

# 操作系统原理与设计

## 第3章 Processes（进程）2

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2009年09月01日

# 提纲

- ① Process Scheduling
  - Process Scheduling Queues
  - Schedulers
  - Context Switch
- ② Operation on processes
  - Process Creation
  - Process Termination
- ③ 小结和作业

# Outline

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# Process Scheduling

The objective of multiprogramming: ???

The objective of time sharing: ???

**What the system need?**

the process scheduler selects an available process to execute on the CPU.

# Process Scheduling

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The objective of time sharing: ???

**What the system need?**

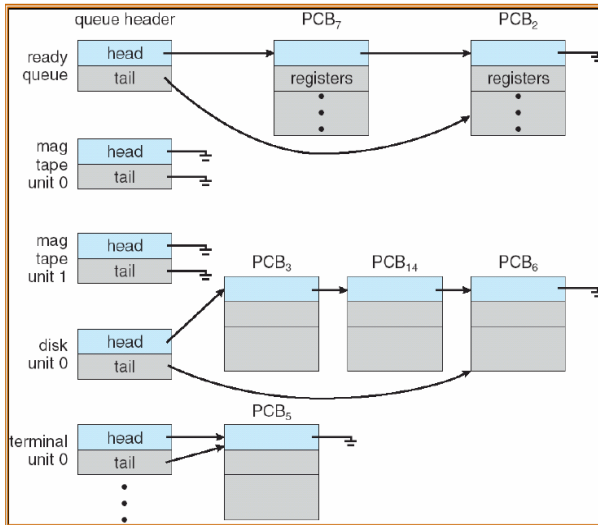
the process scheduler selects an available process to execute on the CPU.

# Process Scheduling Queues

Processes migrate among the various queues

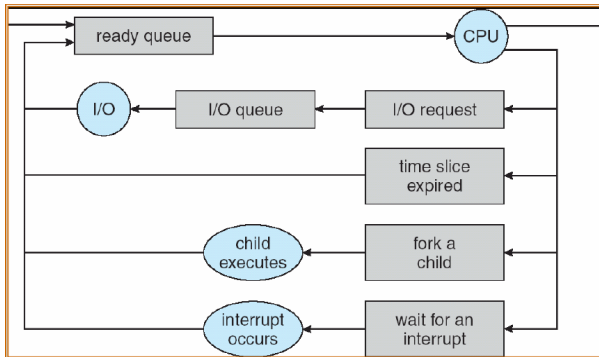
- **Job queue** – set of all processes in the system
- **Ready queue** – set of all processes residing in main memory, ready and waiting to execute
- **Device queues** – set of processes waiting for an I/O device

# Ready Queue And Various I/O Device Queues



# Representation of Process Scheduling

- a queueing diagram





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# Schedulers I

## Long-term scheduler (or job scheduler)

- selects which processes should be brought into the ready queue

## Short-term scheduler (or CPU scheduler)

- selects which process should be executed next and allocates CPU

# The primary **distinction** between long-term & short-term schedulers I

- The primary **distinction** between long-term & short-term schedulers lies in **frequency of execution**
  - Short-term scheduler is invoked very frequently (UNIT: ms) (must be fast)
  - Long-term scheduler is invoked very infrequently (UNIT: seconds, minutes) (may be slow)
  - WHY?
- The long-term scheduler controls **the degree of multiprogramming**
  - the number of processes in memory.
  - stable?

# The primary **distinction** between long-term & short-term schedulers II

- Processes can be described as either:

## I/O-bound process

- spends more time doing I/O than computations, many short CPU bursts

## CPU-bound process

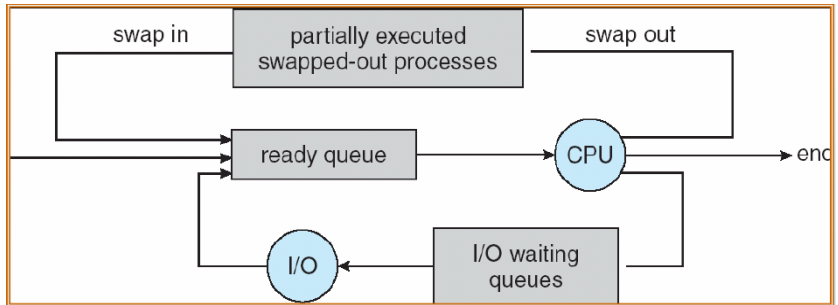
- spends more time doing computations; few very long CPU bursts

- **IMPORTANT** for long-term scheduler:
  - A good process mix of I/O-bound and CPU-bound processes.

