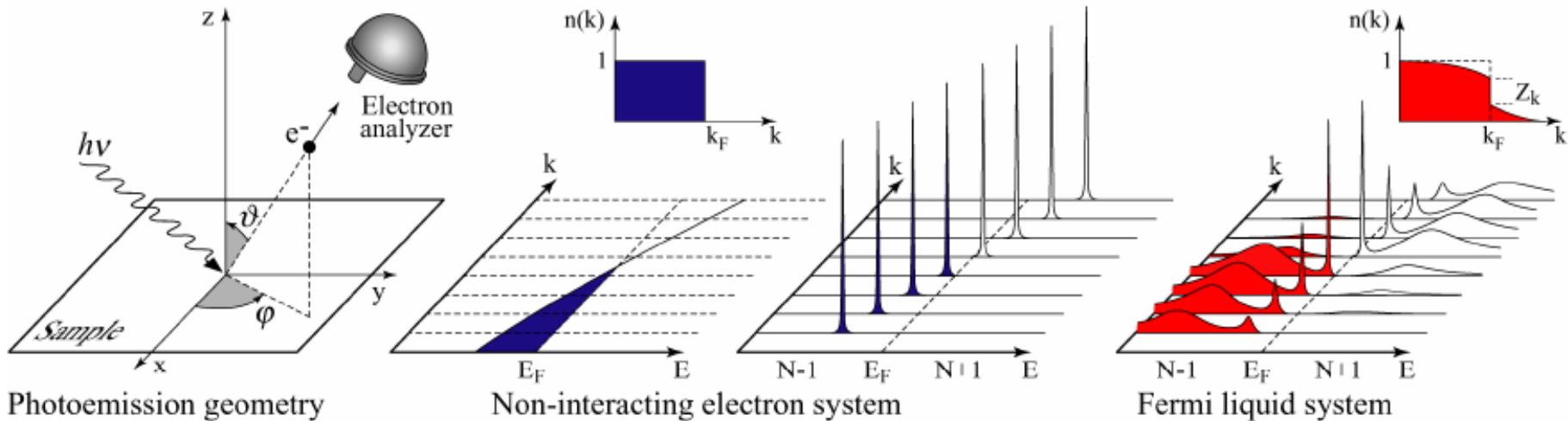


The one-particle spectral function

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



Photoemission intensity: $I(k, \omega) = I_0 |M(k, \omega)|^2 f(\omega) A(k, \omega)$

Single-particle spectral function

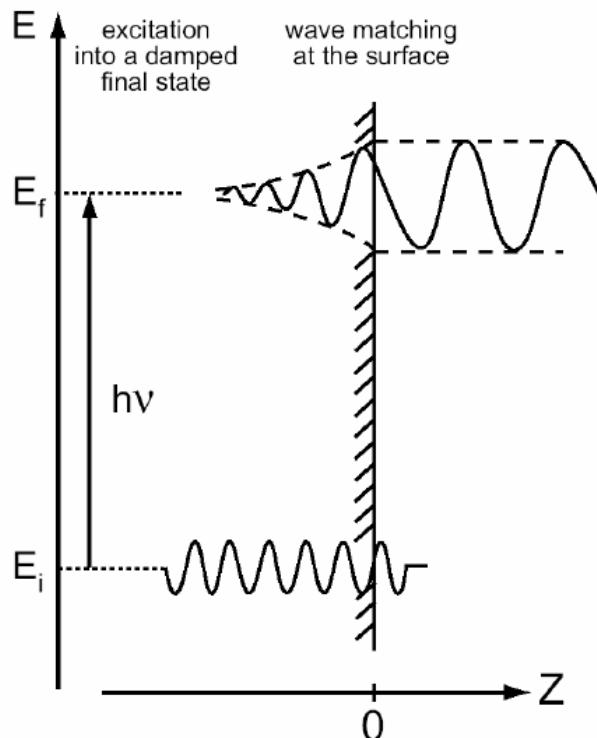
$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \frac{\Sigma''(\mathbf{k}, \omega)}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\mathbf{k}, \omega)]^2 + [\Sigma''(\mathbf{k}, \omega)]^2}$$

$\Sigma(\mathbf{k}, \omega)$: the “self-energy” captures the effects of interactions

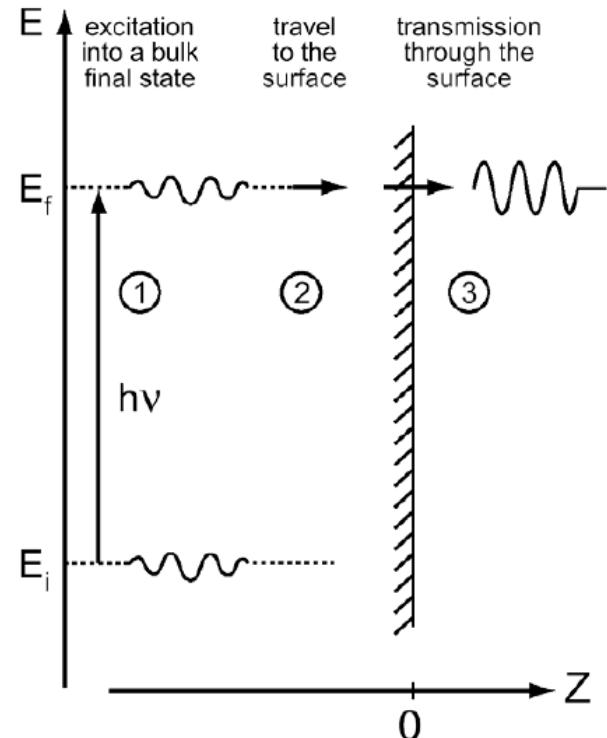
光电子谱信号

Photoemission Intensity $I(k, \omega)$ } $w_{fi} \propto |\langle \Psi_f^N | \mathbf{A} \cdot \mathbf{p} | \Psi_i^N \rangle|^2 \delta(E_f^N - E_i^N - h\nu)$

One-step model



Three-step model



光电子谱信号

Photoemission Intensity $I(k, \omega)$ } $w_{fi} \propto |\langle \Psi_f^N | \mathbf{A} \cdot \mathbf{p} | \Psi_i^N \rangle|^2 \delta(E_f^N - E_i^N - h\nu)$

Photoemission Intensity $I(k, \omega)$ } $w_{fi} \propto |\langle \phi_f^k | \mathbf{A} \cdot \mathbf{p} | \phi_i^k \rangle \langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle|^2 \delta(\omega - h\nu)$

Ψ_f^N : **Sudden approximation** $\rightarrow \Psi_f^N = \mathcal{A} \phi_f^k \Psi_f^{N-1}$

Ψ_i^N : **One Slater determinant** $\rightarrow \Psi_i^N = \mathcal{A} \phi_i^k \Psi_i^{N-1}$

光电子谱信号

$$\left. \begin{array}{l} \text{Photoemission} \\ \text{Intensity } I(k, \omega) \end{array} \right\} w_{fi} \propto |\langle \phi_f^k | \mathbf{A} \cdot \mathbf{p} | \phi_i^k \rangle \langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle|^2 \delta(\omega - h\nu)$$

$$\Psi_f^N : \text{Sudden approximation} \rightarrow \Psi_f^N = \mathcal{A} \phi_f^k \Psi_f^{N-1}$$

$$\Psi_i^N : \text{One Slater determinant} \rightarrow \Psi_i^N = \mathcal{A} \phi_i^k \Psi_i^{N-1}$$

