

# Algebraic Data Types: $L^{\times,+,\rightarrow}$

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# References

- [PFPL](#)
  - Chapters:
    - 9 System T of Higher-order Recursion
    - 10 Product Types, 11 Sum Types  
(Void & Unit, Boolean, Enumerate, Options)
- [TAPL](#)
- [The algebra \(and calculus!\) of algebraic data types](#)

# Outline

- Total Functions
  - System T of Higher-order Recursion
- Finite Data Types
  - Product type
  - Sum type

Algebraic Data Types:  $L^{\times,+,\rightarrow}$

# Outline

- Total Functions
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Algebraic Data Types:  $L^{\times,+,\rightarrow}$

# System T: Gödel's T

- **E**:  $L^{\text{num, str}}$ ; **T**:  $L^{\text{nat}, \rightarrow}$  (primitive recursion, 原始递归式)

- **Syntax**

	抽象语法	具体语法		
Typ $\tau ::=$	nat	nat	naturals	自然数类型
	arr( $\tau_1; \tau_2$ )	$\tau_1 \rightarrow \tau_2$	function	函数类型
Exp $e ::=$	$x$	$x$	variable	变量
introduction	z	z	zero	零
		s( $e$ )	successor	后继
elimination	rec{ $e_0; x.y.e_1$ }( $e$ )	rec $e$ {z $\hookrightarrow e_0$   s( $x$ ) with $y \hookrightarrow e_1$ }	recursion	递归式
		$\lambda$ am{ $\tau$ }( $x.e$ )	$\lambda(x : \tau) e$	abstraction
	ap( $e_1; e_2$ )	$e_1(e_2)$	application	应用

- 对于每种类型（如nat），有如下两类表达式

- 引入形式(introduction): 引入这种类型的值，如z和s(e)
- 消去形式(elimination): 使用这种类型的值，如rec递归式

# System T: Gödel's T

- $E: L^{\text{num, str}}$ ;  $T: L^{\text{nat}, \rightarrow}$  (primitive recursion, 原始递归)
- Syntax
  - 递归式 Recursor
    - $\text{rec}\{e_0; x. y. e_1\}(e)$        $\text{rec } e\{z \hookrightarrow e_0 | s(x) \text{ with } y \hookrightarrow e_1\}$
    - $e$ -fold iteration of the transformation  $x. y. e_1$  starting from  $e_0$ ,  
 $x$ : predecessor;  $y$ : result of the  $x$ -fold iteration;  $e_1$ : result of recursive call
    - 例: 以求阶乘  $n!$  为例,  $n$ 次迭代  $x. y. e_1$  是从  $e_0 = z!$  (即  $0!$ ) 开始,  $x$  为  $n$  的前驱,  $y$  为  $x$  次迭代结果 (即  $x!$ ),  $e_1$  为递归调用的结果 (即  $n!$ , 为  $s(x) * y$ )
  - Recursor 递归式 vs. Iterator 迭代式  $\text{iter}\{e_0; y. e_1\}(e)$   
迭代式中是  $y. e_1$  而不是  $x. y. e_1$ ,  $y$ : result of the recursive call, no binding for the predecessor  $x$

# System T

- Derivability vs. Admissibility

- Derivability (可导性)  $\Gamma \vdash_R K$

- $K$  is derivable from rules  $R \cup \Gamma$

- Admissibility (可纳性、可容许性)  $\Gamma \vDash_R J$

- $\vdash_R \Gamma$  implies  $\vdash_R J$

if any of the hypotheses  $\Gamma$  are *not* derivable relative to  $R$ ,  
then  $J$  is *vacuously* true

- If  $\Gamma \vdash_R J$ , then  $\Gamma \vDash_R J$ ; but the converse fails

zero even

$\frac{b \text{ odd}}{\text{succ}(b) \text{ even}} \quad (2.8)$

$\frac{a \text{ even}}{\text{succ}(a) \text{ odd}}$

$\text{succ}(\text{zero}) \text{ even} \not\vdash_{(2.8)} \text{zero odd}$ , 不满足可导性

$\text{succ}(\text{zero}) \text{ even} \vDash_{(2.8)} \text{zero odd}$

满足可纳性(valid), because the hypothesis is false

$\text{succ}(\text{zero}) \text{ even} \vdash_{(2.8)} \text{succ}(\text{succ}(\text{zero})) \text{ odd}$

