

Pointer Analysis

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张昱

yuzhang@ustc.edu.cn 中国科学技术大学 计算机科学与技术学院





- Introduction to pointer analysis
- Andersen's analysis
- Steensgaard's analysis
- Interprocedural pointer analysis
- Records and objects
- Null pointer analysis
- Flow-sensitive pointer analysis



Analyzing Programs with Pointers



How do we perform e.g. constant propagation analysis when the programming language has pointers? (or object references?)



Depend on whether x and y point to the same location, if so, z is -87





For simplicity, we ignore records

- alloc then only allocates a single cell
- only linear structures can be built in the heap



- Let's at first also ignore functions as values
- □ We still have many interesting analysis challenges...



Pointer Targets

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□ The fundamental question about pointers:

What cells can they point to?

□ We need a suitable abstraction

- □ The set of (abstract) cells, Cells, contains
 - alloc-*i* for each allocation site with index *i*
 - X for each program variable named X
- □ This is called *allocation* site abstraction
- Each abstract cell may correspond to many concrete memory cells at runtime



Points-to Analysis



Determine for each pointer variable X the set pt(X) of the cells X may point to

A *conservative* ("may points-to") analysis:

- the set may be too large
- can show absence of aliasing: $pt(X) \cap pt(Y) = \emptyset$

□ We'll focus on *flow-insensitive* analyses:

- Take place on the AST
- Before or together with the control-flow analysis



Obtaining Points-to Information



□ An almost-trivial analysis (called address-taken 取址):

- include all alloc-*i* cells 注:为程序正文中的分配点
- Include the X cell if the expression &X occurs in the program

□ Improvement for a typed language

Eliminate those cells whose types do not match

This is sometimes good enough

and clearly very fast to compute



Pointer Normalization



□ Assume that all pointer usage is normalized:

- X=alloc P where P is null or an integer constant
- *X*=&Y
- *X*=*Y*
- *X*=*Y
- **X*=Y
- X=null
- □ Simply introduce lots of temporary variables...
- □ All sub-expressions are now named
- We choose to ignore the fact that the cells created at variable declarations are uninitialized





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- For every cell *c*, introduce a constraint variable $\llbracket c \rrbracket$ ranging over sets of cells, i.e. $\llbracket \cdot \rrbracket$: *Cells* $\rightarrow \mathcal{P}(Cells)$
- Generate constraints:
 - X = alloc P: $alloc i \in [X]$
 - X = &Y: $Y \in \llbracket X \rrbracket$
 - X = Y: $\llbracket Y \rrbracket \subseteq \llbracket X \rrbracket$
 - X = *Y: $c \in \llbracket Y \rrbracket \Rightarrow \llbracket c \rrbracket \subseteq \llbracket X \rrbracket$ for each $c \in Cells$
 - $c \in \llbracket X \rrbracket \Rightarrow \llbracket Y \rrbracket \subseteq \llbracket c \rrbracket$ for each $c \in Cells$

基于集合的包含关系

• *X* = null:

• *X = Y:

(no constraints)

(For the conditional constraints, there's no need to add a constraint for the cell x if &x does not occur in the program)



Andersen's Analysis (2/2)



- The points-to map is defined as:
 pt(X) = [X]
- The constraints fit into the cubic framework ^(C)
- Unique minimal solution in time O(n³)
- In practice, for Java: O(n²)

- The analysis is flow-insensitive but directional
 - models the direction of the flow of values in assignments



p = &z;

var p,q,x,y,z; p = alloc null	;	
x = y;	X = alloc P:	alloc- $i \in \llbracket X \rrbracket$
X = Z;	X = & Y:	$Y \in \llbracket X \rrbracket$
*p = z;	X = Y:	$\llbracket Y \rrbracket \subseteq \llbracket X \rrbracket$
p = q:	X = *Y:	$\mathbf{c} \in \llbracket Y \rrbracket \Rightarrow \llbracket \mathbf{c} \rrbracket \subseteq \llbracket X \rrbracket$ for each $\mathbf{c} \in Cells$
a = 8x	*X = Y:	$\mathbf{c} \in \llbracket X \rrbracket \Rightarrow \llbracket Y \rrbracket \subseteq \llbracket \mathbf{c} \rrbracket$ for each $\mathbf{c} \in Cells$
q – αy,	X = null:	(no constraints)
x = *p;		

