Operating Systems

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Ch7 <u>Memory Management</u> <u>from a Programmer's Perspective</u>

Why we need memory management

- The running program code requires memory
 - Because the CPU needs to fetch the instructions from the memory for execution
- We must keep several processes in memory

 Improve both CPU utilization and responsiveness
 - Multiprogramming

It is required to efficiently manage the memory

Topics in Ch7

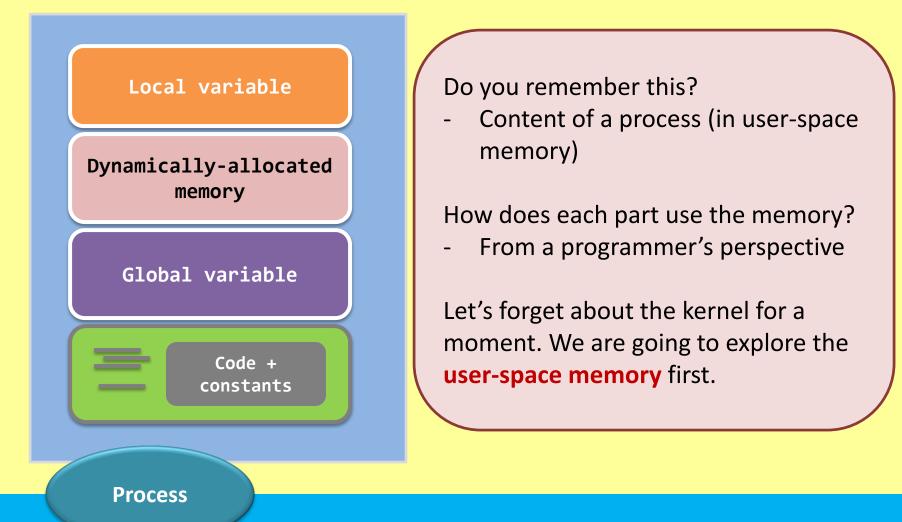
From a programmer's perspective: user-space memory management

What is the address space of a process? How are the program code and data stored in memory? How to allocate/free memory (malloc() + free())? How much memory can be used in a program? What are segmentation and segmentation fault?

From the kernel's perspective: How to manage the memory

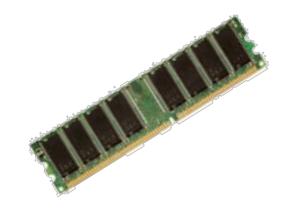
What is virtual memory? How to realize address mapping (paging)? How to support very large programs (demand paging)? How to do page replacement? What is TLB? What is memory-mapped file?

Part 1: User-space memory



User-space memory management

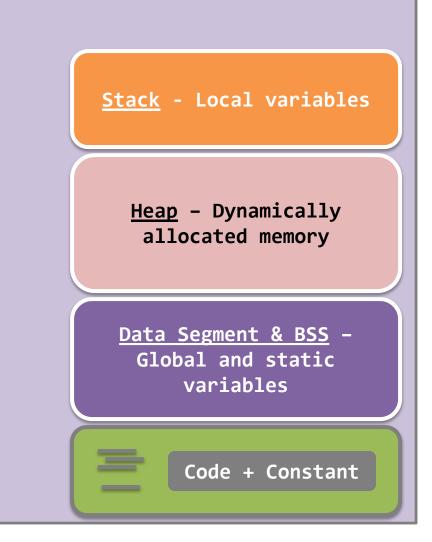
- Address space;
- Code & constants;
- Data segment;
- Stack;
- Heap;
- Segmentation fault;



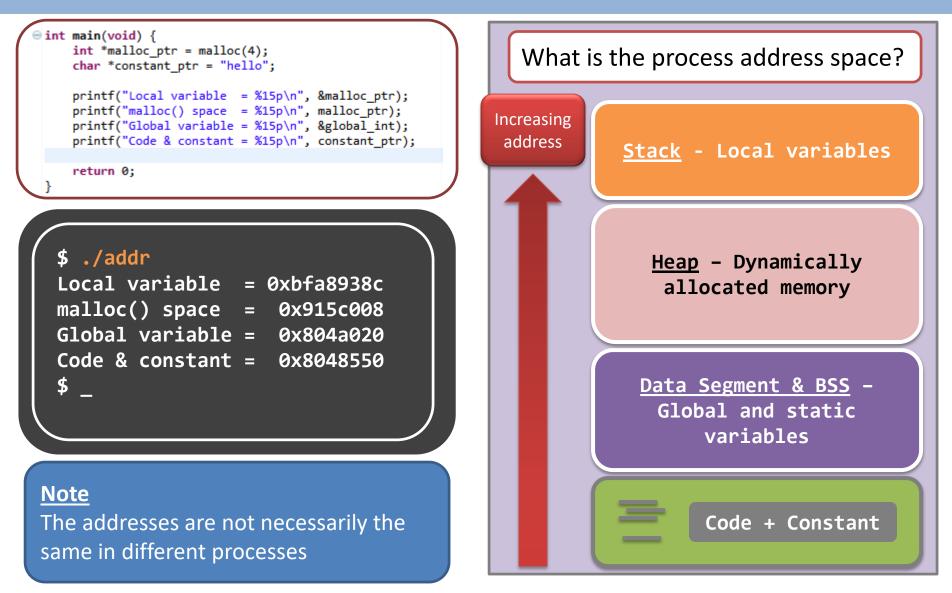
Address space

How does a programmer look at the memory space?

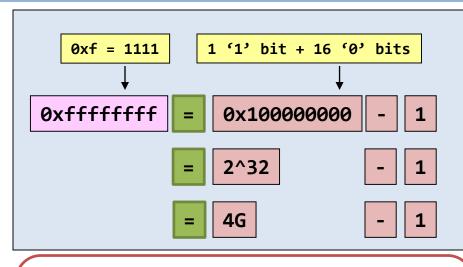
- An array of bytes?
- Memory of a process is divided into segments
- This way of arranging memory is called segmentation



Address space



Address space

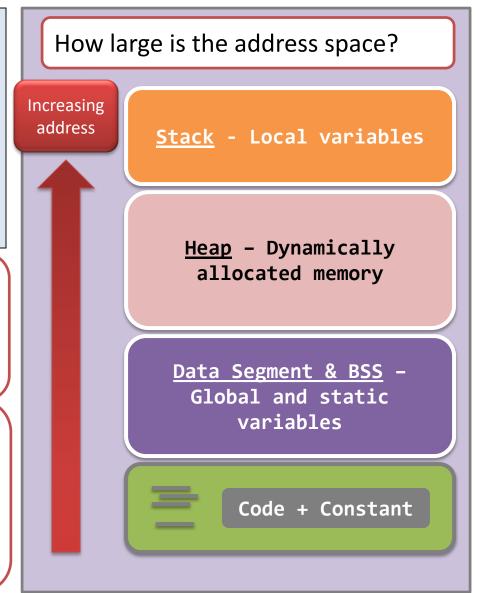


In a 32-bit system,

- One address maps to one byte.
- The maximum amount of memory in a process is **4GB**.

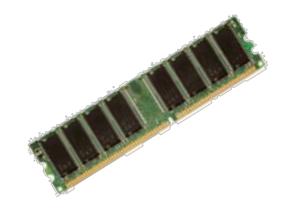
Note

- This is the so called logical address space
- Each process has its own address space, and it can reside in any part of the physical memory



User-space memory management

- Address space;
- Code & constants;
- Data segment;
- Stack;
- Heap;
- Segmentation fault;

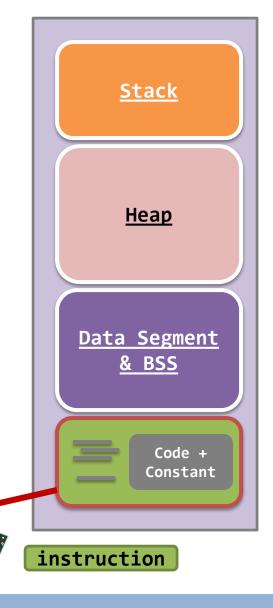


Program code & constants

- A program is an executable file
- A process is not bounded to one program code.

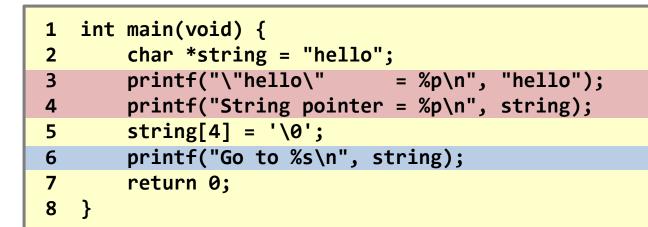
– Remember exec*() family?

- The program code requires memory space because...
 - The CPU needs to fetch the instructions from the memory for execution.



Inter

Program code & constants

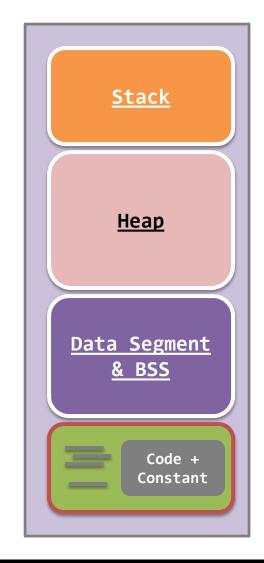


<u>Question #1.</u> What are the printouts from Line 3 & 4?

"hello" = 0x8048520 String pointer = 0x8048520

• **Question #2.** What is the printout from Line 6?