# System T

- Statics of T

$$\frac{}{\Gamma \vdash z: \text{nat}} \quad \frac{\Gamma \vdash e: \text{nat}}{\Gamma \vdash s(e): \text{nat}} \quad \frac{\Gamma, x: \tau_1 \vdash e: \tau_2}{\Gamma \vdash \text{lam}\{\tau_1\}(x. e): \text{arr}(\tau_1; \tau_2)}$$

假设 $\Gamma$ 下可推导出 $e$ 是 $\text{nat}$ 类型、 $e_0: \tau$ ， $\Gamma, x: \text{nat}, y: \tau$ 下可推导出 $e_1: \tau$ ，则 $\Gamma$ 下可推导出递归式 $\text{rec}\{e_0; x. y. e_1\}(e)$ 是 $\tau$ 类型

$$\frac{\Gamma \vdash e: \text{nat} \quad \Gamma \vdash e_0: \tau \quad \Gamma, x: \text{nat}, y: \tau \vdash e_1: \tau}{\Gamma \vdash \text{rec}\{e_0; x. y. e_1\}(e): \tau}$$

$$\frac{\Gamma \vdash e_1: \text{arr}(\tau_2; \tau) \quad \Gamma \vdash e_2: \tau_2}{\Gamma \vdash \text{ap}(e_1; e_2): \tau}$$

- Substitution (Admissibility)

If  $\Gamma \vdash e: \tau$  and  $\Gamma, x: \tau \vdash e': \tau'$ , then  $\Gamma \vdash [e/x]e': \tau'$

注：代换引理是可纳的，因为上面的陈述是 If XX then YY这种蕴含关系



# System T

- Statics of T

$$\frac{}{\Gamma \vdash z: \text{nat}} \quad \frac{\Gamma, x: \tau \vdash x: \tau}{\Gamma \vdash s(e): \text{nat}} \quad \frac{\Gamma \vdash e: \text{nat}}{\Gamma \vdash s(e): \text{nat}}$$

$$\frac{\Gamma, x: \tau_1 \vdash e: \tau_2}{\Gamma \vdash \text{lam}\{\tau_1\}(x. e): \text{arr}(\tau_1; \tau_2)}$$

假设 $\Gamma$ 下可推导出 $e$ 是 $\text{nat}$ 类型、 $e_0: \tau$ ， $\Gamma, x: \text{nat}, y: \tau$ 下可推导出 $e_1: \tau$ ，则 $\Gamma$ 下可推导出递归式 $\text{rec}\{e_0; x. y. e_1\}(e)$ 是 $\tau$ 类型

$$\frac{\Gamma \vdash e: \text{nat} \quad \Gamma \vdash e_0: \tau \quad \Gamma, x: \text{nat}, y: \tau \vdash e_1: \tau}{\Gamma \vdash \text{rec}\{e_0; x. y. e_1\}(e): \tau}$$

$$\frac{\Gamma \vdash e_1: \text{arr}(\tau_2; \tau) \quad \Gamma \vdash e_2: \tau_2}{\Gamma \vdash \text{ap}(e_1; e_2): \tau}$$

- Substitution (Admissibility)

If  $\Gamma \vdash e: \tau$  and  $\Gamma, x: \tau \vdash e': \tau'$ , then  $\Gamma \vdash [e/x]e': \tau'$

- Dynamics ( [...] omitted for lazy dynamics)

- Value

$$\frac{}{z \text{ val}} \quad \frac{[e \text{ val}]}{s(e) \text{ val}} \quad \frac{}{\text{lam}\{\tau\}(x. e) \text{ val}}$$

- Transition

$$\left[ \frac{e \mapsto e'}{s(e) \mapsto s(e')} \right]$$

方括号中的规则包含时代表eager求值，否则为lazy求值

Search transitions  
决定指令执行次序  
Instruction transitions  
基础的求值步

$$\frac{[e_2 \text{ val}]}{\text{ap}(\text{lam}\{\tau\}(x. e); e_2) \mapsto [e_2/x]e}$$

$$\frac{e_1 \mapsto e'_1}{\text{ap}(e_1; e_2) \mapsto \text{ap}(e'_1; e_2)}$$

$$\left[ \frac{e_1 \text{ val} \quad e_2 \mapsto e'_2}{\text{ap}(e_1; e_2) \mapsto \text{ap}(e_1; e'_2)} \right]$$

# System T

- Dynamics ( [...] omitted for lazy dynamics)