# The primary **distinction** between long-term & short-term schedulers III

- The long-term scheduler may be absent or minimal
  - UNIX, MS Windows, ...
  - The stability depends on
    - physical limitation
    - self-adjusting nature of human users

# Addition of Medium Term Scheduling



- swapping

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# Context Switch I

- **CONTEXT**

- when an interrupt occurs; When scheduling occurs

## PCB

- CPU registers
- process state
- memory-management info
- ...

- operation: **state save** VS. **state restore**



# Context Switch II

- Context switch
  - When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process
  - Context-switch time is **overhead**; the system does no useful work while switching
  - Time dependent on hardware support (typical:  $n \mu s$ )
    - CPU & memory speed
    - $N$  of registers
    - the existence special instructions

# Code reading

- 观察
  - 队列的组织
  - 上下文的内容和组织
  - 上下文切换
- linux-0.11
- linux-2.6.26
- uC/OS-II

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# Process Creation I

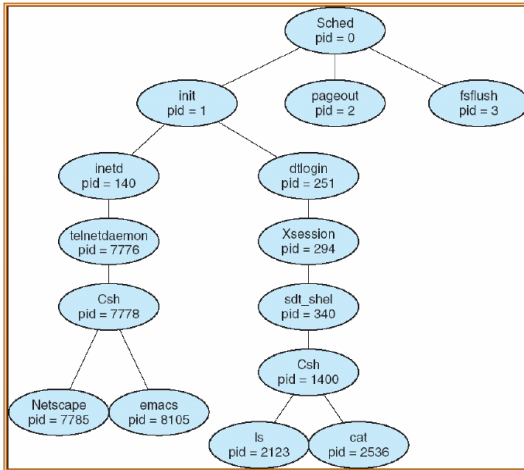
- **Parent process** create **children processes**, which, in turn create other processes, forming a **tree of processes**
- pid
- UNIX & Linux

Command:

```
ps -el
```

## Process Creation II

- A tree of processes on a typical Solaris



# Process Creation III

- **Resource sharing**

- Parent and children share all resources
- Children share subset of parent's resources
- Parent and child share no resources

- **Execution**

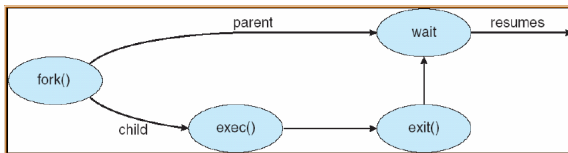
- Parent and children execute concurrently
- Parent **waits** until children terminate

- **Address space**

- Child duplicate of parent
- Child has a program loaded into it

# Process Creation IV

- UNIX examples: fork + exec
  - **fork** system call creates new process
  - **exec** system call used after a **fork** to replace the process' memory space with a new program



# C Program Forking Separate Process

```
int main(void) {  
    pid_t pid;  
  
    /* fork another process */  
  
    pid = fork();  
  
    if (pid < 0) {    /* error occurred */  
        fprintf(stderr, "Fork Failed");  
  
        exit(-1);  
    } else if (pid == 0) {    /* child process */  
        execlp("/bin/ls", "ls", NULL);  
    } else {    /* parent process */  
        /* parent will wait for the child to complete */  
  
        wait (NULL);  
  
        printf ("Child Complete");  
  
        exit(0);  
    }  
}
```



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# Process Termination I

- Process executes last statement and asks the operating system to delete it (exit)
  - Output data from child to parent (via wait)
  - Process' resources are deallocated by operating system
- Parent may terminate execution of children processes (abort)
  - Child has exceeded allocated resources
  - Task assigned to child is no longer required
  - If parent is exiting

Some operating system do not allow child to continue if its parent terminates

- All children terminated - cascading termination

# 小结

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# 作业

- 名词解释：
  - 长 / 中 / 短期调度
  - 多道程序度
  - IO密集型 / CPU密集型

# 华夏班上机作业

- 基于i386的模拟器（推荐bochs）
  - 编写启动加载程序。（从16位实模式启动，加载OS代码，进入32位保护模式，能调用C语言编写的OS入口程序）
  - 编写任务管理和上下文切换代码
    - 能够从OS入口程序主动切换到被创建的任务上

## 非华夏班上机作业

- 在<ftp://alpha.gnu.org/gnu/grub/>上下载grub-0.97.tar.gz，编译
- 制作grub启动软盘
- 到网络上下载一个可用的OS映像，编写menu.lst，利用grub启动之
  - dlxlinux，或其他
  - 这里提供2个RTEMS操作系统的映像
    - hello.exe
    - ticker.exe

谢谢！