Understanding Surface Catalyzed Decomposition Reactions Using a Chemical Pathway Analysis

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Supporting Information

ABSTRACT: A theoretical microkinetic model is developed to describe the decomposition of methanol into formaldehyde, carbon monoxide, and hydrogen on eight transition metal surfaces using density functional theory (DFT) calculations and transition state theory (TST). The chemical kinetics are then analyzed using a recently developed technique, the sum over histories representation (SOHR), that clearly reveals the chemical pathways followed by the system. The model itself consists of 10 reversible hydrogen abstraction reactions as well as the adsorption—desorption of methanol, formaldehyde, hydrogen, and carbon monoxide. The computed rate coefficients are fit to generalized Arrhenius expressions that are applicable to a wide range of conditions. While the lateral interactions are not explicitly computed, the effects of surface coverage are included using a site-blocking model for the kinetics. The SOHR method allows the chemical pathways followed by the surface species to be determined and weighted by unique probabilities. Locating the most probable chemical pathways is very useful in understanding the selectivity of product formation and can be used to determine the “optimal” reaction conditions.

1. INTRODUCTION

It is a long-standing goal to develop a molecular level understanding of the chemical kinetics that underlie complex surface catalytic processes for heterogeneous reactions.1–11 The detailed chemistry of catalytic mechanisms is responsible for the behavior of macroscopic observables such as turnover frequency and product selectivity. Since many of the elementary processes and reaction intermediates involved in complex mechanisms are not presently accessible to experimental observation, researchers have relied on theoretical calculations to elucidate the details of many catalytic systems.1 Recent theoretical advances including the use of density functional theory (DFT), kinetic Monte Carlo methods, and mean field methods can allow quantitative prediction of catalytic activity. The present work consists of a sequence of two interconnected components. First, a microkinetic model is developed for the decomposition kinetics of methanol on eight transition metal surfaces. The barrier energies and vibrational frequencies are obtained using a density functional theory (DFT) approach, and the rate coefficients are obtained from transition state theory (TST). While lateral interactions are not computed, the role of surface coverage is included using a site-blocking model for the chemical kinetics whereby dissociation into an occupied site is forbidden. The second component of this work investigates the kinetic behavior using a newly developed sum over histories representation (SOHR) method12–11 that emphasizes the global kinetics through its formulation in terms of complete chemical pathways which follow molecules from reagents to products. Using these techniques, we aim to develop new insight into kinetic behavior of catalytic surface reactions.

One approach that is commonly used to decipher the chemistry of complex surface catalysis mechanisms is to delineate the reaction pathways leading from reagents to products.12–14 These pathways follow a chemical moiety from reagent to product states through a series of intermediates which are connected by transition states. The notion of the reaction pathway is ubiquitous in chemistry and is routinely used in problems ranging from chemical synthesis to combustion kinetics. In catalysis, a dominant pathway (i.e., the most probable pathway) provides a de facto reaction mechanism and hence identifies the key reaction steps and intermediates en route to products. A dominant pathway can provide simple means to interpret the rate parameters for the turnover frequency (such as its activation energy) and provides a guide to optimizing the choice of catalysts. Unfortunately, the use of pathway analysis becomes confusing when the reaction network grows large and can support numerous contributing

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approach motivated by Feynman that many aspects of a kinetic model, such as the sensitivity coefficients. The details of the pathway expansion are reviewed elsewhere.6–11 The systematic study introduced. The DFT calculations used to locate the transition state structures are discussed in Section II.A. The microkinetic model is presented in Section II.B, and the associated rate coefficients are computed using the TST methods discussed in Section II.C. The SOHR methodology is briefly reviewed in Section II.D. The chemical pathways followed by the methanol molecules are enumerated in Section III. A simple “forward-only” model based on a subset of these pathways is also presented. In Section IV, the results are presented and discussed. The energy landscapes for all eight metals are shown, and the rate coefficients for all the processes are determined. The exact pathway probabilities as functions of reactor temperature and pressure are obtained. The forward-only model is found to be very accurate at low surface coverage but can show some significant error at high coverage. It is found that the kinetics on the eight metals are quite different from one to another and follow distinct reaction routes. The cases of Pd(111) and Ir(111) are considered in detail. It is found that the reaction pathways followed by the system are strong functions of temperature and pressure which can be physically understood from the behavior of the system at four key branching points of the surface kinetics. Finally, Section V presents the conclusions of this work.

II. THEORETICAL METHODS

II.A. Density Functional Theory Calculations. Periodic DFT calculations were performed using the Vienna *ab initio* Simulation Package (VASP).71,72 The exchange-correlation interaction is described by the generalized gradient approximation (GGA) and PW91 functional.73 The Kohn–Sham equations were solved by using a plane-wave basis set with a kinetic energy cutoff of 400 eV. The (111) surfaces of Pd, Pt, Rh, Ir, Ni, Cu, Ag, Au, and Ag are modeled with a four-layer slab model with a (3 × 3) unit cell. A (5 × 5 × 1) k-point mesh is used to sample the surface Brillouin zone, and a 12 Å vacuum is introduced between the repeated slabs along the z-direction. During optimization, the bottom two layers of the slab are fixed, while the remaining atoms and adsorbates are relaxed until the residual forces are less than 0.05 eV/Å. The transition state (TS) of the elementary step is determined using the density functional theory (DFT) method. The vibrational frequencies were calculated for the equilibrium state structures of the potential energy surfaces. The vibrational frequencies were calculated for the equilibrium state structures of the potential energy surfaces. The vibrational frequencies were calculated for the equilibrium state structures of the potential energy surfaces. The vibrational frequencies were calculated for the equilibrium state structures of the potential energy surfaces.