Photoemission intensity: $I(\mathbf{k}, E_{kin}) = \sum_{f,i} w_{f,i}$

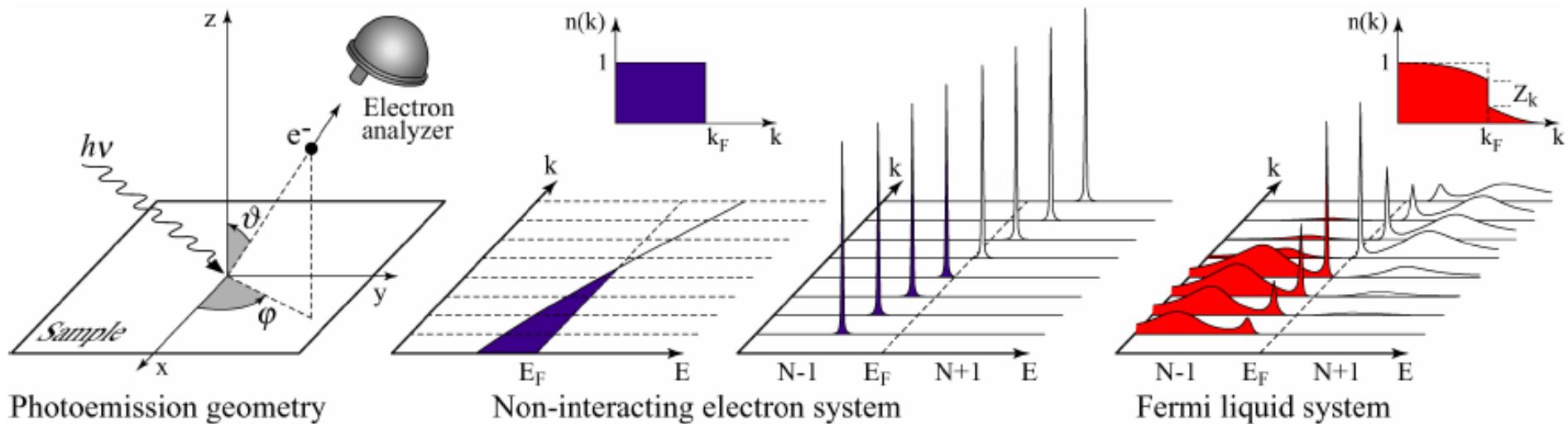
$$I(\mathbf{k}, E_{kin}) \propto \sum_{f,i} |M_{f,i}^k|^2 \sum_m |c_{m,i}|^2 \delta(E_{kin} + E_m^{N-1} - E_i^N - h\nu)$$

$$|M_{f,i}^k|^2 \equiv |\langle \phi_f^k | \mathbf{A} \cdot \mathbf{p} | \phi_i^k \rangle|^2 \quad |c_{m,i}|^2 = |\langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle|^2$$

In general $\Psi_i^{N-1} = c_{\mathbf{k}} \Psi_i^N$ **NOT orthogonal** Ψ_m^{N-1}

The one-particle spectral function

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. **75**, 473 (2003)



Photoemission intensity: $I(k, \omega) = I_0 |M(k, \omega)|^2 f(\omega) A(k, \omega)$

Non-interacting

$$A(\mathbf{k}, \omega) = \delta(\omega - \epsilon_{\mathbf{k}})$$

No Renormalization
Infinite lifetime

Fermi Liquid

$$A(\mathbf{k}, \omega) = Z_{\mathbf{k}} \frac{\Gamma_{\mathbf{k}}/\pi}{(\omega - \varepsilon_{\mathbf{k}})^2 + \Gamma_{\mathbf{k}}^2} + A_{inc}$$
$$m^* > m \quad |\varepsilon_{\mathbf{k}}| < |\epsilon_{\mathbf{k}}|$$
$$\tau_{\mathbf{k}} = 1/\Gamma_{\mathbf{k}}$$

$\Sigma(\mathbf{k}, \omega)$: the “self-energy” captures the effects of interactions

Electronic structure of quantum materials studied by angle-resolved photoemission spectroscopy

Jonathan A. Sobota

*Stanford Institute for Materials and Energy Sciences,
SLAC National Accelerator Laboratory,
2575 Sand Hill Road,
Menlo Park, California 94025,
USA*

Yu He

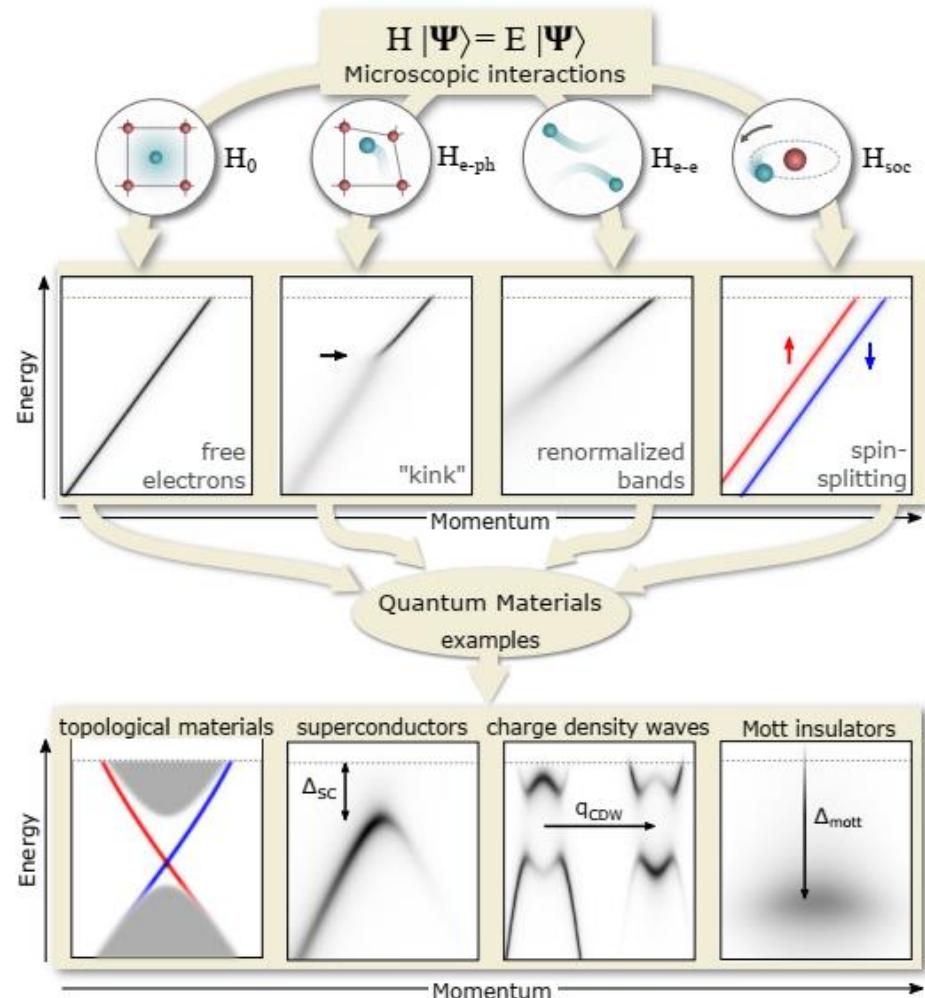
*Stanford Institute for Materials and Energy Sciences,
SLAC National Accelerator Laboratory,
2575 Sand Hill Road,
Menlo Park, California 94025,
USA*

*Department of Physics,
University of California,
Berkeley, California 94720,
USA*

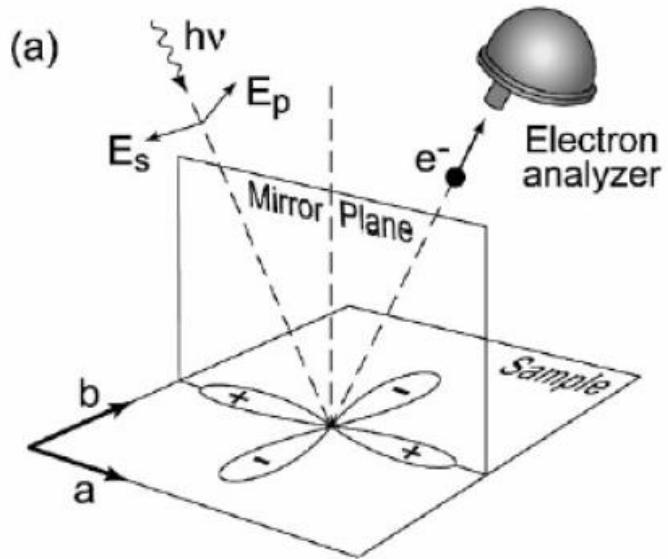
Zhi-Xun Shen

*Stanford Institute for Materials and Energy Sciences,
SLAC National Accelerator Laboratory,
2575 Sand Hill Road,
Menlo Park, California 94025,
USA*

*Geballe Laboratory for Advanced Materials,
Departments of Physics and Applied Physics,
Stanford University,
Stanford, California 94305,
USA*



