

IDEALS OF THE ASSOCIATIVE ALGEBRA OPERAD

Y.-H. BAO, J.-N. XU, Y. YE, J.J. ZHANG, AND Y.-F. ZHANG

ABSTRACT. We prove a one-to-one correspondence between the operadic ideals of the operad $uAss$ and T -ideals. As a consequence, we show that $uAss$ is noetherian and that every proper operadic ideal of $uAss$ is generated by a single element.

1. INTRODUCTION

Throughout let \mathbb{k} be a base field of characteristic zero. Most algebraic objects are over \mathbb{k} . Unless otherwise stated we consider associative algebras with unit in this paper. A *polynomial identity* of an algebra A is a noncommutative polynomial $f(x_1, \dots, x_n)$ such that $f(a_1, \dots, a_n) = 0$ for all $a_1, \dots, a_n \in A$. An algebra satisfying a nontrivial polynomial identity is called a *PI-algebra*. Commutative algebras, the matrix algebra over a commutative algebra, finite-dimensional algebras, and Grassmann (or exterior) algebras are examples of PI-algebras.

Polynomial identities of a given PI-algebra were firstly investigated by Amitsur and Levisky in [AL] where they proved that the standard polynomial of degree $2m$ is an identity of minimal degree for the $m \times m$ full matrix algebra. It is well-known that the set of all identities satisfied by a PI-algebra is a T -ideal of the free algebra $\mathbb{k}\langle X \rangle$ in countable indeterminants $X := \{x_i\}_{i \in \mathbb{N}_+}$.

Let $uAss$ (resp. Ass) denote the symmetric operad encoding the unital associative algebras (resp. the associative algebras without unit). It is well-known that the PI-theory such as the study of multilinear polynomial identities is related to ideals of the operad $uAss$. One motivation of this paper is to spell out explicitly some connections between the operad $uAss$ and the PI-theory.

Observe that the subspace of a T -ideal consisting of all multilinear polynomials is essentially equivalent to an operadic ideal of $uAss$ [Lemma 2.3]. Recall that $uAss(n) = \mathbb{k}\mathbb{S}_n$ for all $n \geq 0$. Let V_n be the space consisting of all multilinear polynomials in n variables x_1, \dots, x_n . Clearly, V_n admits an action of the symmetric group \mathbb{S}_n , which is naturally isomorphic to the regular representation of \mathbb{S}_n . Let A be a PI-algebra and $V_n(A)$ the subspace of V_n of those polynomials that are identities of A . Then we have $V_n(A) \cong \mathcal{I}_A(n)$ where \mathcal{I}_A is the operadic ideal of $uAss$ determined by the algebra A [Lemma 2.3]. As a consequence, $V_n/V_n(A) \cong (uAss/\mathcal{I}_A)(n)$. In this situation, we also say that A is a PI-algebra associated to the operadic ideal \mathcal{I}_A . Given a T -ideal J and let $A := \mathbb{k}\langle X \rangle/J$. Then, following the above procedure we can construct the associated operadic ideal \mathcal{I}_A of $uAss$, denoted by $\Psi(J)$. Conversely, for every nonzero operadic ideal \mathcal{I} of $uAss$, a $uAss/\mathcal{I}$ -algebra is a PI-algebra since each nonzero element in \mathcal{I} gives an identity of A . In particular, for any vector space V , the free $uAss/\mathcal{I}$ -algebra $(uAss/\mathcal{I})(V)$ is a PI-algebra associated to \mathcal{I} .

Theorem 1.1 (Theorem 2.9). *There is a natural one-to-one correspondence*

$$\{\text{proper } T\text{-ideals of } \mathbb{k}\langle X \rangle\} \longleftrightarrow \{\text{proper operadic ideals of } uAss\}$$

via the map $J \mapsto \Psi(J)$.

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Theorem 1.1 says that there is no essential difference between T-ideals of $\mathbb{k}\langle X \rangle$ and operadic ideals of $uAss$. In [BYZ], the authors studied the ideal structure of 2-unitary operads similar to $uAss$. Note that $uAss$ is denoted as Ass in [BYZ]. Recall that an operad \mathcal{P} is *artinian* (resp. *noetherian*) if the set of ideals of \mathcal{P} satisfies the descending (resp. ascending) chain condition. Let \mathcal{P} be a locally finite 2-unitary operad. Then

$$\text{GKdim } \mathcal{P} < \infty \iff \mathcal{P} \text{ is artinian} \implies \mathcal{P} \text{ is noetherian.}$$

It is easily seen that $uAss$ is not artinian since $\text{GKdim}(uAss) = \infty$. Applying Kemer's theorem [Theorem 2.2] and the relationship between T-ideals and operadic ideals of $uAss$ [Theorem 1.1], we obtain the following.

