# Non-Uniform Recursive Doo-Sabin Surfaces

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# Abstract

This paper presents a generalization of Catmull-Clark-variant Doo-Sabin surfaces and non-uniform biquadratic B-spline surfaces called NURDSes (Non-Uniform Recursive Doo-Sabin Surfaces). One step of NURDS refinement can be factored into one non-uniform linear subdivision step plus one dual step. Compared to the prior non-uniform Doo-Sabin surfaces (i.e., quadratic NURSSes), NURDSes are convergent for arbitrary *n*-sided faces. Closed form limit point rules, which are important for applications in adaptive rendering and NC machining, are given as well.

Keywords: Doo-Sabin surfaces, NURBS, Limit point rules

#### 1. Introduction

The Doo-Sabin [1] and the Catmull-Clark scheme [2] are generalizations of the subdivision rules for biquadratic and bicubic B-splines to meshes of arbitrary topology, respectively. In the same paper [2], Catmull and Clark also proposed a variant of Doo-Sabin subdivision, which could be interpreted as one linear subdivision step followed by one dual step [3, 4].

In 1998, Sederberg et al. [5] introduced non-uniform recursive subdivision surfaces (NURSSes) that extended nonuniform tensor product B-spline surfaces to control grids of arbitrary topology for the first time. Quadratic and cubic NURSSes correspond to non-uniform Doo-Sabin and Catmull-Clark surfaces, respectively. To obtain stationary refinement rules, a restriction that opposing edges of each four-sided face have the same knot interval is placed on cubic NURSSes to generate NURCCs [6].

Limit point rules are useful for adaptive rendering of subdivision surfaces [7]. For a stationary subdivision scheme, an eigenanalysis can be performed for each configuration of knot intervals to obtain the limit point using the method described in [8]. However, general limit point rules for NURCCs have not yet become available. Recently, Müller et al. presented two different approaches to extend both bicubic NURBS and Catmull-Clark surfaces. Extended Subdivision Surfaces (ESubs) [9] offer limit point rules but are nonstationary. DINUS [10] is a stationary scheme which provides limit point as well as limit normal rules.

Quadratic NURSSes [5] are the only subdivision surfaces that generalize both non-uniform biquadratic B-spline surfaces and original Doo-Sabin surfaces [1]. In Doo-Sabin like schemes, the *extraordinary points* are at the "centers" of *n*-sided faces with  $n \neq 4$ . Qin et al. point out that quadratic NURSSes converge for  $n \leq 12$ , but may diverge when n > 12 [11]. As a stationary scheme, a detailed eigenanalysis has been performed for quadratic NURSSes [12], but no closed form limit point rules are known for this scheme to date. As for the Catmull-Clark variant of Doo-Sabin subdivision [2], no non-uniform counterpart has ever been presented to the best of our knowledge.

This paper introduces a non-uniform extension to the Catmull-Clark-variant Doo-Sabin surfaces (CCDSes) called NURDSes (Non-Uniform Recursive Doo-Sabin Surfaces). NURDSes are the subdivision surfaces that generalize non-uniform biquadratic B-spline to control meshes of arbitrary topology and that generalize CCDSes to non-uniform knot vectors. Just like NURSS and NURCC, "NURDS" can as well stand for "Non-Uniform *Rational* Doo-Sabin Surfaces".

By analyzing non-uniform biquadratic B-spline subdivision and the CCDS scheme, NURDSes are devised to have the analogous properties as follows:

- Repeated averaging: One NURDS refinement step can be decomposed into one linear subdivision step followed by one dual step.
- Convergence: The NURDS refinement is convergent for extraordinary points with arbitrary valence.
- Limit point: For an *n*-sided face, its weighted centroid is the limit point of its associated extraordinary point. That is, an *n*-sided face converges to its weighted centroid which is on the limit surface.

The rest of this paper is organized as follows. The next section briefly reviews the Catmull-Clark variant of Doo-Sabin

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subdivision. Section 3 describes the decomposition of the nonuniform quadratic subdivision into one non-uniform linear subdivision step and one averaging (dual) step. In Section 4, we propose the refinement rules for NURDSes, discuss the continuity of this scheme and give some examples. Finally, we conclude the paper with some suggestions for future work.