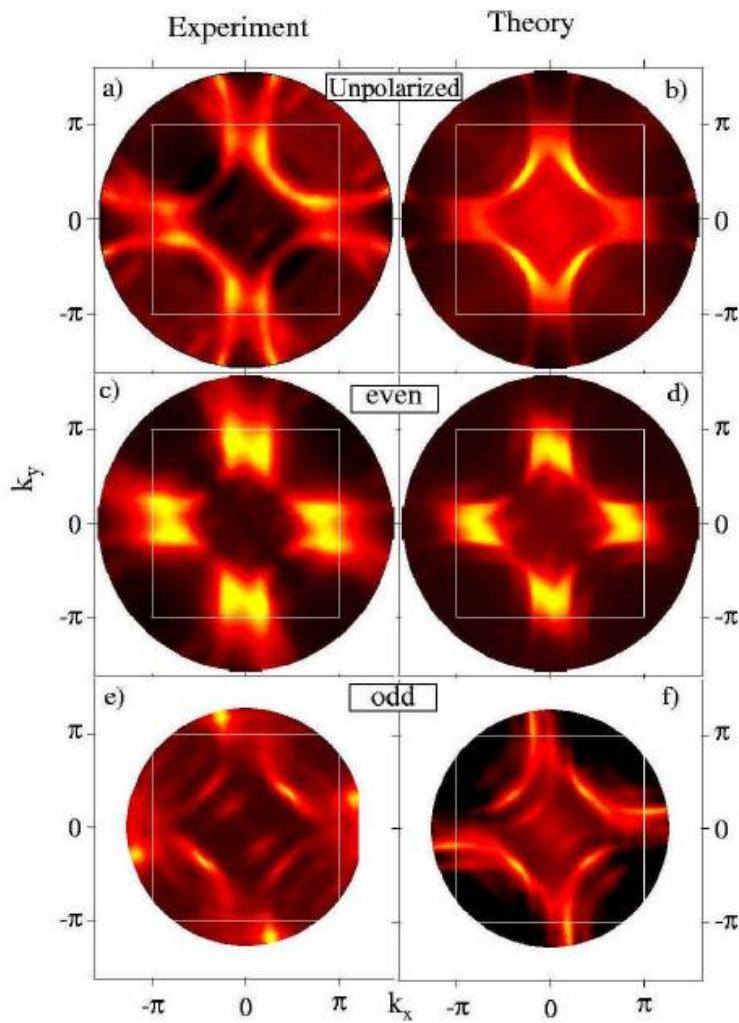
对称性



$$\langle \phi_f^k | \mathbf{A} \cdot \mathbf{p} | \phi_i^k \rangle \begin{cases} \phi_i^k \text{ even } \langle +|+|+ \rangle \Rightarrow \mathbf{A} \text{ even} \\ \phi_i^k \text{ odd } \langle +|-|- \rangle \Rightarrow \mathbf{A} \text{ odd.} \end{cases}$$

The matrix element is integrated over all space.
The integration axis of interest here is
perpendicular to a chosen mirror plane.
If net odd symmetry, then the matrix element
integrates to exactly zero.

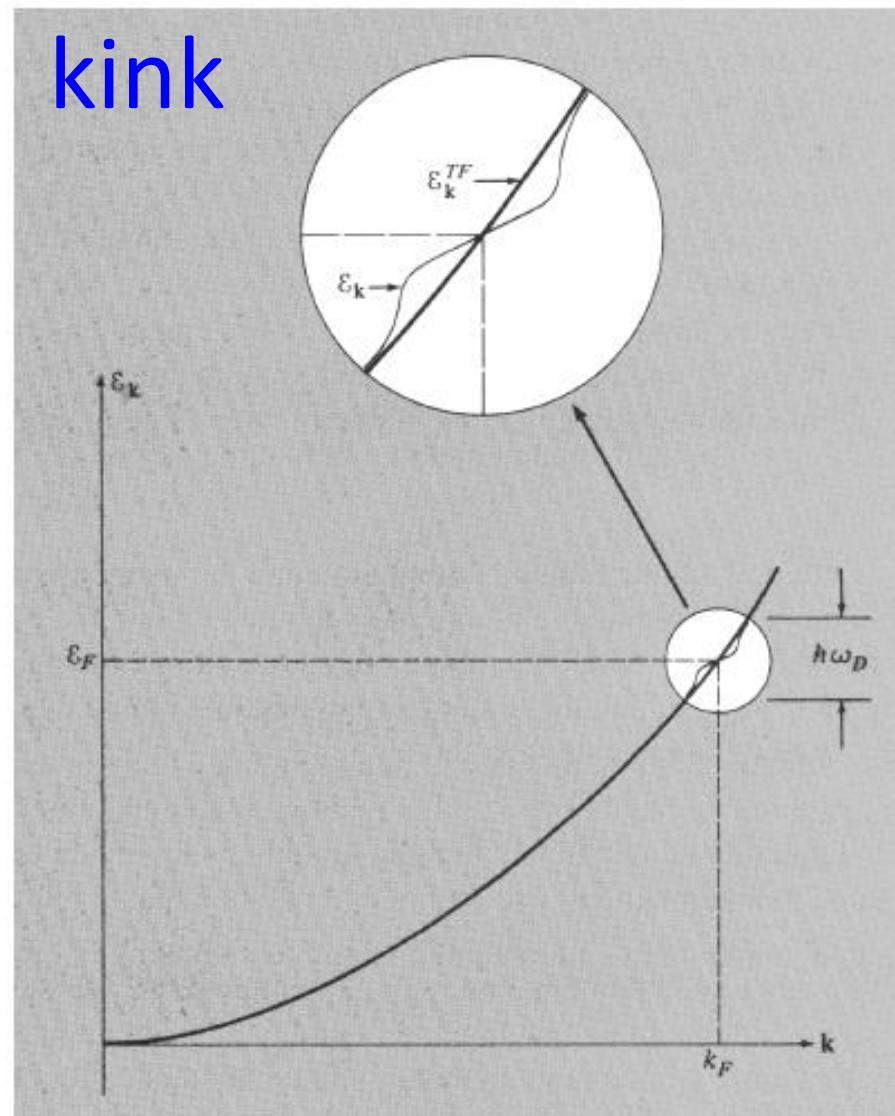
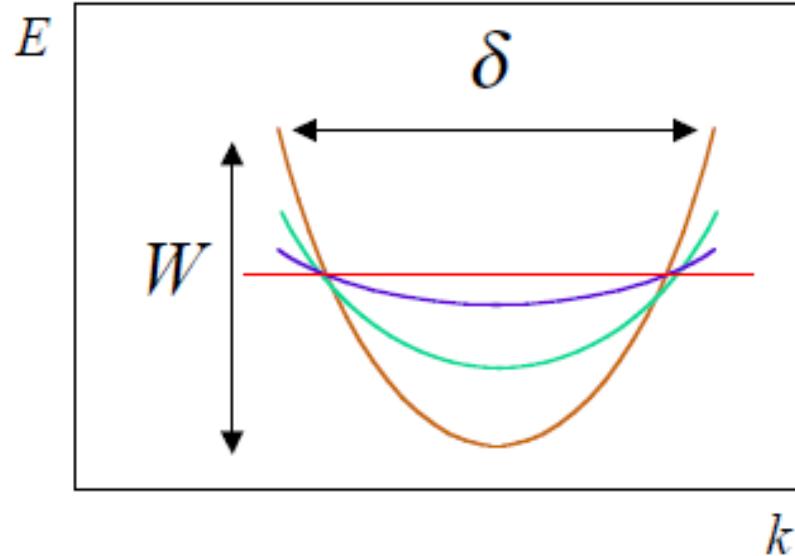
E field



