# Positivity of holomorphic vector bundles in terms of $L^p$ -conditions of $\bar{\partial}$

### Jiafu Ning

Central South University

joint work with

#### Fusheng Deng, Zhiwei Wang and Xiangyu Zhou

July 29, 2020

### Basics of Hermitian holomorphic vector bundles

Let  $(X, \omega)$  be a complex manifold of dimension n, and (E, h)be a Hermitiann holomorphic vector bundle of rank r over X. Let  $D = D' + \overline{\partial}$  be the Chern connection of (E, h), and  $\Theta_{E,h} = [D', \overline{\partial}] = D'\overline{\partial} + \overline{\partial}D'$ . Denote by  $(e_1, \dots, e_r)$  an orthonormal frame of E over a coordinate patch  $\Omega \subset X$  with complex coordinates  $(z_1, \dots, z_n)$ , and

$$i\Theta_{E,h} = i\sum_{1\leq j,k\leq n,1\leq\lambda,\mu\leq r} c_{jk\lambda\mu}dz_j\wedge d\bar{z}_k\otimes e_\lambda^*\otimes e_\mu, \ \ \bar{c}_{jk\lambda\mu} = c_{kj\mu\lambda}.$$

To  $i\Theta_{E,h}$  corresponds a natural Hermitian form  $\theta_{E,h}$  on  $TX \otimes E$  defined by

$$heta_{E,h}(u,u) = \sum_{j,k,\lambda,\mu} c_{jk\lambda\mu}(x) u_{j\lambda} \overline{u}_{k\mu}, \qquad u \in T_x X \otimes E_x.$$

 $\theta(\xi \otimes s, \xi \otimes s), \ \xi \otimes s \in T_x X \otimes E_x.$ 

**X**  $\langle \Box \rangle \langle \overline{C} \rangle \langle \overline{C} \rangle \langle \overline{C} \rangle \langle \overline{C} \rangle$ Positivity of holomorphic vector bundles in terms of  $L^p$ -condition Bochner-Kodaira-Nakano identity:

 $\Delta' = D'D'^* + D'^*D'$  and  $\Delta'' = \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}$  acting on *E*-valued forms satisfy the identity

$$\Delta'' = \Delta' + [i\Theta_{E,h}, \Lambda_{\omega}].$$

Let  $x_0 \in X$  and  $(z_1, \dots, z_n)$  be local coordinates centered at  $x_0$ , such that  $(\partial/\partial z_1, \dots, \partial/\partial z_n)$  is an orthonormal basis of TX at  $x_0$ . One can write

$$\omega = i \sum dz_j \wedge d\overline{z}_j + O(\|z\|),$$

and

$$i\Theta_{E,h}(x_0)=i\sum_{j,k,\lambda,\mu}c_{jk\lambda\mu}dz_j\wedge dar{z}_k\otimes e_\lambda^*\otimes e_\mu,$$

where  $(e_1, \cdots, e_r)$  is an orthonormal basis of  $E_{x_0}$ . Let  $u = \sum u_{K,\lambda} dz \wedge d\bar{z}_K \otimes e_\lambda \in \Lambda^{n,q} T_X^* \otimes E$ , where  $dz = dz_1 \wedge \cdots \wedge dz_n$ . Then

$$\langle [i\Theta_{E,h}, \Lambda_{\omega}] u, u \rangle = \sum_{|S|=g-1} \sum_{i,k,\lambda,\mu} c_{jk\lambda\mu} u_{jS,\lambda} \bar{u}_{kS,\mu}$$

Positivity of holomorphic vector bundles in terms of L<sup>p</sup>-condition

Bochner-Kodaira-Nakano identity:

 $\Delta' = D'D'^* + D'^*D'$  and  $\Delta'' = \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}$  acting on *E*-valued forms satisfy the identity

$$\Delta'' = \Delta' + [i\Theta_{E,h}, \Lambda_{\omega}].$$

Let  $x_0 \in X$  and  $(z_1, \dots, z_n)$  be local coordinates centered at  $x_0$ , such that  $(\partial/\partial z_1, \dots, \partial/\partial z_n)$  is an orthonormal basis of TX at  $x_0$ . One can write

$$\omega = i \sum dz_j \wedge d\overline{z}_j + O(||z||),$$

and

$$i\Theta_{E,h}(x_0)=i\sum_{j,k,\lambda,\mu}c_{jk\lambda\mu}dz_j\wedge dar{z}_k\otimes e_\lambda^*\otimes e_\mu,$$

where  $(e_1, \dots, e_r)$  is an orthonormal basis of  $E_{x_0}$ . Let  $u = \sum u_{K,\lambda} dz \wedge d\bar{z}_K \otimes e_\lambda \in \Lambda^{n,q} T^*_X \otimes E$ , where  $dz = dz_1 \wedge \dots \wedge dz_n$ . Then

$$\langle [i\Theta_{E,h}, \Lambda_{\omega}] u, u \rangle = \sum_{|S|=q-1} \sum_{j,k,\lambda,\mu} c_{jk\lambda\mu} u_{jS,\lambda} \overline{u}_{kS,\mu}.$$

Positivity of holomorphic vector bundles in terms of L<sup>p</sup>-condition

In particular, if q = 1, we get

$$\langle [i\Theta_{E,h}, \Lambda_{\omega}] u, u \rangle = \sum_{j,k,\lambda,\mu} c_{jk\lambda\mu} u_{j,\lambda} \overline{u}_{k,\mu}.$$

