



# Trees with unique minimum $p$ -dominating sets <sup>\*</sup>

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## Abstract

Let  $p$  be a positive integer and  $G = (V, E)$  a simple graph. A  $p$ -dominating set of  $G$  is a subset  $S$  of  $V$  such that every vertex not in  $S$  is dominated by at least  $p$  vertices in  $S$ . The  $p$ -domination number  $\gamma_p(G)$  is the minimum cardinality among the  $p$ -dominating sets of  $G$ . In this paper, for  $p \geq 2$ , we give three equivalent conditions for trees with unique minimum  $p$ -dominating sets and also give a constructive characterization of such trees.

**Key words:** domination,  $p$ -domination, tree

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## 1 Introduction

For notation and graph theory terminology we follow [3, 10, 11]. Let  $G = (V(G), E(G))$  be a simple graph with vertex set  $V(G)$  and edge set  $E(G)$ . The open neighborhood, the closed neighborhood and the degree of a vertex  $v \in V(G)$  are denoted by  $N_G(v) =$

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$\{u \in V(G) | uv \in E(G)\}$ ,  $N_G[v] = N_G(v) \cup \{v\}$  and  $\deg_G(v) = |N_G(v)|$ , respectively. The maximum degree  $\Delta(G) = \max\{\deg_G(v) : v \in V(G)\}$ . For  $S \subseteq V(G)$ , the subgraph induced by  $S$  is denoted by  $G[S]$ . For a pair of vertices  $u, v \in V(G)$ , the distance  $d_G(u, v)$  of  $u$  and  $v$  is the length of the shortest  $uv$ -paths in  $G$ . The diameter of  $G$  is  $d(G) = \max\{d_G(u, v) : u, v \in V(G)\}$ .

Let  $D$  be a subset of  $V(G)$  and  $p$  a positive integer. For any  $x \in D$ , a vertex  $y$  not in  $D$  is called a *p-private neighbor* of  $x$  with regard to  $D$  if  $y$  is a neighbor of  $x$  and  $|D \cap N_G(y)| = p$ . The *p-private neighborhood* of  $x$  with regard to  $D$ , denoted by  $PN_p(x, D, G)$ , is the set of all *p-private neighbors* of  $x$  with regard to  $D$  in  $G$ . If the graph  $G$  is clear from the context, we will simply use  $PN_p(x, D)$  instead of  $PN_p(x, D, G)$ .

Let  $T$  be a tree and  $p \geq 2$  a positive integer. A *p-leaf* of  $T$  is a vertex with degree at most  $p-1$  in  $T$ . Denote the set of *p-leaves* of  $T$  by  $L_p(T)$  and let  $X_p(T) = V(T) - L_p(T)$ . Then, for  $x \in X_p(T)$ ,  $\deg_T(x) \geq p$ . Note that the 2-leaves are the usual leaves and  $L_2(T)$  is the set of leaves of  $T$ . Therefore, we also denote  $L_2(T)$  by  $L(T)$ . If  $T$  is a rooted tree  $T$ , then, for every  $v \in V(T)$ , we let  $C(v)$  and  $D(v)$  denote the set of children and descendants, respectively, of  $v$ , and define  $D[v] = D(v) \cup \{v\}$ .

In [6], Fink and Jacobson introduced the concept of *p-domination*. Let  $p$  be a positive integer. A subset  $S$  of  $V(G)$  is a *p-dominating set* of  $G$  if, for every  $v \in V(G) - S$ ,  $|S \cap N_G(v)| \geq p$ . The *p-domination number*  $\gamma_p(G)$  is the minimum cardinality among the *p-dominating sets* of  $G$ . Any *p-dominating set* of  $G$  with cardinality  $\gamma_p(G)$  will be called a  $\gamma_p$ -set of  $G$ . Note that the  $\gamma_1$ -set is the classic minimum dominating set. For any  $S, T \subseteq V(G)$ ,  $S$  *p-dominates*  $T$  in  $G$  if, for every  $v \in T - S$ ,  $|S \cap N_G(v)| \geq p$ .

Unique domination in graphs has been investigated in many papers (see, for example, [2, 4, 5, 7, 8, 9]). In [8], Gunther et al. characterized all trees with unique minimum dominating sets. In this paper, for  $p \geq 2$ , we first give three equivalent conditions for trees with unique minimum *p-dominating sets*, and then we give a constructive characterization of such trees.

## 2 Equivalent conditions for trees with unique $\gamma_p$ -sets

**Lemma 1.** ([1]) *Every  $p$ -dominating set of a graph  $G$  contains any vertex of degree at most  $p - 1$ .*

**Theorem 2.** *Suppose that  $T$  is a tree and  $p \geq 2$  is a positive integer. Let  $D$  be a subset of  $V(T)$ . Then the following conditions are equivalent:*

- (i)  $D$  is a unique  $\gamma_p$ -set of  $T$ ;
- (ii)  $D$  is a  $\gamma_p$ -set of  $T$  satisfying either  $|D \cap N_T(x)| \leq p - 2$  or  $|PN_p(x, D)| \geq 2$  for every  $x \in D \cap X_p(T)$ ;
- (iii)  $D$  is a  $p$ -dominating set of  $T$  satisfying either  $|D \cap N_T(x)| \leq p - 2$  or  $|PN_p(x, D)| \geq 2$  for every  $x \in D \cap X_p(T)$ .

*Proof.* We will prove that (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i). (ii)  $\Rightarrow$  (iii) is obvious.