电子-电子/电子-声子相互作用

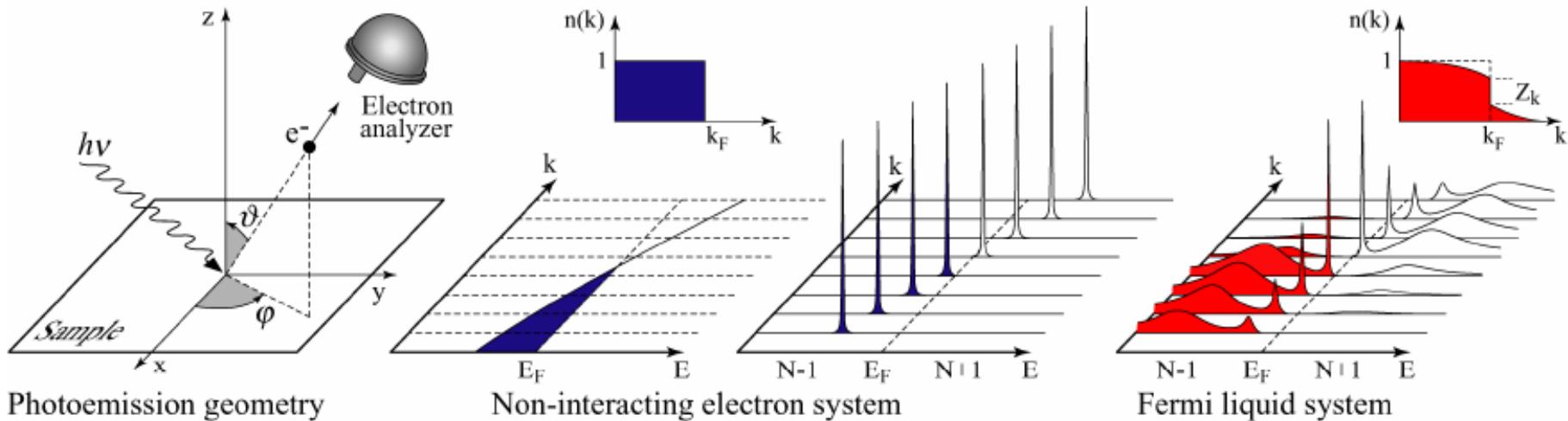
$$E = \frac{m^* v^2}{2} = \frac{\hbar^2 k^2}{2m^*}$$

$$m^* = \frac{0.946 \delta [\text{\AA}^{-1}]^2}{W [\text{eV}]}$$



The one-particle spectral function

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



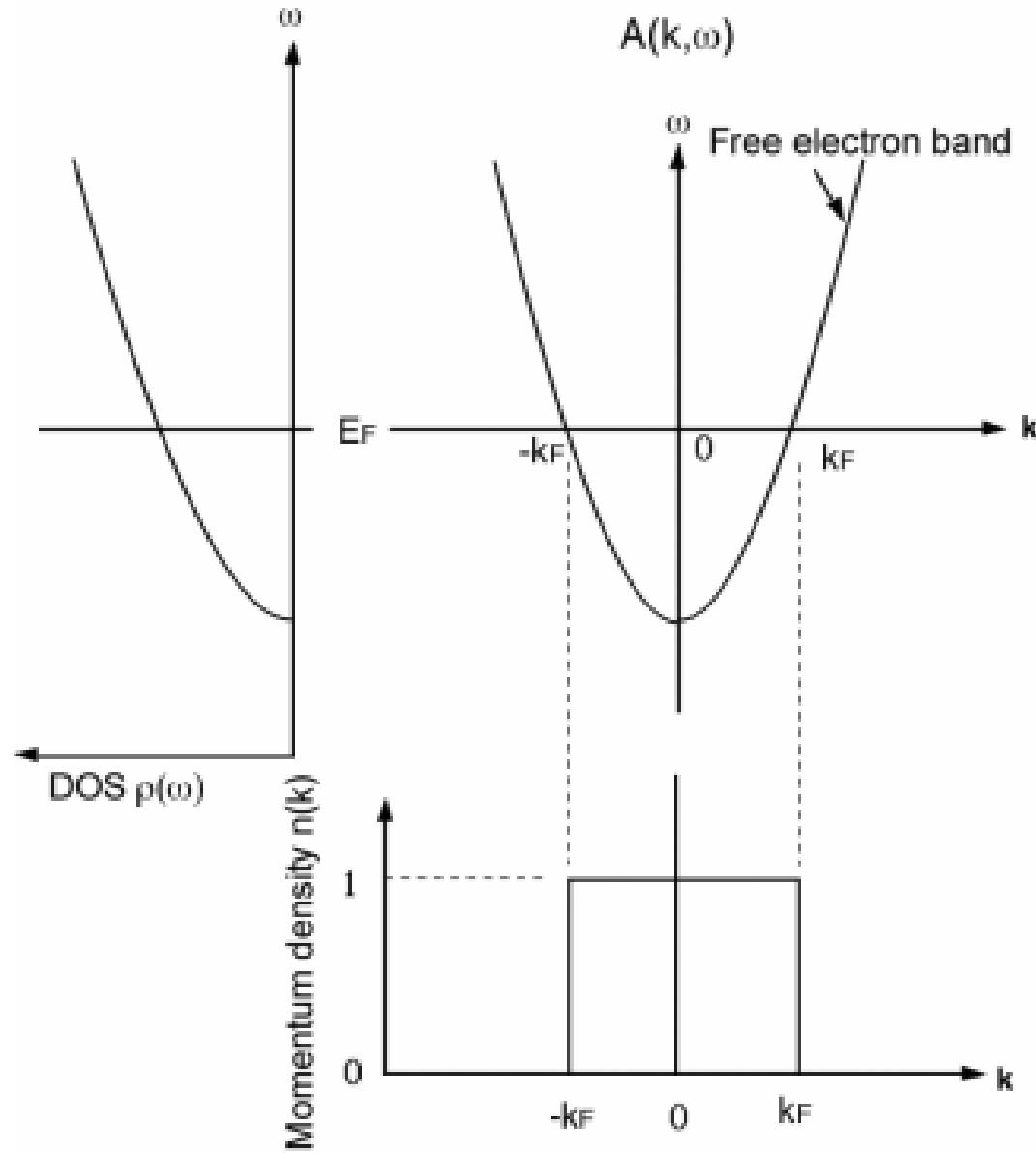
Photoemission intensity: $I(k, \omega) = I_0 |M(k, \omega)|^2 f(\omega) A(k, \omega)$

Single-particle spectral function

$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \frac{\Sigma''(\mathbf{k}, \omega)}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\mathbf{k}, \omega)]^2 + [\Sigma''(\mathbf{k}, \omega)]^2}$$

$\Sigma(\mathbf{k}, \omega)$: the “self-energy” captures the effects of interactions