#### 2. Catmull-Clark variant of Doo-Sabin subdivision

This section briefly reviews Doo-Sabin subdivision surfaces, especially the Catmull-Clark variant of Doo-Sabin subdivision.



Figure 1: Doo-Sabin subdivision.

The Doo-Sabin subdivision algorithm is a generalization of the subdivision scheme for uniform biquadratic B-splines to control meshes of arbitrary topology [1]. The initial control mesh may consist of faces and vertices with arbitrary valence.

During each Doo-Sabin subdivision step (see Figs. 1 and 2), for each face with *n* vertices  $\mathbf{P}_0, \ldots, \mathbf{P}_{n-1}$ , the corresponding new vertices  $\overline{\mathbf{P}}_0, \ldots, \overline{\mathbf{P}}_{n-1}$  are computed by

$$\overline{\mathbf{P}}_i = \sum_{j=0}^{n-1} w_{i,j} \mathbf{P}_j, i = 0, \dots, n-1.$$
(1)

Then a new face of type F is created by connecting  $\overline{\mathbf{P}}_0, \ldots, \overline{\mathbf{P}}_{n-1}$  to replace the old one. For each edge, a new four-sided face of type E is formed by connecting the images of the new points that have been generated for the faces sharing this edge. For each vertex, a new face of type V is formed by connecting the new points that have been generated for the faces surrounding the vertex.

The weights in Eq. (1) have two forms. One is suggested by Doo and Sabin in [1] as follows

$$w_{ij} = \begin{cases} \frac{n+5}{4n}, & i = j\\ \frac{3+2\cos(2\pi(i-j)/n)}{4n}, & i \neq j \end{cases}$$

The other is the Catmull-Clark variant proposed in [2]

$$w_{ij} = \begin{cases} \frac{1}{2} + \frac{1}{4n}, & |i - j| = 0\\ \frac{1}{8} + \frac{1}{4n}, & |i - j| = 1\\ \frac{1}{4n}, & |i - j| > 1 \end{cases}$$



Figure 2: One linear subdivision step followed by a dual step produces the Catmull-Clark variant of Doo-Sabin subdivision.

The latter one has a more intuitive geometric interpretation in terms of repeated averaging [3, 4]. As illustrated in Fig. 2, for an *n*-sided face, one linear subdivision step inserts a new edge point  $\mathbf{E}_i$  at the midpoint of each edge  $\mathbf{P}_i\mathbf{P}_{i+1}$  and a new face point  $\mathbf{F}$  at the centroid of each face; then it inserts edges by connecting the face centroid with each of the surrounding edge midpoints. Applying one dual step to the linearly refined mesh, one obtains the same control mesh by performing one step of the Catmull-Clark variant of Doo-Sabin subdivision on the initial mesh. The *dual* of a mesh is a new mesh whose vertices are the centroids of old faces and whose edges join centroids of faces that share a common edge. Since the linearly subdivided mesh consists of only quadrilateral faces, the new control vertex  $\overline{\mathbf{P}}_i$  corresponding to  $\mathbf{P}_i$  in an *n*-sided face is computed as

$$\overline{\mathbf{P}}_{i} = \frac{1}{4} (\mathbf{P}_{i} + \mathbf{E}_{i-1} + \mathbf{E}_{i} + \mathbf{F})$$
  
=  $(\frac{1}{2} + \frac{1}{4n})\mathbf{P}_{i} + (\frac{1}{8} + \frac{1}{4n})(\mathbf{P}_{i+1} + \mathbf{P}_{i-1}) + \frac{1}{4n} \sum_{|i-i|>1} \mathbf{P}_{j}.$ 

where  $\mathbf{E}_i = (\mathbf{P}_i + \mathbf{P}_{i+1})/2$  is the edge point on  $\mathbf{P}_i \mathbf{P}_{i+1}$ , and  $\mathbf{F} = \sum_{i=0}^{n-1} \mathbf{P}_i/n$  is the centroid of the *n*-sided face.

For both Doo-Sabin subdivision schemes, the *extraordinary points* are at the "centers" of *n*-sided faces with  $n \neq 4$ , and their limit positions are exactly at the centroids of the faces.