So (E, h) is Nakano positive (resp. semo-positive) if and only if the Hermitian operator  $[i\Theta_{E,h}, \Lambda_{\omega}]$  is positive definite (resp. semi-positive definite) on  $\Lambda^{n,1}T_X^* \otimes E$ . If (E, h) is Nakano semo-positive and  $(E, h_1)$  is Nakano positive, then

$$\langle [i\Theta_{E,h} + i\Theta_{E,h_1}, \Lambda_{\omega}]u, u \rangle \geq \langle [i\Theta_{E,h_1}, \Lambda_{\omega}]u, u \rangle$$

and

$$\langle [i\Theta_{E,h}+i\Theta_{E,h_1},\Lambda_{\omega}]^{-1}u,u\rangle \leq \langle [i\Theta_{E,h_1},\Lambda_{\omega}]^{-1}u,u\rangle.$$

#### Theorem ( $L^2$ -estimate Theorem, see Demailly)

Let  $(X, \omega)$  be a complete Kähler manifold, with a Kähler metric which is not necessarily complete. Let (E, h) be a Hermitian vector bundle of rank r over X, and assume that the curvature operator  $B := [i\Theta_{E,h}, \Lambda_{\omega}]$  is semi-positive definite everywhere on  $\Lambda^{n,q}T_X^* \otimes E$ , for some  $q \ge 1$ . Then for any form  $g \in L^2(X, \Lambda^{n,q}T_X^* \otimes E)$  satisfying  $\overline{\partial}g = 0$  and  $\int_X \langle B^{-1}g, g \rangle dV_{\omega} < +\infty$ , there exists  $f \in L^2(X, \Lambda^{n,q-1}T_X^* \otimes E)$  such that  $\overline{\partial}f = g$  and

$$\int_X |f|^2 dV_\omega \leq \int_X \langle B^{-1}g,g 
angle dV_\omega.$$

・ 同 ト ・ ヨ ト ・ ヨ ト ・ ヨ

### Definition

Let  $(X, \omega)$  be a Kähler manifold of dimension n, which admits a positive line bundle, (E, h) be a (singular) Hermitian vector bundle (maybe of infinite rank) over X, and p > 0.

- (1) (E, h) satisfies the optimal  $L^p$ -estimate condition if for any positive line bundle  $(A, h_A)$  on X, for any  $f \in C_c^{\infty}(X, \wedge^{n,1}T_X^* \otimes E \otimes A)$  with  $\bar{\partial}f = 0$ , there is  $u \in L^p(X, \wedge^{n,0}T_X^* \otimes E \otimes A)$ , satisfying  $\bar{\partial}u = f$  and  $\int_X |u|_{h\otimes h_A}^p dV_\omega \leq \int_X \langle B_{A,h_A}^{-1}f, f \rangle^{\frac{p}{2}} dV_\omega$ , where  $B_{A,h_A} = [i\Theta_{A,h_A} \otimes Id_E, \Lambda_\omega]$ .
- (2) (E, h) satisfies the multiple coarse L<sup>p</sup>-estimate condition if for any m ≥ 1, for any positive line bundle (A, h<sub>A</sub>) on X, and for any f ∈ C<sup>∞</sup><sub>c</sub>(X, ∧<sup>n,1</sup>T<sup>\*</sup><sub>X</sub> ⊗ E<sup>⊗m</sup> ⊗ A) with ∂f = 0, there is u ∈ L<sup>p</sup>(X, ∧<sup>n,0</sup>T<sup>\*</sup><sub>X</sub> ⊗ E<sup>⊗m</sup> ⊗ A), satisfying ∂u = f and ∫<sub>X</sub> |u|<sup>p</sup><sub>h⊗m⊗hA</sub>dV<sub>ω</sub> ≤ C<sub>m</sub> ∫<sub>X</sub> ⟨B<sup>-1</sup><sub>A,hA</sub>f, f⟩<sup>p</sup><sub>2</sub>dV<sub>ω</sub>, where C<sub>m</sub> are constants satisfying <sup>1</sup>/<sub>m</sub> log C<sub>m</sub> → 0 as m → ∞.

### Definition

Let  $(X, \omega)$  be a Kähler manifold of dimension *n*, which admits a positive line bundle, (E, h) be a (singular) Hermitian vector bundle (maybe of infinite rank) over X, and p > 0.

- (1) (E, h) satisfies the optimal  $L^{p}$ -estimate condition if for any positive line bundle  $(A, h_{A})$  on X, for any  $f \in C_{c}^{\infty}(X, \wedge^{n,1}T_{X}^{*} \otimes E \otimes A)$  with  $\overline{\partial}f = 0$ , there is  $u \in L^{p}(X, \wedge^{n,0}T_{X}^{*} \otimes E \otimes A)$ , satisfying  $\overline{\partial}u = f$  and  $\int_{X} |u|_{h \otimes h_{A}}^{p} dV_{\omega} \leq \int_{X} \langle B_{A,h_{A}}^{-1}f, f \rangle^{\frac{p}{2}} dV_{\omega}$ , where  $B_{A,h_{A}} = [i\Theta_{A,h_{A}} \otimes Id_{E}, \Lambda_{\omega}].$
- (2) (E, h) satisfies the multiple coarse L<sup>p</sup>-estimate condition if for any m ≥ 1, for any positive line bundle (A, h<sub>A</sub>) on X, and for any f ∈ C<sup>∞</sup><sub>c</sub>(X, ∧<sup>n,1</sup>T<sup>\*</sup><sub>X</sub> ⊗ E<sup>⊗m</sup> ⊗ A) with ∂f = 0, there is u ∈ L<sup>p</sup>(X, ∧<sup>n,0</sup>T<sup>\*</sup><sub>X</sub> ⊗ E<sup>⊗m</sup> ⊗ A), satisfying ∂u = f and ∫<sub>X</sub> |u|<sup>p</sup><sub>h⊗m⊗h<sub>A</sub></sub>dV<sub>ω</sub> ≤ C<sub>m</sub> ∫<sub>X</sub> ⟨B<sup>-1</sup><sub>A,h<sub>A</sub></sub>f, f⟩<sup>p</sup><sub>2</sub>dV<sub>ω</sub>, where C<sub>m</sub> are constants satisfying <sup>1</sup>/<sub>m</sub> log C<sub>m</sub> → 0 as m → ∞.