<table>
<thead>
<tr>
<th>Rh(111)</th>
<th>Ir(111)</th>
<th>Cu(111)</th>
<th>Au(111)</th>
<th>Ag(111)</th>
<th>Pd(111)</th>
<th>Pt(111)</th>
<th>Ni(111)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CH₃OH(g) → CO(g) + 2H₂(g)</td>
<td>ΔG(T = 800 K) = −85.0 kJ/mol</td>
<td>CH₃OH(g) → CH₂O(g) + H₂(g)</td>
<td>ΔG(T = 800 K) = 4.6 kJ/mol</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The product selectivity of the reaction is an important observable. Methanol decomposition is potentially useful for H₂ production and is related to numerous important catalytic systems, such as methanol steam reforming, methanol partial oxidation, methanol electro-oxidation, and methanol synthesis. The system has also been crucial for developing empirical methods such as linear scaling relationships to simplify energetic calculations on surfaces. While the eight metals are modeled using the same elementary processes in the microkinetic model, the controlling chemical pathways are found to be quite different. This systematic study provides a comprehensive test for the ability of the SOHR method to predict reactions routes as a function of reactor condition and catalytic metal.

In Section II, the theoretical methods used in this study are introduced. The DFT calculations used to locate the transition state structures are discussed in Section II.A. The microkinetic model is presented in Section II.B, and the associated rate coefficients are computed using the TST methods discussed in Section II.C. The SOHR methodology is briefly reviewed in Section II.D. The chemical pathways followed by the methanol molecules are enumerated in Section III. A simple “forward-only” model based on a subset of these pathways is also presented. In Section IV, the results are presented and discussed. The energy landscapes for all eight metals are shown, and the rate coefficients for all the processes are determined. The exact pathway probabilities as functions of reactor temperature and pressure are obtained. The forward-only model is found to be very accurate at low surface coverage but can show some significant error at high coverage. It is found that the kinetics on the eight metals are quite different from one to another and follow distinct reaction routes. The cases of Pd(111) and Ir(111) are considered in detail. It is found that the reaction pathways followed by the system are strong functions of temperature and pressure which can be physically understood from the behavior of the system at four key branching points of the surface kinetics. Finally, Section V presents the conclusions of this work.

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Table 2. Barrier Energies (in eV) for the Reactions in the Microkinetic Model

<table>
<thead>
<tr>
<th>Reaction</th>
<th>Rh(111)</th>
<th>Ir(111)</th>
<th>Cu(111)</th>
<th>Au(111)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$E_{\text{forward}}$</td>
<td>$E_{\text{reverse}}$</td>
<td>$E_{\text{forward}}$</td>
<td>$E_{\text{reverse}}$</td>
</tr>
<tr>
<td>(0) CH$_3$OH(g) + * → CH$_3$OH*</td>
<td>0.00</td>
<td>0.21</td>
<td>0.00</td>
<td>0.21</td>
</tr>
<tr>
<td>(1) CH$_3$OH* + * → CH$_3$O* + H*</td>
<td>1.47</td>
<td>0.35</td>
<td>1.47</td>
<td>0.35</td>
</tr>
<tr>
<td>(2) CH$_3$O* + * → CH$_3$O* + H*</td>
<td>0.30</td>
<td>0.30</td>
<td>0.30</td>
<td>0.30</td>
</tr>
<tr>
<td>(3) CH$_2$O* + * → CH$_2$O* + H*</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>(4) CHO* + * → CO* + H*</td>
<td>0.07</td>
<td>0.07</td>
<td>0.07</td>
<td>0.07</td>
</tr>
<tr>
<td>(5) CH$_3$H* + * → CH$_3$O* + H*</td>
<td>0.67</td>
<td>0.67</td>
<td>0.67</td>
<td>0.67</td>
</tr>
<tr>
<td>(6) CHOH* + * → CHOH* + H*</td>
<td>0.45</td>
<td>0.45</td>
<td>0.45</td>
<td>0.45</td>
</tr>
<tr>
<td>(7) CHO* + * → CO* + H*</td>
<td>0.07</td>
<td>0.07</td>
<td>0.07</td>
<td>0.07</td>
</tr>
<tr>
<td>(8) COH* + * → CO* + H*</td>
<td>0.97</td>
<td>0.97</td>
<td>0.97</td>
<td>0.97</td>
</tr>
<tr>
<td>(9) CH$_3$OH* + * → CH$_3$O* + H*</td>
<td>0.69</td>
<td>0.69</td>
<td>0.69</td>
<td>0.69</td>
</tr>
<tr>
<td>(10) CHO$_2$* + * → CH$_2$O* + H*</td>
<td>0.63</td>
<td>0.63</td>
<td>0.63</td>
<td>0.63</td>
</tr>
<tr>
<td>(11) H* + H* → H$_2$(g)</td>
<td>1.06</td>
<td>1.06</td>
<td>1.06</td>
<td>1.06</td>
</tr>
<tr>
<td>(12) CO$_2$* → CH$_2$O(g) + *</td>
<td>0.91</td>
<td>0.91</td>
<td>0.91</td>
<td>0.91</td>
</tr>
<tr>
<td>(13) CO* → CO(g) + *</td>
<td>1.45</td>
<td>1.45</td>
<td>1.45</td>
<td>1.45</td>
</tr>
</tbody>
</table>

$^{a}$Reactions R1–R10 are the hydrogen abstraction reactions shown in Figure 1. Reaction 0 is the methanol adsorption/desorption while reactions 11–13 are for desorption of H$_2$, CH$_2$O, and CO, respectively. We defined $E_{\text{forward}}$ and $E_{\text{reverse}}$ as the classical barrier energies for the forward and reverse reactions, while $E_{\text{zpc}}$ refers to the zero-point corrected energies for the forward reactions. We assume that CH$_3$OH adsorption is a barrierless process. The values for CO desorption are taken from experiment.$^{33}$ Negative barrier heights are set to 0.