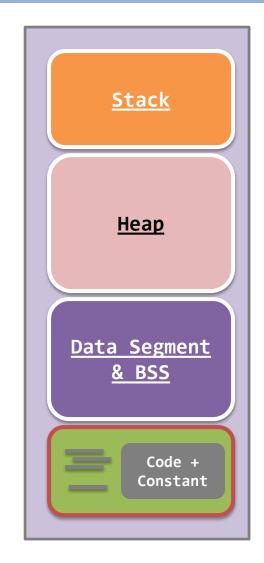
Segmentation fault



Program code & constants

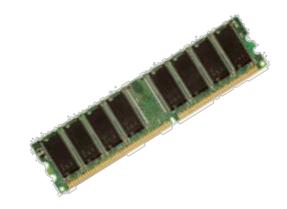
```
int main(void) {
1
2
       char *string = "hello";
3
       printf("\"hello\" = %p\n", "hello");
       printf("String pointer = %p\n", string);
4
5
       string[4] = '\0';
       printf("Go to %s\n", string);
6
7
       return 0;
8
  }
```

- Constants are stored in code segment.
 - Accessing of constants are done using addresses (or pointers).
- Codes and constants are both read-only.



User-space memory management

- Address space;
- Code & constants;
- Data segment;
- Stack;
- Heap;
- Segmentation fault;



Data Segment & BSS – properties

```
int global_int = 10;
int main(void) {
    int local_int = 10;
    static int static_int = 10;
    printf("local_int addr = %p\n", &local_int );
    printf("static_int addr = %p\n", &static_int );
    printf("global_int addr = %p\n", &global_int );
    return 0;
}
```

\$./global_vs_static local_int addr = 0xbf8bb8ac static_int addr = 0x804a018 global_int addr = 0x804a014 \$_ They are stored next to each other.

This implies that they are in the same segment!

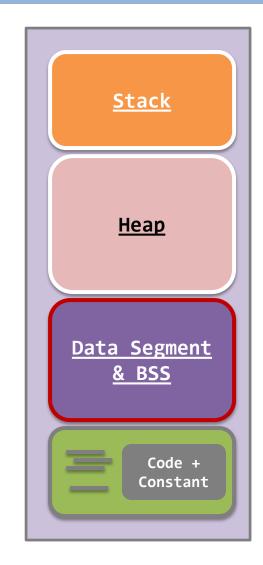
Stack Heap Data Segment & BSS Code + Constant

Note: A static variable is treated as the same as a global variable!

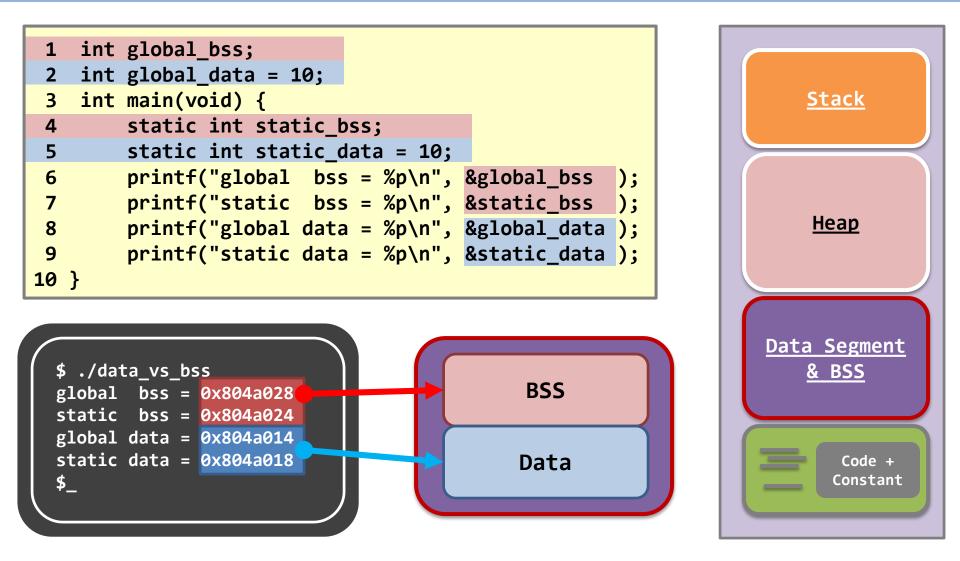
Data Segment & BSS – properties

- Data
 - Containing initialized global and static variables.
- BSS (<u>B</u>lock <u>S</u>tarted by <u>Symbol</u>)

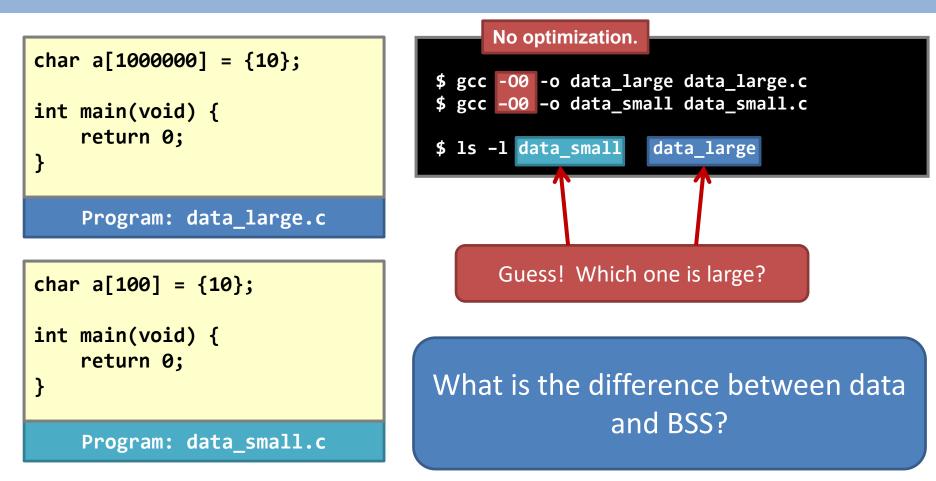
 Containing <u>uninitialized</u> global and static variables.



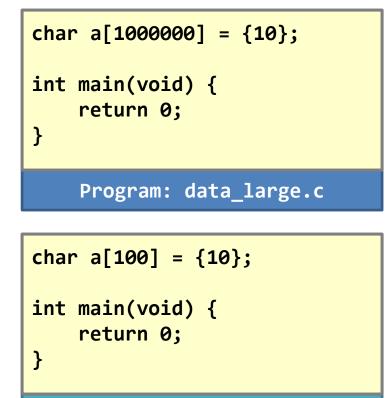
Data Segment & BSS – locations



Data Segment & BSS – sizes



Data Segment & BSS – sizes

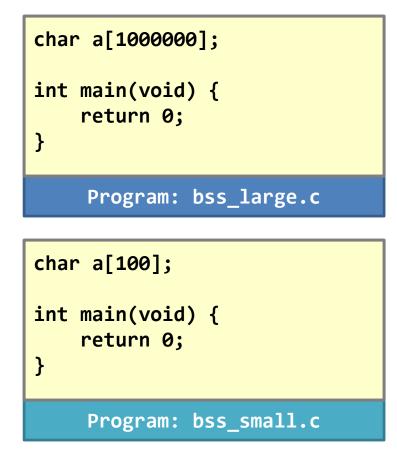


Program: data_small.c



The data segment has the required space **already allocated**.

Data Segment & BSS – sizes



```
$ gcc -00 -o bss_large bss_large.c
$ gcc -00 -o bss_small bss_small.c
$ ls -l bss_small bss_large
-rwxr-xr-x ... 4775... bss_large
-rwxr-xr-x ... 4775... bss_small
$_
Same size!
```

To the program, BSS is just a bunch of symbols. The space is not yet allocated.

The space will be allocated to the process once it starts executing.

This is why BSS is called "*Block Started by Symbol*".

Data Segment & BSS – limits

How large is the data segment?

\$ ulimit -a	
core file size	(blocks, -c) 0
data seg size	(kbytes, -d) unlimited
\$	

In Linux, "**ulimit**" is a built-in command in "/bin/bash".

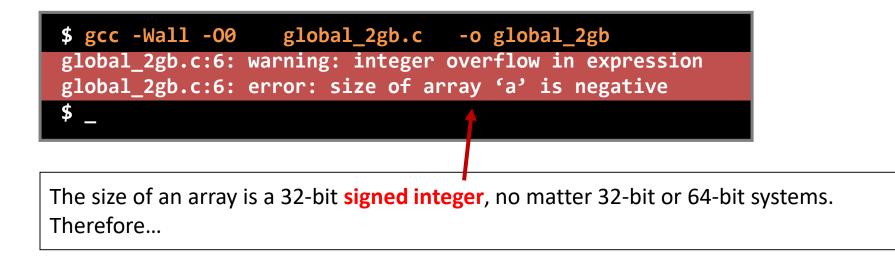
It sets or gets the system limitations in the current shell.

Does the "unlimited" mean that you can define a global array with large enough size?

Data Segment & BSS – limits

```
#define ONE_MEG (1024 * 1024)
char a[1024 * ONE_MEG];
int main(void) {
    memset(a, 0, sizeof(a));
    printf("1GB OK\n");
}

#define ONE_MEG (1024 * 1024)
char a[2048 * ONE_MEG];
int main(void) {
    memset(a, 0, sizeof(a));
    printf("2GB OK\n");
}
```



Data Segment & BSS – limits

```
#define ONE_MEG (1024 * 1024)
char a[1024 * ONE MEG];
char b[1024 * ONE MEG];
char c[1024 * ONE_MEG];
char d[1024 * ONE MEG];
int main(void) {
    memset(a, 0, sizeof(a));
    printf("1GB OK\n");
    memset(b, 0, sizeof(b));
    printf("2GB OK\n");
    memset(c, 0, sizeof(c));
    printf("3GB OK\n");
    memset(d, 0, sizeof(d));
    printf("4GB OK\n");
}
```

Segmentation fault why?

On a <u>32-bit</u> Linux system, the user-space addressing space is around 3GB.