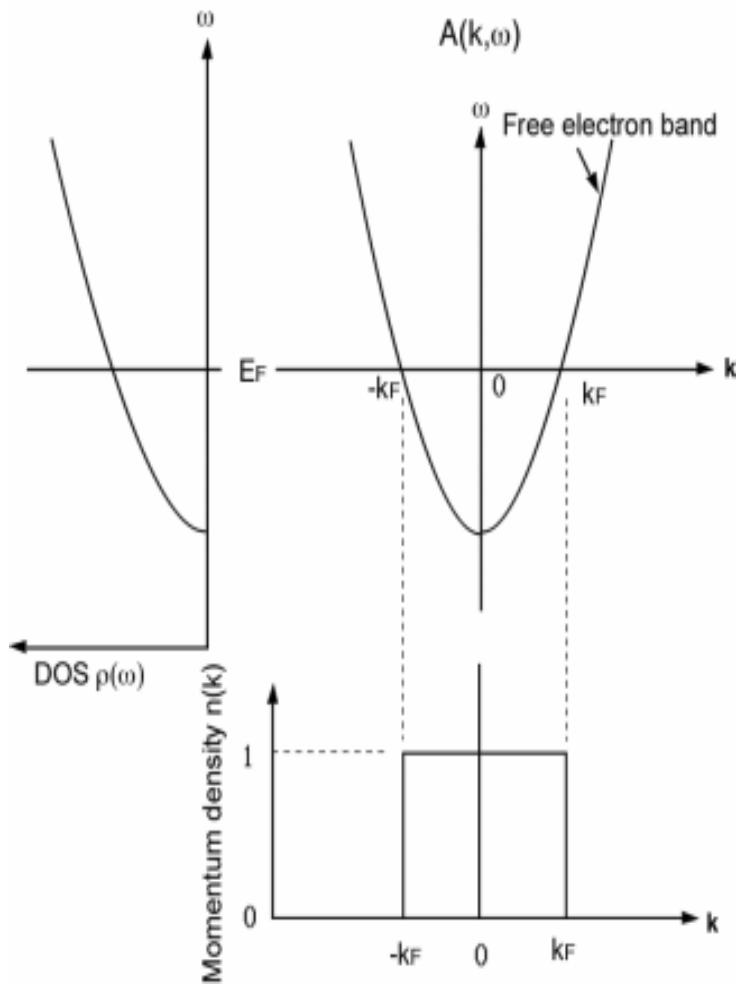
近自由电子能带色散、态密度、 $n(k)$



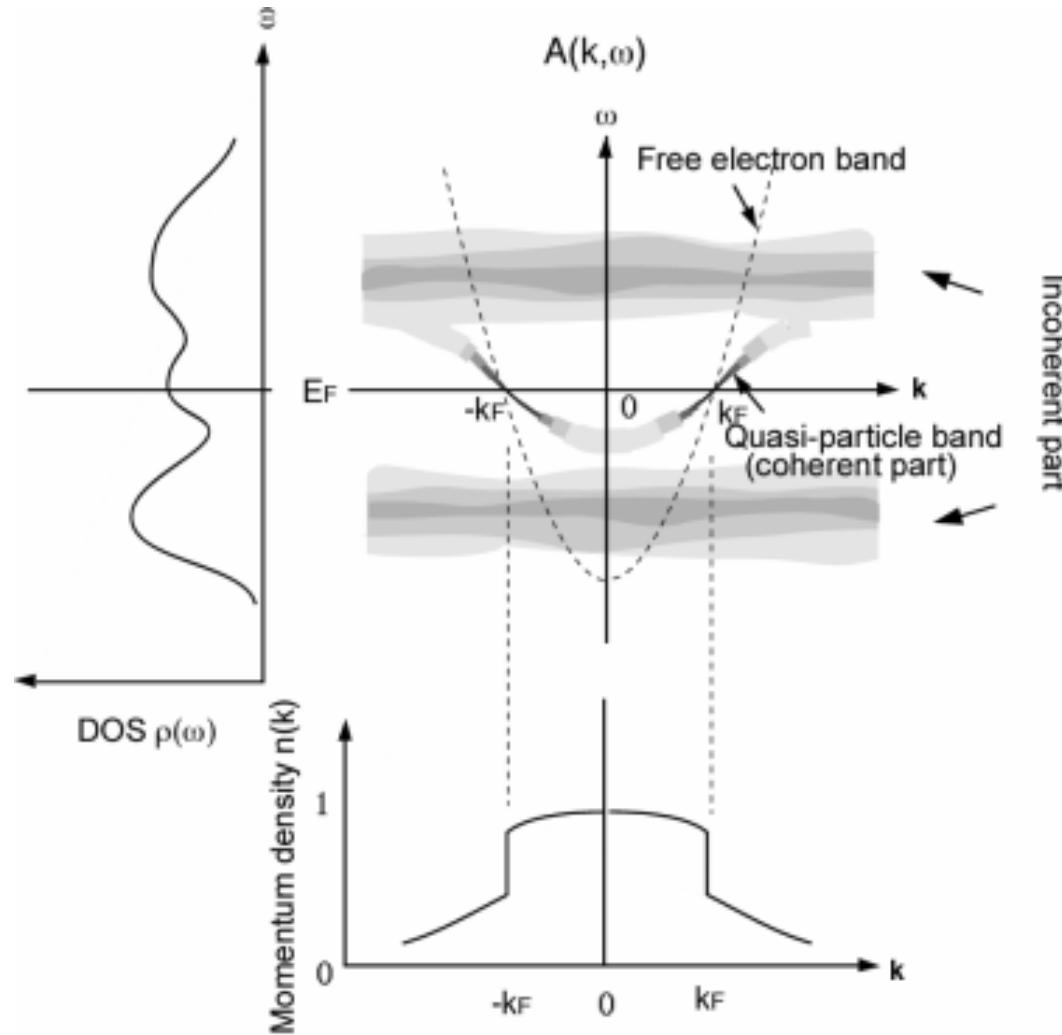
电子关联效应

单粒子谱函数、能带色散、态密度、 $n(k)$

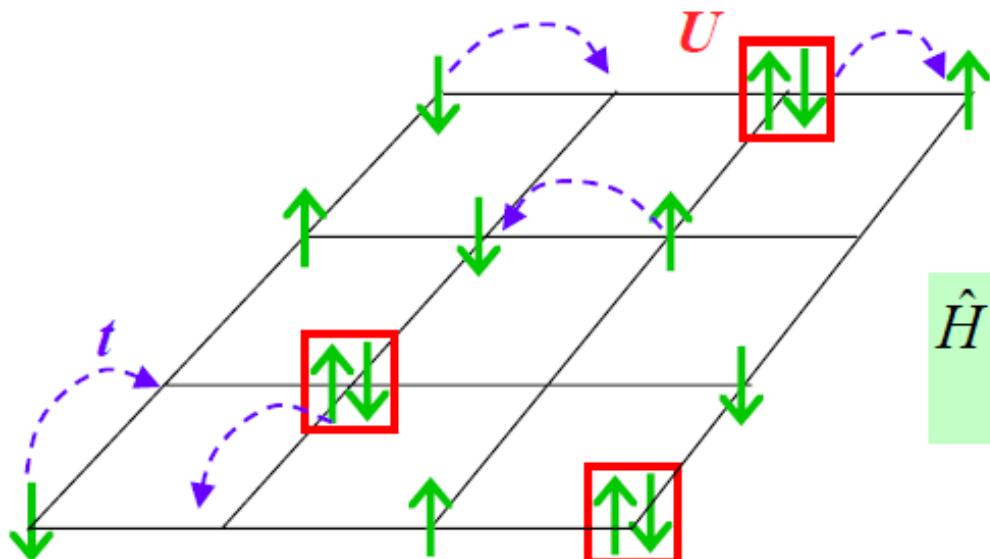
近自由电子



关联电子系统



Hubbard model



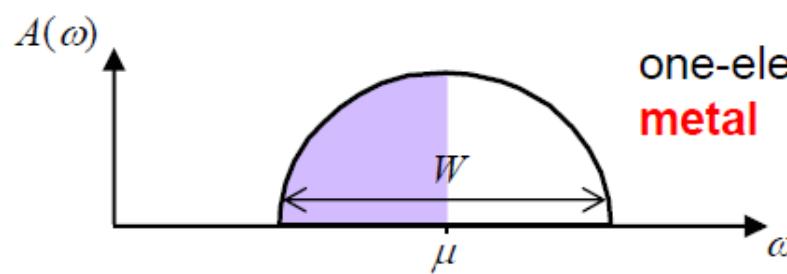
kinetic energy,
itinerancy

$$\hat{H} = -t \sum_{\langle i,j \rangle, \sigma} c_{i\sigma}^+ c_{j\sigma} + U \sum_i n_{i\downarrow} n_{i\uparrow}$$