Fig. 3 shows the effect of applying the Catmull-Clark variant of Doo-Sabin subdivision to a cube. Fig. 3(b) is the result of linear subdivision. Fig. 3(c) is the result of next applying dual averaging and corresponds to one round of subdivision applied to the initial cube. Fig. 3(d) is the limit mesh via applying one more dual step.

## 3. Non-uniform quadratic B-spline subdivision

In this section, we show that non-uniform quadratic B-spline subdivision schemes can be also decomposed into one nonuniform linear subdivision step and one averaging (dual) step.

Non-uniform B-spline curves are specified in terms of a set of control points, a knot vector, and a degree. A *knot interval* is the difference between two adjacent knots in a knot vector,



Figure 3: Cube model: (a) initial control mesh; (b) linearly subdivided mesh; (c) refined control mesh after dual averaging on (b); and (d) limit mesh of (c).



Figure 4: A non-uniform quadratic B-spline curve with its knot intervals.

i.e., the parameter length of a B-spline curve segment [5, 6]. For a quadratic B-spline curve, a knot interval  $d_i$  is assigned to each control point  $\mathbf{P}_i$ , since each control point corresponds to a quadratic curve segment, as Fig. 4 shows.



Figure 5: Refinement of a non-uniform quadratic B-spline curve.

For each edge  $\mathbf{P}_i \mathbf{P}_{i+1}$ , non-uniform linear subdivision inserts a new edge point  $\mathbf{E}_i$  which is a weighted average of two endpoints of the edge

$$\mathbf{E}_{i} = \frac{d_{i+1}\mathbf{P}_{i} + d_{i}\mathbf{P}_{i+1}}{d_{i} + d_{i+1}},\tag{2}$$

where  $d_i$  and  $d_{i+1}$  are the knot intervals associated with  $\mathbf{P}_i$  and  $\mathbf{P}_{i+1}$ , respectively. Subsequently, new control points are generated using one averaging step

$$\mathbf{Q}_{2i} = \frac{1}{2} (\mathbf{P}_i + \mathbf{E}_i)$$
  
=  $\frac{(d_i + 2d_{i+1})\mathbf{P}_i + d_i\mathbf{P}_{i+1}}{2(d_i + d_{i+1})}$   
$$\mathbf{Q}_{2i+1} = \frac{1}{2} (\mathbf{P}_{i+1} + \mathbf{E}_i)$$
  
=  $\frac{d_{i+1}\mathbf{P}_i + (2d_i + d_{i+1})\mathbf{P}_{i+1}}{2(d_i + d_{i+1})}$ 

as illustrated in Fig. 5. The subdivision rules are the same as those presented in [5]. Note that each new knot interval is half as large as its parent.



Figure 6: A non-uniform biquadratic B-spline surface with its knot intervals.

Non-uniform biquadratic B-spline surfaces are defined in terms of a control mesh that is topologically a rectangular grid (see Fig. 6). A horizonal knot interval  $d_i$  and a vertical knot interval  $e_j$  are assigned to each control point  $\mathbf{P}_{i,j}$ , since each control point corresponds to a biquadratic surface patch.

As illustrated in Fig. 7, one round of non-uniform linear subdivision inserts one new edge point for each edge and one new face point for each quad face; and it splits each quad into four smaller quads. New edge points are computed according to Eq. (2). New face points are obtained using the tensor product form of Eq. (2). For the quad  $\mathbf{P}_{i,j}\mathbf{P}_{i+1,j}\mathbf{P}_{i+1,j+1}\mathbf{P}_{i,j+1}$ , its new face point is

$$\mathbf{F} = \frac{e_{j+1}(d_{i+1}\mathbf{P}_{i,j} + d_i\mathbf{P}_{i+1,j}) + e_j(d_{i+1}\mathbf{P}_{i,j+1} + d_i\mathbf{P}_{i+1,j+1})}{(d_i + d_{i+1})(e_j + e_{j+1})}$$



Figure 7: Refinement of a non-uniform biquadratic B-spline surface.