Theorem 1.2. (1) *The operad $uAss$ is noetherian.*
 (2) *Every proper operadic ideal of $uAss$ is generated by a single element.*

We are wondering if there is a version of Theorem 1.2 for other operads such as unital Poisson operad. Recall that Ass is the symmetric operad encoding the associative algebras without unit. Note that Theorem 1.2(2) fails for Ass though Theorem 1.2(1) holds [Remark 2.15]. An operadic ideal \mathcal{I} of $uAss$ may not be generated by an element in \mathcal{I} of the minimal degree. For example, the k -th truncation ideal ${}^k\mathcal{T}$ of $uAss$ [BYZ, E0.0.2] is generated by an element in ${}^k\mathcal{T}(m)$ for $m > k \geq 3$, rather than in ${}^k\mathcal{T}(k)$, see [BFXZZ]. Therefore, it is reasonable to consider the single generator of an operadic ideal of \mathcal{I} and the corresponding multilinear polynomial in PI-theory, which will be studied in [BFXZZ].

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2. PROOFS OF STATEMENTS

Throughout \mathbb{k} is a fixed field of characteristic zero and all unadorned \otimes will be $\otimes_{\mathbb{k}}$. First we recall some basics about the operad $uAss$. Generally we refer to [LV, BYZ, QXZZ] for basic definitions and properties about operads. For convenience, we denote $[n] = \{1, 2, \dots, n\}$.

Let \mathbb{S}_n be the symmetric group of degree n . We follow that convention in [BYZ] and use the sequence $(\sigma^{-1}(1), \sigma^{-1}(2), \dots, \sigma^{-1}(n))$ to denote an element $\sigma \in \mathbb{S}_n$. Equivalently, each (i_1, i_2, \dots, i_n) of $[n]$ corresponds to the permutation $\sigma \in \mathbb{S}_n$ given by $\sigma(i_k) = k$ for all $1 \leq k \leq n$. We also use 1_n to denote the identity element in \mathbb{S}_n .

Recall that $uAss(n) = \mathbb{k}\mathbb{S}_n$ is the right regular $\mathbb{k}\mathbb{S}_n$ -module, and the composition map of $uAss$ is linearly extended by the following maps: for $n > 0$, $k_1, k_2, \dots, k_n \geq 0$,

$$\begin{aligned} \mathbb{S}_n \times \mathbb{S}_{k_1} \times \dots \times \mathbb{S}_{k_n} &\rightarrow \mathbb{S}_{\sum_{i=1}^n k_i}, \\ (\sigma, \sigma_1, \dots, \sigma_n) &\mapsto (\tilde{B}_{\sigma^{-1}(1)}, \dots, \tilde{B}_{\sigma^{-1}(n)}) \end{aligned}$$

for all $\sigma \in \mathbb{S}_n$ and $\sigma_i \in \mathbb{S}_{k_i}$, $1 \leq i \leq n$, where

$$\tilde{B}_i = \left(\sum_{j=1}^{i-1} k_j + \sigma_i^{-1}(1), \dots, \sum_{j=1}^{i-1} k_j + \sigma_i^{-1}(k_i) \right)$$

for all $i = 1, \dots, n$. The partial composition

$$u\mathcal{A}ss(m) \circ_i u\mathcal{A}ss(n) \rightarrow u\mathcal{A}ss(m+n-1)$$

is given by

$$\mu \circ_i \nu = \mu \circ (1_1, \dots, \nu_i, \dots, 1_1)$$

for $\mu \in u\mathcal{A}ss(m), \nu \in u\mathcal{A}ss(n), m \geq 1, n \geq 0$ and $1 \leq i \leq m$.

The operad $u\mathcal{A}ss$ encodes unital associative algebras, namely, a unital associative algebra is exactly a $u\mathcal{A}ss$ -algebra. Let (A, μ, u) be a unital associative algebra. One can define an operad morphism $\gamma = (\gamma_n): u\mathcal{A}ss \rightarrow \mathcal{E}nd_A$ given by $\gamma_0(1_0) = u$ and $\gamma_2(1_2) = \mu$, where $\mathcal{E}nd_A$ is the endomorphism operad of the vector space A , see [LV, Section 5.2.11]. Each $\theta = \sum_{\sigma \in \mathbb{S}_n} c_\sigma \sigma \in \mathbb{k}\mathbb{S}_n$ gives an n -ary operation on A ,

$$\gamma_n(\theta): A^{\otimes n} \rightarrow A, \quad \gamma_n(\theta)(a_1 \otimes \dots \otimes a_n) = \sum_{\sigma \in \mathbb{S}_n} c_\sigma a_{\sigma^{-1}(1)} \dots a_{\sigma^{-1}(n)}, \quad \text{for } a_1, \dots, a_n \in A.$$

Next we work out some connections between T-ideals and operadic ideals of $u\mathcal{A}ss$.