local Coulomb energy,
localization

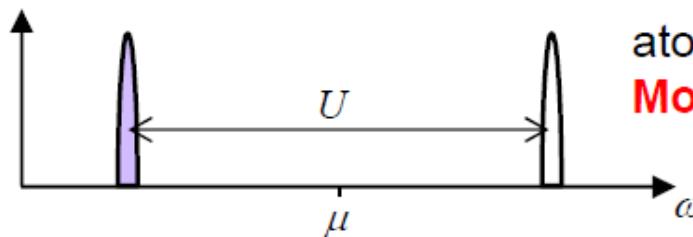
$$W \sim t$$

$$U/W \ll 1$$



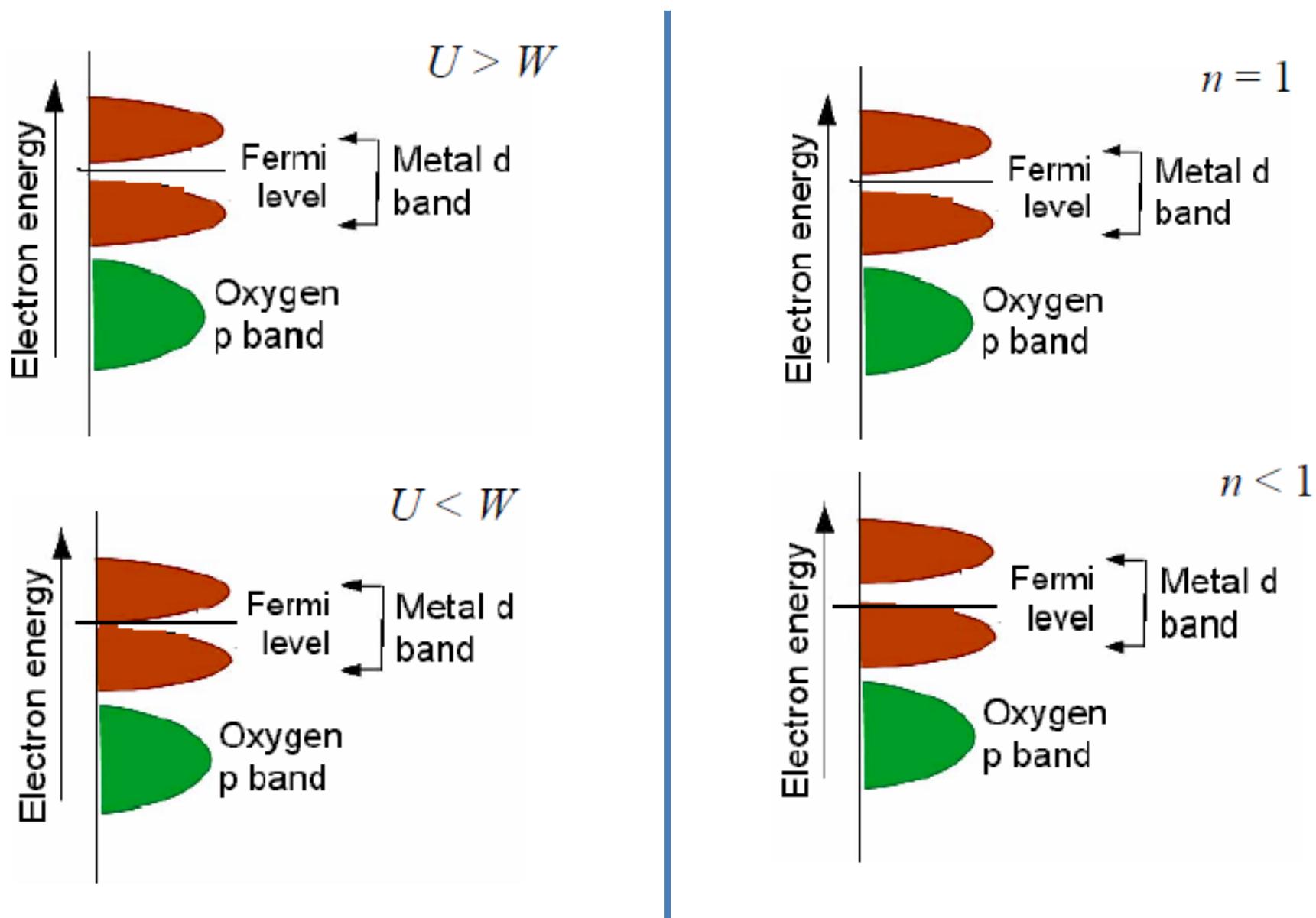
one-electron conduction band:
metal

$$U/W \gg 1$$

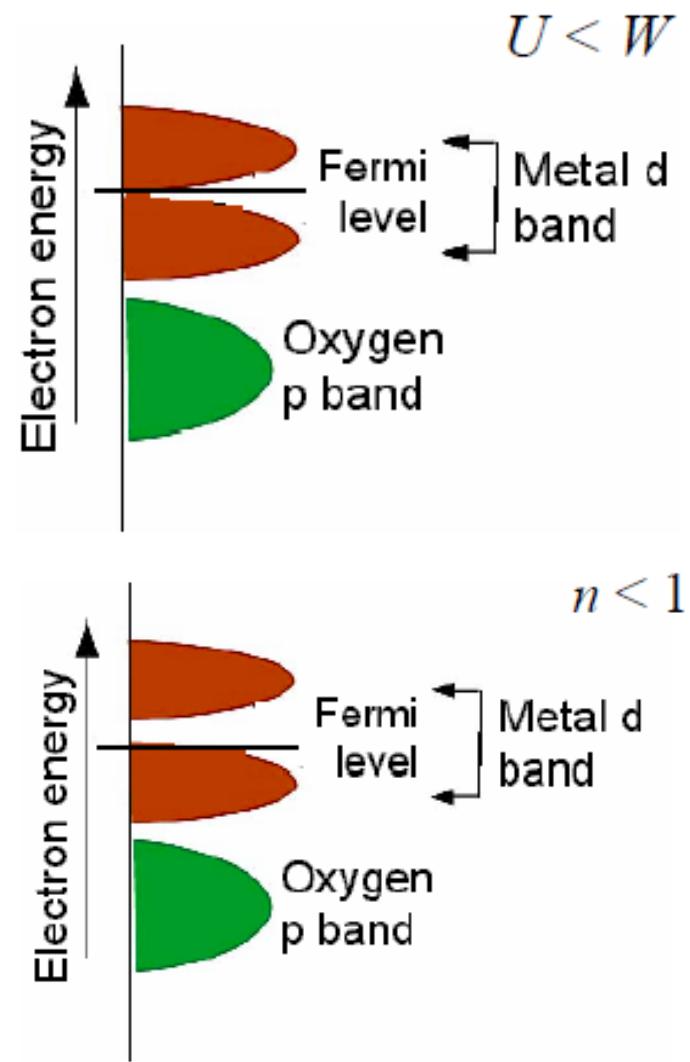
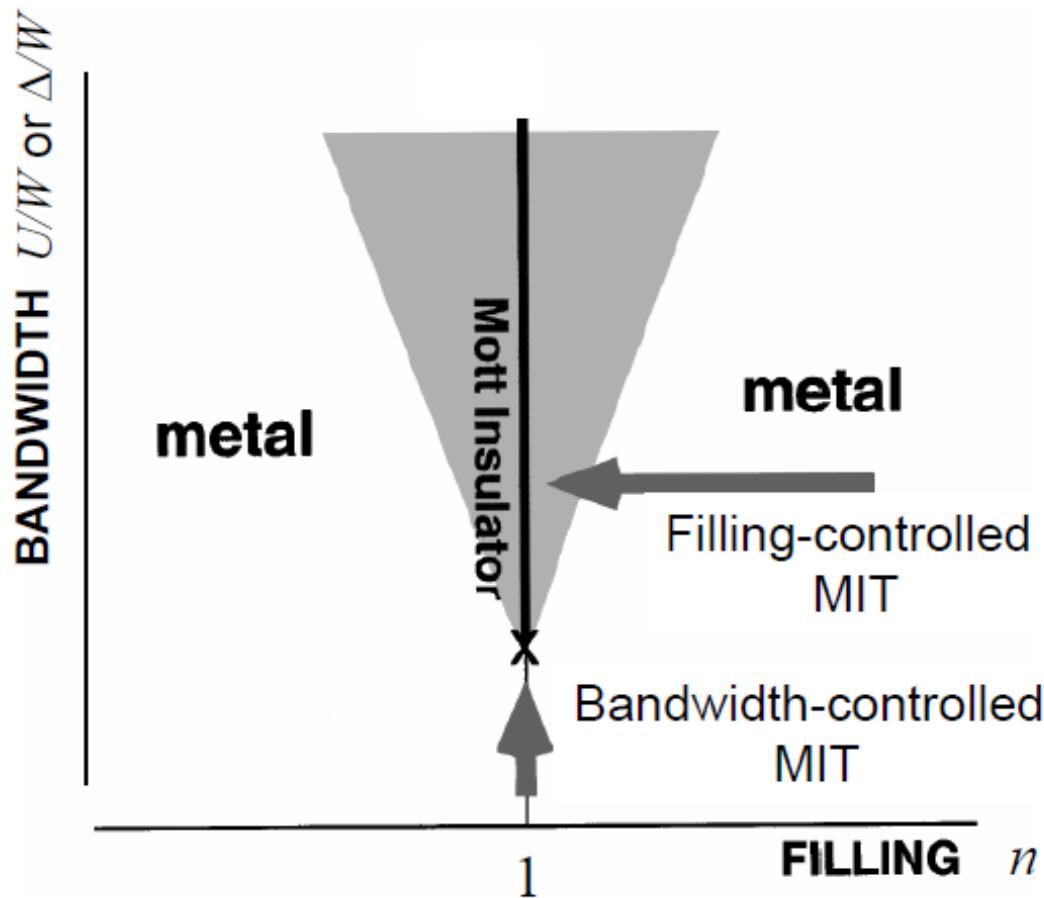


