



中国科学技术大学
University of Science and Technology of China

Some PL Features: Lua

《程序语言设计和程序分析》

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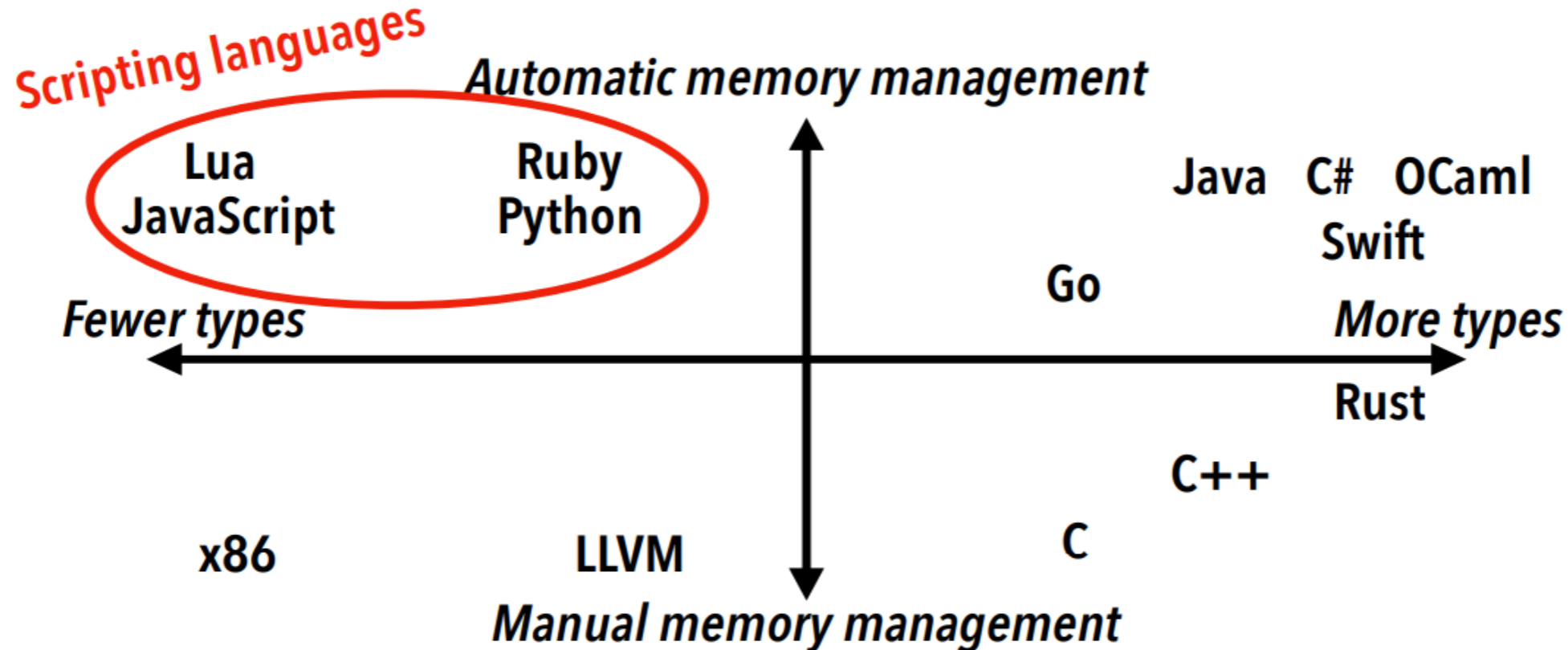
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□ Common features of a scripting language

- Dynamically typed, Garbage collected,
- Rich standard library, Reflection/metaprogramming





Scripting langs: so productive

- **Key idea: encode program information as you go**
 - e.g. type information, data lifetime
 - No one likes commitment! 无需承诺: 先声明再使用

- **Easy to use certain idioms hard to express in static types**
 - Interfaces/duck typing
 - Polymorphism
 - Heterogeneous data structures
 - Extensible classes



Script. langs: semi-specialized

Bash-like scripting languages

- e.g. Python, Perl

- For file manipulation, data crunching, command line parsing

Web scripting languages

- e.g. JavaScript, PHP

- Specialized constructs for dealing with webpages or HTTP requests

Embedded scripting languages

- Mostly just Lua

- Lightweight, easy to build, simple semantics for games, config files



Why Lua?