물 🕨 🖌 물 🕨 👘

3

## Theorem (1)

Let  $(X, \omega)$  be a Kähler manifold of dimension *n*, which admits a positive Hermitian holomorphic line bundle, (E, h) be a smooth Hermitian vector bundle over X, and  $\theta \in C^0(X, \Lambda^{1,1}T^*_{\mathbf{x}} \otimes End(E))$  such that  $\theta^* = \theta$ . If for any  $f \in \mathcal{C}^{\infty}_{c}(X, \wedge^{n,1}T^{*}_{X} \otimes E \otimes A)$  with  $\overline{\partial}f = 0$ , and any positive Hermitian line bundle  $(A, h_A)$  on X with  $i\Theta_{A,h_A} \otimes Id_E + \theta > 0$ on supp f, there is  $u \in L^2(X, \wedge^{n,0}T^*_X \otimes E \otimes A)$ , satisfying  $\bar{\partial} u = f$  and  $\int_{X} |u|^2_{h \otimes h_A} dV_\omega \leq \int_{X} \langle B^{-1}_{h_A \theta} f, f \rangle_{h \otimes h_A} dV_\omega$ , provided that the right hand side is finite, where  $B_{h_{A},\theta} = [i\Theta_{A,h_{A}} \otimes Id_{E} + \theta, \Lambda_{\omega}]$ , then  $i\Theta_{E,h} \geq \theta$  in the sense of Nakano. On the other hand, if in addition X is assumed to

## Theorem (1)

Let  $(X, \omega)$  be a Kähler manifold of dimension *n*, which admits a positive Hermitian holomorphic line bundle, (E, h) be a smooth Hermitian vector bundle over X, and  $\theta \in C^0(X, \Lambda^{1,1}T^*_{\mathbf{x}} \otimes End(E))$  such that  $\theta^* = \theta$ . If for any  $f \in \mathcal{C}^{\infty}_{c}(X, \wedge^{n,1}T^{*}_{X} \otimes E \otimes A)$  with  $\overline{\partial}f = 0$ , and any positive Hermitian line bundle  $(A, h_A)$  on X with  $i\Theta_{A,h_A} \otimes Id_E + \theta > 0$ on supp f, there is  $u \in L^2(X, \wedge^{n,0}T^*_X \otimes E \otimes A)$ , satisfying  $\bar{\partial} u = f$  and  $\int_{\mathbf{X}} |u|^2_{h \otimes h_A} dV_\omega \leq \int_{\mathbf{X}} \langle B^{-1}_{h_A \theta} f, f \rangle_{h \otimes h_A} dV_\omega$ , provided that the right hand side is finite, where  $B_{h_{A},\theta} = [i\Theta_{A,h_{A}} \otimes Id_{E} + \theta, \Lambda_{\omega}], \text{ then } i\Theta_{E,h} \geq \theta \text{ in the sense of}$ Nakano. On the other hand, if in addition X is assumed to have a complete Kähler metric, the above condition is also necessary for that  $i\Theta_{F,h} > \theta$  in the sense of Nakano. In

## Theorem (1)

Let  $(X, \omega)$  be a Kähler manifold of dimension *n*, which admits a positive Hermitian holomorphic line bundle, (E, h) be a smooth Hermitian vector bundle over X, and  $\theta \in C^0(X, \Lambda^{1,1}T^*_{\mathbf{x}} \otimes End(E))$  such that  $\theta^* = \theta$ . If for any  $f \in \mathcal{C}^{\infty}_{c}(X, \wedge^{n,1}T^{*}_{X} \otimes E \otimes A)$  with  $\overline{\partial}f = 0$ , and any positive Hermitian line bundle  $(A, h_A)$  on X with  $i\Theta_{A,h_A} \otimes Id_E + \theta > 0$ on supp f, there is  $u \in L^2(X, \wedge^{n,0}T^*_X \otimes E \otimes A)$ , satisfying  $\bar{\partial} u = f$  and  $\int_{\mathbf{X}} |u|^2_{h \otimes h_A} dV_\omega \leq \int_{\mathbf{X}} \langle B^{-1}_{h_A \theta} f, f \rangle_{h \otimes h_A} dV_\omega$ , provided that the right hand side is finite, where  $B_{h_A,\theta} = [i\Theta_{A,h_A} \otimes Id_F + \theta, \Lambda_{\omega}]$ , then  $i\Theta_{F,h} > \theta$  in the sense of Nakano. On the other hand, if in addition X is assumed to have a complete Kähler metric, the above condition is also necessary for that  $i\Theta_{F,h} > \theta$  in the sense of Nakano. In particular, if (E, h) satisfies the optimal  $L^2$ -estimate condition, then (E, h) is Nakano semi-positive.

(4月) (日) (日) 日

#### Theorem (2)

Let  $(X, \omega)$  be a Kähler manifold, which admits a positive Hermitian holomorphic line bundle, and (E, h) be a holomorphic vector bundle over X with a continuous Hermitian metric h. If (E, h) satisfies the multiple coarse  $L^p$ -estimate condition for some p > 1, then (E, h) is Griffiths semi-positive.