The kernel reserves 1GB addressing space.

Program: global_4gb.c

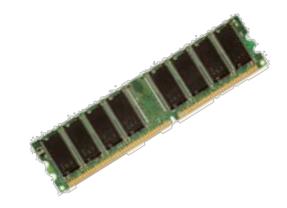
Data Segment & BSS – summary

Remember, "global variable == static variables".
 – Only the compiler cares about the difference!

- Everything in a computer has a limit!
 - Different systems have different limits: 32-bit VS 64-bit.
 - Your job is to adapt to such limits.
 - On a <u>32-bit</u> Linux system, the user-space addressing space is around 3GB.

User-space memory management

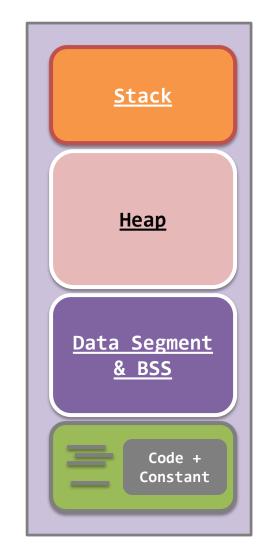
- Address space;
- Code & constants;
- Data segment;
- Stack;
- Heap;
- Segmentation fault;



Stack – properties

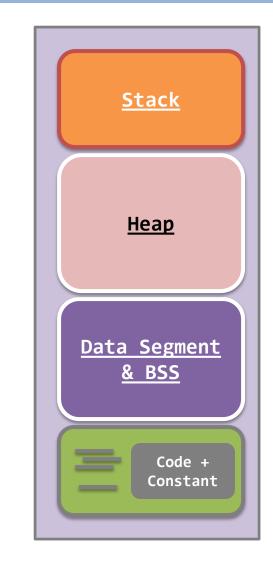
- The stack contains:
 - all the local variables,
 - all function parameters,
 - program arguments, and
 - environment variables.

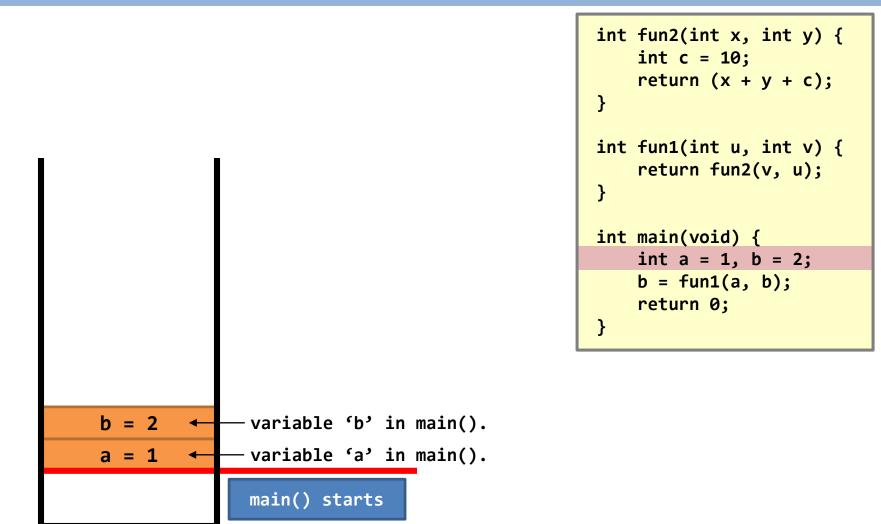
How are the data stored and what is the size limit?



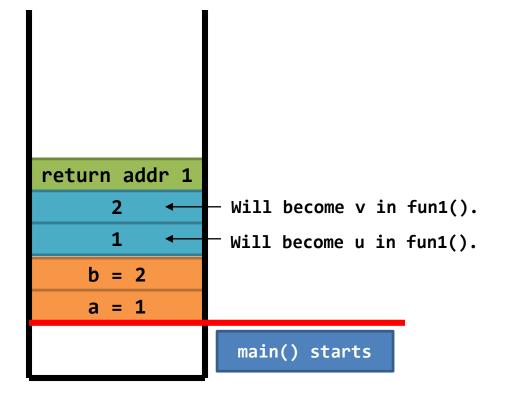
Stack – properties

- Stack: FILO
- When a function is called, the local variables are <u>allocated</u> in the stack.
- When a function returns, the local variables are <u>deallocated</u> from the stack.





Calling function "**fun1()**" starts. It is the beginning of the call, and the CPU has not switched to **fun1()** yet.

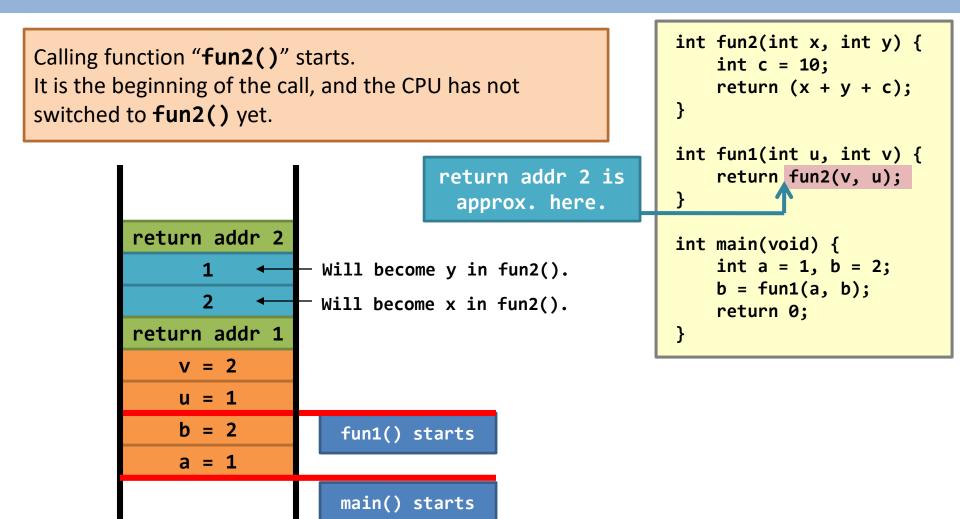


```
int fun2(int x, int y) {
    int c = 10;
    return (x + y + c);
}
int fun1(int u, int v) {
    return fun2(v, u);
}
int main(void) {
    int a = 1, b = 2;
    b = fun1(a, b);
    return 0;
}
      "return addr 1"
     is approx. here.
```

```
Calling function "fun1()" takes place. The CPU has switched to fun1().
```

```
return addr 1
    v = 2
    u = 1
    b = 2
                   fun1() starts
    a = 1
                   main() starts
```

```
int fun2(int x, int y) {
    int c = 10;
    return (x + y + c);
}
int fun1(int u, int v) {
    return fun2(v, u);
}
int main(void) {
    int a = 1, b = 2;
    b = fun1(a, b);
    return 0;
}
```



Calling function "fun2()" takes place. The CPU has switched to fun2().

c = 10 ← Local variables are allocated once the function starts. return addr 2 y = 1 $\mathbf{x} = \mathbf{2}$ return addr 1 fun2() starts v = 2u = 1 b = 2fun1() starts a = 1main() starts

```
int fun2(int x, int y) {
    int c = 10;
    return (x + y + c);
}
int fun1(int u, int v) {
    return fun2(v, u);
}
int main(void) {
    int a = 1, b = 2;
    b = fun1(a, b);
    return 0;
}
```

"Return" takes place.

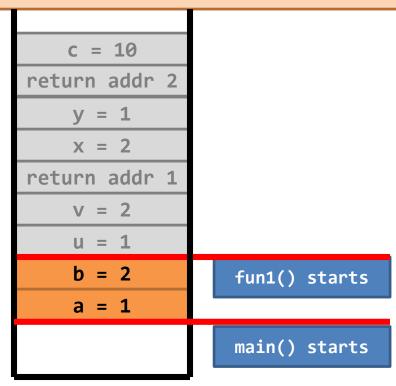
(1) Return value is written to the EAX register. (2) Stack shrinks. (3) CPU jumps back to **fun1()**. c = 10return addr 2 y = 1 $\mathbf{X} = \mathbf{2}$ return addr 1 fun2() starts v = 2u = 1 b = 2fun1() starts a = 1main() starts

```
int fun2(int x, int y) {
    int c = 10;
    return (x + y + c);
}
int fun1(int u, int v) {
    return fun2(v, u);
}
int main(void) {
    int a = 1, b = 2;
    b = fun1(a, b);
    return 0;
}
```



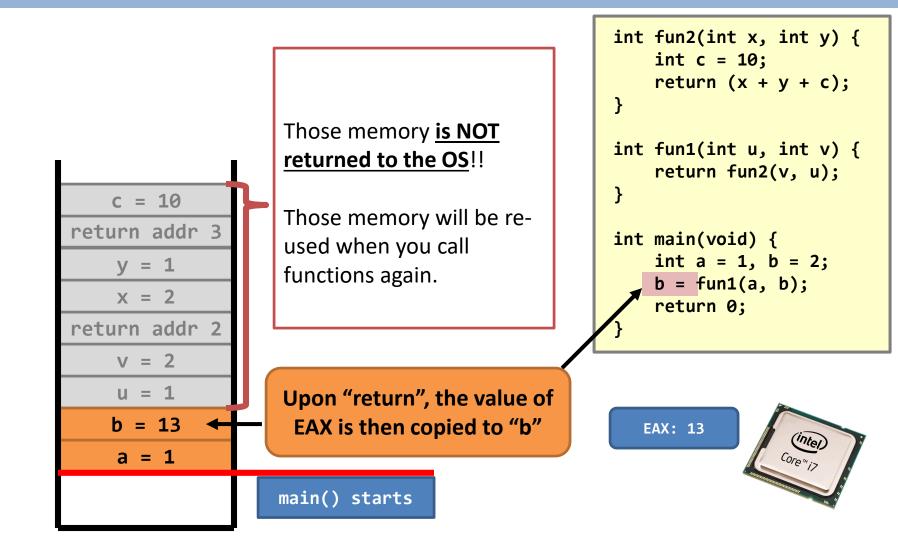
"Return" takes place. (1) Return value is writt

- (1) Return value is written to the EAX register.
- (2) Stack shrinks.
- (3) CPU jumps back to main().



```
int fun2(int x, int y) {
    int c = 10;
    return (x + y + c);
}
int fun1(int u, int v) {
    return fun2(v, u);
}
int main(void) {
    int a = 1, b = 2;
    b = fun1(a, b);
    ret 0;
}
```





c = 10	
return addr	3
y = 1	
x = 2	
return addr	2
v = 2	
u = 1	
b = 13	
a = 1	

Eventually, the main function reaches "return 0".