(i)  $\Rightarrow$  (ii) : Suppose to the contrary that there exists some  $x \in D \cap X_p(T)$  such that  $|D \cap N_T(x)| \geq p - 1$  and  $|PN_p(x, D)| \leq 1$ .

If  $|PN_p(x, D)| = 0$ , then  $|D \cap N_T(x)| = p - 1$  (Otherwise,  $D - \{x\}$  is a  $p$ -dominating set of  $T$ , which contradicts that  $D$  is a  $\gamma_p$ -set of  $T$ ). Thus, there exists a neighbor, denoted by  $y$ , of  $x$  which is not in  $D$  since  $\deg_T(x) \geq p$ . Let  $D' = (D - \{x\}) \cup \{y\}$ , then  $D'$  is a  $\gamma_p$ -set of  $T$  different from  $D$ , a contradiction.

If  $|PN_p(x, D)| = 1$ , then we denote  $PN_p(x, D)$  by  $\{y\}$  and let  $D' = (D - \{x\}) \cup \{y\}$ . Since  $|D \cap N_T(x)| \geq p - 1$ ,  $|D' \cap N_T(x)| = |(D \cap N_T(x)) \cup \{y\}| \geq p$ . Hence  $D'$  is a  $\gamma_p$ -set of  $T$  different from  $D$ , a contradiction.

(iii)  $\Rightarrow$  (i) : Assume that there is a tree  $T$  which has a  $p$ -dominating set  $D$  satisfying the condition of (iii) but  $D$  is not a unique  $\gamma_p$ -set of  $T$ . Let  $T$  be such a counterexample of minimum order. Then, by Lemma 1,  $D \cap X_p(T) \neq \emptyset$ . Let  $S$  be an arbitrary  $\gamma_p$ -set of  $T$ . In the following, we only need prove that  $S = D$ , which contradicts the assumption that  $D$  is not a unique  $\gamma_p$ -set of  $T$ .

If  $d(T) = 2$ , then  $T$  is a star, and so  $|D \cap X_p(T)| \leq 1$ . By  $D \cap X_p(T) \neq \emptyset$ , we can denote  $D \cap X_p(T)$  by  $\{a\}$ . By Lemma 1 and  $PN_p(a, D) = \emptyset$ ,  $\deg_T(a) = |D \cap N_T(a)| \leq p - 2$ , which contradicts with  $\deg_T(a) \geq p$ . If  $d(T) = 3$ , then  $T$  contains exact two vertices with degree at least 2. For every  $b \in D \cap X_p(T)$ , we have  $\deg_T(b) \geq p$  and  $|PN_p(b, D)| \leq 1$ . From  $D$  fulfils (iii), we can derive that  $|D \cap N_T(b)| \leq p - 2$ . So  $\deg_T(b) = |D \cap N_T(b)| + 1 \leq p - 1$ , a contradiction. Hence  $d(T) \geq 4$ . Let  $P = uvwx \cdots r$  be a longest path in  $T$ . We root  $T$  at  $r$ . By Lemma 1,  $D(v) \subseteq D$  and  $D(v) \subseteq S$ .

**Case 1.**  $\deg_T(v) \leq p - 1$ .

By Lemma 1,  $D[v] \subseteq D$ . Let  $T' = T - u$ , then  $D \cap V(T') = D - \{u\}$  is a  $p$ -dominating set of  $T'$ . Note that  $(D \cap V(T')) \cap N_{T'}(z) = D \cap N_T(z)$  and  $PN_p(z, D \cap V(T'), T') = PN_p(z, D, T)$  for every  $z \in (D \cap V(T')) \cap X_p(T')$ . Hence  $T'$  is a tree whose  $p$ -dominating set  $D \cap V(T')$  fulfils (iii) since  $T$  and  $D$  fulfil (iii). By our assumption that  $T$  is the counterexample of minimum order,  $D \cap V(T')$  is a unique  $\gamma_p$ -set of  $T'$ . So  $\gamma_p(T') = |D \cap V(T')| = |D| - 1 \geq \gamma_p(T) - 1$ .

By Lemma 1,  $D[v] \subseteq S$ . Hence  $S \cap V(T') = S - \{u\}$  is a  $p$ -dominating set of  $T'$  with  $|S \cap V(T')| = |S| - 1 = \gamma_p(T) - 1 \leq \gamma_p(T')$ . That is,  $S \cap V(T')$  is also a  $\gamma_p$ -set of  $T'$ . Hence  $S \cap V(T') = D \cap V(T')$ . Thus

$$S = (S \cap V(T')) \cup \{u\} = (D \cap V(T')) \cup \{u\} = D.$$

**Case 2.**  $\deg_T(v) = p$ .

For every  $v' \in D(w) \cap X_p(T)$  ( $= C(w) \cap X_p(T)$ ), we have  $|D \cap N_T(v')| \geq |D(v')| = \deg_T(v') - 1 \geq p - 1$ , and so  $v' \notin D$  since  $T$  and  $D$  fulfil (iii). Then, by Lemma 1,  $D \cap D(w) = L_p(T) \cap D(w)$ . By  $\deg_T(v) = p$ ,  $v \notin D$ . Since  $D$   $p$ -dominates  $v$ , we have  $w \in D$ .