Denote by $\mathbb{k}\langle x_1, \dots, x_n \rangle$ the free associative algebra in noncommutative indeterminants $\{x_1, \dots, x_n\}$ over \mathbb{k} . Observe that a PI algebra A always satisfies a multilinear polynomial identity of degree $\leq d$ if A satisfies an identity of degree d , see [MR, Proposition 13.1.9] or [GZ, Theorem 1.3.7]. A *multilinear polynomial* of degree n is a nonzero element $f(x_1, \dots, x_n) \in \mathbb{k}\langle x_1, \dots, x_n \rangle$ of the form

$$f(x_1, \dots, x_n) = \sum_{\sigma \in \mathbb{S}_n} c_\sigma x_{\sigma^{-1}(1)} \dots x_{\sigma^{-1}(n)}$$

for some $c_\sigma \in \mathbb{k}$. The method of multilinearization actually plays a very important role in the study of the identities of a PI-algebra.

Based on the following observation, one can study PI-algebras in the language of operads. The following lemma is a folklore.

Lemma 2.1. *Let A be an associative algebra. Then A is a PI algebra if and only if A is a $u\mathcal{A}ss/\mathcal{I}$ -algebra for some nonzero operadic ideal \mathcal{I} of $u\mathcal{A}ss$.*

Proof. Let $\mathcal{I} \neq 0$ be an ideal of $u\mathcal{A}ss$ and A a $u\mathcal{A}ss/\mathcal{I}$ -algebra with the operadic morphism $\bar{\gamma}: u\mathcal{A}ss/\mathcal{I} \rightarrow \mathcal{E}nd_A$. Clearly, A is an associative algebra. Then for each nonzero element $\theta = \sum_{\sigma \in \mathbb{S}_n} c_\sigma \sigma \in \mathcal{I}(n)$, the algebra A satisfies the following multilinear polynomial

$$f_\theta(x_1, \dots, x_n) = \sum_{\sigma \in \mathbb{S}_n} c_\sigma x_{\sigma^{-1}(1)} \dots x_{\sigma^{-1}(n)},$$

since

$$f_\theta(a_1, \dots, a_n) = \bar{\gamma}(\theta + \mathcal{I})(a_1, \dots, a_n) = 0$$

for any $a_1, \dots, a_n \in A$. Conversely, let A be a PI-algebra satisfying a multilinear polynomial of the form

$$f(x_1, \dots, x_n) = \sum_{\sigma \in \mathbb{S}_n} c_\sigma x_{\sigma^{-1}(1)} \dots x_{\sigma^{-1}(n)},$$

where $c_\sigma \in \mathbb{k}$. Clearly, A is a $u\mathcal{A}ss$ -algebra. Suppose that $\gamma: u\mathcal{A}ss \rightarrow \mathcal{E}nd_A$ is the corresponding operadic morphism. Then $\theta_f = \sum_{\sigma \in \mathbb{S}_n} c_\sigma \sigma \in \text{Ker } \gamma$ and therefore $\text{Ker } \gamma$ is a nonzero operadic ideal of $u\mathcal{A}ss$. It follows that A is an algebra over the quotient operad $u\mathcal{A}ss/\text{Ker } \gamma$. \square

Denote $\mathcal{I}_A := \text{Ker } \gamma$ as in the proof of Lemma 2.1. In this case, we say that A is a PI-algebra associated to the operadic ideal \mathcal{I}_A . Clearly, the operadic ideal \mathcal{I}_A is the maximal operadic ideal \mathcal{I} of $u\mathcal{A}ss$ such that A is an algebra over $u\mathcal{A}ss/\mathcal{I}$.

Let \mathcal{I} be a nonzero operadic ideal of $u\mathcal{A}ss$ and $\mathcal{A}_{\mathcal{I}} = u\mathcal{A}ss/\mathcal{I}$. Suppose that V is a vector space over \mathbb{k} . Recall the free $\mathcal{A}_{\mathcal{I}}$ -algebra $\mathcal{A}_{\mathcal{I}}(V)$ with

$$\mathcal{A}_{\mathcal{I}}(V) = \bigoplus_{k \geq 0} \mathcal{A}_{\mathcal{I}}(V)_k, \quad \text{with } \mathcal{A}_{\mathcal{I}}(V)_k = \mathcal{A}_{\mathcal{I}}(k) \otimes_{\mathbb{k}\mathbb{S}_k} V^{\otimes k},$$

where the left action of \mathbb{S}_k on $V^{\otimes k}$ is given by

$$\sigma \cdot (v_1 \otimes \cdots \otimes v_n) := v_{\sigma^{-1}(1)} \otimes \cdots \otimes v_{\sigma^{-1}(n)},$$

and the multiplication

$$\mathcal{A}_{\mathcal{I}}(V)_m \otimes \mathcal{A}_{\mathcal{I}}(V)_n \rightarrow \mathcal{A}_{\mathcal{I}}(V)_{m+n}$$

given by

$$[\bar{\mu}, u_1, \dots, u_m] \cdot [\bar{\nu}, v_1, \dots, v_n] := \overline{[\mathbb{1}_2 \circ (\mu, \nu), u_1, \dots, u_m, v_1, \dots, v_n]}.$$