atomic limit:
Mott insulator

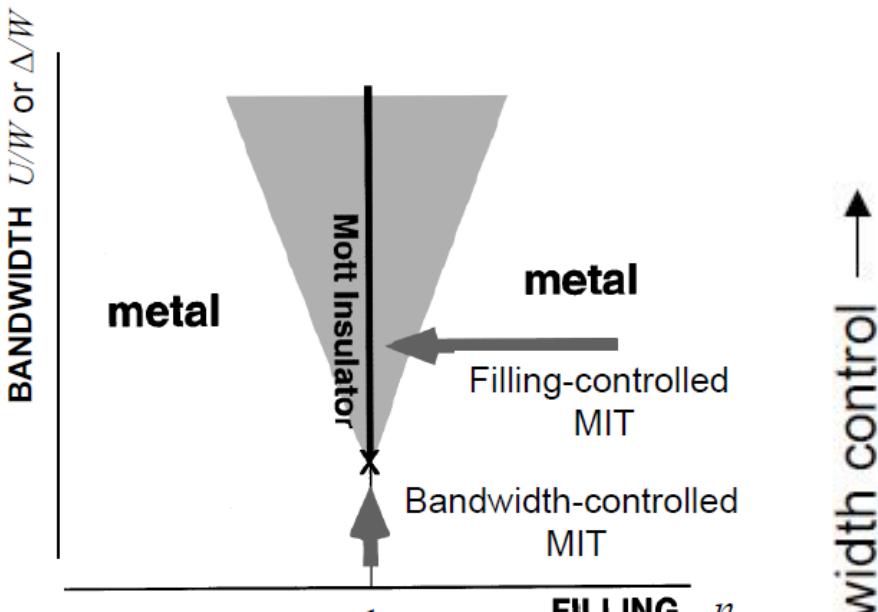
Metal-insulator transition in Hubbard model