Let  $T' = T - D(w)$ , then  $D \cap V(T')$  is a  $p$ -dominating set of  $T'$ . Since  $w \in D$  and  $\deg_{T'}(w) = 1$ , for every  $z \in (D \cap V(T')) \cap X_p(T')$ ,  $(D \cap V(T')) \cap N_{T'}(z) = D \cap N_T(z)$  and  $PN_p(z, D \cap V(T'), T') = PN_p(z, D, T)$ . Hence  $T'$  is a tree whose  $p$ -dominating set  $D \cap V(T')$  fulfils (iii) since  $T$  and  $D$  fulfil (iii). By our assumption that  $T$  is the

counterexample of minimum order,  $D \cap V(T')$  is a unique  $\gamma_p$ -set of  $T'$ . So  $|D \cap V(T')| = \gamma_p(T')$  and  $\gamma_p(T) \leq |D| = |D \cap D(w)| + |D \cap V(T')| = |D \cap D(w)| + \gamma_p(T')$ .

Now we prove  $S = D$ . Suppose that  $v \in S$ , then, by the definition of  $\gamma_p$ -set and Lemma 1,  $w \notin S$  and  $(S \cap D(w)) \cap X_p(T) = \{v\}$  (Assume that  $(S \cap D(w)) \cap X_p(T)$  contains another vertex  $v'$ , then  $v'$  is a neighbor of  $w$  in  $D(w)$ . Note that  $D(v') \subseteq S$  by Lemma 1 and  $|D(v')| \geq p - 1$ . We can replace  $v, v'$  by  $w$  in  $S$  and get a  $p$ -dominating set of  $T$  of order  $|S| - 1$ , a contradiction). Hence  $S \cap D(w) = (L_p(T) \cap D(w)) \cup \{v\} = (D \cap D(w)) \cup \{v\}$ . Since  $(S \cap V(T')) \cup \{w\}$  is a  $p$ -dominating set of  $T'$  with

$$|(S \cap V(T')) \cup \{w\}| = |S| - |S \cap D(w)| + 1 = \gamma_p(T) - |D \cap D(w)| \leq \gamma_p(T'),$$

we know that  $(S \cap V(T')) \cup \{w\}$  is a  $\gamma_p$ -set of  $T'$ . Hence  $(S \cap V(T')) \cup \{w\} = D \cap V(T')$ . By  $w \notin S$  and  $w \in D$ , we have  $\deg_T(w) \geq p$  and  $w \in D \cap X_p(T)$ . Note that

$$\begin{aligned} |D \cap N_T(w)| &= |(D \cap D(w)) \cap N_T(w)| + |D \cap \{x\}| \\ &= |((S \cap D(w)) - \{v\}) \cap N_T(w)| + |S \cap \{x\}| \\ &= |(S \cap D(w)) \cap N_T(w)| - 1 + |S \cap \{x\}| = |S \cap N_T(w)| - 1 \geq p - 1. \end{aligned}$$

Hence  $|PN_p(w, D, T)| \geq 2$  since  $T$  and  $D$  satisfy (iii). By  $w \notin S$  and  $(S \cap D(w)) \cap X_p(T) = \{v\}$ ,  $D(w) \cap X_p(T)$  ( $= C(w) \cap X_p(T)$ ) contains a unique vertex  $v$  of degree  $p$ . Thus  $PN_p(w, D, T) \cap D(w) = \{v\}$ . From  $|PN_p(w, D, T)| \geq 2$ , we know that

$$PN_p(w, D, T) = PN_p(w, D, T) \cap (D(w) \cup \{x\}) = \{v, x\}.$$

So  $|D \cap N_T(x)| = p$  and  $x \notin D \cap V(T') = (S \cap V(T')) \cup \{w\}$ . Further,  $x \notin S$ . To  $p$ -dominate  $x$ ,  $|S \cap N_T(x)| \geq p$ , which contradicts with

$$\begin{aligned} |S \cap N_T(x)| &= |(S \cap V(T')) \cap N_T(x)| \\ &= |(D \cap N_T(x)) - \{w\}| = |D \cap N_T(x)| - 1 = p - 1. \end{aligned}$$

Hence  $v \notin S$ .

To  $p$ -dominate  $v$ ,  $w \in S$  and, by the definition of  $\gamma_p$ -set,  $S \cap D(w) = L_p(T) \cap D(w) = D \cap D(w)$ . Then  $S \cap V(T')$  is a  $p$ -dominating set of  $T'$  with  $|S \cap V(T')| =$

$|S| - |S \cap D(w)| = \gamma_p(T) - |D \cap D(w)| \leq \gamma_p(T')$ , which implies that  $S \cap V(T')$  is also a  $\gamma_p$ -set of  $T'$ . Hence  $S \cap V(T') = D \cap V(T')$ , and so

$$S = (S \cap V(T')) \cup (S \cap D(w)) = (D \cap V(T')) \cup (D \cap D(w)) = D.$$

**Case 3.**  $\deg_T(v) \geq p + 1$ .

Note that  $|D \cap N_T(v)| \geq |D(v)| = \deg_T(v) - 1 \geq p$ . We have  $v \notin D$  since  $T$  and  $D$  fulfil (iii). Let  $T' = T - D[v]$ , then  $D \cap V(T')$  is a  $p$ -dominating set of  $T'$ . Since  $v \notin D$  and  $D \cap N_T(v) = D(v) \cup (D \cap \{w\})$ ,  $(D \cap V(T')) \cap N_{T'}(z) = D \cap N_T(z)$  and  $PN_p(z, D \cap V(T'), T') = PN_p(z, D, T)$  for every  $z \in (D \cap V(T')) \cap X_p(T')$ . Hence  $T'$  is a tree whose  $p$ -dominating set  $D \cap V(T')$  fulfils (iii) since  $T$  and  $D$  fulfil (iii). As  $T$  is the counterexample of minimum order,  $D \cap V(T')$  is the unique  $\gamma_p$ -set of  $T'$ . So  $|D \cap V(T')| = \gamma_p(T')$  and  $\gamma_p(T) \leq |D| = |D(v)| + |D \cap V(T')| = |D(v)| + \gamma_p(T')$ .