Clearly, each nonzero element in $\mathcal{I}(n)$ gives an identity satisfied by $\mathcal{A}_{\mathcal{I}}(V)$. Moreover, the free $\mathcal{A}_{\mathcal{I}}$ -algebra $\mathcal{A}_{\mathcal{I}}(V)$ is a PI-algebra associated to \mathcal{I} . Take different vector space V , one can obtain different PI-algebra $\mathcal{A}_{\mathcal{I}}(V)$ associated to \mathcal{I} .

Let $\mathbb{k}\langle X \rangle$ be the free algebra generated by the set $X = \{x_i\}_{i \in \mathbb{N}_+}$. Recall that an ideal H of $\mathbb{k}\langle X \rangle$ is called a T -ideal if $\varphi(H) \subset H$ for every endomorphism φ of $\mathbb{k}\langle X \rangle$. Let A be a PI algebra. The set $\text{Id}(A)$ of all polynomial identities of A in $\mathbb{k}\langle X \rangle$ is a T -ideal. Conversely, if H is a T -ideal of $\mathbb{k}\langle X \rangle$, then $\mathbb{k}\langle X \rangle/H$ is a “free” or “universal” PI algebra in some sense. To be precise, if A is a PI algebra such that $\text{Id}(A) \supseteq H$, then for any set mapping $\phi: X \rightarrow A$, there exists a unique algebra homomorphism $\bar{\phi}: \mathbb{k}\langle X \rangle/H \rightarrow A$ such that the following diagram

$$(E2.1.1) \quad \begin{array}{ccc} X & \xrightarrow{\phi} & A \\ & \searrow & \uparrow \bar{\phi} \\ & & \mathbb{k}\langle X \rangle/H \end{array}$$

commutes, see [AGPR, Theorem 2.2.17]. It is easily seen that the “free” algebra $\mathbb{k}\langle X \rangle/H$ is just the free $u\mathcal{A}ss/\mathcal{I}$ -algebra $(u\mathcal{A}ss/\mathcal{I})(V)$, where V is the vector space spanned by $X = \{x_i\}_{i \in \mathbb{N}_+}$, and \mathcal{I} is the kernel of the structure morphism $\gamma: u\mathcal{A}ss \rightarrow \mathcal{E}nd_{\mathbb{k}\langle X \rangle/H}$ of $\mathbb{k}\langle X \rangle/H$ as a $u\mathcal{A}ss$ -algebra, since the free algebra $u\mathcal{A}ss/\mathcal{I}(V)$ also guarantees the existence of the above commutative diagram. Therefore, there is a correspondence between the classes of PI algebras and the T -ideals of $\mathbb{k}\langle X \rangle$.

A basic question about finite generation of T -ideals was posed by Specht [Sp] in 1950. In order to avoid confusion with finitely generated as an ideal, a finitely generated T -ideal in the class of T -ideals is usually called finitely based. In 1987 Kemer gave an affirmative answer [Ke1, Ke2]. Further discussions can be found in [AGPR, AKK, KR, Pr2].

Theorem 2.2. [Ke2, Theorem 2.4] *Every associative algebra (with or without unit) has a finite basis of identities.*

Kemer’s proof is based on some structure theory of superidentities of superalgebras and certain graded tensor products with the Grassmann algebra.

For each $n \in \mathbb{N}$, we denote V_n the subspace of $\mathbb{k}\langle x_1, \dots, x_n \rangle$ spanned by $x_{\sigma^{-1}(1)} \cdots x_{\sigma^{-1}(n)}$, $\sigma \in \mathbb{S}_n$, which consists of all multilinear polynomials of degree n in the indeterminants $x_1, \dots, x_n \in X$. Observe

that V_n admits the right $\mathbb{k}\mathbb{S}_n$ -action given by

$$(x_{i_1} \cdots x_{i_n}) * \tau := x_{\tau^{-1}(i_1)} \cdots x_{\tau^{-1}(i_n)}$$

for $\tau \in \mathbb{S}_n$, and

$$\Phi_n : uAss(n) \rightarrow V_n, \quad \sigma \mapsto x_{\sigma^{-1}(1)} \cdots x_{\sigma^{-1}(n)}$$

is an isomorphism of right $\mathbb{k}\mathbb{S}_n$ -modules.