The case that p = 2 and h is Hölder continuous for the above theorem was proved by G. Hosono and T. Inayama, by showing that the multiple coarse  $L^2$ -estimate condition implies the the multiple coarse  $L^2$ -extension condition.

・ 同 ト ・ ヨ ト ・ ヨ ト …

#### Theorem (2)

Let  $(X, \omega)$  be a Kähler manifold, which admits a positive Hermitian holomorphic line bundle, and (E, h) be a holomorphic vector bundle over X with a continuous Hermitian metric h. If (E, h) satisfies the multiple coarse  $L^p$ -estimate condition for some p > 1, then (E, h) is Griffiths semi-positive.

The case that p = 2 and h is Hölder continuous for the above theorem was proved by G. Hosono and T. Inayama, by showing that the multiple coarse  $L^2$ -estimate condition implies the the multiple coarse  $L^2$ -extension condition. B. Berndtsson and M. Păun(2010) and Q. A. Guan and X. Y. Zhou(2015) proved the  $L^p$  extension theorem.

#### Theorem

Let  $\Omega$  be a bounded pseudoconvex domain in  $\mathbb{C}^n$ , L be a complex affine line in  $\mathbb{C}^n$ , and  $\Omega \cap L \neq \emptyset$ . For  $0 , then for any <math>f \in A^p(\Omega \cap L)$ , there is  $F \in A^p(\Omega)$ , such that  $F|_{\Omega \cap L} = f$  and  $\int_{\Omega} |F|^p \le C \int_{\Omega \cap L} |f|^p,$ 

where C is a constant depending only on diam  $\Omega$  and n.

伺 と く ヨ と く ヨ と

### Optimal L<sup>2</sup>-extension Theorem(Zhou-Zhu 19')

Let  $\pi: X \to B$  be a proper holomorphic submersion from a complex *n*-dimensional Kähler manifold  $(X, \omega)$  onto a unit ball in  $\mathbb{C}^m$ . Let  $(E, h = h_E)$  be a Hermitian holomorphic vector bundle over X, such that the curvature  $i\Theta_{E,h_E} \ge 0$  in the sense of Nakano. Let  $t_0 \in B$  be an arbitrarily fixed point. Then for every section  $u \in H^0(X_{t_0}, K_{X_{t_0}} \otimes E|_{X_{t_0}})$ , such that  $\int_{X_{t_0}} |u|^2_{\omega,h} dV_{\omega_{X_{t_0}}} < +\infty$ , there is a section  $\widetilde{u} \in H^0(X, K_X \otimes E)$ , such that  $\widetilde{u}|_{X_{t_0}} = \widetilde{u} \wedge dt$ , with the following  $L^2$ -estimate

$$\int_X |\widetilde{u}|^2_{\omega,h} dV_{X,\omega} \leq \mu(B) \int_{X_{t_0}} |u|^2_{\omega,h} dV_{\omega_{X_{t_0}}},$$

where  $dt = dt_1 \wedge \cdots \wedge dt_m$ , and  $t = (t_1, \cdots, t_m)$  are the holomorphic coordinates on  $\mathbb{C}^m$ , and  $\mu(B)$  is the volume of the unit ball in  $\mathbb{C}^m$  with respect to the Lebesgue measure on  $\mathbb{C}^m$ .

### Definition

Let (E, h) be a Hermitian vector bundle over a domain  $D \subset \mathbb{C}^n$  with a singular Finsler metric h, and p > 0. (1) (E, h) satisfies the optimal  $L^{p}$ -extension condition if for any  $z \in D$ , and  $a \in E_z$  with |a| = 1, and any holomorphic cylinder P with  $z + P \subset D$ , there is  $f \in H^0(z + P, E)$ such that f(z) = a and  $\frac{1}{\mu(P)} \int_{z+P} |f|^p \le 1$ , where  $\mu(P)$  is the volume of P with respect to the Lebesgue measure. (Here by a holomorphic cylinder we mean a domain of the form  $A(P_{r,s})$  for some  $A \in U(n)$  and r, s > 0, with  $P_{r,s} =$  $\{(z_1, z_2, \cdots, z_n) : |z_1|^2 < r^2, |z_2|^2 + \cdots + |z_n|^2 < s^2\}$ ・ロット (雪) (目) (日) (日)

### Definition

Let (E, h) be a Hermitian vector bundle over a domain  $D \subset \mathbb{C}^n$  with a singular Finsler metric h, and p > 0. (1) (E, h) satisfies the optimal  $L^{p}$ -extension condition if for any  $z \in D$ , and  $a \in E_z$  with |a| = 1, and any holomorphic cylinder P with  $z + P \subset D$ , there is  $f \in H^0(z + P, E)$ such that f(z) = a and  $\frac{1}{\mu(P)} \int_{z+P} |f|^p \leq 1$ , where  $\mu(P)$  is the volume of P with respect to the Lebesgue measure. (Here by a holomorphic cylinder we mean a domain of the form  $A(P_{r,s})$  for some  $A \in U(n)$  and r, s > 0, with  $P_{r,s} =$  $\{(z_1, z_2, \cdots, z_n) : |z_1|^2 < r^2, |z_2|^2 + \cdots + |z_n|^2 < s^2\}$ (2) (E, h) satisfies the multiple coarse  $L^{p}$ -extension condition if for any  $z \in D$ , and  $a \in E_z$  with |a| = 1, and any m > 1, there is  $f_m \in H^0(D, E^{\otimes m})$  such that  $f_m(z) = a^{\otimes m}$  and satisfies the following estimate:  $\int_{D} |f_m|^p \leq C_m$ , where  $C_m$ are constants independent of z and satisfying  $\lim_{m\to\infty}\frac{1}{m}\log C_m=0.$ (四)((日)(日)(日)

### Two theorems

The following theorems are first prove by F.S. Deng, Z.W. Wang, L.Y. Zhang and X.Y. Zhou.