- Transition

$$\frac{e \mapsto e'}{\text{rec}\{e_0; x. y. e_1\}(e) \mapsto \text{rec}\{e_0; x. y. e_1\}(e')}$$

$$\frac{}{\text{rec}\{e_0; x. y. e_1\}(z) \mapsto e_0} \quad \frac{s(e) \text{ val}}{\text{rec}\{e_0; x. y. e_1\}(s(e)) \mapsto [e, \text{rec}\{e_0; x. y. e_1\}(e)/x, y]e_1}$$

First evaluate the argument  $e$  of the recursion

If the argument of the recursion is  $z$ , the recursion is evaluated to  $e_0$

If the argument of the recursion is  $s(e)$ , substitute  $x$  with  $e$  and  $y$  with  $\text{rec}\{e_0; x. y. e_1\}(e)$  that appears freely in  $e_1$

# System T

- Dynamics ( [...] omitted for lazy dynamics)

- Transition

$$\frac{e \mapsto e'}{\text{rec}\{e_0; x. y. e_1\}(e) \mapsto \text{rec}\{e_0; x. y. e_1\}(e')}$$

$$\frac{}{\text{rec}\{e_0; x. y. e_1\}(z) \mapsto e_0} \quad \frac{s(e) \text{ val}}{\text{rec}\{e_0; x. y. e_1\}(s(e)) \mapsto [e, \text{rec}\{e_0; x. y. e_1\}(e)/x, y]e_1}$$

- Canonical Forms(范式)

范式引理明确了什么类型具有什么样的值

- If  $e: \tau$  and  $e \text{ val}$ , then

- If  $\tau = \text{nat}$ , then  $e = z$  or  $e = s(e')$  for some  $e'$

- If  $\tau = \tau_1 \rightarrow \tau_2$ , then  $e = \lambda(x: \tau_1)e_2$  for some  $e_2$

- Safety

- If  $e: \tau$  and  $e \mapsto e'$ , then  $e': \tau$       Preservation: 求值中保持类型不变

- If  $e: \tau$ , then either  $e \text{ val}$  or  $e \mapsto e'$  for some  $e'$

Progress: 良类型的表达式或者是值, 或者能被继续求值

# Examples for Recursion

- Doubling

```
datatype nat = z | s of nat
```

```
fun double z = z  
  | double (s x) = s (s (double x))
```

```
fun double e =  
  case e of  
    z => z  
  | s x => let val y = double x in s (s y) end
```

$\text{double} = \lambda(e : \text{nat}) \text{rec}\{z; x.y.s(s(y))\}(e)$      **define double in System T**

$\text{double } s(z) \mapsto \text{rec}\{z; x.y.s(s(y))\}(s(z))$

Application

$\mapsto [z, \text{rec}\{z; x.y.s(s(y))\}(z)/x, y] s(s(y))$

Since  $s(z)$  val

$= s(s(\text{rec}\{z; x.y.s(s(y))\}(z)))$

Substitution

$\mapsto s(s(z))$

By evaluation rule of  $s()$

# Expressiveness of System T

- Function  $f: \mathbb{N} \rightarrow \mathbb{N}$  is **definable** in T, iff  
there exists  $e_f: \text{nat} \rightarrow \text{nat}$  such that for all  $n \in \mathbb{N}$ ,  $e_f(\bar{n}) \equiv \overline{f(n)}: \text{nat}$

- Definitional equality for T**,  $\Gamma \vdash e \equiv e': \tau$

$$\frac{\Gamma, x: \tau_1 \vdash e_2: \tau_2 \quad \Gamma \vdash e_1: \tau_1}{\Gamma \vdash \text{ap}(\text{lam}\{\tau_1\}(x. e_2); e_1) \equiv [e_1/x]e_2: \tau_2} \quad \frac{\Gamma \vdash e_0: \tau \quad \Gamma, x: \text{nat}, y: \tau \vdash e_1: \tau}{\Gamma \vdash \text{rec}\{e_0; x. y. e_1\}(z) \equiv e_0: \tau} \quad (9.5)$$

$$\frac{\Gamma \vdash e_0: \tau \quad \Gamma, x: \text{nat}, y: \tau \vdash e_1: \tau}{\Gamma \vdash \text{rec}\{e_0; x. y. e_1\}(s(e)) \equiv [e, \text{rec}\{e_0; x. y. e_1\}(e)/x, y]e_1: \tau}$$