Now we prove that  $S = D$ . Suppose that  $v \in S$ , then by the definition of  $\gamma_p$ -set,  $w \notin S$ . Thus  $(S \cap V(T')) \cup \{w\}$  is a  $p$ -dominating set of  $T'$  with

$$|(S \cap V(T')) \cup \{w\}| = |S| - |D[v]| + 1 = \gamma_p(T) - |D(v)| \leq \gamma_p(T'),$$

which implies that  $(S \cap V(T')) \cup \{w\}$  is a  $\gamma_p$ -set of  $T'$ . Hence  $(S \cap V(T')) \cup \{w\} = D \cap V(T')$ . So  $w \in D$ . By  $w \notin S$ ,  $v \notin D$  and  $v \in S$ , we have  $w \in D \cap X_p(T)$  and

$$\begin{aligned} |D \cap N_T(w)| &= |(D \cap V(T')) \cap N_T(w)| \\ &= |(S \cap V(T')) \cap N_T(w)| = |S \cap N_T(w)| - 1 \geq p - 1. \end{aligned}$$

Hence  $|PN_p(w, D, T)| \geq 2$  since  $T$  and  $D$  fulfil (iii). Thus we can choose a vertex  $y$  in  $V(T')$  from  $PN_p(w, D, T)$ . Clearly,  $|D \cap N_T(y)| = p$ ,  $N_T[y] \subseteq V(T')$  and  $y \notin D \cap V(T') - \{w\} = S \cap V(T')$ . So  $y \notin S$  and, to  $p$ -dominate  $y$ ,  $|S \cap N_T(y)| \geq p$ . But

$$\begin{aligned} |S \cap N_T(y)| &= |(S \cap V(T')) \cap N_T(y)| \\ &= |(D \cap V(T')) \cap N_T(y) - \{w\}| = |(D \cap N_T(y))| - 1 = p - 1, \end{aligned}$$

a contradiction. Therefore,  $v \notin S$  and  $S \cap V(T')$  is a  $p$ -dominating set of  $T'$  with  $|S \cap V(T')| = |S| - |D(v)| = \gamma_p(T) - |D(v)| \leq \gamma_p(T')$ . Then  $S \cap V(T')$  is also a  $\gamma_p$ -set

of  $T'$ , and so  $S \cap V(T') = D \cap V(T')$ . Hence

$$S = (S \cap V(T')) \cup D(v) = (D \cap V(T')) \cup D(v) = D.$$

□

Now we will establish the third equivalent condition for trees with unique minimum  $p$ -dominating sets.

**Lemma 3.** *Suppose that  $T$  is a tree and  $p$  is a positive integer. Let  $D$  be a  $\gamma_p$ -set of  $T$  and  $x \in D$ .*

(a) *If  $\gamma_p(T - x) > \gamma_p(T)$ , then  $|PN_p(x, D)| \geq 2$ .*

(b) *If  $D$  is a unique  $\gamma_p$ -set of  $T$ , then  $\gamma_p(T - x) = \gamma_p(T) + |PN_p(x, D)| - 1$ .*

*Proof.* Let  $T_1, \dots, T_k$  be all components of  $T - x$ . Then  $k = \deg_T(x) \geq 1$ . For  $i = 1, \dots, k$ , we denote the neighbor of  $x$  in  $V(T_i)$  by  $y_i$  and let  $D_i = D \cap V(T_i)$ . We claim that,

$$|D_i| \leq \gamma_p(T_i) \leq |D_i| + 1, \text{ for every } i = 1, \dots, k. \quad (1)$$

In fact, for  $i = 1, \dots, k$ , it is obvious that  $\gamma_p(T_i) \leq |D_i| + 1$  since  $D_i \cup \{y_i\}$  is a  $p$ -dominating set of  $T_i$ . Suppose that there exists some  $j \in \{1, \dots, k\}$  such that  $\gamma_p(T_j) \leq |D_j| - 1$ . Let  $D'_j$  be a  $\gamma_p$ -set of  $T_j$ . Since  $x \in D$ ,  $(D - D_j) \cup D'_j$  is a  $p$ -dominating set of  $T$  with  $|(D - D_j) \cup D'_j| = |D| - |D_j| + \gamma_p(T_j) \leq \gamma_p(T) - 1$ , a contradiction. The claim holds.

Let  $|PN_p(x, D)| = t$ , then  $0 \leq t \leq k$ . Since  $T$  is a tree,  $|PN_p(x, D) \cap V(T_i)| \leq 1$  for every  $i = 1, \dots, k$ . So, without loss of generality, we can assume that  $PN_p(x, D) = \{y_1, \dots, y_t\}$ . By the definition of  $p$ -dominating set,  $D_i$  ( $i = t + 1, \dots, k$ ) is a  $p$ -dominating set of  $T_i$  since  $y_i \notin PN_p(x, D)$ , and so  $|D_i| \geq \gamma_p(T_i)$ . Applying inequality (1), we have

$$|D_i| = \gamma_p(T_i), \text{ for every } i = t + 1, \dots, k. \quad (2)$$

Now we prove (a). Suppose that  $|PN_p(x, D)| \leq 1$ . Then, by inequality (1) and

equality (2),

$$\gamma_p(T - x) = \sum_{i=1}^k \gamma_p(T_i) \leq \sum_{i=1}^k |D_i| + 1 = \gamma_p(T),$$

which contradicts with  $\gamma_p(T - x) > \gamma_p(T)$ . (a) is true.