Lemma 2.3. *Let A be a PI algebra and $V_n(A)$ be the subspace of V_n consisting of all multilinear identities of A in $\mathbb{k}\langle x_1, \dots, x_n \rangle$. Denote $\mathcal{I}(n) = \Phi_n^{-1}(V_n(A))$ for each $n \in \mathbb{N}_+$. Then $\mathcal{I} = (\mathcal{I}(n))_{n \in \mathbb{N}_+}$ is an operadic ideal of $uAss$.*

Proof. It is easily seen that $V_n(A)$ is invariant under the right \mathbb{S}_n -action, and so is $\mathcal{I}(n)$. Let $\mu \in uAss(m), \nu \in uAss(n), 1 \leq i \leq m$. If $\mu \in \mathcal{I}(m)$ or $\nu \in \mathcal{I}(n)$, then for $a_1, \dots, a_{m+n-1} \in A$, we have

$$(\Phi_{m+n-1}(\mu \circ_i \nu))(a_1, \dots, a_{m+n-1}) = \Phi_m(\mu)(a_1, \dots, a_{i-1}, \Phi_n(\nu)(a_i, \dots, a_{i+n-1}), a_{i+n}, \dots, a_{m+n-1}) = 0.$$

Therefore, $\Phi_{m+n-1}(\mu \circ_i \nu) \in V_{m+n-1}(A)$ and $\mu \circ_i \nu \in \mathcal{I}(m+n-1)$. It follows that \mathcal{I} is an operadic ideal of $uAss$. \square

Lemma 2.4. *Let $\mathcal{I} = (\mathcal{I}(n))_{n \in \mathbb{N}}$ be an operadic ideal of $uAss$ and $\mathbb{k}\langle X \rangle$ the free algebra generated by $X = \{x_n\}_{n \in \mathbb{N}_+}$. Put*

$$(E2.4.1) \quad J_n := \{\Phi_n(\theta)(f_1, \dots, f_n) \mid \theta \in \mathcal{I}(n), f_1, \dots, f_n \in \mathbb{k}\langle X \rangle\}$$

for each $n \in \mathbb{N}$. Suppose that J is the ideal of $\mathbb{k}\langle X \rangle$ generated by $\cup_{n \in \mathbb{N}} J_n$. Then J is a T -ideal of $\mathbb{k}\langle X \rangle$.

Proof. Let φ be an endomorphism of the free algebra $\mathbb{k}\langle X \rangle$. For any $\Phi_n(\theta)(f_1, \dots, f_n) \in J_n$, we have

$$\varphi(\Phi_n(\theta)(f_1, \dots, f_n)) = \Phi_n(\theta)(\varphi(f_1), \dots, \varphi(f_n)) \in J_n.$$

Therefore, φ sends a generator onto a generator of J , and J is a T -ideal of $\mathbb{k}\langle X \rangle$. \square

We fix the following notations.

Notation 2.5. *Let A be a PI algebra and \mathcal{I} be a proper operadic ideal of $uAss$.*

- (1) *Let $\Psi(A)$ denote the operadic ideal of $uAss$ constructed in Lemma 2.3.*
- (2) *Let J be a T -ideal of $\mathbb{k}\langle X \rangle$. By abuse of notation, $\Psi(\mathbb{k}\langle X \rangle/J)$ is also denoted by $\Psi(J)$. Given a T -ideal J , the operadic ideal $\Psi(J)$ of $uAss$ is defined by $\Psi(J)(n) = \Phi_n^{-1}(V_n(\mathbb{k}\langle X \rangle/J))$ for all n .*
- (3) *Let $\Omega(\mathcal{I})$ denote the T -ideal of $\mathbb{k}\langle X \rangle$ constructed in Lemma 2.4. Given an operadic ideal \mathcal{I} of $uAss$, then $\Omega(\mathcal{I})$ is generated by $\cup_n \Phi_n(\mathcal{I}(n))$ as a T -ideal.*

Two sets of polynomials are said to be *equivalent* if they generate the same T -ideal. As usual we assume that \mathbb{k} is a base field of characteristic zero.

Lemma 2.6. [GZ, Theorems 1.3.7 and 1.3.8] *Every nonzero polynomial $f \in \mathbb{k}\langle X \rangle$ is equivalent to a finite set $\{f_1, \dots, f_w\}$ of multilinear polynomials with $\deg f_i \leq \deg f$.*

By Theorem 2.2 and Lemma 2.6, the following result is obvious.

Corollary 2.7. *Every proper T -ideal is generated by finitely many multilinear polynomials as a T -ideal.*

Let f be a multilinear polynomial of degree n in $\mathbb{k}\langle x_1, \dots, x_n \rangle$. We use I_f to denote the ideal of $\mathbb{k}\langle X \rangle$ of the form $\{\sum_{i=1}^m g_i f(u_{i1}, \dots, u_{in}) h_i \mid g_i, h_i, u_{ij} \in \mathbb{k}\langle X \rangle, i = 1, \dots, m, j = 1, \dots, n\}$.