Lua 葡萄牙语 “月亮”

- Simplest, cleanest scripting language still in use**
- “Correct” scoping**
- No class system (but can build our own!)**
- Easy to learn in a day**
- Born in 1993 at PUC-Rio, Brazil**
- <https://www.lua.org/pil/>**
- Data structure: *tables* (associative arrays)**
- Coroutines, extensible semantics, embedding**

[The Evolution of Lua, HOPL III](#), Jun 9-10, 2007.



□ Lua function

```
-- Recursive impl.  
function fact(n)  
    if n == 0 then  
        return 1  
    else  
        return n * fact(n-1)  
    end  
end
```

```
-- Iterative impl.  
function fact(n)  
    local a = 1  
    for i = 1, n do  
        a = a * i  
    end  
    return a  
end
```

□ C

```
-- Recursive impl.  
int fact(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * fact(n-1);  
}
```

```
-- Iterative impl.  
int fact(int n){  
    int a = 1, i;  
    for (i = 1; i<n; i++)  
        a = a * i;  
    return a;  
}
```



<https://www.lua.org/download.html>

- **Lua 5.4: Jun 29, 2020, [Lua 5.4.4: Jan 26, 2022](#)**
- **Smallish**, e.g. Lua 5.1(Feb 2006) 17000 lines of C
- **Portable**
- **Embeddable**
 - can call Lua from C and C from Lua
- **Clean code**
 - Good for your “code reading club”
- **Efficiency**
 - Fast for an interpreted scripting language: lang. simplicity helps
 - Presently has a register based VM, pre-compilation supported



□ Designed for productive use

- **“Syntactically, Lua is reminiscent of Modula and uses familiar keywords.” [HOPL]**

语法上可联想到Modula

```
-- Lua
function fact(n)

    local a = 1

    for i = 1, n do
        a = a * i
    end
    return a
end
```

```
-- Modula-2
PROCEDURE Fact(n: CARDINAL) :
    CARDINAL;
    VAR a: CARDINAL;
BEGIN
    a := 1
    FOR i := 1 TO n DO
        a := a * i;
    END;
    RETURN a;
END Fact;
```




“The influence of Scheme has gradually increased during Lua’s evolution.”

□ Similarities

- **Dynamic typing, first-class values, anonymous functions, closures, ...**
 - would have wanted first-class continuations
 - `function foo() ... end` is syntactic sugar for `foo = function () ... end`
- Scheme has **lists** as its data structuring mechanism, while Lua has **tables**.
- No particular object or class model forced onto the programmer
— choose or implement one yourself.



□ Prehistory

- Born in 1993 inside **Tecgraf** (巴西里约热内卢天主教大学的计算机图形技术研究小组)

(Comp. Graphics Tech. Group of PUC-Rio in Brazil)

- **Lua creators**

Roberto Ierusalimschy, Luiz Henrique de Figueiredo, and Waldemar Celes

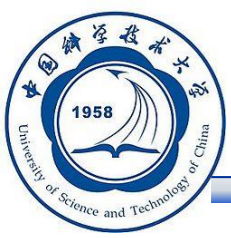
- **Lua Ancestors**

“These languages, called DEL and SOL(太阳), were the ancestors of Lua.”

- DEL and SOL were domain-specific languages (DSLs) for Tecgraf-developed interactive graphical programs

- **DEL as in "data-entry language"**

- for describing **data-entry tasks**: named and typed fields, data validation rules, how to input and output data



□ SOL as in "Simple Object Language"

- a DSL for a configurable report generator for lithology profiles

□ SOL interpreter

- read a report description, and syntax and type check specified objects and attributes

□ syntax influenced by BibTeX

```
type @track{ x:number, y:number=23, id=0 }
```

```
type @line{ t:@track=@track{x=8}, z:number* }
```

```
T = @track{ y=9, x=10, id="1992-34" }
```

```
L = @line{ t=@track{x=T.y, y=T.x}, z=[2,3,4] }
```



- DEL users began to ask for more power, e.g. control flow (with conditionals and loops)
 - SOL implementation finished, but not delivered, as support for procedural programming was soon to be required
 - **Conclusion:** replace both SOL and DEL by a single, more powerful language
- Existing Alternatives
- Tcl: "unfamiliar syntax", bad data description support, Unix only
 - Lisps: "unfriendly syntax"
 - Python: still in its infancy

No match for the free,
do-it-yourself atmosphere at Tecgraf
自由、自主开发



Birth of Lua

- "Lua"—"moon" in Portuguese
 - cf. "SOL"—"sun" in Portuguese
- SOL's syntax for record and list construction
 - T = @track{ y=9, x=10, id="1992-34" }
 - valid in both SOL and Lua.
- Semantics differ:
 - **tables** represent both records and lists;
 - **track** (here) does not name a record type, it names a function to be applied.