To the end, we prove (b). We claim that, for every  $i = 1, \dots, t$ ,  $|D_i| + 1 = \gamma_p(T_i)$  if  $D$  is a unique  $\gamma_p$ -set of  $T$ . To the contrary, by inequality (1), there exists some  $j \in \{1, \dots, t\}$  such that  $|D_j| = \gamma_p(T_j)$ . Since  $x \in D$  and  $y_j \in PN_p(x, D)$ ,  $|D_j \cap N_{T_j}(y_j)| = |(D \cap N_T(y_j)) - \{x\}| = p - 1$ , and so  $D_j$  is not a  $p$ -dominating set of  $T_j$ . Let  $D_j''$  be a  $\gamma_p$ -set of  $T_j$ , then  $(D - D_j) \cup D_j''$  is a  $p$ -dominating set of  $T$  different from  $D$  and  $|(D - D_j) \cup D_j''| = |D| - |D_j| + |D_j''| = \gamma_p(T)$ . Hence,  $(D - D_j) \cup D_j''$  is a  $\gamma_p$ -set of  $T$ , which contradicts that  $D$  is the unique  $\gamma_p$ -set of  $T$ . The claim holds. Hence, by equality (2) and  $x \in D$ ,

$$\gamma_p(T - x) = \sum_{i=1}^k \gamma_p(T_i) = \sum_{i=1}^t (|D_i| + 1) + \sum_{i=t+1}^k |D_i| = \gamma_p(T) + |PN_p(x, D)| - 1.$$

□

**Theorem 4.** *Suppose that  $T$  is a tree and  $p \geq 2$  is a positive integer. Let  $D$  be a subset of  $V(T)$ . Then  $D$  is a unique  $\gamma_p$ -set of  $T$  if and only if  $D$  is a  $\gamma_p$ -set of  $T$  satisfying either  $|D \cap N_T(x)| \leq p - 2$  or  $\gamma_p(T - x) > \gamma_p(T)$  for every  $x \in D \cap X_p(T)$ .*

*Proof.* If  $D$  is a  $\gamma_p$ -set of  $T$  satisfying, for every  $x \in D \cap X_p(T)$ , either  $|D \cap N_T(x)| \leq p - 2$  or  $\gamma_p(T - x) > \gamma_p(T)$ , then, by Lemma 3 (a),  $T$  is a tree whose  $\gamma_p$ -set  $D$  satisfies (ii) of Theorem 2. By Theorem 2 (i)  $\Leftrightarrow$  (ii),  $D$  is a unique  $\gamma_p$ -set of  $T$ .

Conversely, by Theorem 2 (i)  $\Leftrightarrow$  (ii),  $D$  is a  $\gamma_p$ -set of  $T$  satisfying, for every  $x \in D \cap X_p(T)$ , either  $|D \cap N_T(x)| \leq p - 2$  or  $|PN_p(x, D, T)| \geq 2$ . By Lemma 3 (b),  $\gamma_p(T - x) = \gamma_p(T) + |PN_p(x, D)| - 1$  for every  $x \in D$ . For every  $x \in D \cap X_p(T)$ , if  $|D \cap N_T(x)| \geq p - 1$ , then  $|PN_p(x, D)| \geq 2$ , and so  $\gamma_p(T - x) = \gamma_p(T) + |PN_p(x, D)| - 1 > \gamma_p(T)$ . The proof is completed. □



### 3 A constructive characterization of trees with unique $\gamma_p$ -sets

In this section, we will give a constructive characterization of all trees with unique minimum  $p$ -dominating sets for  $p \geq 2$ .

A vertex is a central vertex of a star  $K_{1,t}$  ( $t \geq 1$ ) if either  $t \geq 2$  and it is the support vertex or  $t = 1$  and it is one of the two leaves. For convenience, an isolated vertex itself is also called its central vertex.

We first introduce a family  $\mathcal{T}_p$ .

For any  $T \in \mathcal{T}_p$ ,  $T$  is obtained from a sequence  $T_1, T_2, \dots, T_k$  ( $k \geq 1$ ) of trees, where  $T_1 = K_{1,m}$  ( $m \geq p$ ),  $T = T_k$ , and, for  $k \geq 2$ ,  $T_{i+1}$  ( $1 \leq i \leq k-1$ ) is obtained from  $T_i$  by one of the operations listed below. Let  $A(T_1) = L(T_1)$ .

• **Operation  $\mathcal{O}_1$ :** Attach  $h$  ( $\geq 0$ ) stars  $K_{1,p-1}$ , denoted by  $\{H_1, \dots, H_h\}$ , and  $t$  ( $\geq 0$ ) isolated vertices, denoted by  $\{v_1, \dots, v_t\}$ , to  $T_i$  by adding  $h+t$  edges from their central vertices to a leaf  $w$  of  $T_i$ , where  $h, t$  and  $w$  must fulfil one of the following conditions.

- (a)  $h = 0$  and  $t \leq p-2$ ;
- (b)  $h = 1$  and  $t \leq p-3$ ;
- (c)  $h = 1, t = p-2$  and the support vertex of  $w$  in  $T_i$  isn't in  $A(T_i)$ ;
- (d)  $h = 1, t \geq p-1$  and the support vertex of  $w$  is a  $p$ -private vertex of  $w$  with regard to  $A(T_i)$  in  $T_i$ ;
- (e)  $h \geq 2$ .