Lemma 2.8. *Let W be a set of multilinear polynomials $\{f\}$ where each f is a multilinear polynomial of degree n in $\mathbb{k}\langle x_1, \dots, x_n \rangle$ for some n . Let $\langle W \rangle_T$ be the T -ideal of $\mathbb{k}\langle X \rangle$ generated by W as a T -ideal. Then $\langle W \rangle_T = \sum_{f \in W} I_f$.*

Proof. It is easy to reduce to the case when W is the singleton $\{f\}$. In this case we need to show that $\langle f \rangle_T := \langle W \rangle_T = I_f$. Clearly, $gf(u_1, \dots, u_n)h \in \langle f \rangle_T$ for all $g, h, u_i \in \mathbb{k}\langle X \rangle$, $i = 1, \dots, n$, and therefore $I_f \subset \langle f \rangle_T$. It suffices to show that I_f is a T -ideal. It is easily seen that I_f is an ideal of $\mathbb{k}\langle X \rangle$. Suppose that φ is an arbitrary endomorphism of $\mathbb{k}\langle X \rangle$. Then for all $g_i, h_i, u_{ij} \in \mathbb{k}\langle X \rangle$, $i = 1, \dots, m, j = 1, \dots, n$, we have

$$\varphi\left(\sum_{i=1}^m g_i f(u_{i1}, \dots, u_{in}) h_i\right) = \sum_{i=1}^m \varphi(g_i) f(\varphi(u_{i1}), \dots, \varphi(u_{in})) \varphi(h_i) \in I_f.$$

It follows that I_f is a T -ideal and $\langle f \rangle_T = I_f$. \square

Here is an intermediate step.

Theorem 2.9. *The pair (Ψ, Ω) defined in Notation 2.5 induce an inclusion-preserving one-to-one correspondence between the set of proper T -ideals of $\mathbb{k}\langle X \rangle$ and the set of proper operadic ideals of $uAss$.*

Proof. By Lemmas 2.3 and 2.4, we have inclusion-preserving maps

$$\Psi : \{\text{proper } T\text{-ideals of } \mathbb{k}\langle X \rangle\} \rightarrow \{\text{proper operadic ideals of } uAss\}$$

and

$$\Omega : \{\text{proper operadic ideals of } uAss\} \rightarrow \{\text{proper } T\text{-ideals of } \mathbb{k}\langle X \rangle\}.$$

First we prove that $\Omega(\Psi(J)) = J$ if J is a proper T -ideal of $\mathbb{k}\langle X \rangle$. By Corollary 2.7, J is generated by finitely many multilinear polynomials, say $\{f_1, \dots, f_s\}$, as a T -ideal. Let $A := \mathbb{k}\langle X \rangle/J$. Since J is a T -ideal, every element in J is an identity of A . In particular, $f_i \in V_n(A)$ if f_i has degree n . By Lemma 2.3, $\Phi_n^{-1}(f_i) \in \mathcal{I}(n)$ where $\mathcal{I} = \Psi(J)$. By Lemma 2.4, $f_i \in \Omega(\mathcal{I})$. Consequently, $J \subseteq \Omega(\mathcal{I}) = \Omega(\Psi(J))$. Conversely, let $J' = \Omega(\Psi(J))$, we need to show that $J' \subseteq J$. Since both J and J' are T -ideals, by Lemma 2.4, it suffices to show that $J_n \subseteq J$ where J_n is defined as in (E2.4.1). Again, by the fact that J is a T -ideal, it remains to show that $\Phi_n(\theta) \in J$ for all $\theta \in \mathcal{I} (= \Psi(J))$. By the definition of \mathcal{I} in Lemma 2.3, $\Phi_n(\theta) \in V_n(A)$ where A is $\mathbb{k}\langle X \rangle/J$. This implies that $\Phi_n(\theta)$ is an identity of A . Therefore $\Phi_n(\theta) \in J$ as required.

Next we show that $\Psi(\Omega(\mathcal{I})) = \mathcal{I}$ for any proper operadic ideal \mathcal{I} of $uAss$. Let $J := \Omega(\mathcal{I})$. By the proof of Lemma 2.4, J is the 2-sided ideal of $\mathbb{k}\langle X \rangle$ generated by $\cup_{n \in \mathbb{N}} J_n$. Then, for every $\theta \in \mathcal{I}(n)$, $\Phi_n(\theta) = \Phi_n(\theta)(x_1, \dots, x_n)$ is in $J_n \subseteq J$. Thus $\Phi_n(\theta)$ is in $V_n(\mathbb{k}\langle X \rangle/J)$. By Lemma 2.3, $\theta \in \Psi(J)$. This proves that $\mathcal{I} \subseteq \Psi(\Omega(\mathcal{I}))$. Conversely, let $\mathcal{I}' := \Psi(\Omega(\mathcal{I}))$, we need to show that $\mathcal{I}' \subseteq \mathcal{I}$. Let θ be in \mathcal{I}' . By definition, $\theta = \Phi_n^{-1}(f_\theta)$ where f_θ is some multilinear identity of $\mathbb{k}\langle X \rangle/J$, or equivalently, f_θ is multilinear and $f_\theta \in J$ as J is a T -ideal. By the proof of Lemma 2.4, there exist $g_{ki}, h_{ki}, u_{ki,1}, \dots, u_{ki,n_k} \in \mathbb{k}\langle X \rangle$ such that