Cells= {p, q, x, y, z, alloc-1}



Applying Andersen



var p,q,x,y,z;
<pre>p = alloc null;</pre>
X = Y;
X = Z;
*p = z;
p = q;
q = &y
x = *p;
p = &z

alloc-1 \in [[p]] [[Y]] \subseteq [[X]] [[Z]] \subseteq [[X]] c \in [[p]] \Rightarrow [[Z]] \subseteq [[α]] for each c \in Cells [[q]] \subseteq [[p]] y \in [[q]] c \in [[p]] \Rightarrow [[α]] \subseteq [[X]] for each c \in Cells Z \in [[p]]

Smallest solution: $pt(p) = \{ alloc-1, y, z \}$ $pt(q) = \{ y \}$ $pt(x) = pt(y) = pt(z) = \phi$



A Specialized Cubic Solver



 At each load/store instruction, instead of generating a conditional constraint for each cell, generate a single universally quantified constraint:



Original constraint forms

•
$$t \in x$$

• $t \in x \Rightarrow y \subseteq z$

- Whenever a token is added to a set, lazily add new edges according to the universally quantified constraints
- Note that every token is also a constraint variable here
- Still cubic complexity, but faster in practice



A Specialized Cubic Solver



- x.sol ⊆ T: the set of tokens for x (the bitvectors)
- x.succ ⊆ V: the successors of x (the edges)
- x.from \subseteq V: the first kind of quantified constraints for x
- x.to \subseteq V: the second kind of quantified constraints for x
- W ⊆ T×V: a worklist (initially empty)

Implementation: SpecialCubicSolver



A Specialized Cubic Solver



- t ∈ [[X]] addToken(t, x) propagate()
- [X] ⊆ [Y]
 addEdge(x, y)
 propagate()
- $\forall t \in \llbracket x \rrbracket \colon \llbracket t \rrbracket \subseteq \llbracket y \rrbracket$

add y to x.from for each t in x.sol addEdge(t, y) propagate()

∀t ∈ [[x]]: [[y]] ⊆ [[t]]
 add y to x.to
 for each t in x.sol
 addEdge(y, t)
 propagate()

addToken(t, x): if t∉x.sol add t to x.sol add (t, x) to W

addEdge(x, y): if x ≠ y ∧ y ∉ x.succ add y to x.succ for each t in x.sol addToken(t, y)

propagate(): while W ≠ Ø pick and remove (t, x) from W for each y in x.from addEdge(t, y) for each y in x.to addEdge(y, t) for each y in x.succ addToken(t, y)





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Steensgaard's Analysis

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- View assignments as being bidirectional
- Generate constraints:
 - X = alloc P: $alloc i \in [X]$
 - X = &Y: $Y \in \llbracket X \rrbracket$
 - X = Y: $\llbracket X \rrbracket = \llbracket Y \rrbracket$
 - $X = {}^*Y$: $c \in \llbracket Y \rrbracket \Rightarrow \llbracket c \rrbracket = \llbracket X \rrbracket$ for each $c \in Cells$
 - *X = Y:

 $c \in [[Y]] \implies [[c]] = [[x]]$ for each $c \in Cells$ $c \in [[X]] \implies [[Y]] = [[c]]$ for each $c \in Cells$

• Extra constraints:

 $\mathbf{c}_1, \mathbf{c}_2 \in \llbracket \mathbf{c} \rrbracket \Longrightarrow \llbracket \mathbf{c}_1 \rrbracket = \llbracket \mathbf{c}_2 \rrbracket \text{ and } \llbracket \mathbf{c}_1 \rrbracket \cap \llbracket \mathbf{c}_2 \rrbracket \neq \varnothing \Longrightarrow \llbracket \mathbf{c}_1 \rrbracket = \llbracket \mathbf{c}_2 \rrbracket$ (whenever a cell may point to two cells, they are essentially merged into one)

Steensgaard's original formulation uses conditional unification for X = Y:
 c ∈ [[Y]] ⇒ [[X]] = [[Y]] for each c∈Cells (avoids unifying if Y is never a pointer)