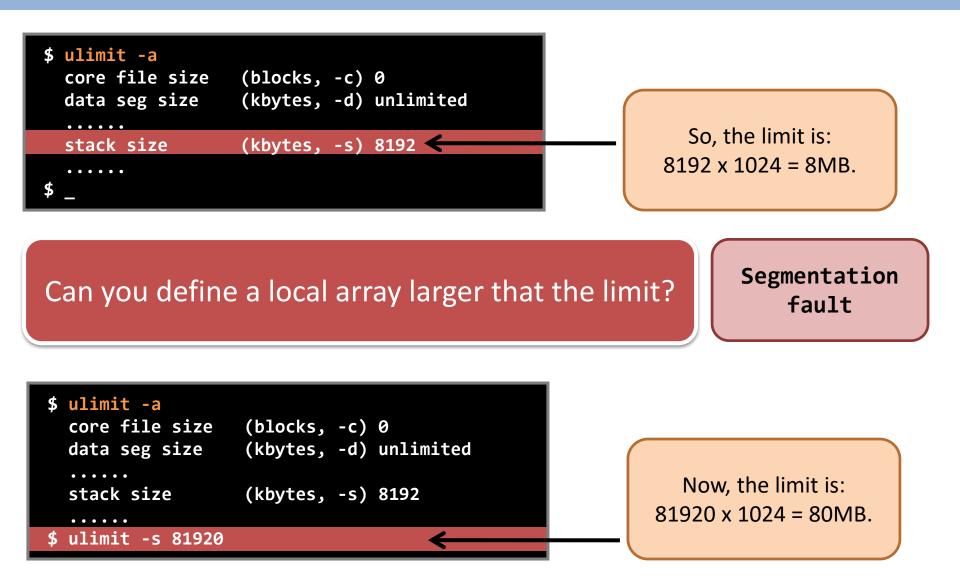
This takes the CPU pointing to the C library.

Inside the C library, we will eventually reach the system call **exit()**.

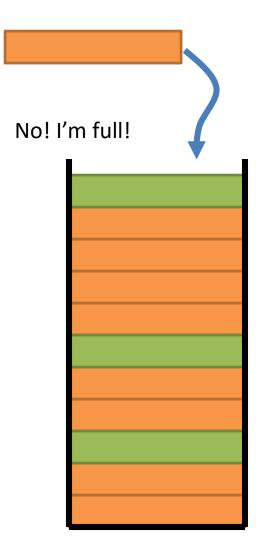
```
int fun2(int x, int y) {
    int c = 10;
    return (x + y + c);
}
int fun1(int u, int v) {
    return fun2(v, u);
}
int main(void) {
    int a = 1, b = 2;
    b = fun1(a, b);
    return 0;
}
```



Stack – limits



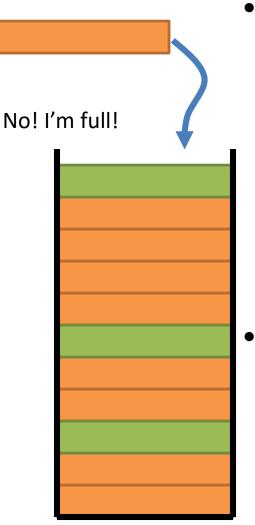
Stack – summary



• What if it is a chain of endless recursive function calls?

- What will happen?
 - Exception caught by the CPU!
 - Stack overflow exception!
 - Program terminated!

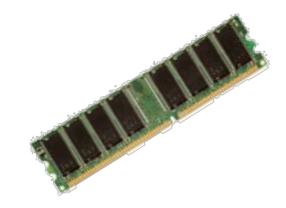
Stack – summary



- "I really need to play with recursions." Any workaround?
 - Minimize the number of arguments
 - Minimize the number of local variables
 - Minimize the number of calls
 - Use global variables
- Note: A function can ask the CPU to read and to write anywhere in the stack, not just the "zone" belonging to the running function!
 - Isn't it horrible (profitable and fun)?

User-space memory management

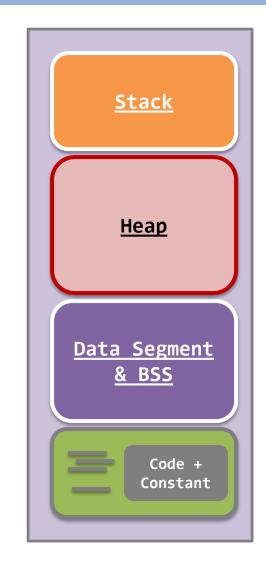
- Address space;
- Code & constants;
- Data segment;
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- Heap;
- Segmentation fault;



Dynamically allocated memory – properties

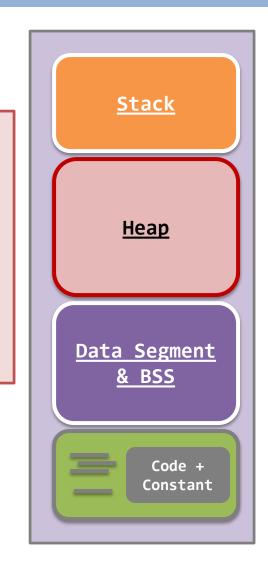
- Its name tells you its nature:
 - The dynamically allocated memory is called the heap.
 - Don't mix it up with the binary heap;
 - It has nothing to do with the binary heap.
 - **Dynamic**: not defined at compile time.

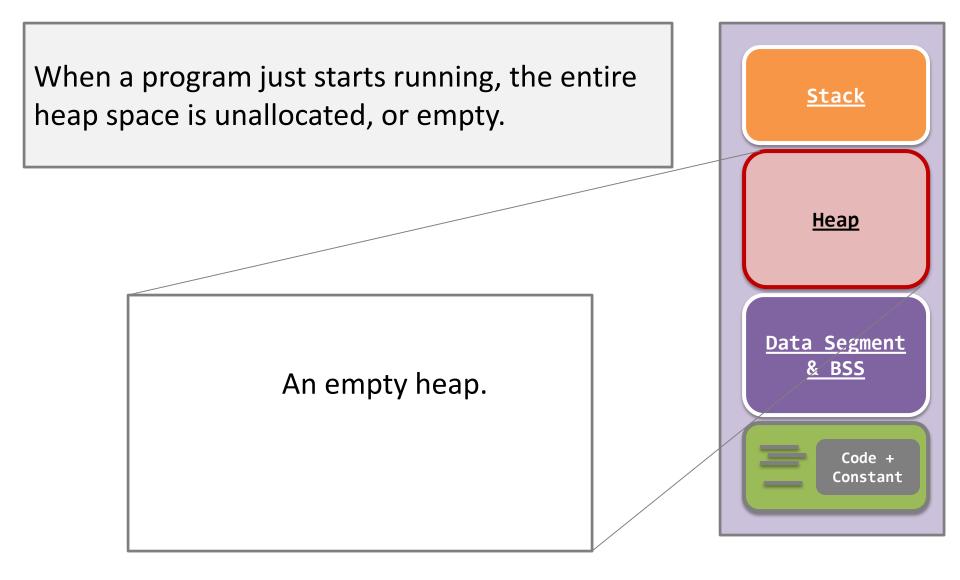
 Allocation: only when you <u>ask for</u> <u>memory, you would be allocated the</u> <u>memory.</u>

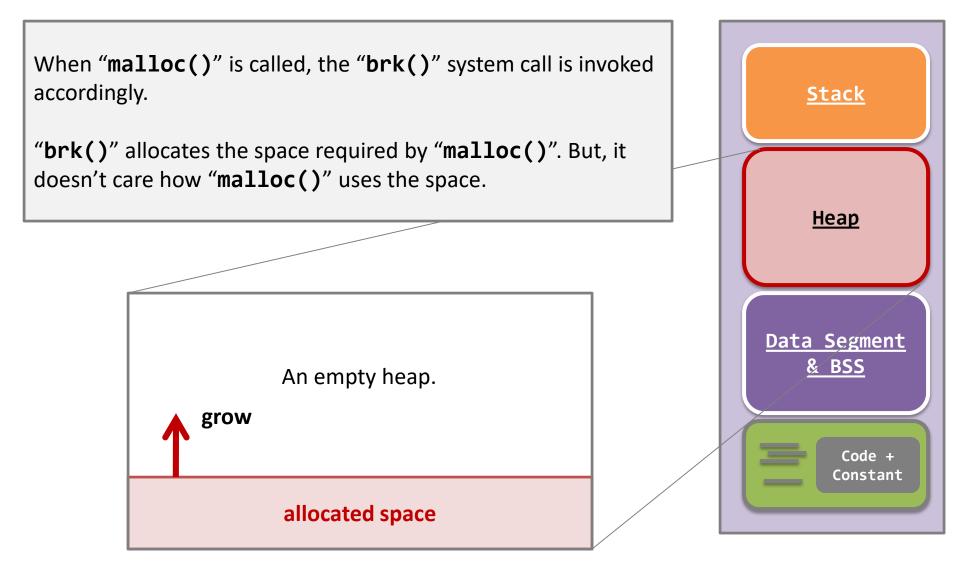


Dynamically allocated memory – properties

- Lecturers of a programming course would tell you the following:
 - *"malloc()"* is a function that allocates memory for you.
 - *"free()"* is a function that gives up a piece of memory that is produced by previous *"malloc()"* call.
- The lecturer of the OS course is <u>to define</u> and to defy what you know about the malloc() and free() library functions.