The subsequent dual (averaging) step yields the nonuniform biquadratic B-spine subdivision. For the quad face  $\mathbf{P}_{i,j}\mathbf{P}_{i+1,j}\mathbf{P}_{i+1,j+1}\mathbf{P}_{i,j+1}$ , the new control point  $\mathbf{Q}_{2i,2j}$  corresponding to  $\mathbf{P}_{i,j}$  is computed as

$$\mathbf{Q}_{2i,2j} = \frac{1}{4} (\mathbf{P}_{i,j} + \mathbf{E}_1 + \mathbf{E}_2 + \mathbf{F})$$
  
=  $\frac{\mathbf{P}_{i,j} + \mathbf{F}}{2} + \frac{d_i e_j (\mathbf{P}_{i+1,j} + \mathbf{P}_{i,j+1} - \mathbf{P}_{i,j} - \mathbf{P}_{i+1,j+1})}{4(d_i + d_{i+1})(e_j + e_{j+1})}$ 

where **F** is the face point of the quad, and  $\mathbf{E}_1$  and  $\mathbf{E}_2$  are the two edge points on the edges adjacent to the vertex  $\mathbf{P}_{i,j}$  in the face. Again, one obtains the subdivision rules proposed in [5]. Notice that non-uniform biquadratic B-spline surfaces interpolate the face points of all quad faces in their control meshes.

### 4. NURDSes

We now present new non-uniform Doo-Sabin subdivision surfaces called NURDSes (Non-Uniform Recursive Doo-Sabin Surfaces). NURDSes are a generalization of non-uniform biquadratic B-spline surfaces and Catmull-Clark-variant Doo-Sabin Surfaces. The refinement rules for NURDSes are derived by following the ideas described in Sections 2 and 3.

## 4.1. Refinement rules

For a non-uniform Doo-Sabin surface, each vertex is assigned a knot interval (possibly different) for each edge incident to it. Referring to Fig. 8, the notation  $d_{i,j}^0$  indicates the knot interval for edge  $\mathbf{P}_i\mathbf{P}_j$ . The notation  $d_{i,j}^m$ ,  $m \ge 1$  denotes the knot interval for the *m*-th edge encountered when rotating the edge  $\mathbf{P}_i\mathbf{P}_j$  counter-clockwise about  $\mathbf{P}_i$ . And a -m refers to rotating clockwise. After subdivision, new knot intervals  $\bar{d}_{ij}^k$  can be specified as follows [5]

$$\bar{d}^0_{i,i+1} = \bar{d}^{-1}_{i,i-1} = d^0_{i,i+1} \\ \bar{d}^0_{i,i-1} = \bar{d}^1_{i,i+1} = d^0_{i,i-1} .$$



Figure 8: Non-uniform Doo-Sabin refinement.

Note that differently from the original form presented in [5], the new knot intervals are scaled by a factor of two, since nonuniform Doo-Sabin subdivision rules only rely on ratios of the knot intervals. This simplifies computation and leads to stationary subdivision.

Referring to Fig. 2, since the edge point rule of Eq. (2) is still applicable for arbitrary meshes, all we need to do is to devise a face point rule for *n*-sided faces for the non-uniform linear subdivision:

$$\mathbf{F} = \sum_{j=0}^{n-1} c_j \mathbf{P}_j,\tag{3}$$

where  $c_j$ , j = 0, ..., n - 1 are unknown weights. Then after one dual step on the linearly refined control mesh, we obtain a vertex refinement rule

$$\overline{\mathbf{P}}_{i} = \frac{1}{4} (\mathbf{P}_{i} + \mathbf{E}_{i-1} + \mathbf{E}_{i} + \mathbf{F}) 
= \frac{1}{4} (1 + c_{i} + \frac{q_{i+1}}{p_{i} + q_{i+1}} + \frac{p_{i-1}}{p_{i-1} + q_{i}}) \mathbf{P}_{i} + \frac{1}{4} \sum_{|i-j|>1} c_{j} \mathbf{P}_{j} \quad (4) 
+ \frac{1}{4} (c_{i-1} + \frac{q_{i}}{p_{i-1} + q_{i}}) \mathbf{P}_{i-1} + \frac{1}{4} (c_{i+1} + \frac{p_{i}}{p_{i} + q_{i+1}}) \mathbf{P}_{i+1}.$$

Here we let  $p_i = d_{i,i+1}^0$  and  $q_i = d_{i,i-1}^0$  for the sake of simplicity. That is to say that for a vertex of a face, the corresponding new vertex is the average of four points: the vertex, two edge points on the edges incident on this vertex in the face, and the face point of the face.