Steensgaard's Analysis



- Reformulate as term unification
- Generate constraints:
 - X = alloc P: [X] = f[alloc-i]
 - X = &Y: [X] = 1 [Y]
 - X = Y: $\llbracket X \rrbracket = \llbracket Y \rrbracket$
 - X = *Y: $[[Y]] = \mathbf{1} \alpha \land [[X]] = \alpha$ where α is fresh
 - *X = Y: $[X] = \mathbf{1}\alpha \land [Y] = \alpha$ where α is fresh
- Terms:
 - term variables, e.g. [X], [a]] oc -i], α (each representing the possible values of a cell)
 - each a single (unary) term constructor **1***t* (representing pointers)
 - each [[c]] is now a term variable, not a constraint variable holding a set of cells
- Fits with our unification solver! (union-find...)
- The points-to map is defined as $pt(X) = \{ c \in Cells \mid [X] = \mathbf{1}[c] \}$
- Note that there is only one kind of term constructor, so unification never fails,



Applying Steensgaard

2



$$[p] = f[a]loc-1]$$

$$[y] = [x]]$$

$$[z] = [x]]$$

$$[p] = f \alpha_1 \quad [z] = \alpha_1$$

$$[q] = [p]]$$

$$[q] = f[y]]$$

$$[p] = f \alpha_2 \quad [x] = \alpha_2$$

$$[p] = f[z]]$$

Smallest solution: pt(p) = { alloc-1, y, z} pt(q) = {alloc-1, y, z}



Another Example



Andersen:



- a1 = &b1; b1 = &c1;
- c1 = &d1;
- a2 = &b2; b2 = &c2;
- c2 = &d2; b1 = &c2;

Steensgaard:





Recall Our Type Analysis...



- Focusing on pointers...
- Constraints:
 - X = alloc P: [[X]] = **†**[[P]]
 - X = &Y: [X] =**†**<math>[Y]
 - X = Y: $\llbracket X \rrbracket = \llbracket Y \rrbracket$
 - X = *Y: • X = [Y]
 - *X = Y: [X] = 1 [Y]
- Implicit extra constraint for term equality: $\mathbf{1}_1 = \mathbf{1}_2 \Rightarrow t_1 = t_2$
- Assuming the program type checks, is the solution for pointers the same as for Steensgaard's analysis?





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In TIP, function values and pointers may be mixed together: (***x)(1,2,3)

In this case the CFA and the points-to analysis must happen simultaneously!

□ The idea: Treat function values as a kind of pointers



Function Call Normalization



□ Assume that all function calls are of the form

 $x=y(a_1,...,a_n)$

□ *y* may be a variable whose value is a function pointer

□ Assume that all return statements are of the form

return z;

□ As usual, simply introduce lots of temporary variables...

□ Include all function names in Cells



CFA with Andersen



 For the function call x = y(a₁, ..., a_n) and every occurrence of f(x₁, ..., x_n) { ... return z; } add these constraints:

 $f \in \llbracket f \rrbracket$

 $f \in \llbracket y \rrbracket \Rightarrow (\llbracket a_i \rrbracket \subseteq \llbracket x_i \rrbracket \text{ for } i=1,...,n \land \llbracket z \rrbracket \subseteq \llbracket x \rrbracket)$

- (Similarly for simple function calls)
- Fits directly into the cubic framework!



CFA with Steensgaard

For the function call

 x = y(a₁, ..., a_n)
 and every occurrence of
 f(x₁, ..., x_n) { ... return z; }
 add these constraints:

 $f \in \llbracket f \rrbracket$ $f \in \llbracket y \rrbracket \Rightarrow (\llbracket a_i \rrbracket = \llbracket x_i \rrbracket \text{ for } i=1,...,n \land \llbracket z \rrbracket = \llbracket x \rrbracket)$

- (Similarly for simple function calls)
- Fits into the unification framework, but requires a generalization of the ordinary union-find solver





Context-sensitive Pointer Analysis



foo(a) { return *a; } bar() { . . . x = alloc null; // alloc-1y = alloc null; // alloc-2 *x = alloc null; // alloc-3 *y = alloc null; // alloc-4 . . . q = foo(x);w = foo(y);. . . } Are **q** and W aliases?