The kinetic model for the catalytic dehydrogenation of methanol consists of 14 elementary processes that are graphically represented in Figure 1. The MeOH molecule, CH$_3$OH, can adsorb and desorb from the gas phase, while the product species CO, H$_2$, and CH$_2$O are allowed to desorb only. Once adsorbed, the CH$_3$OH can undergo a series of reversible H-atom abstraction reactions yielding the adsorbed species CH$_2$O, CH$_2$OH, CH$_3$OH, HCOOH, CHO, COH, and CO. The forward reactions RH → R + H, labeled R1–R10, are modeled as second-order processes described by second-order rate laws $k(T)\theta_{RH}\theta^6$ where $\theta_{RH}$ is the coverage of species RH and $\theta^6$ is the coverage of free sites on the surface. $^{35,76}$ Although we do not explicitly include lateral interactions on the surface, the inclusion of free site coverage in the rate laws allows the model to reflect site-blocking effects at sufficiently high pressures. The backward reactions are labeled R10→R, while the product species CH, H, and CH$_2$ are allowed to desorb while CH$_3$OH is also allowed to adsorb to the surface. The reactions 1–10 are dehydrogenation reactions RH → R + H in the forward direction and recombination reactions in the backward direction.

The Journal of Physical Chemistry C

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recombination reactions, $R + H \rightarrow RH$ (BR1–BR10), are described by the rate laws $k_i(T)\theta_i\phi_i$. In the present model, rapid diffusion is assumed to produce homogeneous distributions of each species.

The adsorption of MeOH is assumed to be a first-order process, MeOH(g) + * → MeOH*. The rate law is expressed in terms of the MeOH pressure as $k_i(T)\theta_i\phi_i$. The value of the sticking coefficient per site, $\theta_i/\phi_i$, can be absorbed into an effective value of the pressure of MeOH, and in our simulations we have set $S = 1$. The desorption process is also first-order as described by the rate law, $k_d(T)\theta_X$ with $X = \text{MeOH}, \text{CO}$, or CH₂O.

The chemistry of the system is modeled using conventional mass action kinetics where each adsorbed species $S$ is characterized by a time-dependent coverage, $\theta_i(t)$, which is assumed to be spatially homogeneous. The time-evolution of the coverages, $\theta_i(t)$, is then obtained using either the differential rate equations or the SOHR expressions presented below.

**II.C. Rate Coefficients**. The rate coefficients $k(T)$ are computed using data compiled from the DFT calculations. The rates of the abstraction reactions are computed using transition state theory (TST). Thus, the rate coefficient is expressed as

$$k_{\text{ani}}(T) = \frac{k_0}{h} \left( \frac{N_{\text{TS}}}{N_{K}} \right)^{n_{TS}} \frac{\sigma_{R}}{\sigma_{TS}} \frac{Q_{TS}}{Q_{R}} e^{-\Delta E_{f}/k_{B}T}$$

We define $\Delta E_{0}$ as the zero-point corrected barrier height. The pre-exponential factor is temperature-dependent through the partition functions $Q_{\text{TS}}$ and $Q_{R}$, which correspond to the vibrational motion at the TS and reagent, respectively. A normal-mode analysis at the stationary points yields the frequencies for a separable harmonic model but where certain low-frequency modes must employ hindered rotor partition functions. The other terms present in the pre-exponential factor are constant symmetry factors that represent the multiplicity of the TS. We set $\sigma_{R}$ and $\sigma_{TS}$ to be the molecular symmetry number of the TS and reagent, respectively, and $n_{TS}$ and $n_{R}$ are the number of physically distinct chiral conformations of the TS and reagent. Thus, the quantity $(n_{TS}/n_{R})(\sigma_{R}/\sigma_{TS})$ is identical to the usual symmetry factor for gas phase reactions. The factors $N_{R}$ and $N_{TS}$ count all possible adsorption sites and molecular orientations within the unit cell for the reagent and TS, respectively. The factor $N_{TS}/N_{R}$ applied to the rate coefficient can be thought of as roughly the difference in the degeneracy between the TS and the reagent configurations. The rate coefficients for the backward recombination reactions are obtained by microreversibility.

The first-order desorption rate coefficients for CH₃OH, CH₂O₂, and CO are found using an empirical technique introduced by Campbell et al. Assuming that $k_d(T) = \nu \exp(-E_d/k_{B}T)$, where $E_d$ is the desorption energy given in Table 1, the prefactor $\nu$ is given in terms of the species entropies as

$$\nu = k_{0}T/h \exp[(S_{g}^{0} - S_{gas,1D} - S_{ad})/R]$$

Here, the TS is modeled as a free (gas phase) species with one translational degree of freedom frozen. However, Campbell et al. noted that good agreement with experiment can often be obtained by simply taking the entropy of the adsorbed species to be $\sim 2/3$ of that of the gas phase value. This approach should be valid at the temperatures considered here where the adsorbed species are highly mobile. We employed this simple rule to calculate $\nu$. Finally, for the H₂ associative desorption process which possesses a clear saddle-point on the potential energy surface, we employ the TST expression (eq 2.3) with a symmetry factor of unity.

**II.D. Sum over Histories Representation.** The contributions from detailed molecular pathways that lead to the CH₂O(g) and CO(g) products are quantified using the SOHR method. Briefly, the SOHR method represents the kinetics using chemical pathways that follow a tagged-atom as it migrates from species to species due to chemical reactions. The $j$th pathway probability for a tagged-atom residing in an initial species $S_0$ at time $t_0$ which is delivered to a final species $S_n$ at time $t_f$ through a specific sequence of reactions, $S_0 \rightarrow S_1 \rightarrow S_2 \ldots \rightarrow S_n$, is denoted by $P_j(t_0, t_f)$. Given the initial species concentrations, the concentration of the final species $[S_n(t_f)]$ is given by linear combination

$$[S_n(t_f)] = \sum_j P_j(t_0, t_f)\epsilon_j[\text{S}_0(t_0)]$$

where $\epsilon_j$ are chosen to represent the stoichiometric coefficient of the reactions. For the present C-atom following paths, the coefficients $\epsilon_j$ are all equal to 1. In previous work, we have formulated the probabilities $P_j(t_0, t_f)$ for general kinetics mechanisms