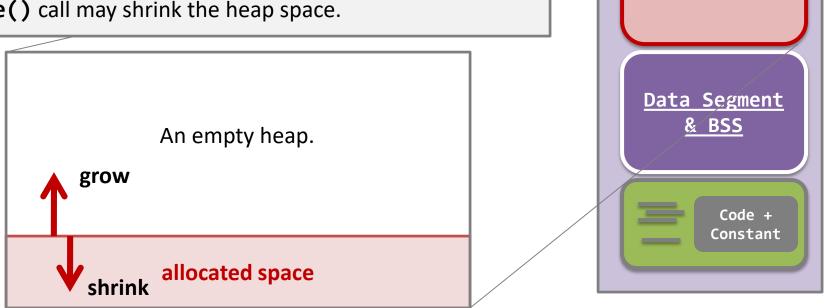




The allocated space growing or shrinking depends on the further actions of the process. That means the "brk()" system call can grow or shrink the allocated area.

In **malloc()**, the library call just invoke **brk()** for growing the heap space.

The **free()** call may shrink the heap space.



Stack

Heap

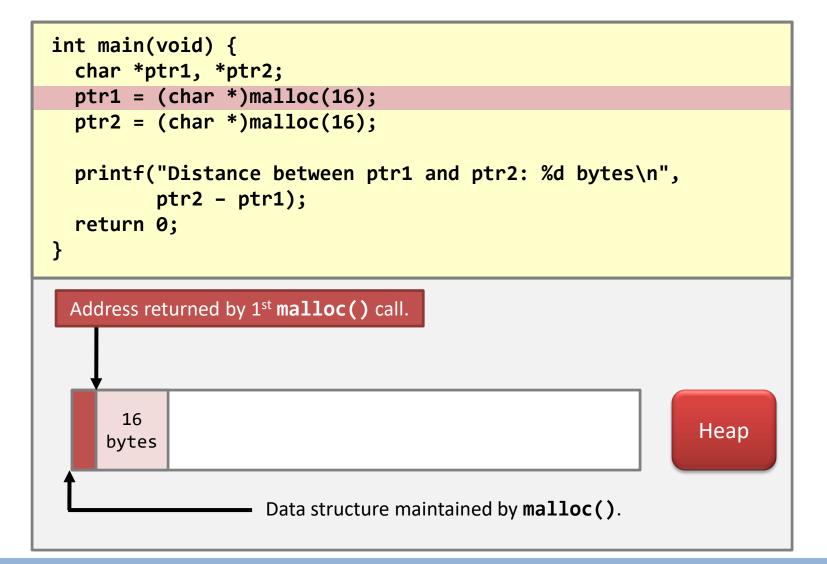
```
int main(void) {
   char *ptr1, *ptr2;
   ptr1 = (char *)malloc(16);
   ptr2 = (char *)malloc(16);
```

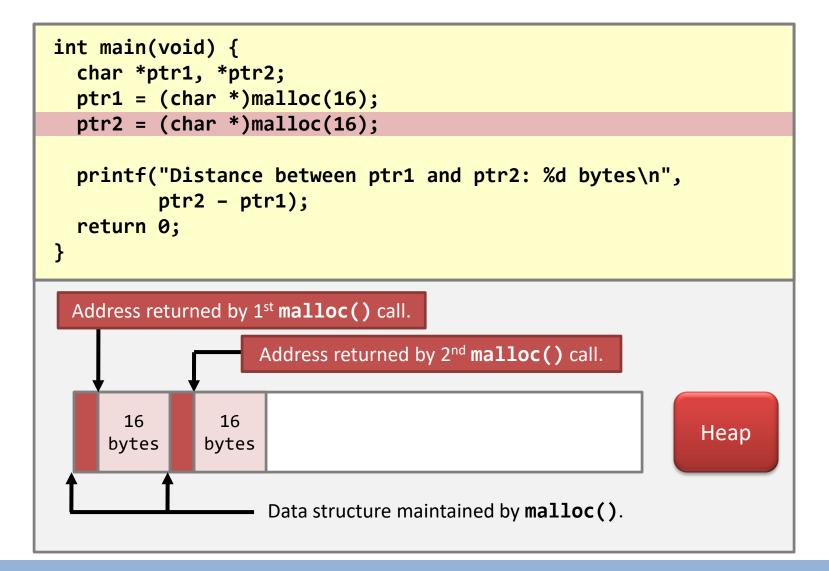
```
}
```

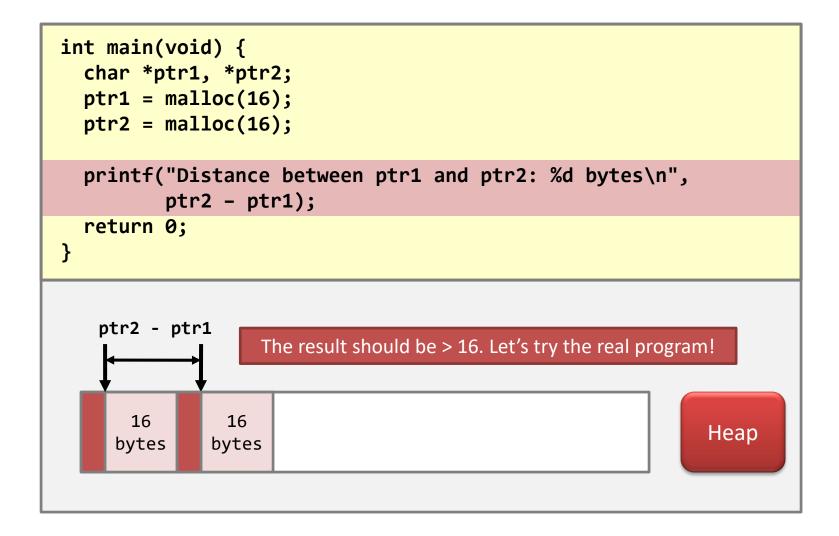
The return value of **malloc()** is of type "**void** *", which means it is just a memory address only, and can be of any data types.

Such a memory address is the starting address of a piece of memory of 16 bytes ("16" is the request of **malloc()** call).

Неар

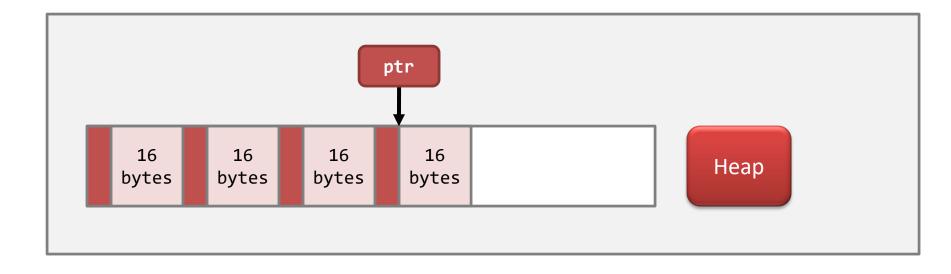




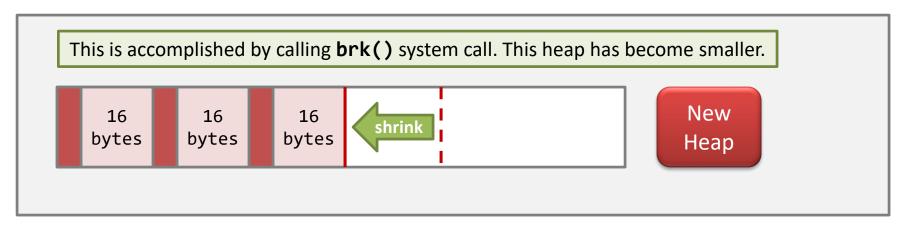


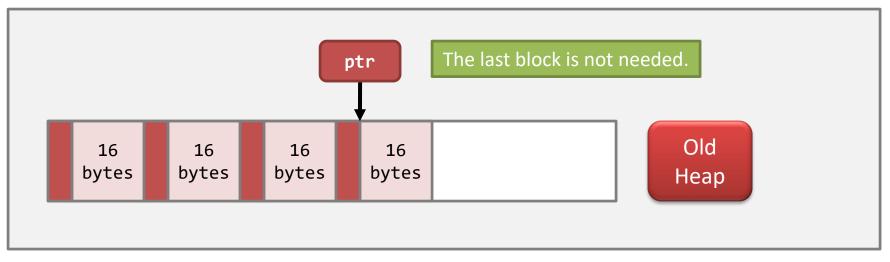
free()

- "free()" <u>seems to</u> be the opposite to "malloc()":
 - It de-allocates any allocated memory.
 - When a program calls "free(ptr)", then the address "ptr" must be the start of a piece of memory obtained by a previous "malloc()" call.