In both the Catmull-Clark variant of Doo-Sabin subdivision and non-uniform biquadratic B-spline subdivision, face points are the (weighted) centroids of the corresponding faces and appear on the limit surface, namely, face points are the limit points corresponding to the centers of the faces. Requiring the rule of Eq. (3) possesses the same property, we have

$$\mathbf{F} = \sum_{j=0}^{n-1} c_j \mathbf{P}_j = \sum_{j=0}^{n-1} c_j \overline{\mathbf{P}}_j.$$

With the aid of a computer algebra system such as Mathematica, by solving a system of linear equations with respect to  $c_j$ ,  $j = 0, \ldots, n - 1$ , it follows that

$$c_j = \frac{\alpha_j}{\sum_{k=0}^{n-1} \alpha_k},$$

where

$$\alpha_j = \frac{1}{2} (\prod_{k=0}^{n-1} p_{j+k} + \prod_{k=0}^{n-1} q_{j-k}) + \sum_{m=1}^{n-1} (\prod_{k=1}^m q_{j+k} \prod_{k=m}^{n-1} p_{j+k}).$$

Here indices are taken modulo n. For example, if n = 4 (see Fig. 9), we have



Figure 9: A four-sided face with its knot intervals.

<i>N</i> o .	$\underline{p_0p_1p_2p_3 + q_0q_1q_2q_3}$	$+ a_1 n_1 n_2 n_2 + a_1 a_2 n_2 n_2 + a_1 a_2 a_2 n_2$
<i>u</i> <sub>0</sub>	2	· <i>q</i> 1 <i>p</i> 1 <i>p</i> 2 <i>p</i> 3 · <i>q</i> 1 <i>q</i> 2 <i>p</i> 2 <i>p</i> 3 · <i>q</i> 1 <i>q</i> 2 <i>q</i> 3 <i>p</i> 3
$\alpha_1$	$= \frac{p_0 p_1 p_2 p_3 + q_0 q_1 q_2 q_3}{q_0 q_1 q_2 q_3}$	$+ q_2 p_2 p_3 p_0 + q_2 q_3 p_3 p_0 + q_2 q_3 q_0 p_0$
-	2 $n_0 n_1 n_2 n_3 \pm a_0 a_1 a_2 a_3$	
$\alpha_2$ :	$=\frac{p_0p_1p_2p_3+q_0q_1q_2q_3}{2}$	$+  q_3 p_3 p_0 p_1 + q_3 q_0 p_0 p_1 + q_3 q_0 q_1 p_1$
	$p_0 p_1 p_2 p_3 + q_0 q_1 q_2 q_3$	
$\alpha_3 =$	$=\frac{1}{2}$	$+ q_0 p_0 p_1 p_2 + q_0 q_1 p_1 p_2 + q_0 q_1 q_2 p_2$

# 4.2. Convergence and continuity analysis

For an *n*-sided face at subdivision level *k* with vertices  $\mathbf{P}_0^k, \ldots, \mathbf{P}_{n-1}^k$ , its face point **F** and new vertices  $\mathbf{P}_i^{k+1}, i = 0, \ldots, n-1$  are all linear combinations of old vertices. The construction in previous section guarantees that **F** is the limit point corresponding to the extraordinary point (i.e. the center) of the face.