- Doubling function**  $d(n) = 2 \times n$  is definable in T by

$$e_d: \text{nat} \rightarrow \text{nat} \quad e_d = \lambda(x: \text{nat}) \text{rec } x\{z \hookrightarrow z | s(u) \text{ with } v \hookrightarrow s(s(v))\}$$

**Proof:**  $e_d(\bar{0}) \equiv \bar{0}: \text{nat}$  (basis)

$$\begin{aligned} \text{Assume } e_d(\bar{n}) \equiv \overline{d(n)}: \text{nat} \quad e_d(\overline{n+1}) &\equiv s(s(e_d(\bar{n}))) \equiv s(s(\overline{2 \times n})) \\ &= \overline{2 \times (n+1)} = \overline{d(n+1)} \end{aligned}$$

# Ackermann

- Well-known Ackermann function
  - not first-order primitive recursive
  - but is **higher-order** primitive recursive
- is **incompatible** with the recursion  $\text{rec}\{e_0; x. y. e_1\}(e)$ 
  - its argument need be **deconstructed** at every step
- Ackermann is definable in T
  - $A(m + 1, n)$  iterates  $n$  times  $A(m, -)$ , starting with  $A(m, 1)$
  - Define **it**:  $(\text{nat} \rightarrow \text{nat}) \rightarrow \text{nat} \rightarrow \text{nat} \rightarrow \text{nat}$  as
$$\lambda(f: \text{nat} \rightarrow \text{nat}) \lambda(n: \text{nat}) \text{rec } n \{z \hookrightarrow \text{id} \mid s(x) \text{ with } g \hookrightarrow f \circ g\}$$
    - $\text{id} = \lambda(x: \text{nat})x$ ,  $f \circ g = \lambda(x: \text{nat})f(g(x))$
  - $\text{it}(f)(\bar{n})(\bar{m}) \equiv f^{(n)}(\bar{m}): \text{nat}$

# Ackermann

- Ackermann is definable in  $\mathbf{T}$

- Define  $\mathbf{it}$ :  $(\mathbf{nat} \rightarrow \mathbf{nat}) \rightarrow \mathbf{nat} \rightarrow \mathbf{nat} \rightarrow \mathbf{nat}$  as

$\lambda(f: \mathbf{nat} \rightarrow \mathbf{nat}) \lambda(n: \mathbf{nat}) \text{rec } n \{z \hookrightarrow \mathbf{id} \mid s(x) \text{ with } g \hookrightarrow f \circ g\}$

- $\mathbf{id} = \lambda(x: \mathbf{nat})x$ ,  $f \circ g = \lambda(x: \mathbf{nat})f(g(x))$

- $\mathbf{it}(f)(\bar{n})(\bar{m}) \equiv f^{(n)}(\bar{m}): \mathbf{nat}$

- Ackermann  $e_a: \mathbf{nat} \rightarrow \mathbf{nat} \rightarrow \mathbf{nat}$  is

$\lambda(m: \mathbf{nat}) \text{rec } m \{z \hookrightarrow s \mid s(x) \text{ with } f \hookrightarrow \lambda(n: \mathbf{nat}) \mathbf{it}(f)(n)(f(\bar{1}))\}$

- $e_a(\bar{0})(\bar{n}) \equiv s(\bar{0})$

- $e_a(\overline{m+1})(\bar{0}) \equiv e_a(\bar{m})(\bar{1})$

- $e_a(\overline{m+1})(\overline{n+1}) \equiv e_a(\bar{m})(e_a(s(\bar{m}))(\bar{n}))$

That is, the Ackermann function is definable in  $\mathbf{T}$

# Outline

- Total Functions
  - System T of Higher-order Recursion
- Finite Data Types
  - Product type
  - Sum type

Algebraic Data Types:  $L^{\times,+,\rightarrow}$



# Product Types

- Nullary and Binary products

- Abstract syntax

Typ	$\tau$	::=	unit	unit	nullary product	空积	
			prod( $\tau_1; \tau_2$ )	$\tau_1 \times \tau_2$	binary product	二元积	
Exp	$e$	::=	triv	$\langle \rangle$	null tuple	空元组	introduction
			pair( $e_1; e_2$ )	$\langle e_1, e_2 \rangle$	ordered pair	有序对	introduction
			pr[l]( $e$ )	$e \cdot l$	left projection	左投影	} elimination
			pr[r]( $e$ )	$e \cdot r$	right projection	右投影	

