} = V \mathbf{p}\end{aligned}$$

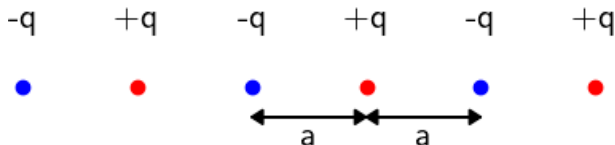
$$\mathbf{p} = \mathbf{P} / (N\Omega) \quad \text{单位体积的电极化}$$

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$$p_0 = [(+q) * (-a)/2 + (+q) * (+a)/2 + (-q) * (0)] / (2a) = 0$$

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$$p_0 = [(+q) * (-a)/2 + (+q) * (+a)/2 + (-q) * (0)] / (2a) = 0$$

$$p_1 = [(+q) * (-a/2) + (-q) * (a/2)] / (2a) = -q/2$$

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$$p_1 = [(+q) * (-a/2) + (-q) * (a/2)] / (2a) = -q/2$$

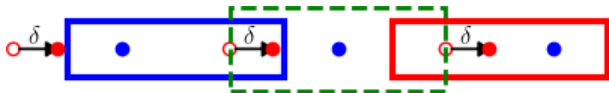
$$p_2 = [(-q) * (-a/2) + (q) * (a/2)] / (2a) = q/2$$

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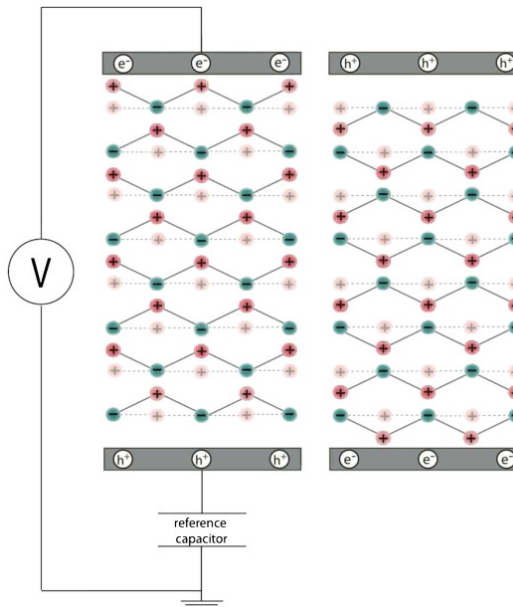
$$p_2 = [(-q) * (-a/2) + (q) * (a/2)] / (2a) = q/2$$

$$\delta p_1 = [(+q) * (-a/2 + \delta) + (-q) * (a/2) + qa] / (2a) = q\delta / (2a)$$

$$\delta p_2 = [(-q) * (-a/2) + (+q) * (a/2 + \delta) - qa] / (2a) = q\delta / (2a)$$

电偶极矩的测量: Sawyer-Tower method

- 晶体的绝对电偶极矩是多值的，没有物理意义
 $p_N = Nqa$,
 $N = 0, \pm 1, \pm 2, \dots$
- 电偶极矩的改变量有物理意义: $\delta p = q\delta + p_N$
- 物理上也只能测量电偶极矩该变量，不能测量绝对值



Modern theory of polarization

λ : 晶格位移量 $\mathbf{R}_j \Rightarrow \mathbf{R}_j(\lambda)$, $|\psi_n(\mathbf{k})\rangle \rightarrow |\psi_n(\mathbf{k}, \lambda)\rangle$

$$\mathbf{p}_{tot}(\lambda) = \frac{1}{N} \int \rho_{tot}(\mathbf{r}) \mathbf{r} \, d\mathbf{r} = \frac{1}{N} \left\{ \sum_{lj} z_j [\mathbf{R}_l + \mathbf{R}_j(\lambda)] - e \int \rho_{el}(\mathbf{r}) \mathbf{r} \, d\mathbf{r} \right\}$$

$$\mathbf{p}_{el}(\lambda) = \frac{1}{N} \int \rho_{el}(\mathbf{r}) \mathbf{r} \, d\mathbf{r} = -\frac{e}{N} \sum_n \int d\mathbf{k} \langle \psi_n(\mathbf{k}, \lambda) | \mathbf{r} | \psi_n(\mathbf{k}, \lambda) \rangle$$

$$= -\frac{e}{N} \sum_n \sum_l \mathbf{R}_l - ei \sum_{n\mathbf{k}} \langle u_n(\mathbf{k}, \lambda) | \partial_{\mathbf{k}} u_n(\mathbf{k}, \lambda) \rangle$$

$$= -\frac{e}{N} \sum_n \sum_l \mathbf{R}_l - e \sum_n \int d\mathbf{k} \, \Omega_n(\mathbf{k}, \lambda) \Rightarrow \gamma_n(\lambda)$$

$$\partial_\lambda \mathbf{p}_{el}(\lambda) = -e \sum_n \partial_\lambda \gamma_n(\lambda)$$

$$\Delta \mathbf{p}_{el}(\delta) = -e \sum_n \int_0^\delta \partial_\lambda \gamma_n(\lambda) \, d\lambda = -e \sum_n [\gamma_n(\delta) - \gamma_n(0)]$$

$$\Delta p = \Delta p_n + \Delta p_{el} = e \sum_j z_j [\mathbf{R}_j(\delta) - \mathbf{R}_j(0)] - e \sum_n [\gamma_n(\delta) - \gamma_n(0)]$$

Zak phase: 晶体里的 Berry phase

J. Zak, “Berry’s phase for energy bands in solids”, PRL **62**, 2747 (1989)

$$\mathcal{H} = \frac{(-i\hbar\nabla)^2}{2m} + V(\mathbf{r})$$

$$|\psi_n(\mathbf{k})\rangle = e^{i\mathbf{k}\cdot\hat{\mathbf{r}}} |u_n(\mathbf{k})\rangle$$

$$\Rightarrow \mathcal{H}(\mathbf{k}) = e^{-i\mathbf{k}\cdot\hat{\mathbf{r}}} \mathcal{H} e^{i\mathbf{k}\cdot\hat{\mathbf{r}}} = \frac{(\hbar\mathbf{k} - i\nabla)^2}{2m} + V(\mathbf{r})$$

$$\Rightarrow \mathcal{H}(\mathbf{k})|u_n(\mathbf{k})\rangle = E_n(\mathbf{k})|u_n(\mathbf{k})\rangle$$

● 参数空间: $\mathbf{k}$, 1st BZ

● 一维: “直的” 圆, S^1

● 二维: “直的” 环面, T^2

● 三维: “直的” 三维环面, T^3

● Berry connection: $\mathcal{A}_{n\mu}(\mathbf{k}) = i\langle u_n(\mathbf{k}) | \partial_{k_\mu} u_n(\mathbf{k}) \rangle$

● Berry curvature: $\Omega_{\mu\nu}(\mathbf{k}) = \partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu$

$\Rightarrow$ $\mathbf{k}$ -空间里的 “磁场”

$$\dot{\mathbf{r}} = \nabla_{\mathbf{k}} \varepsilon(\mathbf{k}) - \dot{\mathbf{k}} \times \boldsymbol{\Omega}(\mathbf{k})$$

$$\dot{\mathbf{k}} = \mathbf{F} = -e(\mathbf{E} + \mathbf{v} \times \mathbf{B}) = \nabla_{\mathbf{r}} \phi(\mathbf{r}) + \dot{\mathbf{r}} \times \mathbf{B}(\mathbf{r})$$