**Theorem 1.** The NURDS scheme is convergent at extraordinary points of arbitrary valence.

*Proof.* By Eq. (4), for i = 0, ..., n - 1, we have:

$$\begin{split} \|\mathbf{P}_{i}^{k+1} - \mathbf{F}\| &= \left\| \frac{1}{4} (\mathbf{P}_{i}^{k} + \mathbf{E}_{i-1}^{k} + \mathbf{E}_{i}^{k} + \mathbf{F}) - \mathbf{F} \right\| \\ &= \frac{1}{4} \left\| (1 + \frac{q_{i+1}}{p_{i} + q_{i+1}} + \frac{p_{i-1}}{p_{i-1} + q_{i}}) (\mathbf{P}_{i}^{k} - \mathbf{F}) \right. \\ &+ \frac{q_{i}}{p_{i-1} + q_{i}} (\mathbf{P}_{i-1}^{k} - \mathbf{F}) + \frac{p_{i}}{p_{i} + q_{i+1}} (\mathbf{P}_{i+1}^{k} - \mathbf{F}) \right\| \\ &\leq \frac{3}{4} \max_{0 \leq j \leq n-1} \|\mathbf{P}_{j}^{k} - \mathbf{F}\| \\ &\leq (\frac{3}{4})^{k+1} \max_{0 \leq j \leq n-1} \|\mathbf{P}_{j}^{0} - \mathbf{F}\| \ , \end{split}$$

Then for i = 0, ..., n - 1,

$$\lim_{k \to \infty} \mathbf{P}_i^k = \mathbf{F}$$

As a consequence, each *n*-sided face converges to its face point on the limit surface.  $\Box$ 



Figure 10: Configuration surrounding a type F face.

Like the analysis for quadratic NURSSes in [5], only type F faces are needed to be analyzed for  $G^1$  continuity. After at most two steps of subdivision, the configuration surrounding a type F face may be represented as in Fig. 10. In the center lies the face (of type F)  $\mathbf{P}_0\mathbf{P}_1 \dots \mathbf{P}_{n-1}$ . Each edge of this face (for example  $\mathbf{P}_i\mathbf{P}_{i+1}$ ) is adjacent to a four-sided face of type E with vertices  $\mathbf{P}_i\mathbf{P}_{i+1}\mathbf{E}_{i2}\mathbf{E}_{i1}$ . The neighborhood of each vertex  $\mathbf{P}_i$  is completed by a four-sided face of type V with vertices  $\mathbf{P}_i\mathbf{E}_{i1}\mathbf{C}_i\mathbf{E}_{(i-1)2}$ . The refinement formulas are as follows:

$$\begin{split} \overline{\mathbf{P}}_{i} &= \frac{1}{4} (2 + c_{i} + r_{i} - r_{i-1}) \mathbf{P}_{i} + \frac{1}{4} \sum_{|i-j| > 1} c_{j} \mathbf{P}_{j} \\ &+ \frac{1}{4} (c_{i-1} + r_{i-1}) \mathbf{P}_{i-1} + \frac{1}{4} (1 + c_{i+1} - r_{i}) \mathbf{P}_{i+1}, \\ \overline{\mathbf{E}}_{i1} &= \frac{3}{8} (1 + r_{i}) \mathbf{P}_{i} + \frac{3}{8} (1 - r_{i}) \mathbf{P}_{i+1} \\ &+ \frac{1}{8} (1 + r_{i}) \mathbf{E}_{j1} + \frac{1}{8} (1 - r_{i}) \mathbf{E}_{j2}, \\ \overline{\mathbf{E}}_{i2} &= \frac{3}{8} r_{i} \mathbf{P}_{i} + \frac{3}{8} (2 - r_{i}) \mathbf{P}_{i+1} + \frac{1}{8} r_{i} \mathbf{E}_{j1} + \frac{1}{8} (2 - r_{i}) \mathbf{E}_{j2}, \\ \overline{\mathbf{C}}_{i} &= \frac{1}{16} (9 \mathbf{P}_{i} + 3 \mathbf{E}_{i1} + 3 \mathbf{E}_{i-1,2} + \mathbf{C}_{i}). \end{split}$$

where  $r_j = q_{j+1}/(p_j + q_{j+1})$ , j = 0, ..., n - 1, and indices are taken modulo *n*. For positive knot intervals, it follows that  $0 < r_i < 1, j = 0, ..., n - 1$ .