- Generalize the abstract domain Cells → P(Cells) to Contexts → Cells → P(Cells)
 (or equivalently: Cells × Contexts → P(Cells))
 where Contexts is a (finite) set of call contexts
- As usual, many possible choices of Contexts
 - recall the call string approach and the functional approach
- We can also track the set of reachable contexts (like the use of lifted lattices earlier):

 $Contexts \rightarrow lift(Cells \rightarrow \mathcal{P}(Cells))$

Does this still fit into the cubic solver?



Context-sensitive Pointer Analysis





[[X]] = {alloc-1} [[Y]] = {alloc-1}





We can go one step further and introduce context-sensitive heap (a.k.a. heap cloning)

Let each abstract cell be a pair of

- alloc-i (the alloc with index i) or X (a program variable)
- a heap context from a (finite) set HeapContexts

This allows abstract cells to be named by the source code allocation site

and (information from) the current context

One choice:

set HeapContexts = Contexts

at alloc, use the entire current call context as heap context



with Heap Cloning



Assuming we use the call string approach with k=1, so Contexts = { ϵ , c1, c2}, and HeapContexts = Contexts

mk() { return alloc null; // alloc-1 baz() { var x,y; x = mkO; // c1y = mkO; // c2Are x and y aliases? $[X] = \{ (alloc-1, c1) \}$ [[y]] = { (alloc-1, c2) }





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□ Field write operations: see SPA ...

□ Values of record fields cannot themselves be records

After normalization

- $X = \{F_1: X_1, \dots, F_k: X_k\}$
- $\blacksquare X = alloc{F_1: X_1, \dots, F_k: X_k}$
- X=Y.F

Let us extend Andersen's analysis accordingly ...





 [[·]]: (Cells ∪ (Cells × Fields)) → P(Cells) where is the set of field names in the program

Notation: [[c . f]] means [[(c, f)]]



Analysis Constraints



- $X = \{F_1: X_1, \dots, F_k: X_k\}$: $[X_1] \subseteq [X.F_1] \land \dots \land [X_k] \subseteq [X.F_k]$
- $X = \text{alloc} \{ F_1 : X_1, \dots, F_k : X_k \}$: $\text{alloc} -i \in \llbracket X \rrbracket \land$ $\llbracket X_1 \rrbracket \subseteq \llbracket \text{alloc} -i.F_1 \rrbracket \land \dots \land \llbracket X_k \rrbracket \subseteq \llbracket \text{alloc} -i.F_k \rrbracket$
- X = Y.F: $\llbracket Y.F \rrbracket \subseteq \llbracket X \rrbracket$
- X = Y: $\llbracket Y \rrbracket \subseteq \llbracket X \rrbracket \land \llbracket Y.F \rrbracket \subseteq \llbracket X.F \rrbracket$ for each $F \in Fields$
- X = *Y: $c \in [Y] \implies ([c] \subseteq [X] \land [c.F] \subseteq [X.F])$ for each $c \in Cells$ and $F \in Fields$
- *X = Y: $c \in \llbracket X \rrbracket \Rightarrow (\llbracket Y \rrbracket \subseteq \llbracket c \rrbracket \land \llbracket Y.F \rrbracket \subseteq \llbracket c.F \rrbracket)$ for each $c \in Cells$ and $F \in Fields$

See example in SPA



Objects as Mutable Heap Records



$$Exp \rightarrow \dots$$

$$\mid Id$$

$$\mid alloc \{ Id: Exp, \dots, Id: Exp \}$$

$$\mid (*Exp) \cdot Id$$

$$\mid null$$

$$Stm \rightarrow \dots$$

$$\mid Id = Exp;$$

$$\mid (*Exp) \cdot Id = Exp;$$

- E.X in Java corresponds to (*E).X in TIP (or C)
- Can only create pointers to heap-allocated records (=objects), not to variables or to cells containing non-record values





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□ Decide for every dereference *p, is p different from null?

(Why not just treat null as a special cell in an Andersen or Steensgaard-style analysis?)