#### Theorem (3)

Let E be a holomorphic vector bundle over a domain  $D \subset \mathbb{C}^n$ , and h be a singular Finsler metric on E, such that  $|s|_{h^*}$  is upper semi-continuous for any local holomorphic section s of  $E^*$ . If (E, h) satisfies the optimal  $L^p$ -extension condition for some p > 0, then (E, h) is Griffiths semi-positive.

#### Theorem (4)

Let E be a holomorphic vector bundle over a domain  $D \subset \mathbb{C}^n$ , and h be a singular Finsler metric on E, such that  $|s|_{h^*}$  is upper semi-continuous for any local holomorphic section s of  $E^*$ . If (E, h) satisfies the multiple coarse  $L^p$ -extension condition for some p > 0, then (E, h) is Griffiths semi-positive.

### Two theorems

The following theorems are first prove by F.S. Deng, Z.W. Wang, L.Y. Zhang and X.Y. Zhou.

#### Theorem (3)

Let E be a holomorphic vector bundle over a domain  $D \subset \mathbb{C}^n$ , and h be a singular Finsler metric on E, such that  $|s|_{h^*}$  is upper semi-continuous for any local holomorphic section s of  $E^*$ . If (E, h) satisfies the optimal  $L^p$ -extension condition for some p > 0, then (E, h) is Griffiths semi-positive.

#### Theorem (4)

Let E be a holomorphic vector bundle over a domain  $D \subset \mathbb{C}^n$ , and h be a singular Finsler metric on E, such that  $|s|_{h^*}$  is upper semi-continuous for any local holomorphic section s of  $E^*$ . If (E, h) satisfies the multiple coarse  $L^p$ -extension condition for some p > 0, then (E, h) is Griffiths semi-positive.

#### Lemma

Let  $D \subset \mathbb{C}^n$  be a domain, and  $\phi$  be an upper-semicontinuous function on D. If for any  $z_0 \in D$  and any holomorphic cylinder P with with  $z_0 + P \subset C D$ ,

$$\phi(z_0) \leq \frac{1}{\mu(P)} \int_{z_0+P} \phi,$$

then  $\phi$  is plurisubharmonic on D.

Let u be a holomorphic section of  $E^*$  over D. Let  $z \in D$  and P be any holomorphic cylinder such that  $z + P \subset D$ . Take  $a \in E_z$  such that  $|a|_h = 1$  and  $|u|_{h^*}(z) = |\langle u(z), a \rangle|$ . Since (E, h) satisfies the optimal  $L^p$ -extension condition, there is a holomorphic section f of E on z + P, such that f(z) = a and satisfies the estimate

$$\frac{1}{\mu(P)}\int_{z+P}|f|_h^p\leq 1.$$

Note that  $|u|_{h^*} \ge |\langle u, f \rangle| / |f|_h$  on z + P, it follows that

$$\log |u|_{h^*} \geq \log |\langle u, f \rangle| - \log |f|_h.$$

Taking integration, we get that

$$p\left(\frac{1}{\mu(P)}\int_{z+P}\log|u|_{h^*}\right)$$

$$\geq p\left(\frac{1}{\mu(P)}\int_{z+P}\log|\langle u,f\rangle|\right) - \frac{1}{\mu(P)}\int_{z+P}\log|f|_h^p$$

$$\geq p\left(\frac{1}{\mu(P)}\int_{z+P}\log|\langle u,f\rangle|\right) - \log\left(\frac{1}{\mu(P)}\int_{z+P}|f|_h^p\right)$$

$$\geq p\log|\langle u(z),f(z)\rangle|$$

$$= p\log|\langle u(z),a\rangle| = p\log|u(z)|_{h^*},$$

where the second inequality follows from Jensen's inequality, and the third inequality follows from the fact that  $\log |\langle u, f \rangle|$  is a plurisubharmonic function. Dividing by p, we obtain that

$$\log |u(z)|_{h^*} \leq \frac{1}{\mu(P)} \int_{z+P} \log |u|_{h^*}.$$

By the Lemma above, we see that  $\log |u|_{h^*}$  is plurisubharmonic on D.

### Proof of Theorem (4)

Let u be a holomorphic section of  $E^*$  over D. Then  $u^{\otimes m} \in H^0(D, (E^*)^{\otimes m})$ . Let  $z \in D$  and P be any holomorphic cylinder such that  $z + P \subset D$ . Take  $a \in E_z$  such that  $|a|_h = 1$  and  $|u|_{h^*}(z) = |\langle u(z), a \rangle|$ . Since (E, h) satisfies the multiple coarse  $L^p$ -extension condition, there is  $f_m \in H^0(D, E^{\otimes m})$ , such that  $f_m(z) = a^{\otimes m}$  and satisfies the following estimate

$$\int_D |f_m|^p \le C_m$$

where  $C_m$  are constants independent of z and satisfy the growth condition  $\frac{1}{m} \log C_m \to 0$  as  $m \to \infty$ . Since  $|u^{\otimes m}|_{(h^*)^{\otimes m}} = |u|_{h^*}^m \ge \frac{|\langle u^{\otimes m}, f_m \rangle|}{|f_m|_{h^{\otimes m}}}$ , we have that

$$m \log |u|_{h^*} \ge \log |\langle u^{\otimes m}, f_m \rangle| - \log |f_m|.$$

(1日) (日) (日) (日) (日)