Let  $A(T_{i+1}) = A(T_i) \cup (\cup_{j=1}^h L(H_j)) \cup \{v_1, \dots, v_t\}$ .

• **Operation  $\mathcal{O}_2$ :** Attach a star  $K_{1,t}$  ( $t \geq p$ ) to  $T_i$  by adding an edge from its central vertex to a vertex  $w$  of  $T_i$  satisfying either  $\deg_{T_i}(w) \neq p-1$  or  $N_{T_i}(w) \not\subseteq A(T_i)$ . Let  $A(T_{i+1}) = A(T_i) \cup L(K_{1,t})$ .

**Lemma 5.** *For any  $T \in \mathcal{T}_p$ ,  $A(T)$  is a unique  $\gamma_p$ -set of  $T$ .*

*Proof.* We claim that  $A(T)$  is a  $p$ -dominating set of  $T$  and, for every vertex  $x \in A(T) \cap X_p(T)$ ,  $|A(T) \cap N_T(x)| \leq p - 2$  or  $|PN_p(x, A(T), T)| \geq 2$ . Then, by (i)  $\Leftrightarrow$  (iii) of Theorem 2,  $A(T)$  is a unique  $\gamma_p$ -set of  $T$ . Assume that  $T$  is obtained from a sequence  $T_1, \dots, T_k$  ( $k \geq 1$ ) of trees constructed recursively from  $T_1$  by Operation  $\mathcal{O}_1$  and  $\mathcal{O}_2$ , where  $T_1 = K_{1,m}$  ( $m \geq p$ ) and  $T = T_k$ . We can prove easily the claim by induction on the length  $k$  of the sequence  $T_1, \dots, T_k$ . We omit the proof.  $\square$

From Lemma 1 and the definition of Operation  $\mathcal{O}_1$  (a), it is easy to see that the following lemma is true.

**Lemma 6.** *Let  $T$  be a tree containing a unique vertex with degree at least  $p$ . Then  $T \in \mathcal{T}_p$ .*

**Lemma 7.** *Let  $T$  be a tree containing exact two vertices with degree at least  $p$ . If  $T$  has a unique  $\gamma_p$ -set, then  $T \in \mathcal{T}_p$ .*

*Proof.* Denote the two vertices with degree at least  $p$  by  $u$  and  $v$ . We distinguish the following two cases.

**Case 1.** If  $uv \in E(T)$ , then  $\deg_T(u) \geq p + 1$  and  $\deg_T(v) \geq p + 1$  since  $T$  has a unique  $\gamma_p$ -set. Let  $T_1 = T[N_T[v] - \{u\}]$ , then  $T_1$  is a star  $K_{1,m}$  ( $m = \deg_T(v) - 1 \geq p$ ). Hence  $T_2 = T[N_T[u] \cup N_T[v]]$  is obtained from  $T_1$  by Operation  $\mathcal{O}_2$  by attaching a star  $K_{1,t} = T[N_T[u] - \{v\}]$  ( $t = \deg_T(u) - 1 \geq p$ ) to  $v$ , and so  $T_2 \in \mathcal{T}_p$ . Since every vertex of  $V(T) - V(T_2)$  has degree at most  $p - 1$ ,  $T$  can be obtained recursively from  $T_2$  by Operation  $\mathcal{O}_1$  satisfying (a). So  $T \in \mathcal{T}_p$ .

**Case 2.** If  $uv \notin E(T)$ , then we root  $T$  at  $u$  and denote the father of  $v$  by  $w$ .

If  $\deg_T(v) = p$ , let  $T' = T - D(w)$ . Obviously,  $T'$  is a tree containing a unique vertex with degree at least  $p$  and  $w$  is a leaf of  $T'$ . By Lemma 6,  $T' \in \mathcal{T}_p$ . Since  $\deg_T(v) = p$ ,  $T[N_T[v] - \{w\}] = K_{1,p-1}$ . By  $\deg_T(w) \leq p - 1$ ,  $|C(w) - \{v\}| \leq p - 3$ . Let  $T'' = T[V(T') \cup (N_T[v] - \{w\}) \cup (C(w) - \{v\})]$ , then  $T''$  is obtained from  $T'$  by Operation  $\mathcal{O}_1$  satisfying (b), and so  $T'' \in \mathcal{T}_p$ . Since every vertex of  $V(T) - V(T'')$  has degree at most  $p - 1$ ,  $T$  can be obtained recursively from  $T''$  by Operation  $\mathcal{O}_1$  satisfying

(a). So  $T \in \mathcal{T}_p$ .

If  $\deg_T(v) \geq p + 1$ , let  $T' = T - D[v]$ . Since  $T$  has exact two vertices  $u$  and  $v$  with degree at least  $p$ ,  $T'$  is a tree containing a unique vertex  $u$  with degree at least  $p$  and  $\deg_T(w) \leq p - 2$ . By Lemma 6,  $T' \in \mathcal{T}_p$ . Let  $T'' = T[V(T') \cup (C(v) \cup \{v\})]$ , then  $T''$  is obtained from  $T'$  by Operation  $\mathcal{O}_2$  by attaching a star  $K_{1,t} = T[C(v) \cup \{v\}]$  ( $t = \deg_T(v) - 1 \geq p$ ) to  $w$ . So  $T'' \in \mathcal{T}_p$ . Since every vertex of  $V(T) - V(T'')$  has degree at most  $p - 1$ ,  $T$  can be obtained recursively from  $T''$  by Operation  $\mathcal{O}_1$  satisfying (a). So  $T \in \mathcal{T}_p$ .  $\square$

**Theorem 8.** *Let  $T$  be a tree and  $p \geq 2$  a positive integer. Then  $T$  has a unique  $\gamma_p$ -set if and only if  $\Delta(T) \leq p - 1$  or  $T \in \mathcal{T}_p$ .*

*Proof.* If  $\Delta(T) \leq p - 1$  or  $T \in \mathcal{T}_p$ , by Lemmas 1 and 5,  $T$  has a unique  $\gamma_p$ -set.