□ Use the monotone framework

Assuming that a points-to map *pt* has been computed

Let us consider an intraprocedural analysis (i.e. we ignore function calls)





Define the simple lattice *Null*:

? | NN

where NN represents "definitely not null" and ? represents "maybe null"

□ Use for every program point the map lattice: Cells \rightarrow Null





□ For every CFG node, v, we have a variable [[v]]:

a map giving abstract values for all cells at the program point after v



□ Auxiliary definition:

 $JOIN(v) = \bigsqcup_{w \in pred(v)} \llbracket w \rrbracket$

(i.e. we make a *forward* analysis)





For operations involving pointers:

$\blacksquare X = \text{alloc } P:$	[[v]]= ???
■ X =& Y:	[[v]]= ???
$\bullet X = Y:$	[[v]]= ???
■ X =* Y:	[[v]]= ???
• $*X = Y$:	[[v]]= ???
■ X=null:	[[v]]= ???

where *P* is null or an integer constant

□ For all other CFG nodes:

■ [[v]]= *JOIN*(v)



Null Analysis Constraints



- □ For a heap store operation *X = Y we need to model the change of whatever X points to
- \Box That may be *multiple* abstract cells(i.e. the cells *pt*(X))
- With the present abstraction, each abstract heap cell alloc-i may describe multiple concrete cells
- □ So we settle for weak update:

**X* = *Y*: [[v]] = *store*(*JOIN*(v), *X*, *Y*)

where

 $store(\sigma, X, Y) = \sigma[\underset{\alpha \in pt(X)}{\alpha \in pt(X)} \sqcup \sigma(Y)]$



Null Analysis Constraints



□ For a heap load operation X = *Y we need to model the change of the program variable X

Our abstraction has a *single* abstract cell for X

□ That abstract cell represents a *single* concrete cell

□ So we can use strong update:

X =* *Y*: [[v]] = *load*(*JOIN*(v), *X*, *Y*)

where

```
load(\sigma, X, Y) = \sigma[X \mapsto \bigsqcup_{\alpha \in pt(Y)} \sigma(\alpha)]
```



Strong and Weak Updates







Strong and Weak Updates



a = alloc null; // alloc-1 b = alloc null; // alloc-2 *a = alloc null; // alloc-3 *b = alloc null; // alloc-4 if (...) { x = a;} else { x = b;} n = null;*X = n; // strong update here would be unsound! is C null here? c = *x;

The points-to set for x contains *multiple abstract cells*





In each case, the assignment modifies a program variable
 So we can use strong updates, as for heap load operations

- X = alloc P: $[v] = JOIN(v)[X \mapsto NN, alloc i \mapsto ?]$
- X = &Y: $\llbracket v \rrbracket = JOIN(v)[X \mapsto NN]$

could be improved...

- X = Y: $\llbracket v \rrbracket = JOIN(v)[X \mapsto JOIN(v)(Y)]$
- $X = null: [v] = JOIN(v)[X \mapsto ?]$



Strong and Weak Updates, Revisited



□ Strong update: **σ**[*c***→***new-value*]

- possible if c is known to refer to a single concrete cell
- works for assignments to local variables (as long as TIP doesn't have e.g. nested functions)

□ Weak update: $\sigma[c \mapsto \sigma(c) \sqcup new-value]$

- necessary if c may refer to multiple concrete cells
- bad for precision, we lose some of the power of flow-sensitivity
- required for assignments to heap cells (unless we extend the analysis abstraction!)





Context insensitive or context sensitive, as usual...

■ at the after-call node, use the heap from the callee

But be careful!

Pointers to local variables may escape to the callee

the abstract state at the after-call node cannot simply copy the abstract values for local variables from the abstract state
function f(b1, ..., bn)







Using the Null Analysis



The pointer dereference *p is "safe" at entry of v if JOIN(v)(p) = NN

The quality of the null analysis depends on the quality of the underlying points-to analysis



Example Program & Constraints



p = alloc	null;
q = &p	
n = null;	
*q = n;	
*p = n;	

Andersen generates: $pt(p) = \{alloc-1\}$ $pt(q) = \{p\}$ $pt(n) = \emptyset$

```
 [p=alloc null] = \bot[p \mapsto NN, alloc-1 \mapsto ?] 
 [q=&p] = [p=alloc null][q \mapsto NN] 
 [n=null] = [[q=&p]][n \mapsto ?] 
 [*q=n] = [[n=null]][p \mapsto [[n=null]](p) \sqcup [[n=null]](n)] 
 [*p=n] = [[*q=n][alloc-1 \mapsto [[*q=n]](alloc-1) \sqcup [[*q=n]](n)]
```