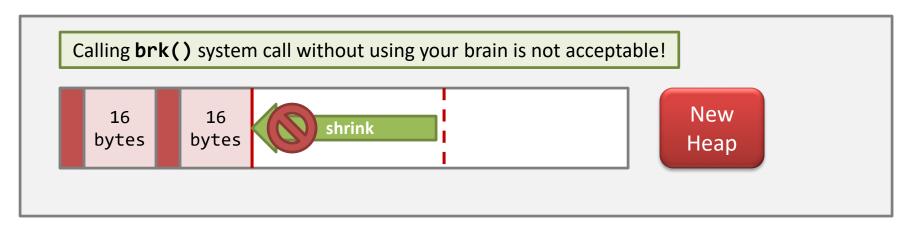


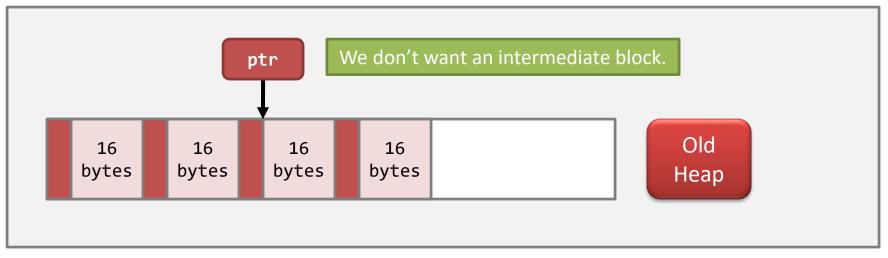
• Case #1: de-allocating the last block.



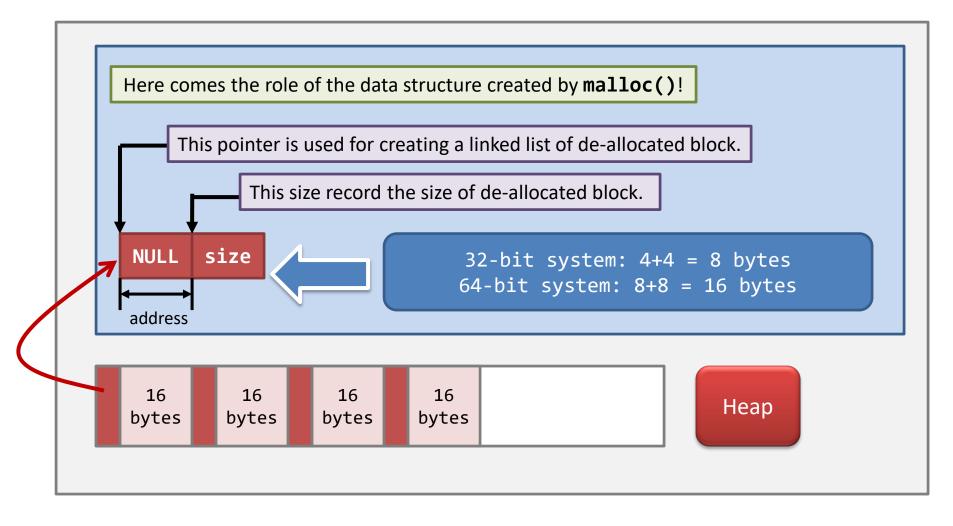


• Case #2: de-allocating an intermediate block.

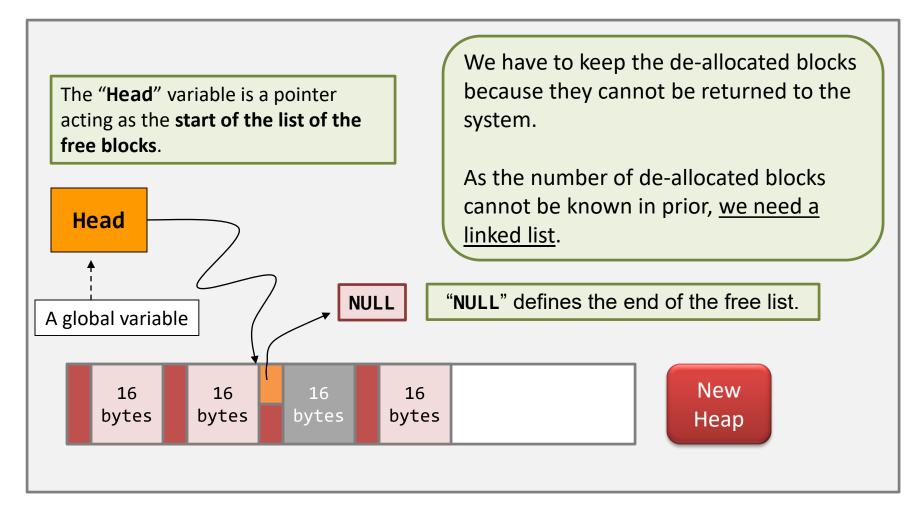




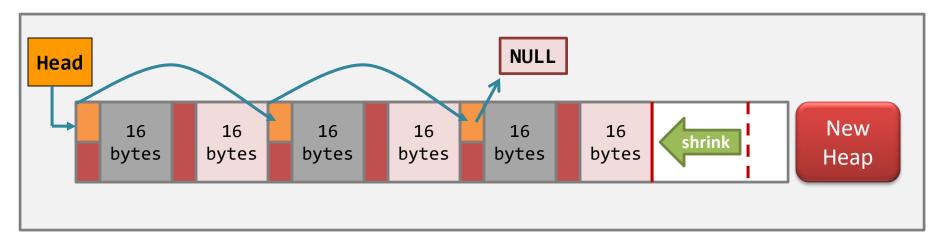
• Case #2: de-allocating an intermediate block.

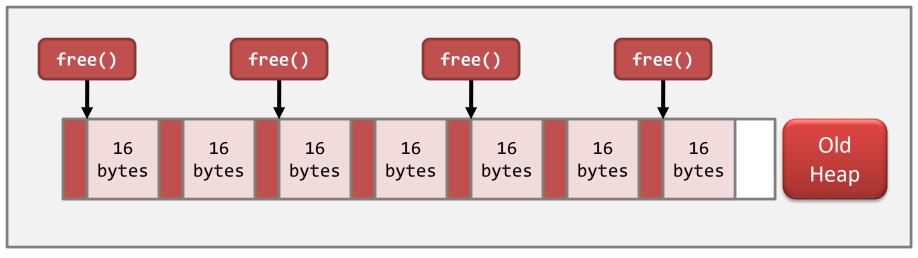


• Case #2: de-allocating an intermediate block.



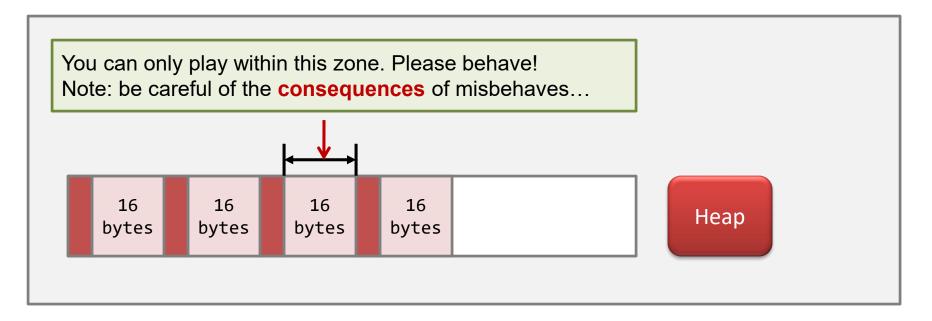
• Case #2: another example.





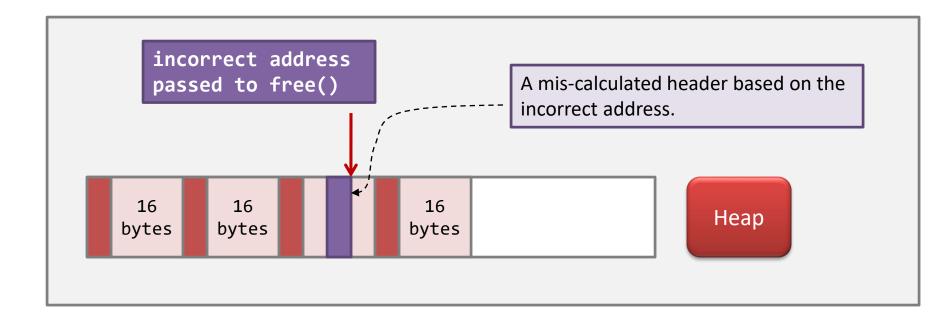
free() - cautions

- The calling program is **assumed** to be carefully written.
 - After malloc() has been invoked, the program should read and write inside the requested area only.
 - Now, you know why you'd have troubles when you write data outside the allocated space.



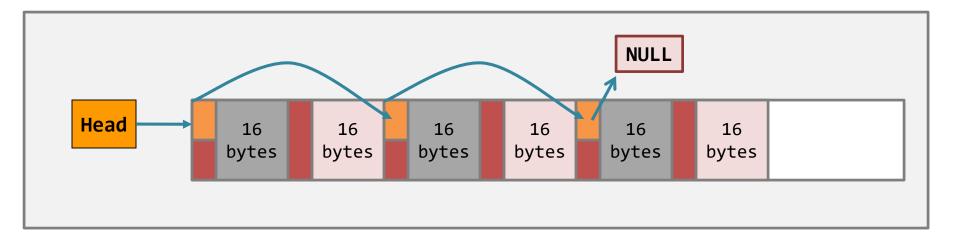
free() - cautions

- The calling program is assumed to be carefully written.
 When free() is called, the program should provide free() with the correct address...
 - i.e., the address previously returned by a malloc() call.