Taking integration, we get that

$$\begin{split} m\left(\frac{1}{\mu(P)}\int_{z+P}\log|u|_{h^*}\right)\\ &\geq \frac{1}{\mu(P)}\int_{z+P}\log|\langle u^{\otimes m},f_m\rangle| - \frac{1}{p}\left(\frac{1}{\mu(P)}\int_{z+P}\log|f_m|^p\right)\\ &\geq m\log|u(z)|_{h^*} - \frac{1}{p}\log\left(\frac{1}{\mu(P)}\int_{z+P}|f_m|^p\right)\\ &\geq m\log|u(z)|_{h^*} - \frac{1}{p}\log\left(\frac{1}{\mu(P)}\int_D|f_m|^p\right)\\ &\geq m\log|u(z)|_{h^*} - \frac{1}{p}\log(C_m/\mu(P)), \end{split}$$

Dividing by m in both sides, we obtain that

L

$$\frac{1}{\mu(P)} \int_{z+P} \log |u|_{h^*} \ge \log |u(z)|_{h^*} - \frac{1}{mp} \log(C_m/\mu(P)).$$
  
etting  $m \to \infty$ , we get  $\log |u(z)|_{h^*} \le \frac{1}{\mu(P)} \int_{z+P} \log |u|_{h^*}.$ 

Positivity of holomorphic vector bundles in terms of L<sup>p</sup>-condition



Q1: Does Theorem (1) still hold if the optimal  $L^2$ -estimate condition is replaced by the optimal  $L^p$ -estimate condition for some  $p \neq 2$ ?

Q2: Establish results analogous to Theorem (1) for holomorphic vector bundles with singular Hermitian metrics.

Q3: Does the multiple coarse  $L^2$ -estimate condition of (E, h) imply the Nakano positivity of (E, h)?

Q4: Does the Griffiths positivity imply the optimal  $L^2$ -extension condition and the multiple coarse  $L^2$ -extension condition?

▲ 同 ▶ ▲ 目 ▶ ▲ 目 ▶ ……

#### Theorem (Berndtsson)

Let U and D be bounded domains in  $\mathbb{C}_t^n$  and  $\mathbb{C}_z^m$  respectively, and  $\phi \in \mathcal{C}^2(\overline{U} \times \overline{D}) \cap PSH(U \times D)$ . Assume that D is pseudoconvex. For  $t \in U$ , let  $A_t^2 := \{f \in \mathcal{O}(D) : ||f||_t^2 := \int_D |f|^2 e^{-\phi(t,\cdot)} < \infty\}$  and  $F := \coprod_{t \in U} A_t^2$ . We may view F as a Hermitian holomorphic vector bundle on U. Then  $(F, ||\cdot||_t)$  is Nakano semi-positive.

伺 と く ヨ と く ヨ と …

We will first prove that  $(F, || \cdot ||_t)$  satisfies the  $\bar{\partial}$  optimal  $L^2$ -estimate for pseudoconvex domains contained in U. We may assume U is pseudoconvex.

For any smooth strictly plurisubharmonic function  $\psi$  on U, for any  $\bar{\partial}$  closed  $f \in C_c^{\infty}(T_U^* \Lambda^{(0,1)} \otimes F)$  (We identify  $C_c^{\infty}(T_U^* \Lambda^{(0,1)} \otimes F)$  with  $C_c^{\infty}(T_U^* \Lambda^{(n,1)} \otimes F)$ ). We may write  $f = \sum_{j=1}^n f_j(t, z) d\bar{t}_j$  with  $f_j(t, \cdot) \in F_t$  for  $t \in U$  and  $j = 1, 2, \cdots, n$ . Therefore, we may view f as a  $\bar{\partial}$ -closed (0, 1)-form on  $U \times D$ .

伺い イラト イラト

By  $L^2$ -estimate theorem, there exists a function u on  $U \times D$ , satisfying  $\bar{\partial}u = f$  and

$$egin{aligned} &\int_{U imes D} |u|^2 e^{-(\phi+\psi)} \leq \int_{U imes D} |f|^2_{i\partialar\partial(\phi+\psi)} e^{-(\phi+\psi)} \ &\leq \int_{U imes D} |f|^2_{i\partialar\partial\psi} e^{-(\phi+\psi)} \ &= \int_U \sum_{j,k=1}^n \psi^{jar k} \langle f_j(t,\cdot), f_k(t,\cdot) 
angle_t e^{-\psi}, \end{aligned}$$

where  $(\psi^{j\bar{k}})_{n\times n} := (\frac{\partial^2 \psi}{\partial t_j \partial \bar{t}_k})_{n\times n}^{-1}$ . Note that  $\int_{U\times D} |u|^2 e^{-(\phi+\psi)} = \int_U ||u||_t^2 e^{-\psi} < \infty$  and  $\frac{\partial u}{\partial \bar{z}_j} = 0$  for  $j = 1, 2, \cdots, m$ , we may view u as a  $L^2$ -section of F on U. By Theorem (1),  $(F, ||\cdot||_t)$  is Nakano semi-positive.

4 E 6 4 E 6

Let  $\Omega = U \times D \subset \mathbb{C}^n_t \times \mathbb{C}^m_t$  be a bounded pseudoconvex domains and  $p: \Omega \to U$  be the natural projection. Let h be a Hermitian metric on the trivial bundle  $E = \Omega \times \mathbb{C}^r$  that is  $C^2$ -smooth to  $\overline{\Omega}$ . For  $t \in U$ , let

$$F_t := \{f \in H^0(D, E|_{\{t\} \times D}) : \|f\|_t^2 := \int_D |f|_{h_t}^2 < \infty\}$$

and  $F := \prod_{t \in II} F_t$ . Since h is continuous to  $\overline{\Omega}$ ,  $F_t$  are equal for all  $t \in U$  as vector spaces. We may view  $(F, \|\cdot\|)$  as a trivial holomorphic Hermitian vector bundle of infinite rank over U.