- Examples

$\langle \rangle : \text{unit}$   
 $\langle z, z \rangle : \text{nat} \times \text{nat}$   
 $\langle z, (s(z), s(z)) \rangle : \text{nat} \times (\text{nat} \times \text{nat})$   
 $\langle \lambda(x : \text{nat}) x, \lambda(x : \text{nat} \rightarrow \text{nat}) x \rangle : (\text{nat} \rightarrow \text{nat}) \times ((\text{nat} \rightarrow \text{nat}) \rightarrow \text{nat} \rightarrow \text{nat})$

# Examples

- $\lambda(x: \text{nat} \times \text{nat}). x \cdot l + x \cdot r$
- $\lambda(x: (\text{nat} \rightarrow \text{nat}) \times \text{nat}). x \cdot l \ x \cdot r$
- $(1, (2, (3, 4))): \text{nat} \times (\text{nat} \times (\text{nat} \times \text{nat}))$

# Examples

- $\lambda(x: \text{nat} \times \text{nat}). x \cdot l + x \cdot r$ 
  - 函数：对pair中的两个元素求和
- $\lambda(x: (\text{nat} \rightarrow \text{nat}) \times \text{nat}). x \cdot l \ x \cdot r$ 
  - 函数：接收由一个函数和一个自然数组成的pair，以该自然数为参数调用这个函数
- $(1, (2, (3, 4))): \text{nat} \times (\text{nat} \times (\text{nat} \times \text{nat}))$ 
  - pairs产生4元组

# Nullary and Binary Products

## - Statics

$$\frac{\Gamma \vdash \langle \rangle : \text{unit}}{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : \tau_2} \quad \Gamma \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2$$

$$\frac{\Gamma \vdash e : \tau_1 \times \tau_2}{\Gamma \vdash e \cdot \text{l} : \tau_1}$$

$$\frac{\Gamma \vdash e : \tau_1 \times \tau_2}{\Gamma \vdash e \cdot \text{r} : \tau_2}$$

Typ	$\tau ::= \text{unit}$	unit	nullary product
	$\text{prod}(\tau_1; \tau_2)$	$\tau_1 \times \tau_2$	binary product
Exp	$e ::= \text{triv}$	$\langle \rangle$	null tuple
	$\text{pair}(e_1; e_2)$	$\langle e_1, e_2 \rangle$	ordered pair
	$\text{pr}[\text{l}](e)$	$e \cdot \text{l}$	left projection
	$\text{pr}[\text{r}](e)$	$e \cdot \text{r}$	right projection

Product type can represent *structure type in C*

## - Dynamics

Values  
introduction

$$\left\{ \begin{array}{l} \overline{\langle \rangle \text{ val}} \\ \frac{[e_1 \text{ val}] \quad [e_2 \text{ val}]}{\langle e_1, e_2 \rangle \text{ val}} \end{array} \right.$$

Search  
transitions  
Elimination

$$\left\{ \begin{array}{l} \frac{e \mapsto e'}{e \cdot \text{l} \mapsto e' \cdot \text{l}} \\ \frac{e \mapsto e'}{e \cdot \text{r} \mapsto e' \cdot \text{r}} \end{array} \right.$$

Search transitions  
(omitted for  
lazy dynamics)

$$\left\{ \begin{array}{l} \left[ \frac{e_1 \mapsto e'_1}{\langle e_1, e_2 \rangle \mapsto \langle e'_1, e_2 \rangle} \right] \\ \left[ \frac{e_1 \text{ val} \quad e_2 \mapsto e'_2}{\langle e_1, e_2 \rangle \mapsto \langle e_1, e'_2 \rangle} \right] \end{array} \right.$$

Instruction  
transitions  
Elimination

$$\left\{ \begin{array}{l} \frac{[e_1 \text{ val}] \quad [e_2 \text{ val}]}{\langle e_1, e_2 \rangle \cdot \text{l} \mapsto e_1} \\ \frac{[e_1 \text{ val}] \quad [e_2 \text{ val}]}{\langle e_1, e_2 \rangle \cdot \text{r} \mapsto e_2} \end{array} \right.$$

# Finite Products

Product type can represent *structure type in C*

- Syntax

Typ	$\tau$	::=	$\text{prod}(\{i \hookrightarrow \tau_i\}_{i \in I})$	$\langle \tau_i \rangle_{i \in I}$	product
Exp	$e$	::=	$\text{tpl}(\{i \hookrightarrow e_i\}_{i \in I})$	$\langle e_i \rangle_{i \in I}$	tuple
			$\text{pr}[i](e)$	$e \cdot i$	projection