Let the control point vector around this type F be represented by

$$\mathbf{M} = [\mathbf{P}_0, \dots, \mathbf{P}_{n-1}, \mathbf{E}_{01}, \mathbf{E}_{02}, \dots, \mathbf{E}_{n-1,1}\mathbf{E}_{n-1,2}, \mathbf{C}_0, \dots, \mathbf{C}_{n-1}]$$

and  $\overline{\mathbf{M}}$  be the corresponding control point vector after subdivision. Then  $\overline{\mathbf{M}} = \mathbf{S}_n \mathbf{M}$ , where  $\mathbf{S}_n$  is a  $4n \times 4n$  matrix called the

subdivision matrix. We index the eigenvalues of  $S_n$  in order of decreasing modulus as  $\lambda_i$ , i = 0, 1, ..., 4n - 1.

Note that four-sided faces are not always regular cases, since non-uniform Doo-Sabin surfaces permit arbitrary knot intervals. For n = 4, the characteristic polynomial of  $S_4$  has the form

$$C_4(\lambda) = (\lambda - 1)(\lambda - \frac{1}{4})^5(\lambda - \frac{1}{8})^4(\lambda - \frac{1}{16})^4$$
$$((\lambda - \frac{1}{2})^2 + \frac{(r_0 + r_2 - 1)(r_1 + r_3 - 1)}{16}).$$

If  $(r_0 + r_2 - 1)(r_1 + r_3 - 1) \le 0$ , we get two real subdominant eigenvalues

$$\lambda_1 = \frac{1}{2} + \frac{\sqrt{-(r_0 + r_2 - 1)(r_1 + r_3 - 1)}}{4},$$
  
$$\lambda_2 = \frac{1}{2} - \frac{\sqrt{-(r_0 + r_2 - 1)(r_1 + r_3 - 1)}}{4}.$$

Since  $|(r_0 + r_2 - 1)(r_1 + r_3 - 1)| < 1$ , it follows that  $\lambda_0 = 1 > \lambda_1 > \lambda_2 > \lambda_3 = \frac{1}{4}$ .

If  $(r_0 + r_2 - 1)(r_1 + r_3 - 1) > 0$ , one obtains two conjugate complex subdominant eigenvalues

$$\begin{split} \lambda_1 &= \frac{1}{2} + \frac{\sqrt{(r_0 + r_2 - 1)(r_1 + r_3 - 1)}}{4}I, \\ \lambda_2 &= \frac{1}{2} - \frac{\sqrt{(r_0 + r_2 - 1)(r_1 + r_3 - 1)}}{4}I. \end{split}$$

It is easy to know that  $\lambda_0 = 1 > |\lambda_1| = |\lambda_2| > \lambda_3 = \frac{1}{4}$ . Using the analysis techniques in [13], we prove that the proposed subdivision scheme is  $G^1$  in both cases.

For valence n = 3, similar results hold. Because the subdivision matrix  $S_n$  has no obvious symmetries, it is difficult to perform an eigenanalysis for extraordinary points with valence  $n \ge 5$ . Being analogous to Sederberg et al. [5], numerical experiments and examples show that limit surfaces are  $G^1$  at these points.

#### 4.3. Examples

Since NURDSes generalize biquadratic NURBS and Catmull-Clark-variant Doo-Sabin surfaces, a modeling program based on NURDSes can handle any NURBS or Doo-Sabin model as a special case.

Fig. 11 shows uniform and non-uniform Doo-Sabin surfaces generated from a tetrahedron with holes. Fig. 11(a) depicts a uniform Catmull-Clark-variant Doo-Sabin surface after four refinement steps on the initial control mesh. Fig. 11(b) is an example of a NURDS in which three knot intervals along certain edges have been set to zero (as labeled), thereby creating a crease along the oval edge on the right.

Fig. 12 is an example of a doughnut model whose initial control mesh is topologically a rectangular grid. Fig. 12(b) shows a uniform biquadratic B-spline surface. Fig. 12(c) is a biquadratic NURBS surface with a crease created by setting one row of the knot intervals to zero. Fig. 12(d) depicts a NURDS with a dart formed by setting to zero the knot intervals of appropriate vertices.



Figure 11: (a) A uniform Catmull-Clark-variant Doo-Sabin surface; (b) a NURDS with a crease.