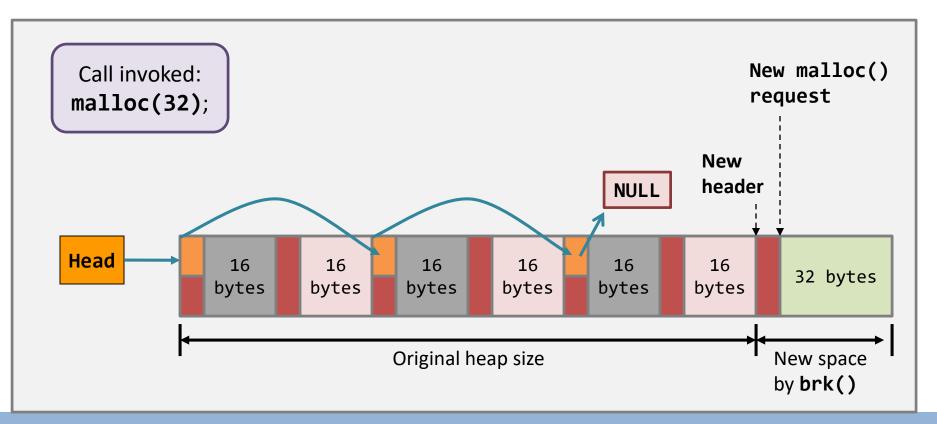
When malloc() meets free blocks...

- Problem: whether to use the free blocks or not?
 - Is there any free block that is <u>large enough</u> to satisfy the need of the malloc() call?



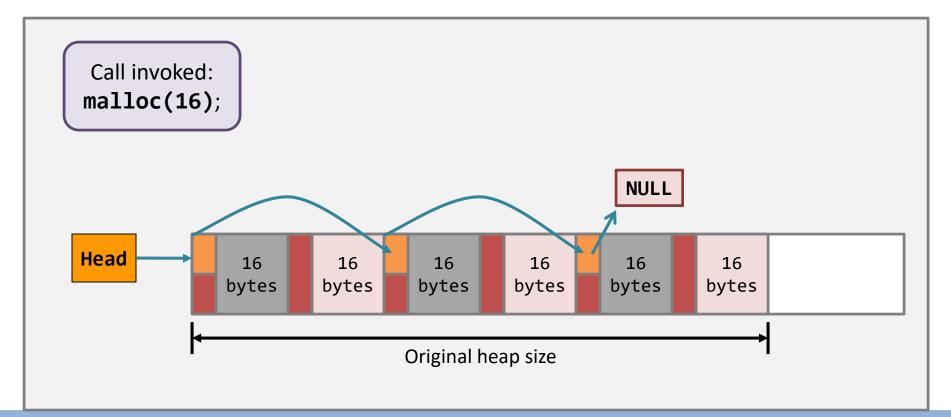
When malloc() meets free blocks...case #1

- Case #1: if there is **no suitable free block**...
 - then, the malloc() function should call brk() system call...in order to claim more heap space.



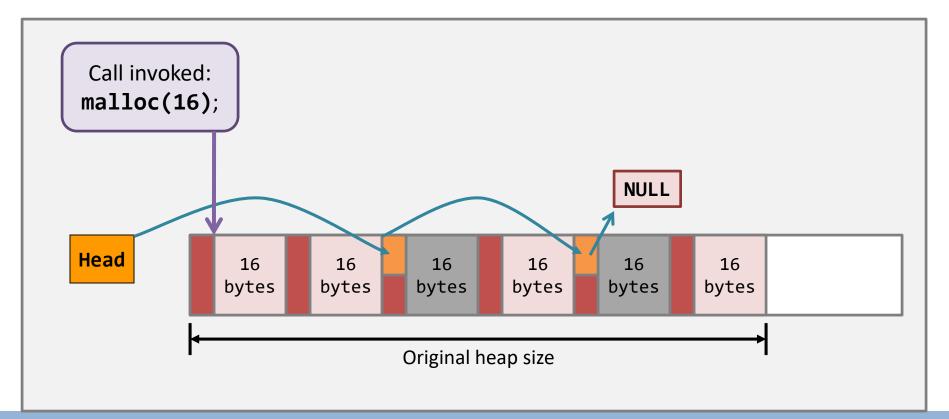
When malloc() meets free blocks...case #2

• Case #2: if there is a suitable free block



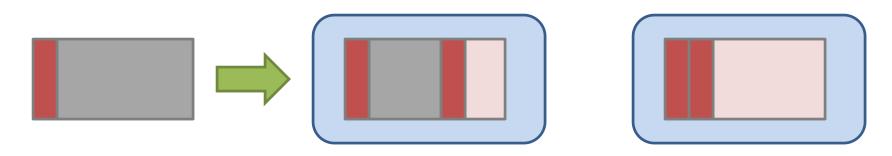
When malloc() meets free blocks...case #2

Case #2: if there is a suitable free block
 – the malloc() function should reuse that free block.



When malloc() meets free blocks...

- There can be other cases:
 - A malloc() request that takes a partial block;
 - A malloc() request that takes a partial block, but leaving no space in the previously free block.



- We will skip those subtle cases...
 - It boils to implementation only...
 - You already have the **big picture** about **malloc()** and **free()**.

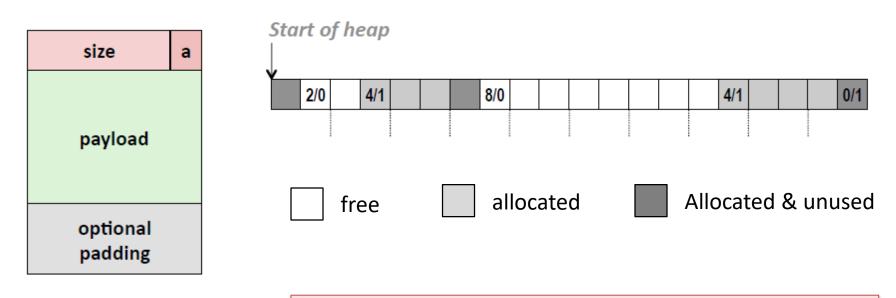
When malloc() meets free blocks...

• Now, let us look at some implementations...

Implicit free list

Needs two information for each block

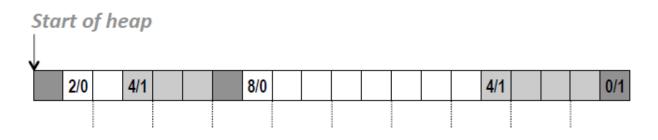
 – size & is_allocated



How about memory allocation and free?

Implicit free list

• **Contiguous Allocation**: May need linear time search



<u>First fit:</u> allocate the first hole that is big enough (fast)

Next fit: similar to first fit, but start where previous search finishes

Best fit: allocate the smallest hole that is big enough (helps

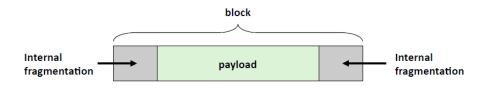
fragmentation, larger search time)

Worst fit: allocate the largest hole

- Allocate the whole block or splitting

Fragmentation

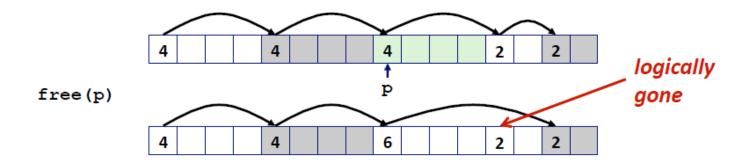
- External fragmentation
 - The heap memory looks like a map with many holes
 - It is the source of inefficiency because of the unavoidable search for suitable space
 - Sol: Compaction (need to move data to merge free mem)
- Internal fragmentation
 - Payload is smaller than allocated block size



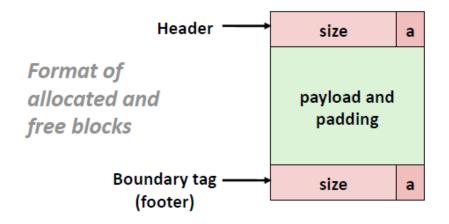
Implicit free list

• Free: Coalescing

- Coalescing with next block: easy

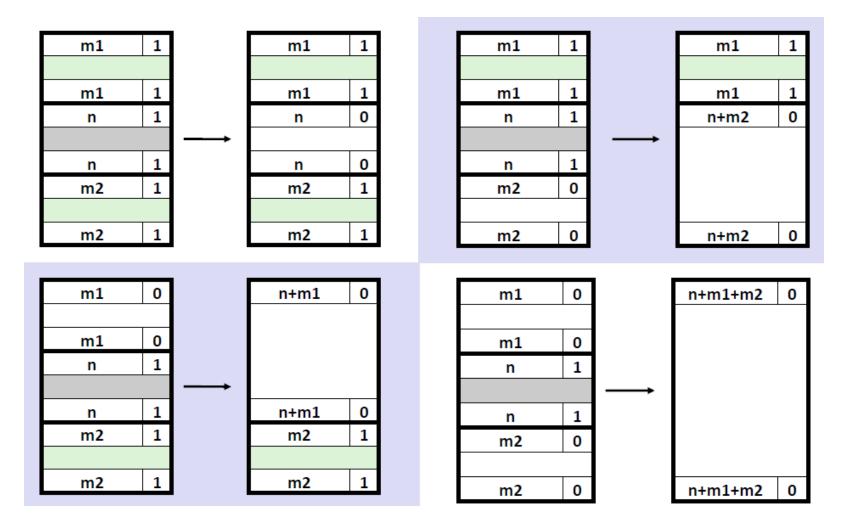


- How about coalescing with previous block?
 - [Knuth 73] Add a boundary tag in the footer



Implicit free list

• Constant time coalescing w/ boundary tag (4 cases)



Implicit free list: summary

- May not be used in practical malloc() and free() implementations
 - High memory allocation cost
- Some ideas are still useful and important
 - Splitting available blocks
 - Boundary tag