可以称为带标签的积类型, 或者记录类型  
 $I$  是字段名 (或域名) 集合

- Statics

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \dots \quad \Gamma \vdash e_n : \tau_n}{\Gamma \vdash \langle i_1 \hookrightarrow e_1, \dots, i_n \hookrightarrow e_n \rangle : \langle i_1 \hookrightarrow \tau_1, \dots, i_n \hookrightarrow \tau_n \rangle} \quad (10.3a)$$

$$\frac{\Gamma \vdash e : \langle i_1 \hookrightarrow \tau_1, \dots, i_n \hookrightarrow \tau_n \rangle \quad (1 \leq k \leq n)}{\Gamma \vdash e \cdot i_k : \tau_k} \quad (10.3b)$$

- Dynamics

$$\frac{[e_1 \text{ val} \quad \dots \quad e_n \text{ val}]}{\langle i_1 \hookrightarrow e_1, \dots, i_n \hookrightarrow e_n \rangle \text{ val}} \quad (10.4a)$$

$$\left[ \frac{\left\{ \begin{array}{l} e_1 \text{ val} \quad \dots \quad e_{j-1} \text{ val} \quad e'_1 = e_1 \quad \dots \quad e'_{j-1} = e_{j-1} \\ e_j \mapsto e'_j \quad e'_{j+1} = e_{j+1} \quad \dots \quad e'_n = e_n \end{array} \right\}}{\langle i_1 \hookrightarrow e_1, \dots, i_n \hookrightarrow e_n \rangle \mapsto \langle i_1 \hookrightarrow e'_1, \dots, i_n \hookrightarrow e'_n \rangle} \right] \quad (10.4b)$$

$$\frac{e \mapsto e'}{e \cdot i \mapsto e' \cdot i} \quad (10.4c)$$

$$\frac{[\langle i_1 \hookrightarrow e_1, \dots, i_n \hookrightarrow e_n \rangle \text{ val}]}{\langle i_1 \hookrightarrow e_1, \dots, i_n \hookrightarrow e_n \rangle \cdot i_k \mapsto e_k} \quad (10.4d)$$

# Nullary and Binary Sum

- Syntax

对 $\tau_1$ 类型的表达式 $e$ 通过左注入(injection)构造器, 构造出和类型 $\tau_1 + \tau_2$ 的值

Typ $\tau ::=$	void	void	nullary sum
	sum( $\tau_1; \tau_2$ )	$\tau_1 + \tau_2$	binary sum
Exp $e ::=$	abort{ $\tau$ }( $e$ )	abort( $e$ )	Elimination of void abort
introduction	{	in[l]{ $\tau_1; \tau_2$ }( $e$ )	$l \cdot e$ left injection
		in[r]{ $\tau_1; \tau_2$ }( $e$ )	$r \cdot e$ right injection
elimination		case( $e; x_1.e_1; x_2.e_2$ )	case $e \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\}$ case analysis

- Examples

$l \cdot z : \text{nat} + \tau$   
 $r \cdot s(z) : \tau + \text{nat}$

$l \cdot r \cdot \lambda(x : \text{nat}) x : (\tau_1 + (\text{nat} \rightarrow \text{nat})) + \tau_2$

case  $l\{\text{nat} \rightarrow \text{nat}; \text{nat}\}$  ·  $\lambda(x : \text{nat}) x$  { $l \cdot x_1 \hookrightarrow x_1(z)$  |  $r \cdot x_2 \hookrightarrow x_2$ }

和类型的值  
(通过左注入构造的)

$\text{bool} \triangleq \text{unit} + \text{unit}$   
 $\text{true} \triangleq l \cdot \langle \rangle$   
 $\text{false} \triangleq r \cdot \langle \rangle$

# Nullary and Binary Sum

- Statics

Typ	$\tau ::= \text{void}$	$\text{void}$
	$\text{sum}(\tau_1; \tau_2)$	$\tau_1 + \tau_2$
Exp	$e ::= \text{abort}\{\tau\}(e)$	$\text{abort}(e)$
	$\text{in}[l]\{\tau_1; \tau_2\}(e)$	$l \cdot e$
	$\text{in}[r]\{\tau_1; \tau_2\}(e)$	$r \cdot e$
	$\text{case}(e; x_1.e_1; x_2.e_2)$	$\text{case } e \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\}$

$$\frac{\Gamma \vdash e : \text{void}}{\Gamma \vdash \text{abort}(e) : \tau}$$

$$\frac{\Gamma \vdash e : \tau_1}{\Gamma \vdash l \cdot e : \tau_1 + \tau_2}$$

$$\frac{\Gamma \vdash e : \tau_2}{\Gamma \vdash r \cdot e : \tau_1 + \tau_2}$$

$$\frac{\Gamma \vdash e : \tau_1 + \tau_2 \quad \Gamma, x_1 : \tau_1 \vdash e_1 : \tau \quad \Gamma, x_2 : \tau_2 \vdash e_2 : \tau}{\Gamma \vdash \text{case } e \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\} : \tau}$$