-

Let  $\Omega = U \times D \subset \mathbb{C}_t^n \times \mathbb{C}_z^m$  be a bounded pseudoconvex domains and  $p : \Omega \to U$  be the natural projection. Let h be a Hermitian metric on the trivial bundle  $E = \Omega \times \mathbb{C}^r$  that is  $C^2$ -smooth to  $\overline{\Omega}$ . For  $t \in U$ , let

$$F_t := \{ f \in H^0(D, E|_{\{t\} \times D}) : \|f\|_t^2 := \int_D |f|_{h_t}^2 < \infty \}$$

and  $F := \coprod_{t \in U} F_t$ . Since *h* is continuous to  $\overline{\Omega}$ ,  $F_t$  are equal for all  $t \in U$  as vector spaces. We may view  $(F, \|\cdot\|)$  as a trivial holomorphic Hermitian vector bundle of infinite rank over U.

#### Theorem (direc image in stein)

Let  $\theta$  be a continuous real (1, 1)-form on U such that  $i\Theta_E \ge p^*\theta \otimes Id_E$ , then  $i\Theta_F \ge \theta \otimes Id_F$  in the sense of Nakano. In particular, if  $i\Theta_E > 0$  in the sense of Nakano, then  $i\Theta_F > 0$  in the sense of Nakano.

### Proof

By Theorem (1), it suffices to prove that  $(F, \|\cdot\|)$  satisfies: for any  $f \in C_c^{\infty}(U, \wedge^{n,1}T_U^* \otimes F \otimes A)$  with  $\overline{\partial}f = 0$ , and any positive Hermitian line bundle  $(A, h_A)$  on U with  $i\Theta_{A,h_A} + \theta > 0$  on supp f, there is  $u \in L^2(U, \wedge^{n,0}T_U^* \otimes F \otimes A)$ , satisfying  $\overline{\partial}u = f$  and

$$\int_{U}|u|^2_{h\otimes h_A}dV_\omega\leq\int_{U}\langle B^{-1}_{h_A, heta}f,f
angle_{h\otimes h_A}dV_\omega,$$

provided that the right hand side is finite, where  $B_{h_A,\theta} = [(i\Theta_{A,h_A} + \theta) \otimes Id_F, \Lambda_{\omega}].$ We may write  $f = \sum_{j=1}^{n} f_j(t,z) dt \wedge d\bar{t}_j$  with  $f_j(t,\cdot) \in F_t \otimes A$ for  $t \in U$  and  $j = 1, 2, \dots, n$ . Therefore, we may view f as a  $\bar{\partial}$ -closed  $E \otimes p^*A$ -valued (n, 1)-form on  $\Omega$ . Let  $\tilde{f} = f \wedge dz$ , then  $\tilde{f}$  is a  $\bar{\partial}$ -closed  $E \otimes p^*A$ -valued (m + n, 1)-form on  $\Omega$ . By assumption,  $i\Theta_E \geq p^*\theta \otimes Id_E.$  We get

$$i\Theta_E + ip^*(\Theta_{A,h_A}) \otimes Id_E \geq p^*(\theta + i\Theta_{A,h_A}) \otimes Id_E.$$

Therefore,

$$\begin{split} &\langle [i\Theta_E + ip^*(\Theta_{A,h_A}) \otimes Id_E, \Lambda_{\omega}]^{-1}\tilde{f}, \tilde{f} \rangle_{h \otimes h_A} \\ &\leq \langle [p^*(\theta + i\Theta_{A,h_A}) \otimes Id_E, \Lambda_{\omega}]^{-1}\tilde{f}, \tilde{f} \rangle_{h \otimes h_A} \end{split}$$

By  $L^2$ -estimate theorem, we can find an  $E \otimes p^*A$ -valued (n + m, 0)-form  $\tilde{u}$  on  $\Omega$ , satisfying  $\bar{\partial}\tilde{u} = \tilde{f}$  and

$$\begin{split} & \int_{\Omega} |\tilde{u}|^2_{h\otimes h_A} \\ & \leq \int_{\Omega} \langle [p^*(\theta + i\Theta_{A,h_A}) \otimes Id_E, \Lambda_{\omega}]^{-1} \tilde{f}, \tilde{f} \rangle_{h\otimes h_A} \\ & = \int_{U} \langle B^{-1}_{h_{A,\theta}} f, f \rangle_{h\otimes h_A}, \end{split}$$

where the last equality holds by the Fubini theorem.

Positivity of holomorphic vector bundles in terms of L<sup>p</sup>-condition

Since  $\frac{\partial \tilde{u}}{\partial \bar{z}_j} = 0$ ,  $\tilde{u}$  is holomorphic along fibers and we may view  $u = \tilde{u}/dz$  as a section of  $K_U \otimes F \otimes A$ . Also by the Fubini theorem, we have

$$\int_{\Omega} |\tilde{u}|^2_{h\otimes h_{\mathcal{A}}} = \int_{U} ||u||^2_{h\otimes h_{\mathcal{A}}} < \infty.$$

We also have  $\bar{\partial} u = f$ . Hence  $(F, \|\cdot\|)$  satisfies the optimal  $L^2$ -estimate condition and is Nakano semi-positive by Theorem (1).