$$P_{\text{path}} = \frac{1}{N} \sum_{q=1}^{N} \prod_{j=1}^{n} (\Gamma_{k} - 1(t_j^q)(1 - p_{\text{min}}(t_j^q)))$$

where $P_r$ is the survival probability of species $n$ from time $t_k$ to $t_f$ and $\Gamma_{k-1}$ is the branching ratio for reaction $k - 1$ on the pathway. Equation 2.6 represents a Monte Carlo integration of an $N$-dimensional time-order expression where $N$ is chosen to be large enough for convergence. Using these expressions, it is possible to deconstruct any observable in terms of the underlying chemical pathways. For example, the relative production of CH₂O(g) and CO(g) can be traced to separate pathways leading to those species from the MeOH precursor.

Also, the turnover frequency can usually be computed directly using eq 2.5. Thus, if the production rate of CO(g) is desired, then rate $= k_{\text{des}}[\text{CO(ad)}]$ where $[\text{CO(ad)}]$ is given by eq 2.5.

**III. ENUMERATION OF CHEMICAL PATHWAYS: THE FORWARD-ONLY MODEL**

Chemical pathways are identified by following the carbon atom as it migrates through the chemical network. The pathways are initiated with CH₃OH adsorption and terminated with desorption of either CO or CH₂O. Obviously, we can see from Figure 1 that an infinite number of potential pathways will develop if both forward and reverse reaction steps are included. Since kinetic observables depend on probabilistic weights of the paths, however, it is most important to identify the dominant paths which can be used to converge the expansion (eq 2.5). For most conditions we find that “forward-only” pathways, in which the reverse reactions are ignored, are by far the most important. This is due in large part to the very low H-atom concentrations on the surface since H₂ readily desorbs. These paths are distinguished by the order in which
the H-atoms are abstracted from the evolving moiety and whether the product desorbs as either CH₂O or CO. It is easily seen that there are only six possible forward-only paths in this model:

\[
\begin{align*}
\text{Pathway 1:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_1} \text{CH}_3\text{O} \xrightarrow{R_2} \text{CH}_2\text{O} \xrightarrow{R_3} \text{HCO} \xrightarrow{R_4} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway 2:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_5} \text{CH}_2\text{OH} \xrightarrow{R_6} \text{HCOH} \xrightarrow{R_7} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway 3:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_5} \text{CH}_2\text{OH} \xrightarrow{R_8} \text{CH}_2\text{O} \xrightarrow{R_4} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway 4:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_5} \text{CH}_2\text{OH} \xrightarrow{R_6} \text{HCOH} \xrightarrow{R_8} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway 5:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_1} \text{CH}_3\text{O} \xrightarrow{R_2} \text{CH}_2\text{OH} \xrightarrow{D_{\text{CH}_2\text{O}}} \text{CH}_2\text{O(g)} \\
\text{Pathway 6:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_5} \text{CH}_2\text{OH} \xrightarrow{R_6} \text{CH}_2\text{O} \xrightarrow{D_{\text{CH}_2\text{O}}} \text{CH}_2\text{O(g)}
\end{align*}
\]

We have dropped the abstracted H-atoms from these equations for brevity. In this reduced scheme, paths 1–4 yield the CO(g) product while paths 5–6 yield CH₂O(g). In Figure 2 we graphically represent these forward pathways. We find that this forward-only model provides a very accurate representation for the MeOH decomposition process except for high surface coverages on select metals. As a typical representative example, in Figure 3 we show the surface concentration of various intermediates versus time for a kinetic simulation on Pd(111) in which the surface is initially clean. For the time-dependent relaxation to steady state, conventional kinetic simulation is seen to give nearly identical results as the forward-only SOHR model. When necessary, however, we shall include additional pathways that include backward (recombination) reaction steps to converge the SOHR expansion.

It is seen that the choice of which forward pathway is followed by a C-atom is set at four key branching points in the reaction network:

\[
\begin{align*}
\text{Pathway A:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_1} \text{CH}_3\text{O} \xrightarrow{R_5} \text{CH}_2\text{OH} \xrightarrow{R_6} \text{HCOH} \xrightarrow{R_7} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway B:} & \quad \text{CH}_3\text{OH} \xrightarrow{R_1} \text{CH}_3\text{O} \xrightarrow{R_5} \text{CH}_2\text{OH} \xrightarrow{R_6} \text{HCOH} \xrightarrow{R_8} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway C:} & \quad \text{CH}_2\text{O} \xrightarrow{D_{\text{CH}_2\text{O}}} \text{CHO} \xrightarrow{R_3} \text{CH}_2\text{O} \xrightarrow{R_4} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)} \\
\text{Pathway D:} & \quad \text{CHOH} \xrightarrow{R_3} \text{CHO} \xrightarrow{R_7} \text{CO} \xrightarrow{D_{\text{CCO}}} \text{CO(g)}
\end{align*}
\]

At the first branching juncture (eq a), a weakly adsorbed MeOH molecule can react to form either CH₃O through R₁, CH₃OH → CH₃O + H, or CH₃OH through reaction R₅, CH₃OH → CH₂OH + H. Pathways P1, P2, P3, and P6 follow the R₅ reaction to CH₂OH while pathways P1 and P5 follow the R₁ branch to CH₃O. Via eq b, the CH₂OH can dissociate either by reaction R₉, CH₂OH → CH₂O + H, or by reaction R₆ to CH₂O + HCOH. Hence, pathways P3 and P6 follow reaction R₉ while pathways P2 and P4 follow reaction R₆. Once CH₂O is formed on the surface, via eq c it can either dehydrogenate via reaction R₃, CH₂O → HCO + H, or desorb, intact, into the gas phase via DCH₂O. Thus, pathways P1 from P3 follow reaction R₃ while paths P5 and P6 follow the desorption DCH₂O. The final branching point (eq d) is for the CHOH species that can react via R₁₀, HCOH → HCO + H or R₇, HCOH → COH + H. Pathway P₄ follows the R₁₀ branch while pathway P₂ follows R₇.