Sum type can represent *union type in C*

- Dynamics

$$\frac{e \mapsto e'}{\text{abort}(e) \mapsto \text{abort}(e')}$$

$$\frac{[e \text{ val}]}{l \cdot e \text{ val}} \quad \left[ \frac{e \mapsto e'}{l \cdot e \mapsto l \cdot e'} \right]$$

$$\frac{[e \text{ val}]}{r \cdot e \text{ val}} \quad \left[ \frac{e \mapsto e'}{r \cdot e \mapsto r \cdot e'} \right]$$

$$\frac{e \mapsto e'}{\text{case } e \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\} \mapsto \text{case } e' \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\}}$$

$$\frac{[e \text{ val}]}{\text{case } l \cdot e \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\} \mapsto [e/x_1]e_1}$$

$$\frac{[e \text{ val}]}{\text{case } r \cdot e \{l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2\} \mapsto [e/x_2]e_2}$$

# Finite Sums

- **Syntax**

Typ	$\tau$	$::=$	$\text{sum}(\{i \hookrightarrow \tau_i\}_{i \in I})$	$[\tau_i]_{i \in I}$		sum
Exp	$e$	$::=$	$\text{in}[i]\{\vec{\tau}\}(e)$	$i \cdot e$		injection
			$\text{case}(e; \{i \hookrightarrow x_i \cdot e_i\}_{i \in I})$	$\text{case } e \{i \cdot x_i \hookrightarrow e_i\}_{i \in I}$		case analysis

- **Statics**

$$\frac{\Gamma \vdash e : \tau_k \quad (1 \leq k \leq n)}{\Gamma \vdash i_k \cdot e : [i_1 \hookrightarrow \tau_1, \dots, i_n \hookrightarrow \tau_n]} \quad (11.3a)$$

$$\frac{\Gamma \vdash e : [i_1 \hookrightarrow \tau_1, \dots, i_n \hookrightarrow \tau_n] \quad \Gamma, x_1 : \tau_1 \vdash e_1 : \tau \quad \dots \quad \Gamma, x_n : \tau_n \vdash e_n : \tau}{\Gamma \vdash \text{case } e \{i_1 \cdot x_1 \hookrightarrow e_1 \mid \dots \mid i_n \cdot x_n \hookrightarrow e_n\} : \tau} \quad (11.3b)$$

- **Dynamics**

$$\frac{[e \text{ val}]}{i \cdot e \text{ val}} \quad (11.4a)$$

$$\left[ \frac{e \mapsto e'}{i \cdot e \mapsto i \cdot e'} \right] \quad (11.4b)$$

$$\frac{e \mapsto e'}{\text{case } e \{i \cdot x_i \hookrightarrow e_i\}_{i \in I} \mapsto \text{case } e' \{i \cdot x_i \hookrightarrow e_i\}_{i \in I}} \quad (11.4c)$$

$$\frac{i \cdot e \text{ val}}{\text{case } i \cdot e \{i \cdot x_i \hookrightarrow e_i\}_{i \in I} \mapsto [e/x_i]e_i} \quad (11.4d)$$



# Type Algebra

- **void** and **unit** types (nullary sum and product)
  - $|\tau \times \text{unit}| = |\tau|$  ,  $|\tau|$ 表示  $\tau$ 类型值的个数
  - $|\tau + \text{void}| = |\tau|$
  - If  $\tau = \text{bool}$ , then  $\text{bool} \times \text{unit}$  has values:
    - (true, null), (false, null)
    - 将unit 加入pair , 对数据结构并未增加额外的信息
  - $\text{bool} + \text{void}$  has values
    - $\text{in}[1](\text{bool};\text{void})(\text{true})$ ,  $\text{in}[1](\text{bool};\text{void})(\text{false})$