Explicit free list

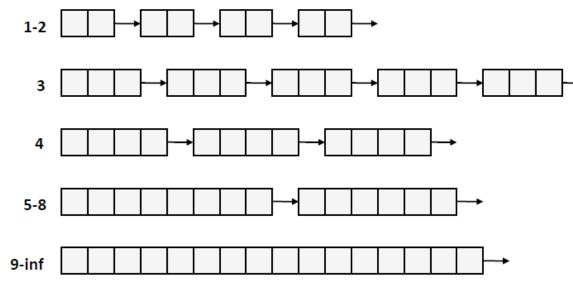


- Track only free blocks (LIFO or address-ordered)
- Block splitting is useful in allocation
- Boundary tag is still useful in coalescing

Segregated free list

• Segregated free list (分离空闲链表)

Different free lists for different size classes



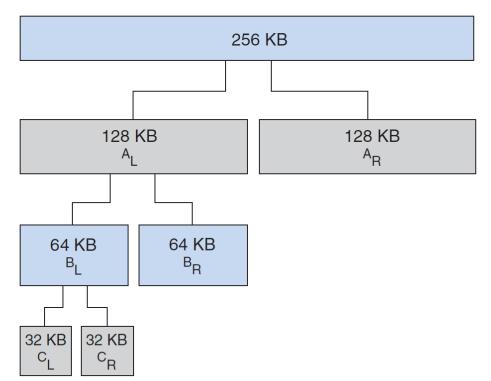
– Allocation

- Search appropriate list (larger size)
- Found and split
- Not found: search next



Segregated free list

- Special example
 - Buddy system (power-of-two block size)



physically contiguous pages

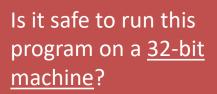
Issues raised by malloc() and free()

- The kernel knows how much memory should be given to the heap.
 - When you call **brk()**, the kernel <u>tries</u> to find the memory for you.
- Then...one natural question...
 - Is it possible to **run out of memory (OOM)**?

Out of memory?

• Try this!

```
#define ONE MEG 1024 * 1024
int main(void) {
    void *ptr;
    int counter = 0;
    while(1) {
        ptr = malloc(ONE_MEG);
        if(!ptr)
            break;
        counter++;
        printf("Allocated %d MB\n", counter);
    }
    return 0;
}
```



What is the output?

```
Allocated 3052 MB
Allocated 3053 MB
Allocated 3054 MB
Allocated 3055 MB
linux2:/uac/rshr/ykli>
```

Out of memory?

• On 32-bit Linux, why does the OOM generator stop at around 3055MB?

- Still remember what we said when we are talking about data segment?
 - Every 32-bit Linux system has an addressable memory space of 4G-1 bytes.
 - The kernel reserves 1GB addressing space.

Out of memory?

• Try this! Yet another OOM Generator!

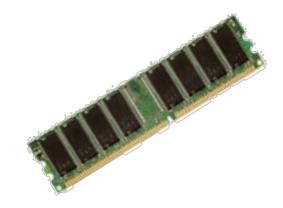
```
#define ONE MEG 1024 * 1024
char global[1024 * ONE_MEG];
int main(void) {
    void *ptr;
    int counter = 0;
    char local[8000 * 1024];
    while(1) {
                                                         Yet, what is the output?
        ptr = malloc(ONE MEG);
        if(!ptr)
            break;
        counter++;
                                                         Allocated 3044 MB
        printf("Allocated %d MB\n", counter);
                                                         Allocated 3045 MB
    }
                                                         Allocated 3046 MB
                                                         Allocated 3047 MB
                                                         linux2:/uac/rshr/vkli>
    return 0;
}
```

Real OOM!

```
Warning #1. Don't run this program on
#define ONE MEG 1024 * 1024
                                        any department's machines.
int main(void) {
    void *ptr;
                                       Warning #2. Don't run this program
    int counter = 0;
                                       when you have important tasks running
                                        at the same time.
    while(1) {
        ptr = malloc(ONE MEG);
        if(!ptr)
            break;
        memset(ptr, 0, ONE MEG);
        counter++;
        printf("Allocated %d MB\n", counter);
    }
                                                    Lazy allocation
                                             That is why previous programs
    return 0;
                                                     run very fast.
```

User-space memory management

- Address space;
- Code & constants;
- Data segment;
- Stack;
- Heap;
- Segmentation fault;



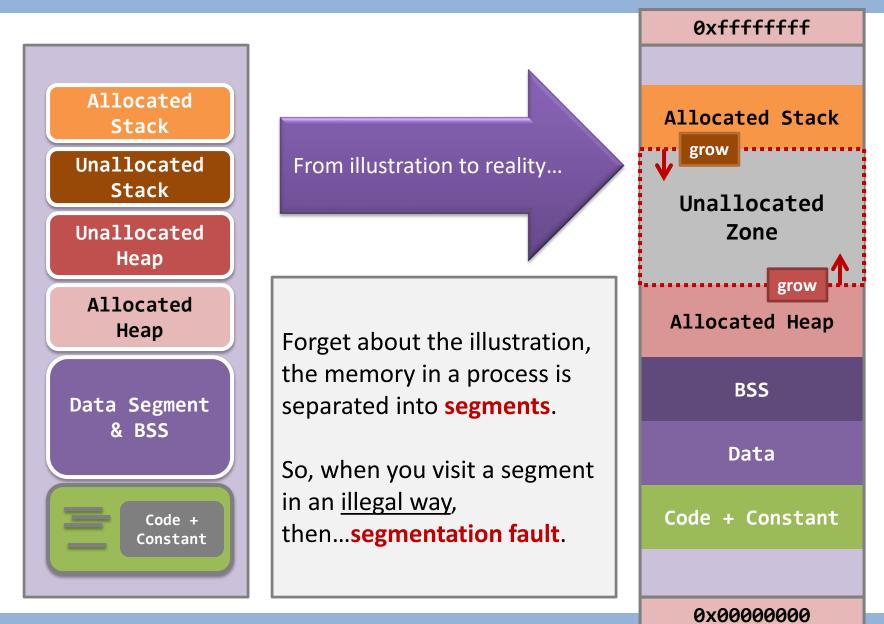
What is segmentation fault?

• Someone must have told you:

 When you are accessing a piece of memory that is not allowed to be accessed, then the OS returns you an error called – segmentation fault.

 As a matter of fact, <u>how many ways</u> are there to generate a segmentation fault?

What is segmentation fault?



How to "segmentation fault"?

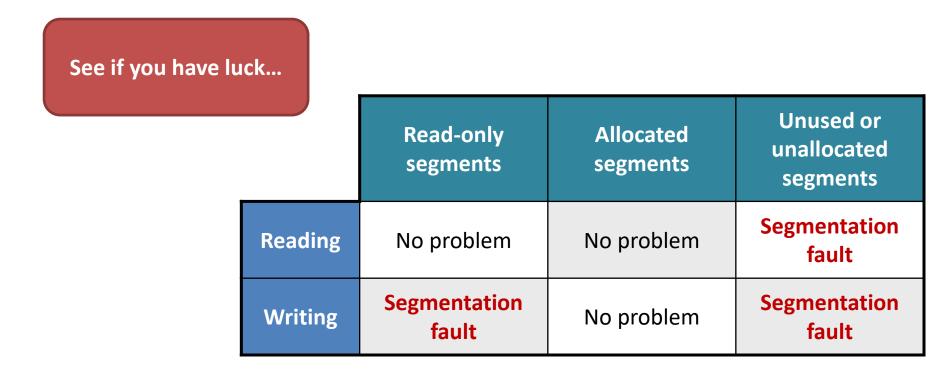
Read	0xffffffff	Write
YES	Unusable	YES
NO	Allocated Stack	NO
YES	Unallocated Zone	YES
NO	Allocated Heap	NO
NO	BSS	NO
NO	Data	NO
NO	Code + Constant YES	
YES	Unusable	YES
	0x00000000	

How to "segmentation fault"?

Read	0xffffffff	Write	Now, we can understand:
YES	Unusable	YES	
NO	Allocated Stack	NO	<pre>char *ptr = NULL; char c = *ptr;</pre>
YES	Unallocated Zone	YES	will generates Segmentation fault
NO	Allocated Heap	NO	
NO	BSS	NO	$NULL = 0 \times 00000000$
NO	Data	NO	
NO	Code + Constant	YES	*ptr is reading
YES	Unusable	YES	
	0x00000000		

Summary of segmentation fault

 When you have a so-called address (maybe it is just a random sequence of 4 bytes), one of the following cases happens:



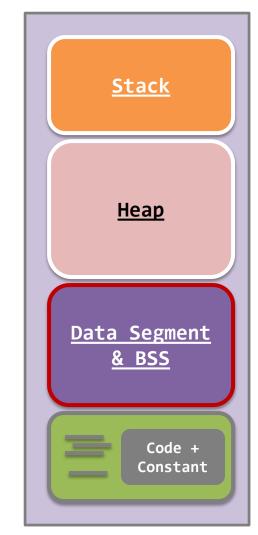
Summary of segmentation fault

 Now, you know what is a segmentation fault, and the cause is always carelessness!

- Now, you know why "free()" sometimes give you segmentation fault...
 - because you corrupt the list of free blocks!
- Now, you know why "malloc()"-ing a space that is smaller than required is ok...
 - because you are overwriting the neighboring blocks!

Summary of part 1

- Memory of a process is divided into segments (segmentation):
 - codes and constants;
 - global and static variables;
 - allocated memory (or heap);
 - local variables (or stack);
- When you access a memory that is not allowed, then the OS returns you segmentation fault
- Every process' segments are independent and distinct.



Summary of part 1

• The dynamically allocated memory is not as simple as you learned before.

- Allocating large memory blocks is not efficient; instead, allocating small memory blocks can make use of the holes in the heap memory efficiently.
- Keep calling malloc() without calling free() is dangerous...
 - because there is no garbage collector in C or the OS...
 - OOM error awaits you!

End of part 1