$$f_\theta = \sum_{k,i} g_{ki} f_k(u_{ki,1}, \dots, u_{ki,n_k}) h_{ki}$$

where $f_k = \Phi_{n_k}(\theta_k)$ for some $\theta_k \in \mathcal{I}(n_k)$ (and the above sum is a finite sum). Let l denote n_k . Without loss of generality, we may assume that $g_{ki}, h_{ki}, u_{ki,1}, \dots, u_{ki,l}$ are monomials. Since f_θ is a multilinear polynomial in $\mathbb{k}\langle x_1, \dots, x_n \rangle$, we may further assume that each term $g_{ki} f_k(u_{ki,1}, \dots, u_{ki,l}) h_{ki}$ is a multilinear polynomial in the indeterminants x_1, \dots, x_n , and for each pair (k, i) , $g_{ki}, h_{ki}, u_{ki,1}, \dots, u_{ki,l}$ are in different sets of indeterminants. We need only show that $\Phi_n^{-1}(g_{ki} f_k(u_1, \dots, u_l) h_{ki}) \in \mathcal{I}(n)$, where (g, h, u_1, \dots, u_l)

are sets of monomials of the form $(g_{ki}, h_{ki}, u_{ki,1}, \dots, u_{ki,l})$, can be generated by $\theta_k \in \mathcal{I}(l)$ for all k . We denote

$$\begin{aligned} g &= ax_{\sigma^{-1}(1)} \cdots x_{\sigma^{-1}(r)}, \\ u_1 &= b_1 x_{\sigma^{-1}(r+1)} \cdots x_{\sigma^{-1}(r+s_1)}, \\ &\dots, \\ u_l &= b_l x_{\sigma^{-1}(r+s_1+\dots+s_{l-1}+1)} \cdots x_{\sigma^{-1}(r+s_1+\dots+s_l)}, \\ h &= cx_{\sigma^{-1}(r+s_1+\dots+s_l+1)} \cdots x_{\sigma^{-1}(r+s_1+\dots+s_l+t)} \end{aligned}$$

for some $\sigma \in \mathbb{S}_n$ and some nonzero scalars a, b_1, \dots, b_l, c in \mathbb{k} . Clearly, $r + s_1 + \dots + s_l + t = n$. Then

$$\Phi_n^{-1}(gf_k(u_1, \dots, u_l)h) = ab_1 \cdots b_l c (1_3 \circ (1_r, \theta_k \circ (1_{s_1}, \dots, 1_{s_l}), 1_t)) * \sigma \in \langle \theta_k \rangle(n),$$

where $\langle \theta_k \rangle$ is the operadic ideal of $uAss$ generated by θ_k . It follows that $\theta \in \sum_k \langle \theta_k \rangle(n)$ where each $\theta_k \in \mathcal{I}(n_k)$. Therefore $\theta \in \mathcal{I}(n)$ as required. \square

Remark 2.10. If a T-ideal J is generated by multilinear identities $\{f_i\}_{i=1}^s$ as a T-ideal, then $\Psi(J)$ is generated by $\{\Phi_{\deg f_i}^{-1}(f_i)\}_{i=1}^s$ as an operadic ideal of $uAss$. To see this, let \mathcal{I} be $\Psi(J)$ and \mathcal{I}' be the operadic ideal of $uAss$ generated by $\{\Phi_{\deg f_i}^{-1}(f_i)\}_{i=1}^s$. It follows from Lemma 2.3 that $\mathcal{I}' \subseteq \mathcal{I}$. By Theorem 2.9, $\Omega(\mathcal{I}') \subseteq \Omega(\mathcal{I}) = J$. By Lemma 2.4, each f_i is in $\Omega(\mathcal{I}')$. Thus $\Omega(\mathcal{I}') \supseteq J$. This forces that $\mathcal{I}' = \mathcal{I}$ and consequently, \mathcal{I} is generated by $\{\Phi_{\deg f_i}^{-1}(f_i)\}_{i=1}^s$ as an operadic ideal of $uAss$.