For the forward-only model at low surface coverage, it is possible to approximate the steady state pathway probabilities of eq 2.4 using very simple expressions. If the rate coefficients for the forward dissociation reactions are given by \(k_i(T)\), then the reaction branching ratios at junctures in eqs a–d are given by

\[
\begin{align*}
\Gamma_{a,1} &= \frac{k_1}{k_1 + k_3} \quad \Gamma_{a,5} = \frac{k_3}{k_1 + k_3} \\
\Gamma_{b,6} &= \frac{k_6}{k_6 + k_9} \quad \Gamma_{b,9} = \frac{k_9}{k_6 + k_9} \\
\Gamma_{c,3} &= \frac{k_3}{k_3 + D_{\text{CH}_2\text{O}}} \quad \Gamma_{c,D} = \frac{D_{\text{CH}_2\text{O}}}{k_3 + D_{\text{CH}_2\text{O}}} \\
\Gamma_{d,7} &= \frac{k_7}{k_7 + k_{10}} \quad \Gamma_{d,10} = \frac{k_{10}}{k_7 + k_{10}}
\end{align*}
\]

Under steady state conditions at low coverage, eq 2.4 can be well-approximated by the appropriate product of these branching ratios along the pathways, i.e.

\[
\begin{align*}
\text{Prob(P1)} & \approx \Gamma_{a,1} \Gamma_{c,3} \\
\text{Prob(P2)} & \approx \Gamma_{a,5} \Gamma_{b,6} \Gamma_{d,7} \\
\text{Prob(P3)} & \approx \Gamma_{a,5} \Gamma_{b,9} \Gamma_{c,3} \\
\text{Prob(P4)} & \approx \Gamma_{a,5} \Gamma_{b,9} \Gamma_{c,3}
\end{align*}
\]
These formulas work surprisingly well at low coverage and can be extended to higher coverage by replacing $k_i$ in eqs 3.1−3.4 with effective values including site blocking.

IV. RESULTS AND DISCUSSION

IV.A. Energy Landscapes. The zero-point corrected energetic landscapes for methanol decomposition along pathways 1−6 are shown in Figure 4 for each metallic surface. It is clear that the different catalytic surfaces lead to quite distinct energetics which will be reflected in the kinetics. Of course, energetics is not the only issue since entropy and surface coverage can strongly modify the progress of the reaction. However, for modest coverage the simple forward model is accurate and easy to decipher through the energetics.

An issue that is particularly important in comparing the catalytic properties of different surfaces is the branching ratio at the key branching junctures of the reaction network. While the full rate coefficient includes both energetic and entropic contributions, the zero-point corrected landscapes provide considerable insight into the kinetic behavior.

The different metal substrates are seen to have quite different barrier heights, and hence they will possess different branching probabilities at the juncture points a−d. Reaction R1 is seen to have the higher barrier for Pd, Pt, and Au while reaction R5 has a higher barrier for Cu and Ag. The barriers for R1 and R5 are approximately equal for the Ni, Rh, and Ir surfaces. The lower barrier for R5 on Cu and Ag is consistent with the previous reports and is due to the stronger binding energies of CH$_3$O compared to CH$_2$OH on these surfaces. At juncture b for the CH$_3$OH species, we see that energetics favor reaction R6 over R9 for Rh, Pt, and Ni, while for Au and Ag the barriers are lower for R9. Roughly equal zero-point corrected barriers for Ir, Cu, and Pd suggest that these metals may exhibit appreciable kinetic branching at this point. For CHOH branching, juncture c, the metals Rh, Ir, Cu, Pd, and Ni have lower barriers for R7 favoring CO production while the opposite is true for Au, Pt, and Au. Finally, we see that, at the final juncture, eq d, the desorption energy for CH$_3$O $\rightarrow$ CH$_2$O(g) is lower than the reaction barrier for CH$_2$O $\rightarrow$ CHO + H for the metals Au and Ag, while the other metals seem to favor the reaction. However, Cu and Pt do exhibit fairly low CH$_2$O desorption energies. The (R3, D$_{CH2O}$) juncture is an important bifurcation point distinguishing CO producing pathways, pathways P1 and P3, from CH$_2$O producing routes, pathways P5 and P6. Here, the Cu, Au, and Ag surfaces energetically favor desorption while the remaining surfaces favor reaction.

IV.B. Forward-Only Model at Low Coverage: Single Pathway Cases. At low coverages the forward-only model applies, and we can quantitatively understand much of the catalytic kinetics in terms of the 6 elementary reaction routes shown in Figure 2. As an example, in Figure 5 we show the forward pathway probabilities for each surface obtained using the exact probabilities, eq 2.6, for the case of $T=800$ K and low pressure $P_{MeOH}=0.01$ atm. Under these low coverage conditions, eqs 3.5−3.10 are accurate to within a few percent.

The mechanistic behavior of four of the surfaces is seen to be quite simple, namely, for Ag, Au, Cu, and Pt, which are each dominated by a single chemical pathway. The Ag, Au, and Cu surfaces produce nearly 100% CH$_2$O product through either pathway P5 or P6 while Pt yields CO product through path P1. This result is easily understood from the branching ratios at the juncture points, which in turn largely reflect the energetics shown in Figure 4. For Ag and Cu, the lower barrier for CH$_3$O formation strongly selects its formation over that of CH$_2$OH, i.e., $\Gamma_{a1} \approx 1$, while the low desorption energy, Ag (0.03 eV) and Cu (0.18 eV), makes CH$_2$O(g) formation much more rapid than reaction to CHO*, $\Gamma_{cD} \approx 1$. Hence, we find $\text{Prob}(P_5) \approx \Gamma_{a1} \cdot \Gamma_{cD} \approx 1$. For Au, on the other hand, the barriers strongly favor CH$_3$OH formation over CH$_2$O at...
juncture a, and CH$_2$O* over CHO at juncture b, and CH$_3$O(g) over CHO at juncture c. Hence, we have Prob($P_6$) $\approx \Gamma_{a,5} \Gamma_{b,9} \Gamma_{c,D} \approx 1$. Similar reasoning for the Pt surface implies Prob($P_1$) $\approx \Gamma_{a,1} \Gamma_{c,3} \approx 1$.