Figure 12: Doughnut model: (a) initial control mesh; (b) uniform biquadratic B-spline surface; (c) biquadratic NURBS surface with a crease; (d) NURDS with a dart.

The shapes in Fig. 11(b) and Fig. 12(d) cannot be obtained using biquadratic NURBS or uniform Doo-Sabin surfaces.

We next consider the configuration surrounding a type F face of valence *n* as illustrated in Fig. 10. Just like [11], we assume that  $p_0 = 1000$  and all other knot intervals equal 1. For valence  $3 \le n \le 30$ , we construct subdivision matrices for quadratic NURSSes and NURDSes respectively, and then investigate eigenstructure and continuity using the approaches described in [13] with the help of a computer algebra system such as Mathematica.

Fig. 13 plots absolute values of the first four eigenvalues of the subdivision matrix for quadratic NURSSes for  $3 \le n \le$  30. Concerning spectrum and continuity, we have the following results.

- For  $3 \le n \le 30$ ,  $\lambda_0$ ,  $\lambda_1$  and  $\lambda_2$  may be negative, while  $\lambda_3$  is always positive.
- For  $n \ge 15$ ,  $|\lambda_0| > 1$ , the subdivision process is divergent.
- For  $3 \le n \le 14$ ,  $\lambda_0 = 1 > |\lambda_1|$ , the subdivision process is convergent.



Figure 13: Absolute values of the first four eigenvalues for quadratic NURSSes for  $3 \le n \le 30$ .

- For  $3 \le n \le 10$  and n = 12,  $\lambda_0 = 1 > |\lambda_1| = |\lambda_2| > \lambda_3$ , quadratic NURSSes are  $G^1$  continuous at the extraordinary vertices.
- For n = 13 and 14,  $\lambda_0 = 1 > |\lambda_1| > |\lambda_2| > \lambda_3$ , quadratic NURSSes are  $G^1$  continuous at the extraordinary vertices.
- For n = 11,  $\lambda_0 = 1 > |\lambda_1| > \lambda_2 = \lambda_3$ , quadratic NURSSes are only  $G^0$  continuous at the extraordinary vertices.

Fig. 14 depicts values of the first four eigenvalues of the subdivision matrix for NURDSes for  $3 \le n \le 30$ . It follows that

- For  $3 \le n \le 30$ ,  $\lambda_0$ ,  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  are all positive.
- For  $3 \le n \le 30$ ,  $\lambda_0 = 1 > |\lambda_1|$ , the subdivision process is convergent.
- For 3 ≤ n ≤ 30, λ<sub>0</sub> = 1 > λ<sub>1</sub> = λ<sub>2</sub> > λ<sub>3</sub>, NURDSes are G<sup>1</sup> continuous at the extraordinary vertices.

# 5. Conclusion

In this paper we have introduced new non-uniform Doo-Sabin surfaces – NURDSes. Both non-uniform biquadratic Bspline surfaces and Catmull-Clark-variant Doo-Sabin surfaces can be represented with this surface type. In addition, the new subdivision scheme can be factored into one linear subdivision step and one dual step just like biquadratic non-uniform B-spline subdivision and the Catmull-Clark variant of Doo-Sabin subdivision.

In comparison to quadratic NURSSes [5], the NURDSes reduce to Catmull-Clark-variant Doo-Sabin surfaces [2] whereas quadratic NURSSes degenerate to original Doo-Sabin surfaces [1] when all knot intervals are equal. Furthermore, when all knot intervals are positive, the NURDSes are convergent at extraordinary points of arbitrary valence while quadratic NURSSes may diverge for valences larger than 12. And closed form limit point rules are available for NURDSes as well.

Although the refinement rules for NURDSes are stationary, it is not easy to analyze the eigenstructure of the subdivision matrix for high valence. Standard analysis techniques usually exploit rotational symmetry to transform a large subdivision matrix into a block diagonal matrix [13]. However, the NURDS scheme is not rotationally symmetric. In future work we hope to derive efficient approaches to deal with such schemes. Rigorous analysis for  $G^1$  continuity for valence n > 5 remains to be investigated.



Figure 14: Values of the first four eigenvalues for NURDSes for  $3 \le n \le 30$ .

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