Conversely, let  $T$  be a tree with a unique  $\gamma_p$ -set. We will prove  $\Delta(T) \leq p - 1$  or  $T \in \mathcal{T}_p$  by induction on the order  $n$  of  $T$ .

If  $n \in \{1, 2\}$ , then  $\Delta(T) \leq p - 1$ . This establishes the base case. Assume that, if tree  $T'$  with order  $2 \leq |V(T')| < n$  has a unique  $\gamma_p$ -set, then  $\Delta(T') \leq p - 1$  or  $T' \in \mathcal{T}_p$ .

If  $d(T) = 2$ , then  $T$  has at most one vertex with degree at least  $p$ . By Lemma 6, the result holds. If  $d(T) = 3$ , then  $T$  has at most two vertices with degree at least  $p$ . By Lemmas 6 and 7, the result holds. In the following, we can assume that  $\Delta(T) \geq p$  and  $d(T) \geq 4$ .

Let  $p = uvwx \cdots r$  be a longest path in  $T$  such that the degree of  $v$  is as large as possible. We root  $T$  at  $r$  and denote the unique  $\gamma_p$ -set of  $T$  by  $D$ . By Theorem 2,  $T$  and  $D$  fulfil (ii) of Theorem 2.

We claim that, if there exists a vertex  $v' \in C(w)$  with  $2 \leq \deg_T(v') \leq p - 1$ , then  $T \in \mathcal{T}_p$ .

In fact, by the choice of path  $P$  and  $v' \in C(w)$ ,  $T[D(v')]$  consists of  $|D(v')|$  isolated vertices and  $|D(v')| = \deg_T(v') - 1 \leq p - 2$ . By Lemma 1,  $D[v'] \subseteq D$ . Let  $T' =$

$T - D(v')$ , then  $D \cap V(T')$  is a  $p$ -dominating set of  $T'$ . Since  $v' \in D$  and  $v'$  is a leaf of  $T'$ ,  $(D \cap V(T')) \cap N_{T'}(z) = D \cap N_T(z)$  and  $PN_p(z, D \cap V(T'), T') = PN_p(z, D, T)$  for every  $z \in (D \cap V(T')) \cap X_p(T')$ . Hence  $T'$  is a tree whose  $p$ -dominating set  $D \cap V(T')$  fulfils (iii) of Theorem 2 since  $T$  and  $D$  fulfil (ii) of Theorem 2. By Theorem 2 (i) $\Leftrightarrow$ (iii),  $D \cap V(T')$  is a unique  $\gamma_p$ -set of  $T'$ . Applying the induction on  $T'$ ,  $\Delta(T') \leq p - 1$  or  $T' \in \mathcal{T}_p$ . If  $\Delta(T') \leq p - 1$ , then  $\Delta(T) \leq p - 1$ , which contradicts with  $\Delta(T) \geq p$ . If  $T' \in \mathcal{T}_p$ , then  $T$  is obtained from  $T'$  by Operation  $\mathcal{O}_1$  satisfying (a) by attaching  $|D(v')|$  isolated vertices to the leaf  $v'$  of  $T'$ . Hence  $T \in \mathcal{T}_p$ . The claim holds.

By claim, we only need consider the case that every vertex of  $C(w)$  has degree 1 or at least  $p$ . Since  $v \in C(w)$  and  $\deg_T(v) \geq 2$ ,  $\deg_T(v) \geq p$ .

**Case 1.**  $\deg_T(v) = p$ .

By the choice of path  $P$ , for every vertex  $v' \in C(w)$ ,  $\deg_T(v') \leq \deg_T(v) = p$ , and so  $\deg_T(v') = p$  or 1. Let  $h$  and  $t$  be the number of vertices with degree  $p$  and 1, respectively, in  $C(w)$ . Then  $h \geq 1$  and  $T[D(w)]$  consists of  $h$  stars  $K_{1,p-1}$  and  $t$  isolated vertices. Since  $\deg_T(v) = p$ ,  $|D \cap N_T(v)| \geq |D(v)| = \deg_T(v) - 1 = p - 1$ . By (ii) of Theorem 2,  $v \notin D$ . To  $p$ -dominate  $v$ ,  $w \in D$ . Let  $T' = T - D(w)$ , then  $D \cap V(T')$  is a  $p$ -dominating set of  $T'$ . Since  $w \in D$  and  $\deg_{T'}(w) = 1$ , for every  $z \in (D \cap V(T')) \cap X_p(T')$ ,  $(D \cap V(T')) \cap N_{T'}(z) = D \cap N_T(z)$  and  $PN_p(z, D \cap V(T'), T') = PN_p(z, D, T)$ . Hence  $T'$  is a tree whose  $p$ -dominating set  $D \cap V(T')$  fulfils (iii) of Theorem 2 since  $T$  and  $D$  fulfil (ii) of Theorem 2. By Theorem 2 (i) $\Leftrightarrow$ (iii),  $D \cap V(T')$  is a unique  $\gamma_p$ -set of  $T'$ . Applying the induction on  $T'$ ,  $\Delta(T') \leq p - 1$  or  $T' \in \mathcal{T}_p$ .