From a mechanistic point of view, the methanol decomposition chemistry on the four surfaces Pd, Rh, Ni, and Ir are the more complex and, therefore, the more interesting cases. It is seen that the decomposition occurs through several simultaneous mechanisms for these surfaces. We shall investigate two cases in detail, Pd and Ir which are found to exhibit more complicated kinetic behavior as functions of temperature and pressure.

IV.C. Pd(111). Even under conditions of low surface coverage, it is seen in Figure 5 that methanol decomposition on Pd(111) is found to occur with appreciable probability along four kinetic pathways at $T = 800$ K. This reflects several close lying barrier energies at juncture points, or, more accurately, the approximate equality of free energies of

![Figure 4. Zero-point corrected energetic landscapes along the six forward pathways shown in Figure 2.](image-url)
activation that determine the values of the rate coefficients. To further explore the kinetic behavior of MeOH on Pd(111), we have computed the temperature and pressure dependence of all the important pathway probabilities. It was found that backtracking pathways involving recombination reactions do not play an important role and pathways P1–P6 provide an excellent representation of the full kinetics. As seen in the left panel of Figure 6, there is a clear temperature dependence of the pathway probabilities at low pressures and hence low coverage. At low temperatures near 500 K, path P2 is clearly dominant. However, it decreases in importance as temperature increases to 800 K largely due to the growth of the probability of path P4. Pathway P3 is important as well and is roughly constant in probability versus $T$ although it exhibits a modest maximum at the middle temperatures, $\sim 600$ K. There is a small but nonzero probability for the production of CH$_2$O(g) product through pathway P5 that becomes slightly larger at higher temperatures. The high desorption energy of CH$_2$O* constrains this to be a minor channel at low pressures. Only very tiny contributions from paths P1 and P6 arise since the barrier for R1 is prohibitively large.

The temperature dependence of the MeOH decomposition chemistry on Pd(111) at low $P$ is fairly easy to explain using the forward-only model. The main effect is the growth of the P4 channel at the expense of the P2 channel as $T$ increases. At 800 K the probabilities for P2 and P4 become approximately equal. From Figure 2 we see that pathway P2 splits from P4 at juncture d, i.e., CHOH $\rightarrow$ CHO (COH). The low-pressure branching fractions $\Gamma_{d7}$ and $\Gamma_{d10}$ depend on the rate coefficients $k_7$ and $k_{10}$ through eq 3.4 where, trivially, $\Gamma_{d7} + \Gamma_{d10} = 1$. The lower zero-point corrected barrier $\Delta E_0$ for R7 (0.27 eV) compared to R10 (0.45 eV) modestly enhances P2 over P4 at low $T$. However, the larger entropy of activation in the pre-exponential factors in $k_{10}$ compared to $k_7$ (due to looser TS frequencies) enhances P4 at high temperature. Hence, the rate coefficient $k_7$ and $k_{10}$ actually become nearly equal at $T = 800$ K leading to the near equality of Prob(P2) and Prob(P4). The pathway P3 splits from P2 and P4 at juncture b, i.e., CH$_3$OH $\rightarrow$ CH$_2$O (CHOH). It is seen that $\Delta E_0$ values for R9 (0.47 eV) and R6 (0.42 eV) are roughly equal as are the free energies of activation, implying little temperature dependence of $\Gamma_{b6}$ and $\Gamma_{d7}$. As a result we expect, and in fact we see, that $\text{Prob}(P3)/(\text{Prob}(P2) + \text{Prob}(P4)) \approx$ constant.

Figure 6. Temperature (left) and pressure (right) dependence of methanol decomposition pathway probabilities for pathways P1–P6 on Pd(111). The probability for path P1 is negligible under these conditions.
The chemistry of methanol decomposition on Pd(111) deviates from the simplest forward-only model at higher pressures when the surface coverage grows larger. This is potentially due to two physical effects arising from the site blocking included in the full microkinetic model: (1) the change in the relative values of the reaction rates due to the second order nature of the rate laws, i.e., \((RH + * \rightarrow H + R)k\theta_{RH}\theta_R^*\), and (2) the proliferation of new reaction pathways due to reverse reactions, i.e., \(H + R \rightarrow RH + *\). These effects can have a large influence on the observed catalytic properties of the system. As we have noted already, the reverse reactions are not important for Pd(111), and the pressure effects are found to result from changes in the reaction rates.

In the right panel of Figure 6 the pathway probabilities for pathways P1–P6 are shown versus pressure at fixed temperature, \(T = 500 \text{ K}\). There is clearly a large effect that results in the P5 pathway for CH2O(g) growing into a major channel above \(P_{\text{MeOH}} \approx 50 \text{ atm}\). It is seen that the strongest pressure-dependent effect at \(T = 500 \text{ K}\) is the nearly inverse growth of P5 at the expense of P3, while the other pathway probabilities show little change. From Figure 2 we note that path P5 splits from P3 at juncture c:

\[
\begin{align*}
\text{CH}_2\text{O} & \xrightarrow{\text{R}_3} \text{CH}_2\text{O}(g)(\text{CHO + H}) \\
\text{CH}_2\text{O} & \xrightarrow{\text{R}_3} \text{CH}_2\text{O}(g)(\text{CHO + H}) \end{align*}
\]

The associated branching fractions \(\Gamma_{c,3}\) and \(\Gamma_{c,D}\) are special in that they are expected to strongly depend on surface converge. Specifically, since in our model the surface reaction \(\text{CH}_2\text{O} + * \rightarrow \text{CHO + H}\) requires a free site while the desorption process \(\text{CH}_2\text{O} \xrightarrow{\text{R}_2} \text{CH}_2\text{O}(g)\) does not, the desorption should be enhanced at high coverage. In fact, the results in Figure 6 seem to follow this pattern \textit{vis a vis} paths P5 and P3. The remaining branching fractions for the forward-only model are independent of coverage since all surface reactions R1–R10 equally require one free site to take place.