The algebra (and calculus!) of algebraic data types

# Some Useful Sum Types

Exp	$e ::=$	$\text{abort}\{\tau\}(e)$	$\text{abort}(e)$
		$\text{in}[l]\{\tau_1; \tau_2\}(e)$	$l \cdot e$
		$\text{in}[r]\{\tau_1; \tau_2\}(e)$	$r \cdot e$
		$\text{case}(e; x_1.e_1; x_2.e_2)$	$\text{case } e \{ l \cdot x_1 \hookrightarrow e_1 \mid r \cdot x_2 \hookrightarrow e_2 \}$

- Enumerate types

- Type  $\text{card} \triangleq \text{unit} + (\text{unit} + (\text{unit} + \text{unit}))$

- Introduction (values): hearts | spades | diamonds | clubs

- hearts  $\triangleq \text{in}[l](\text{card}) \text{ null}$                        $l \cdot \text{null}$

- spades  $\triangleq \text{in}[r](\text{card}) (\text{in}[l](\text{unit}; \text{unit} + \text{unit}) \text{ null}), r \cdot (l \cdot \text{null})$

- ...

- Elimination

- $\text{case}(\text{hearts}; f_1; f_2; f_3; f_4) = f_1 \text{ null}$

- $\text{case}(\text{spades}; f_1; f_2; f_3; f_4) = f_2 \text{ null}$

- ...

# Some Useful Sum Types

- Option types
  - Type  $\text{option}_\tau \triangleq \text{unit} + \tau$
  - Introduction (values):  $\text{null} \mid \text{just}(M)$ 
    - $\text{null} \triangleq \text{in}[l](\text{option}_\tau) \text{null} \quad l \cdot \text{null}$
    - $\text{just}(M) \triangleq \text{in}[r](\text{option}_\tau) M \quad r \cdot M$
    - ...
  - Elimination
    - Typing  $\text{ifnull}_\tau : \text{option}_\tau \rightarrow (\text{unit} \rightarrow \rho) \rightarrow (\tau \rightarrow \rho) \rightarrow \rho$
    - Forms
      - $\text{ifnull}_\tau \text{ null } \{\lambda_- : \text{unit}. e_1 \mid \lambda x : \tau. e_2\} \mapsto e_1$
      - $\text{ifnull}_\tau \text{ just}(M) \{\lambda_- : \text{unit}. e_1 \mid \lambda x : \tau. e_2\} \mapsto e_2$

# Some Useful Sum Types

- 理解空指针错误——**option**类型的意义之一
  - 在OO语言中，所有对象都是引用(指针)，对象的引用可能为空，不能通过空引用来访问对象的域类型
  - 如何避免空指针错误？
    - 一些语言提供空指针的检测函数  $\text{null}: \tau \rightarrow \text{bool}$   
if null(e) then ...error ... else ...ok ...
  - 但是空指针异常仍然普遍, 原因: **1)**缺少空指针检测; **2)**极少程序的异常处进行空指针检测
  - 解决: 用 $\text{option}_\tau$  描述类型为  $\tau$  的可选值类型, 其值或者为 $\tau$ 类型的值, 或者为空.
    - 消去形式  $\text{ifnull}_\tau e \{ \lambda \_ : \text{unit}. \dots \text{error} \dots \mid \lambda x: \tau \dots \text{ok} \dots \}$

# Primitive Mutual Recursion 原始互递归

- Using products to simplify primitive recursors in T

- T:  $\text{rec}\{e_0; x. y. e_1\}(e)$

- Using products:  $\text{iter}\{e_0; x. e_1\}(e)$ ,

- $x$  : the recursive result on the predecessor

- define  $\text{rec}\{e_0; x. y. e_1\}(e)$  to be  $e' \cdot r$

$$e' \triangleq \text{iter}\{\langle z, e_0 \rangle; x'. \langle s(x'.l), [x'.l, x'.r/x, y]e_1 \rangle\}(e)$$

- Mutual primitive recursion

- $\lambda(n: \text{nat}) \text{iter } n \{z \hookrightarrow \langle 1, 0 \rangle \mid s(b) \hookrightarrow \langle b.r, b.l \rangle\}$

$$e(0) = 1$$

$$o(0) = 0$$

$$e_{\text{ev}} \triangleq \lambda(n: \text{nat}) e_{\text{eo}}(n) \cdot l$$

$$e(n+1) = o(n)$$

$$e_{\text{od}} \triangleq \lambda(n: \text{nat}) e_{\text{eo}}(n) \cdot r.$$

$$o(n+1) = e(n)$$

**THANKS**