Lua Feature Evolution

- Lua designers have shown good judgement.**
- Learn PL design by asking:**
 - **What features were added to Lua and why?**
 - **What features were turned down and why?**
- Learn PL implementation by asking:**
 - **How were the features implemented?**
 - **What kind of implementations were not possible due to**
 - **other implementation choices?**



- **Lua's type selection has remained fairly stable.**
 - **Initially:**
numbers, strings, tables, nil, userdata (pointers to C objects), **Lua functions, C functions**
 - **Unified functions** in v3.0;
 - **booleans** and **threads** in v5.0
- **Tables:** any value as index
 - **early syntax:** @(), @[1,2], @{x=1,y=2}
 - **later syntax:** {}, {1,2}, {x=1,y=2}, {1,2,x=1,y=2}
 - sparse arrays OK: {[1000000000]=1}
 - **element referencing sugar:** a.x for a["x"]
 - **tables with named functions for OO**
 - for inheritance, define a table indexing operation



- The **syntax** of tables has evolved,
the **semantics** of tables in Lua has not changed at all:
 - tables are still **associative arrays** and can store arbitrary pairs of values
- Effort in implementing tables **efficiently**
 - Lua 4.0, tables were implemented as pure **hash** tables, with all pairs stored explicitly
 - Lua 5.0, a **hybrid** representation for tables:
 - Every table contains a **hash part** and an **array part**, and both parts can be empty.
 - Tables automatically **adapt** their two parts according to their **contents**.



□ Goals

- allow tables to be used as a **basis for objects and classes**

□ *fallbacks* in Lua 2.1(备选)

- One function per operation (table indexing, arithmetic operations, string concatenation, order comparisons, and function calls) 当操作被应用到错误的值时，调用备选函数

□ *tag methods* in Lua 3.0

- tag-specific fallbacks, any value taggable

□ *metatables* and *metamethods* in Lua 5.0

```
x = {}  
function f () return -5 end  
setmetatable(x, { __unm = f })  
return -x --> -5
```



Expressing OOP Concepts

```

1 A = {}
2 A["b"] = 0
3 A["w"] = function(v)
4     A["b"] = A["b"] - v
5 end
6
7 A["w"](100.0)

```

(a) class A with two members

```

1 A = {b = 0}
2 function A.w(self, v)
3     self.b = self.b - v
4 end
5
6 a = A
7 a.w(100.0) ✓
8 A = nil;
9 a.w(100.0) ✓

```

(c) class A is singleton

```

1 A = {b = 0}
2 function A.w(v)
3     A.b = A.b - v
4 end
5
6 a = A
7 a.w(100.0) ✓
8 A = nil
9 a.w(100.0) ✗

```

(b) Syntactic sugar of (a)

```

1 function A.new(b)
2     return {b = b}
3 end
4
5 a = A.new()
6 A.w(a, 5)
7 --replaced with a.w(a,5) or a:w(5)

```

(d) Add new to make instances

```

1 LA = {}
2
3 for k,v in pairs(A) do
4     LA[k] = v
5 end
6
7 function LA.new()
8     local a = A.new()
9     a.l = 100
10    return a
11 end
12
13 function LA:w(v)
14     if v-self.b >= self.l then
15         error "Insufficient"
16     end
17     self.b = self.b - v
18 end
19
20 local a = LA.new()
21 LA.w(a, 5)

```

(e) Inheritance through class tables

```

1 function inherit(t)
2     local new_t = {}
3     for k, v in pairs(t) do
4         new_t[k] = v
5     end
6 end

```

(h) Generic inheritance via a table copy



Expressing OOP Concepts

```
1 LA = {}
2
3 for k,v in pairs(A) do
4   LA[k] = v
5 end
6
7 function LA.new()
8   local a = A.new()
9   a.l = 100
10  return a
11 end
12
13 function LA:w(v)
14   if v-self.b >= self.l then
15     error "Insufficient"
16   end
17   self.b = self.b - v
18 end
19
20 local a = LA.new()
21 LA.w(a, 5)
```