While Figure 6 shows the probabilities when \(T\) and \(P\) are varied separately, it is interesting to consider a wider range of reactor conditions and, thus, we have found pathway probabilities in a full two-dimensional representation. The pathway probabilities were computed on a two-dimensional grid in the \((T, P)\) plane in the range 500–800 K and 10–4 to 1000 atm for steady state conditions. By direct computation using eq 2.6, it was found that the forward-only pathways were by far the most important, with reverse reactions playing only a minor role for all conditions studied. The contour diagrams for probabilities for P2, P3, P4, and P6 are shown in Figure 7. It is seen that the probabilities for P2 and P4 are largely independent of pressure over the full parameter range while significant \((T, P)\) correlations develop for P3 and P6. As suggested by the previous discussion concerning Figure 6, the pressure dependence of the sum Prob(P3) + Prob(P6) is largely suppressed. We find the surface is almost completely covered at low temperatures, \(T < 600 \text{ K}\), and high pressures, \(P > 10 \text{ atm}\) (see Supporting Information). However, at the higher temperatures, i.e., \(T > 700 \text{ K}\), a significant number of free sites continue to exist even up to 1000 atm. The dominant surface species is always found to be CO* although significant levels of H-atom coverage also occur at high pressure.

The most interesting variation observed in the pathway probabilities related to the product selectivity, i.e., the probabilities of pathways leading to CO(g) relative to those leading to CH2O(g) formation. This quantity is important as it...
is potentially a sensitive experimental observable. The product branching ratio is obtained directly from the pathway probabilities via

\[ F_{\text{CH}_2\text{O}}(T, P) = \frac{P_2 + P_3}{\sum_{i=1}^{6} P_i} \]

\[ F_{\text{CO}}(T, P) = \frac{P_3 + P_3 + P_4}{\sum_{i=1}^{6} P_i} \]

In the right panel of Figure 8 we show the product branching computed from production rates in the \((T, P)\) plane. It is seen that, at low pressures, CO(g) is the dominant product with the chemistry proceeding mostly through pathways P2, P3, and P5. At higher pressure, pathway P5 becomes increasingly important and, hence, the CH₂O(g) production rate grows larger. The production of CH₂O(g) can become as high as 20% in the high-\(P\) and low-\(T\) parameter region. As the previous discussion implies, almost all the effect traces back to junction \(c\) where the key branching ratios \(\Gamma_{c,3}\) and \(\Gamma_{c,9}\) are strongly coverage-dependent. This is emphasized in the left panel of Figure 8 by plotting the quantity \(\Gamma_{c,3}\) in the \((T, P)\) plane, similar to the product selectivity. Clearly, the behavior of the branching fraction \(\Gamma_{c,3}\) quantitatively reproduces the product selectivity which is a great simplification of the surface kinetics.

IV.D. Ir(111). The decomposition kinetics on the Ir(111) substrate is the most complex case of the eight catalytic surfaces considered. At low pressures, all six forward pathways show non-negligible probabilities since there exists significant branching at the four juncture points. Furthermore, at high pressures the forward-only model shows appreciable breakdown, and additional backtracking chemical pathways grow in importance. A key distinction between the Ir(111) surface and the other metals is the relatively low activation barriers observed for a number of the recombination reactions which therefore greatly enhances the probability of backtracking pathways.

We can distinguish between two types of additional reaction pathways we have identified using the SOHR method. (1) Chattering pathways, where a H-atom dissociates from the...
moiety but then recombines with it one or more times, i.e., RH + * \rightarrow R + H \rightarrow RH + * \rightarrow R + H \rightarrow ... (2) Pathway switching routes in which the recombination/dissociation process results in an alteration of the chemical identity of the moiety, i.e., RH + * \rightarrow R + H \rightarrow RH \rightarrow R' + H... We computed all the important pathway probabilities using eq 2.6, which can be applied to pathways of any length and complexity. Since the phenomenon of chemical chattering, RH + * \leftrightarrow R + H, does not ultimately change the reaction route (P1–P6) followed by the carbon containing moiety, we have combined the chattering pathways with the nominal forward pathway which are labeled P1–P6. The probabilities of these reaction routes of course need to be summed over paths with 0, 1, 2, ... chattering events RH + * \leftrightarrow R + H that occur at various steps.

Figure 10. T and P dependence of the pathway probabilities for P1–P9 (shown in Figure 9) on Ir(111). The left panel shows the T dependence holding P fixed at 0.01 atm, while the right panel shows the P dependence holding T fixed at 500 K.

Figure 11. Pathway probabilities for pathways P1–P9 (defined in Figure 9) for Ir(111) as a function of (T, P).
The pathway switching routes, on the other hand, are entirely new. In Figure 9 we show the highest probability pathways that occur for the Ir(111) substrate. In the P1–P6 pathways, we indicate the steps at which chattering is most important with reversible arrows. The pathways P7–P9 are the most important pathway switching routes. These pathways are found to contribute ~10% of the reaction flux under the most favorable conditions.