Note that a T-ideal of the free nonunital associative algebra $\mathbb{k}\langle X \rangle_+$ can be defined similarly. Similarly one can show the following.

Theorem 2.11. *There is an inclusion-preserving one-to-one correspondence between the set of proper T-ideals of $\mathbb{k}\langle X \rangle_+$ and the set of proper operadic ideals of Ass .*

Kemer proved that every proper T-ideal of $\mathbb{k}\langle X \rangle$ is finitely generated as a T-ideal in [Ke1, Ke2]. Applying the one-to-one correspondence between T-ideals of $\mathbb{k}\langle X \rangle$ and operadic ideals of $uAss$ [Theorem 2.9], we have the following consequences.

Theorem 2.12. (1) *The operad $uAss$ is noetherian, that is, the set of operadic ideals of $uAss$ satisfies the ascending chain condition.*

(2) *Every ideal of $uAss$ is finitely generated as an operadic ideal.*

Proof. (1) Since every T-ideal of $\mathbb{k}\langle X \rangle$ is finitely generated as a T-ideal [Theorem 2.2], the set of T-ideals of $\mathbb{k}\langle X \rangle$ satisfies the ascending chain condition. The assertion follows from Theorem 2.9.

(2) This follows from part (1) by a standard noetherian argument. \square

The following lemma is needed.

Lemma 2.13. [BYZ, Lemma 2.15(2)] *Let \mathcal{I} be a finitely generated operadic ideal of $uAss$. Then there exists $\theta \in \mathcal{I}(n)$ for some $n \geq 0$ such that $\mathcal{I} = \langle \theta \rangle$.*

Now we are ready to prove Theorem 1.2.

Proof of Theorem 1.2. (1) This is Theorem 2.12(1).

(2) This follows from Lemma 2.13 and Theorem 2.12(2). \square

In general the description of a T-ideal is very difficult even if every proper T-ideal is finitely generated [Ke1, Ke2]. In fact it is quite difficult to deduce the generators from a given T-ideal. An effective way

is to study the multilinear polynomials in a T -ideal since every identity is equivalent to a system of multilinear polynomials. Here is a small improvement of the original Kemer's theorem [Theorem 2.2] when we consider associative algebras with unit.

Corollary 2.14. *Every proper T -ideal corresponding to an associative algebra with unit is generated by one multilinear polynomial as a T -ideal.*

Proof. Let J be a proper T -ideal of $\mathbb{k}\langle X \rangle$. By Theorem 2.9, $J = \Omega(\mathcal{I})$ where \mathcal{I} is a proper operadic ideal of $uAss$. By part (2), \mathcal{I} is generated by an element $\theta \in \mathcal{I}(n)$. Let J' be the T -ideal of $\mathbb{k}\langle X \rangle$ generated by $\Phi_n(\theta)$. It remains to show that $J = J'$. By the proof of Lemma 2.4, $J' \subseteq J$. Since $\Phi_n(\theta)$ is an identity of $\mathbb{k}\langle X \rangle/J'$, it follows from the proof of Lemma 2.3 that

$$\theta \in \Psi(J') \subseteq \Psi(J) = \Psi(\Omega(\mathcal{I})) = \mathcal{I}.$$

Since \mathcal{I} is generated by θ , we obtain that $\mathcal{I} = \Psi(J') = \Psi(J)$. Now Theorem 2.9 implies that $J' = J$ as required. \square

It is well-known that Corollary 2.14 fails for algebras without unit. Note that we do not provide a new proof of Kemer's theorem. Corollary 2.14 is in the same spirit as a result of Razmyslov [Ra] and Procesi [Pr1] which states that all trace identities for the full matrix algebras are generated by a single trace identity, see also [IKM].

Remark 2.15. Using Theorems 2.11 and 2.2, one sees that the operad Ass is also noetherian on operadic ideals.

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(BAO) SCHOOL OF MATHEMATICAL SCIENCES, ANHUI UNIVERSITY, HEFEI 230601, CHINA

Email address: `baoyh@ahu.edu.cn`

(XU) SCHOOL OF MATHEMATICAL SCIENCES, ANHUI UNIVERSITY, HEFEI 230601, CHINA

Email address: `jnxu1@outlook.com`

(YE) SCHOOL OF MATHEMATICAL SCIENCES, UNIVERSITY OF SCIENCES AND TECHNOLOGY OF CHINA, HEFEI 230026, CHINA

Email address: `yeyu@ustc.edu.cn`

(ZHANG) DEPARTMENT OF MATHEMATICS, BOX 354350, UNIVERSITY OF WASHINGTON, SEATTLE, WASHINGTON 98195, USA

Email address: `zhang@math.washington.edu`

(ZHANG) SCHOOL OF MATHEMATICAL SCIENCES, ANHUI UNIVERSITY, HEFEI 230601, CHINA

Email address: `yfzhang9804@163.com`