Solution



```
 [p=alloc null] = [p \mapsto NN, q \mapsto NN, n \mapsto NN, alloc-1 \mapsto ?]  [q=&p] = [p \mapsto NN, q \mapsto NN, n \mapsto NN, alloc-1 \mapsto ?]  [n=null] = [p \mapsto NN, q \mapsto NN, n \mapsto ?, alloc-1 \mapsto ?]  [*q=n] = [p \mapsto ?, q \mapsto NN, n \mapsto ?, alloc-1 \mapsto ?]  [*p=n] = [p \mapsto ?, q \mapsto NN, n \mapsto ?, alloc-1 \mapsto ?]
```

At the program point before the statement *q=n the analysis now knows that q is definitely non-null

- □ ... and before *p=n, the pointer p is may be null
- Due to the weak updates for all heap store operations, precision is bad for alloc-i cells





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Points-to Graphs



Graphs that describe possible heaps:

- nodes are abstract cells
- edges are possible pointers between the cells
- □ The lattice of points-to graphs is $\mathcal{P}(\text{Cells} \times \text{Cells})$ ordered under subset inclusion (or alternatively, Cells → $\mathcal{P}(\text{Cells})$)
- For every CFG node, v, we introduce a constraint variable [v] describing the state after v
- Intraprocedural analysis (i.e. ignore function calls)



Constraints



- For pointer operations:
 - X = alloc P: $[v] = JOIN(v) \downarrow X \cup \{(X, alloc-i)\}$
 - X = &Y: $[[v]] = JOIN(v) \downarrow X \cup \{(X, Y)\}$
 - X = Y: $\llbracket v \rrbracket = JOIN(v) \downarrow X \cup \{ (X, t) \mid (Y, t) \in JOIN(v) \}$
 - X = *Y: $\llbracket v \rrbracket = JOIN(v) \downarrow X \cup \{ (X, t) \mid (Y, s) \in \sigma, (s, t) \in JOIN(v) \}$
 - *X = Y: $[v] = JOIN(v) \cup \{(s, t) \mid (X, s) \in JOIN(v), (Y, t) \in JOIN(v)\}$
 - $X = \text{null}: [v] = JOIN(v) \downarrow X$ where $\sigma \downarrow X = \{ (s,t) \in \sigma \mid s \neq X \}$

note: weak update!

w∈pred(v)

JOIN(v) = U[[w]]

- For all other CFG nodes:
 - [[v]] = *JOIN*(v)



Example Program



```
var x,y,n,p,q;
x = alloc null; y = alloc null;
*x = null; *y = y;
n = input;
while (n>0) {
  p = alloc null; q = alloc null;
  *p = x; *q = y;
  x = p; y = q;
 n = n - 1;
}
```



Result of Analysis



□ After the loop we have this points-to graph:





We conclude that x and y will always be disjoint

```
var x,y,n,p,q;
x = alloc null; y = alloc null;
*x = null; *y = y;
n = input;
while (n>0) {
    p = alloc null; q = alloc null;
    *p = x; *q = y;
    x = p; y = q;
    n = n-1;
}
```



Points-to Maps from Points-to Graphs

 $pt(x) = \{ z \}$



□ A points-to map for each program point v: $pt(X) = \{ t \mid (X,t) \in [v] \}$

□ More expensive, but more precise:

Andersen: $pt(x) = \{y, z\}$

flow-sensitive:



Improving Precision with Abstract Counting



- The points-to graph is missing information:
 - alloc-2 nodes always form a self-loop in the example
- We need a more detailed lattice:

 $2^{Cell \times Cell} \times (Cell \rightarrow Count)$

where we for each cell keep track of how many concrete cells that abstract cell describes



 This permits strong updates on those that describe precisely 1 concrete cell



Constraints and Better Results



- $\Box X = \text{alloc } P: \dots$
- $\Box * X = Y: \ldots$

□...

□ After the loop we have this extended points-to graph:



□ Thus, alloc-2 nodes form a self-loop



Escape Analysis



Perform a points-to analysis
 Look at return expression
 Check reachability in the points-to graph to arguments or variables defined in the function itself

None of those
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```
baz() {
  var x;
  return &x;
}
main() {
  var p;
  p=baz();
  *p=1;
  return *p;
```



Thanks