(e) Inheritance through class tables

```
1 A = {}
2 function A.new(b)
3   local a = {b = b}
4   for k,v in pairs(A) do
5     a[k] = v
6   end
7   return a
8 end
9 function A:w(n)
10  self.b = self.b - n
11 end
12 local a = A.new(500)
13 a:w(5)
14
15 LA = {}
16 function LA.new(b,l)
17   local a = {b = b}
18   a.l = l
19   for k,v in pairs(LA) do
20     a[k] = v
21   end
22   return a
23 end
24 function LA:w(n)
25   if n-self.b >= self.l then
26     error "Insufficient"
27   end
28   self.b = self.b - n
29 end
30 local a = LA.new(50,10)
31 a:w(5)
```

(f) "Normal" OOP

Overhead issue!

According to the definition, **each instance** of an account contains an **entry for every method** member, which leads to a lot of pointers, and a lot of overhead.

Assume you have a class with 30 methods, then every time you make an instance of the class, you have to allocate 30 strings and store them all in a table.



Expressing OOP Concepts

```
1  A = {}
2  function A.new(b)
3    local a = {b = b}
4    for k,v in pairs(A) do
5      a[k] = v
6    end
7    return a
8  end
9  function A:w(n)
10   self.b = self.b - n
11 end
12 local a = A.new(500)
13 a:w(5)
14
15 LA = {}
16 function LA.new(b,l)
17   local a = {b = b}
18   a.l = l
19   for k,v in pairs(LA) do
20     a[k] = v
21   end
22   return a
23 end
24 function LA:w(n)
25   if n-self.b>=self.l then
26     error "Insufficient"
27   end
28   self.b = self.b - n
29 end
30 local a = LA.new(50,10)
31 a:w(5)
```

(f) "Normal" OOP

```
1  A = {b = 0}
2  function A:new(t)
3    t = t or {}
4    setmetatable(t, {__index = self})
5    return t
6  end
7
8  function A:w(n)
9    self.b = self.b - n
10 end
11
12 LA = A:new({l = 10})
13 function LA:w(v)
14   if v-self.b>=self.l then
15     error "Insufficient"
16   end
17   A.w(self, v)
18 end
19
20 a = LA:new({b = 50, limit = 10})
21 a:w(30)
22 a:w(30)  --- Insufficient
```

(g) Prototype-based objects

Use **metatables** to add a layer of indirection and to provide dynamic lookup on the metatable.

A group of related tables may **share** a common metatable (which describes their common behavior).

Line 3 creates object if user does not provide one;

line 4 calls setmetatable to set or change the metatable of any new object t, and **make t inherit its operations from the A table itself using the index metamethod**, accordingly reducing the overhead mentioned before.



Expressing OOP Concepts

```
1  A = {}
2  function A.new(b)
3    local a = {b = b}
4    for k,v in pairs(A) do
5      a[k] = v
6    end
7    return a
8  end
9  function A:w(n)
10   self.b = self.b - n
11 end
12 local a = A.new(500)
13 a:w(5)
14
15 LA = {}
16 function LA.new(b,l)
17   local a = {b = b}
18   a.l = l
19   for k,v in pairs(LA) do
20     a[k] = v
21   end
22   return a
23 end
24 function LA:w(n)
25   if n-self.b>=self.l then
26     error "Insufficient"
27   end
28   self.b = self.b - n
29 end
30 local a = LA.new(50,10)
31 a:w(5)
```

(f) "Normal" OOP

```
1  A = {b = 0}
2  function A:new(t)
3    t = t or {}
4    setmetatable(t, {__index = self})
5    return t
6  end
7
8  function A:w(n)
9    self.b = self.b - n
10 end
11
12 LA = A:new({l = 10})
13 function LA:w(v)
14   if v-self.b>=self.l then
15     error "Insufficient"
16   end
17   A.w(self, v)
18 end
19
20 a = LA:new({b = 50, limit = 10})
21 a:w(30)
22 a:w(30)  -- Insufficient
```

(g) Prototype-based objects

Use **metatables** to add a layer of indirection and to provide dynamic lookup on the metatable.

The derived class LA is just an **instance** of A but extended with member l.

LA inherits new from A. When new at line 20 executes, the self parameter will refer to LA. Therefore, value at index index in the metatable of a will be LA.

Thus **a inherits from LA**, which inherits from A. When calling a:w at line 21, Lua cannot find a w field in a, so it looks into LA and there it finds the implementation for LA:w.



THANKS