**Subcase 1.1.**  $\Delta(T') \leq p - 1$ .

If  $h = 1$ , then every vertex of  $D(w) - \{v\}$  is a leaf of  $T$ . Hence all vertices of  $V(T) - \{v, w\}$  have degree at most  $\Delta(T')$  ( $\leq p - 1$ ) in  $T$ . By Lemmas 6 and 7,  $T \in \mathcal{T}_p$ .

If  $h \geq 2$ , then, by the definition of Operation  $\mathcal{O}_1$  and  $\mathcal{O}_2$ , we can check easily that  $T'' = T[D[w] \cup \{x\}] \in \mathcal{T}_p$ . Since all vertices of  $V(T) - (D[w] \cup \{x\})$  ( $\subset V(T')$ ) have degree at most  $\Delta(T')$  ( $\leq p - 1$ ) in  $T$  and  $x$  is a leaf of  $T''$ ,  $T$  can be obtained recursively

from  $T''$  by Operation  $\mathcal{O}_1$  satisfying condition (a). So  $T \in \mathcal{T}_p$ .

**Subcase 1.2.**  $T' \in \mathcal{T}_p$ .

When  $h \geq 2$ , then  $T$  is obtained from  $T'$  by Operation  $\mathcal{O}_1$  satisfying condition (e). Hence  $T \in \mathcal{T}_p$ . Now we assume that  $h = 1$ .

If  $\deg_T(w) \leq p - 1$ , then  $t = \deg_T(w) - 2 \leq p - 3$ . Thus  $T$  is obtained from  $T'$  by  $\mathcal{O}_1$  satisfying condition (b). Hence  $T \in \mathcal{T}_p$ .

If  $\deg_T(w) = p$ , then  $t = \deg_T(w) - 2 = p - 2$ . Note that  $x$  is the support vertex of  $w$  in  $T'$ . We claim that  $x \notin D \cap V(T') = A(T')$ . Otherwise, by  $w \in D$ ,  $(D - \{w\}) \cup \{v\}$  is a  $\gamma_p$ -set of  $T$  different from  $D$ , a contradiction. Hence  $T$  is obtained from  $T'$  by Operation  $\mathcal{O}_1$  satisfying condition (c). Thus  $T \in \mathcal{T}_p$ .

If  $\deg_T(w) \geq p + 1$ , then  $t = \deg_T(w) - 2 \geq p - 1$ . Note that  $w \in D \cap X_p(T)$  and  $|D \cap N_T(w)| \geq t \geq p - 1$ . By (ii) of Theorem 2,  $w$  has at least two  $p$ -private vertices with regard to  $D$  in  $T$ . Then we can see easily that  $PN_p(w, D, T) = \{v, x\}$ . So  $PN_p(w, A(T'), T') = PN_p(w, D \cap V(T'), T') = \{x\}$ . Hence  $T$  is obtained from  $T'$  by  $\mathcal{O}_1$  satisfying condition (d), and so  $T \in \mathcal{T}_p$ .

**Case 2.**  $\deg_T(v) \geq p + 1$ .

Let  $T' = T - D[v]$ . Since  $\deg_T(v) \geq p + 1$  and  $|D \cap N_T(v)| \geq |D(v)| = \deg_T(v) - 1 \geq p$ , by (ii) of Theorem 2,  $v \notin D$ . Hence  $D \cap V(T')$  is a  $p$ -dominating set of  $T'$ . Since  $v \notin D$  and  $D \cap N_T(v) = D(v) \cup (D \cap \{w\})$ ,  $(D \cap V(T')) \cap N_{T'}(z) = D \cap N_T(z)$  and  $PN_p(z, D \cap V(T'), T') = PN_p(z, D, T)$  for every  $z \in (D \cap V(T')) \cap X_p(T')$ . Hence  $T'$  is a tree whose  $p$ -dominating set  $D \cap V(T')$  fulfils (iii) of Theorem 2 since  $T$  and  $D$  fulfil (ii) of Theorem 2. By Theorem 2 (i)  $\Leftrightarrow$  (iii),  $D \cap V(T')$  is a unique  $\gamma_p$ -set of  $T'$ . Applying the induction on  $T'$ ,  $\Delta(T') \leq p - 1$  or  $T' \in \mathcal{T}_p$ .

If  $\Delta(T') \leq p - 1$ , then all vertices of  $T - \{v, w\}$  have degree at most  $\Delta(T')$  ( $\leq p - 1$ ) in  $T$ . By Lemmas 6 and 7,  $T \in \mathcal{T}_p$ .

If  $T' \in \mathcal{T}_p$ , then we claim that  $\deg_T(w) \neq p - 1$  or  $N_T(w) \not\subseteq D$ . Suppose that

$\deg_T(w) = p - 1$  and  $N_T(w) \subseteq D$ , then, by Lemma 1,  $w \in D$ . It is easy to see that  $(D - \{w\}) \cup \{v\}$  is a  $\gamma_p$ -set of  $T$  different from  $D$ , a contradiction. Hence  $T$  is obtained from  $T'$  by Operation  $\mathcal{O}_2$  by attaching a star  $K_{1,t}$  ( $= T[D[v]]$ ,  $t = \deg_T(v) - 1 \geq p$ ) to  $w$  of  $T'$ . So  $T \in \mathcal{T}_p$ .  $\square$

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