The probabilities for P1–P9 were computed as separate functions of $T$ and $P$ in Figure 10. In the left panel, the $T$ dependence of the probabilities is shown at fixed pressure $P = 0.01$ atm. Since surface coverage is low at this pressure, the reverse reaction rates are very small and, hence, the probabilities for P7–P9 are negligible. However, the probabilities for P1−P6 are all appreciable with P1 being the largest. The value of Prob(P1) falls from 70% at $T = 500$ K to less than 50% of the total at $T = 800$ K. The temperature variation of the low pressure results is completely consistent with the forward-only probabilities given by eqs 3.5–3.10. The barriers for several of the reactions are roughly commensurate leading to significant branching of the reactive flux as it moves through the reaction network leading to the wide distribution of probabilities observed in Figure 10.

The variation of the Ir(111) pathway probabilities versus pressure holding $T$ fixed at 500 K is shown in the right-hand panel of Figure 10. Clearly, the most dramatic effect is the falloff of Prob(P1) from 0.7 to nearly 0 by $P = 1000$ atm. Likewise, the probability for P3 falls from 0.1 to 0 as pressure increases. These probability losses are compensated by growth in the probabilities for pathways P5–P9. Similar to the Pd(111) case, the main explanation for this reciprocal behavior involves site blocking at junction c $\text{CH}_2\text{O} \xrightarrow{\alpha_{\text{Ir}(111)}(\text{H})} \text{CH}_2\text{O(g)}$ (CHO + H). At the high surface coverage accompanying high pressure, the loss of free sites strongly shifts the branching ratio away from favoring CHO production (due to a lower barrier) to favoring desorption of CH$_2$O(g). This inhibits both the P1 and P3 pathways, and thus, much of the reaction flux shifts to pathway P5 at high coverage. However, due to the recombination reactions $\text{CH}_2\text{O} + \text{H} \rightarrow \text{CH}_2\text{OH}$ (BR5) and CHO + H $\rightarrow$ CHOH (BR10), backtracking pathways also begin to grow in importance. The net result is that, at high pressures, kinetic observables such as the turnover frequency can no longer be ascribed to a single rate limiting process.

We have computed the pathway probabilities and the other steady state kinetic properties for Ir(111) in a two-dimensional representation $(T,P)$ over the range $T = 500–800$ K and $P = 10^{-5}$ to 1000 atm in a manner similar to that used for Pd(111). In Figure 11 we show the pathway probabilities for P1–P9 as contour plots. The coverages were also determined as a function of $(T,P)$. For low MeOH pressures, i.e. $P < 1$ atm, the CO(g) product dominates. However, at higher pressure the CH$_2$O(g) channel becomes increasingly important and can account for up to 85% of the product yield. Clearly, this sensitive experimental observable can be used to assess the accuracy of the microkinetic model. The SOHR analysis pinpoints the key to product selectivity to be junction c and the branching ratios for reactions $\text{CH}_2\text{O} \xrightarrow{\alpha_{\text{Ir}(111)}(\text{H})} \text{CH}_2\text{O(g)}$ (CHO + H).

V. CONCLUSIONS

The kinetics of surface catalyzed decomposition of methanol to CO + 2H$_2$ or CH$_2$O + H$_2$ on eight metallic surfaces were studied theoretically using a microkinetic model that included 10 reversible surface reactions and adsorption/desorption processes. Coverage dependence was modeled using a site-blocking scheme for the mass action kinetics. Using data obtained from high-level DFT calculations, temperature-dependent rate coefficients were computed using TST. The rate coefficients were fit to generalized Arrhenius expressions and are presented in the Supporting Information. Using the sum over histories representation (SOHR), the chemical pathways leading to products were identified and the associated probabilities were computed over broad ranges of temperature and pressure. Under conditions of low to moderate MeOH pressures, it was found that the decomposition kinetics could be accurately modeling using six “forward” pathways which do not include any reverse (recombination) reactions. These paths involve the sequential abstraction of H-atoms from the C-atom containing moiety. The pathways differ from one another by the order in which
the abstraction reactions occur. Under steady state conditions at low pressures, the probabilities of these pathways could be accurately approximated as the product of branching ratios at four key juncture points in the kinetic scheme. When the MeOH pressure was increased, two important modifications to this approximation occur. First, site-blocking due to the growth of surface coverage will change the branching ratios at juncture points of the reaction network. Second, the growth of surface coverage of H-atoms will cause the reverse reactions to grow in importance which potentially modifies the reaction routes. These effects were both well-modeled using the SOHR method which explicitly decomposes the kinetics into the contributions from individual reaction pathways. It was seen that the site-blocking phenomena can lead to dramatic changes in the product selectively, i.e., the ratio of final products going to the CO(g) + 2H2(g) channel versus the CH3O(g) + H2(g) channel. The growth of rates for reverse reactions tends to distribute the probabilities of reaction over a broader range of reactions pathways.

It was observed that the reaction route followed for MeOH decomposition was strongly affected by the choice of metal surface. The kinetics on several metal surfaces were dominated by a single reaction pathway, viz., Cu, Ag, Au, and Pt. The particular pathway followed, however, was different depending on the branching ratios at the juncture points. The remaining four surfaces exhibited more complicated kinetics following a number of competing chemical pathways. We studied the kinetics of two of these case, Pd(111) and Ir(111), in detail. It was found that reaction routes followed in these cases are strongly dependent on the temperature and pressure under which the reaction occurs. These effects could be quantitatively understood using the SOHR method. The microkinetic model itself has some limitations. We have assumed that the lateral interactions between adsorbed species are negligible and have included coverage-dependent effects only through the site-blocking mechanism. This approximation could clearly be improved using more extensive DFT modeling. We have also assumed that the diffusion barriers are small compared to the reaction barriers so that the kinetics can be modeled using a homogeneous representation. A more realistic model could clearly be constructed either a kinetic Monte Carlo fusion model. Such treatments may be quite useful in representing the kinetics at high pressures.

■ ASSOCIATED CONTENT

* Supporting Information
The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.8b09415.

Geometries of intermediates, the activation energies and rate coefficients of the elementary steps, the vibrational frequencies of intermediates and transition states, and the surface coverages on Ir(111) and Pd(111) (PDF)

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