Let  $\pi: X \to U$  be a proper holomorphic submersion from Kähler manifold X of complex dimension m + n, to a bounded pseudoconvex domain  $U \subset \mathbb{C}^n$ , and (E, h) be a Hermitian holomorphic vector bundle over X, with the Chern curvature Nakano semi-positive. From  $L^2$ -extension theorem, the direct image  $F := \pi_*(K_{X/U} \otimes E)$  is a vector bundle, whose fiber over  $t \in U$  is  $F_t = H^0(X_t, K_{X_t} \otimes E|_{X_t})$ . There is a hermitian metric  $\|\cdot\|$  on F induced by h: for any  $u \in F_t$ ,

$$\|u(t)\|_t^2 := \int_{X_t} c_m u \wedge \overline{u},$$

where  $m = \dim X_t$ ,  $c_m = i^{m^2}$ , and  $u \wedge \overline{u}$  is the composition of the wedge product and the inner product on E. So we get a Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U.

- 11日本 日本

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over Udefined above satisfies the optimal  $L^2$ -estimate condition. Moreover, if  $i\Theta_E \ge p^*\theta \otimes Id_E$  for a continuous real (1, 1)-form  $\theta$  on U, then  $i\Theta_F \ge \theta \otimes Id_F$  in the sense of Nakano.

#### Remark

The conclusion that  $i\Theta_F \ge \theta \otimes Id_F$  is semipositive in the sense of Nakano is proved by Berndtsson, our proof is different with his.

伺 ト イヨト イヨト

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over Udefined above satisfies the optimal  $L^2$ -estimate condition. Moreover, if  $i\Theta_E \ge p^*\theta \otimes Id_E$  for a continuous real (1, 1)-form  $\theta$  on U, then  $i\Theta_F \ge \theta \otimes Id_F$  in the sense of Nakano.

#### Remark

The conclusion that  $i\Theta_F \ge \theta \otimes Id_F$  is semipositive in the sense of Nakano is proved by Berndtsson, our proof is different with his.

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U satisfies the multiple coarse  $L^2$ -estimate condition. In particular, F is Griffiths semipositive.

#### Theorem

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U satisfies the multiple coarse L<sup>2</sup>-extension condition. In particular, F is Griffiths semipositive.

・ 同 ト ・ ヨ ト ・ ヨ ト

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U satisfies the multiple coarse L<sup>2</sup>-estimate condition. In particular, F is Griffiths semipositive.

#### Theorem

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U satisfies the multiple coarse  $L^2$ -extension condition. In particular, F is Griffiths semipositive.

伺 ト く ヨ ト く ヨ ト

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U satisfies the optimal  $L^2$ -extension condition. In particular, F is Griffiths semipositive.

Proof. For any  $t_0 \in U$ , any holomorphic cylinder P such that  $t_0 + P \subset U$ , and any  $a_{t_0} \in F_{t_0}$ , which is a holomorphic section of  $K_{X_{t_0}} \otimes E|_{X_{t_0}}$  on  $X_{t_0}$ . Since E is Nakano semi-positive, from the optimal  $L^2$ -extention Theorem, we get a homolomorphic extension  $a \in H^0(X, K_X \otimes E)$  such that  $a|_{X_{t_0}} = a_{t_0} \wedge dt$ , and with the estimate

 $\int_{\pi^{-1}(t_0+P)} c_{m+n} a \wedge \bar{a} \leq \mu(P) \int_{X_{t_0}} c_m a_{t_0} \wedge \bar{a}_{t_0} = \mu(P) |a_{t_0}|_{t_0}^2,$ where  $\mu(P)$  is the volume of P with respect to the Lebesgue measure  $d\mu$  on  $\mathbb{C}^m$ . Since

 $a_t := (a/dt)|_{X_t} \in H^0(X_t, K_{X_t} \otimes E|_{X_t}), a/dt$  can be seen as a holomorphic section of the direct image bundle F over  $t_0 + P$ , and from Fubini's theorem, we can obtain that  $\int_{X_t} |a|^2 dV \leq u(P)|a|^2$ 

The Hermitian holomorphic vector bundle  $(F, \|\cdot\|)$  over U satisfies the optimal  $L^2$ -extension condition. In particular, F is Griffiths semipositive.

Proof. For any  $t_0 \in U$ , any holomorphic cylinder P such that  $t_0 + P \subset U$ , and any  $a_{t_0} \in F_{t_0}$ , which is a holomorphic section of  $K_{X_{t_0}} \otimes E|_{X_{t_0}}$  on  $X_{t_0}$ . Since E is Nakano semi-positive, from the optimal  $L^2$ -extention Theorem, we get a homolomorphic extension  $a \in H^0(X, K_X \otimes E)$  such that  $a|_{X_{t_0}} = a_{t_0} \wedge dt$ , and with the estimate

 $\int_{\pi^{-1}(t_0+P)} c_{m+n} a \wedge \bar{a} \leq \mu(P) \int_{X_{t_0}} c_m a_{t_0} \wedge \bar{a}_{t_0} = \mu(P) |a_{t_0}|_{t_0}^2,$ where  $\mu(P)$  is the volume of P with respect to the Lebesgue measure  $d\mu$  on  $\mathbb{C}^m$ . Since  $a_t := (a/dt)|_{X_t} \in H^0(X_t, K_{X_t} \otimes E|_{X_t}), a/dt$  can be seen as a holomorphic section of the direct image bundle F over  $t_0 + P$ ,

and from Fubini's theorem, we can obtain that

$$\int_{t_0+P} |a_t|_t^2 dV_{\omega_0} \le \mu(P) |a_{t_0}|_{t_0}^2.$$

## Thank You!

Positivity of holomorphic vector bundles in terms of L<sup>p</sup>-condition

御 と く ヨ と く ヨ と

э