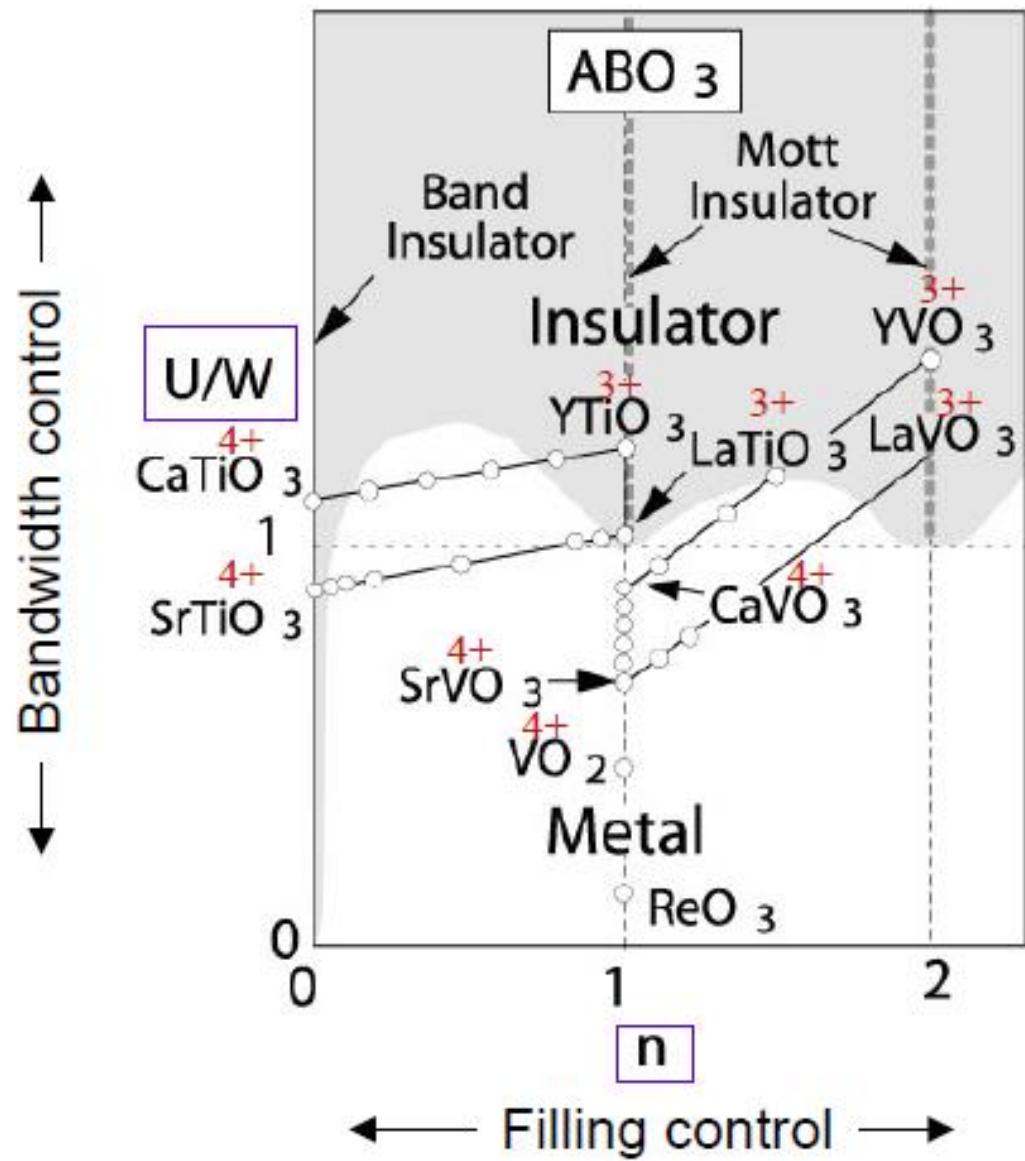
载流子掺杂与能带带宽 调节金属-绝缘体转变



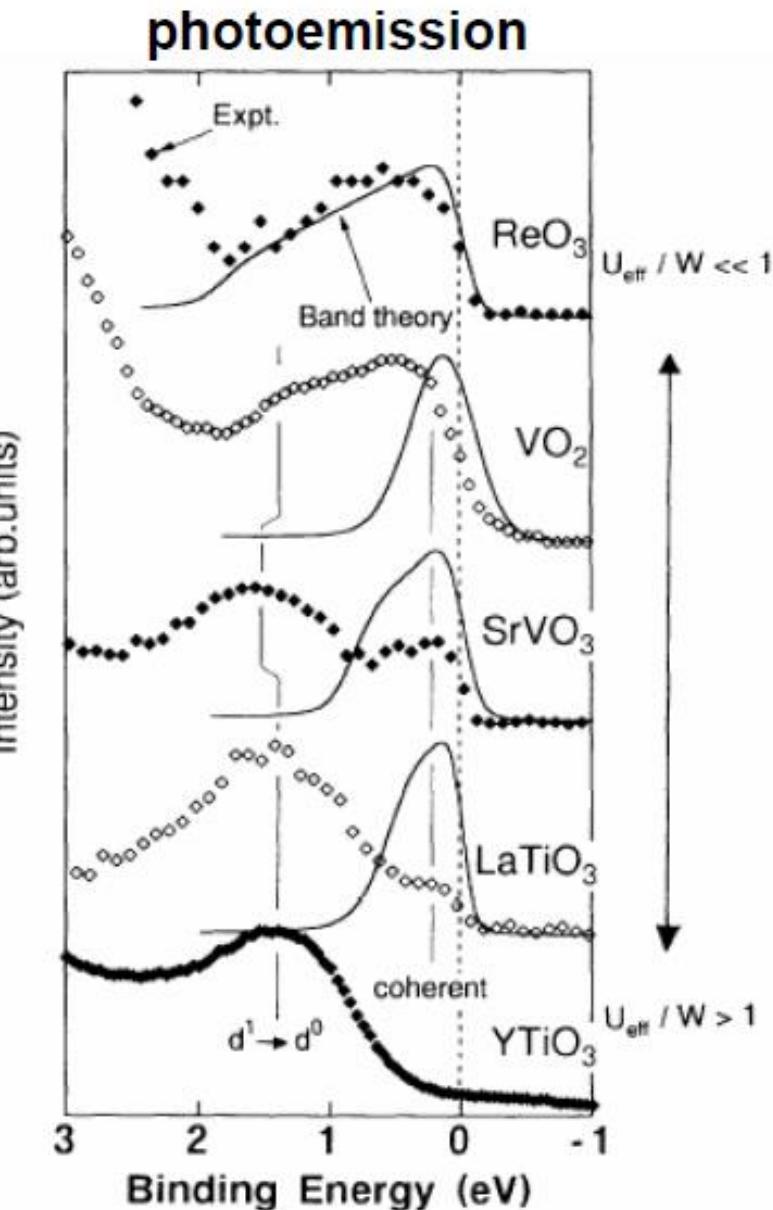
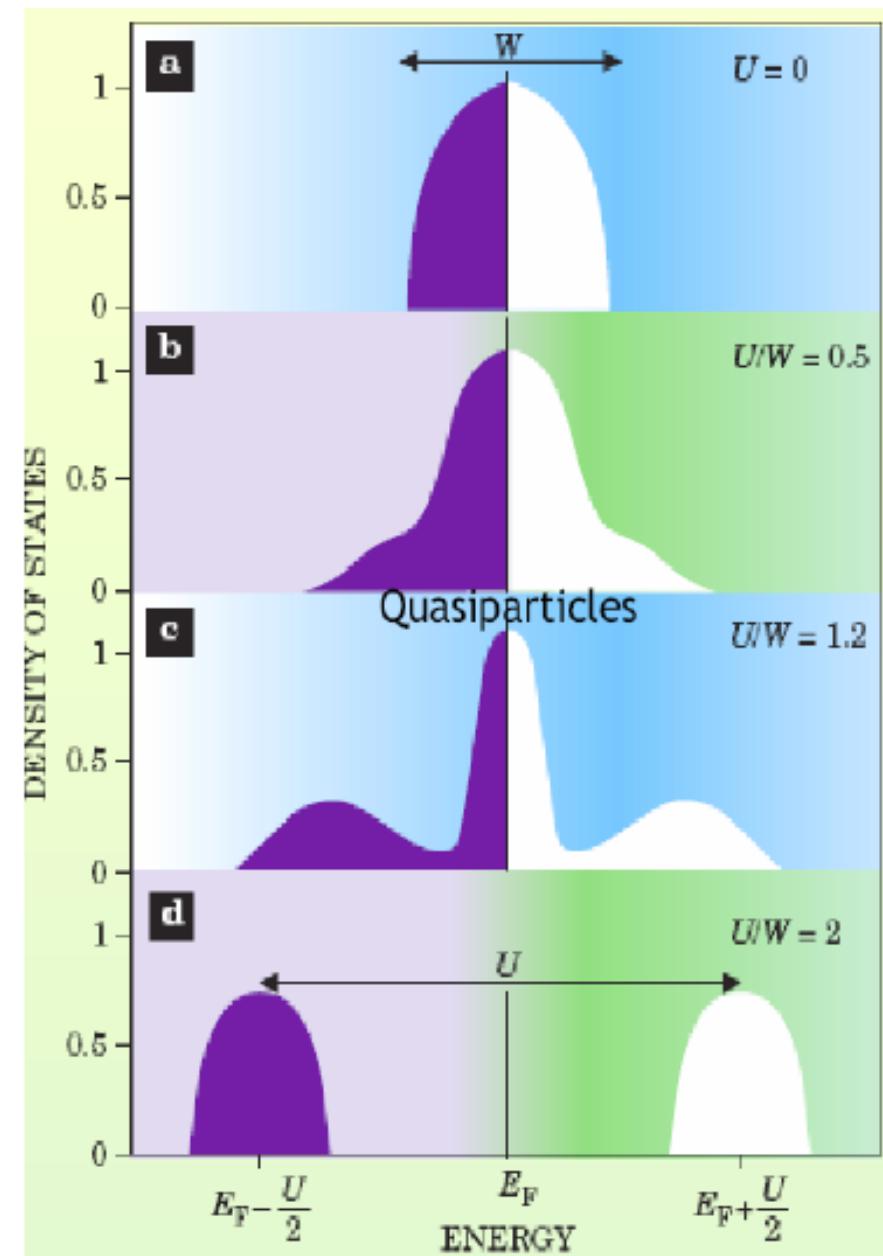
载流子掺杂与能带带宽 调节金属-绝缘体转变



Fujimori

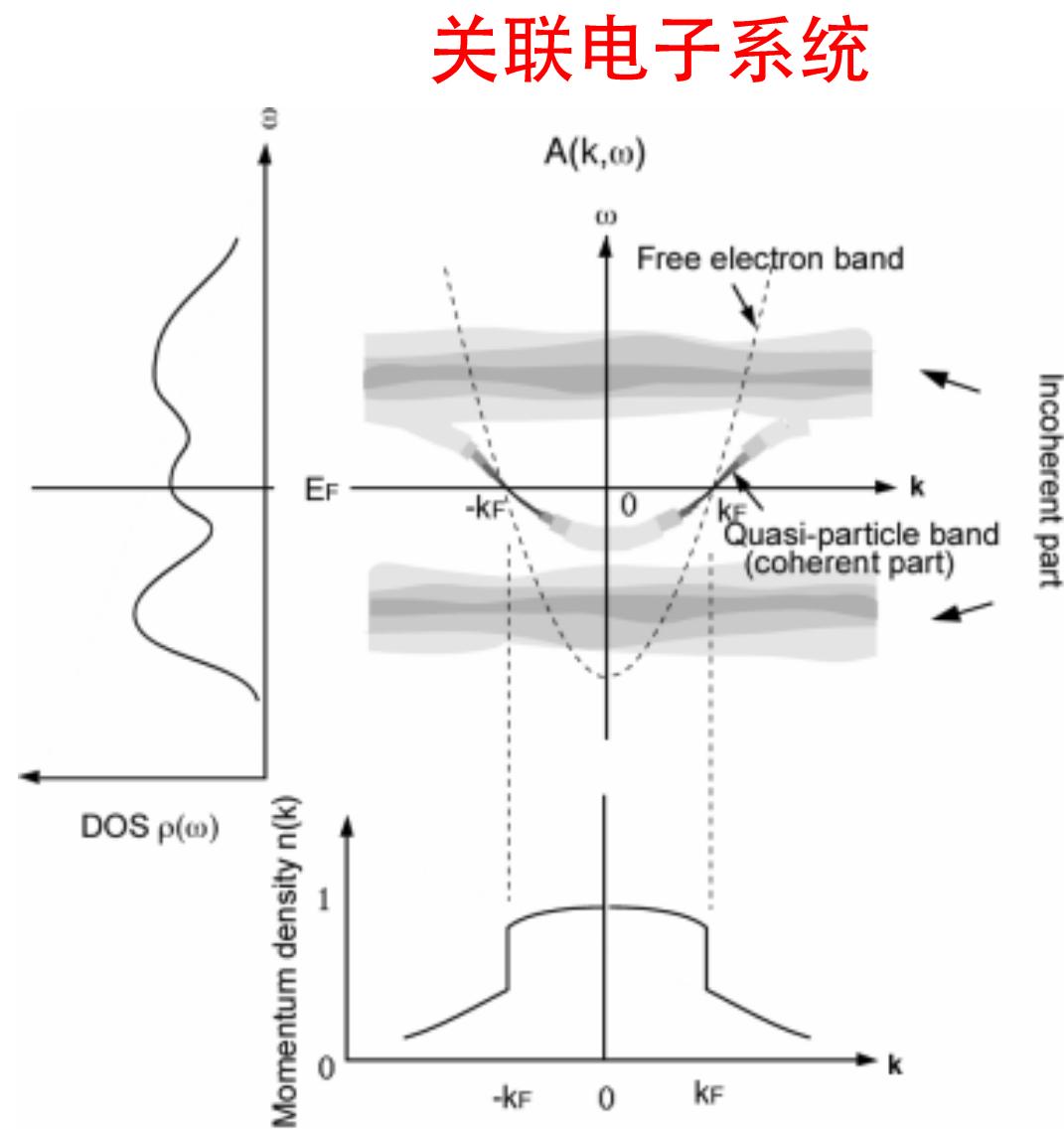
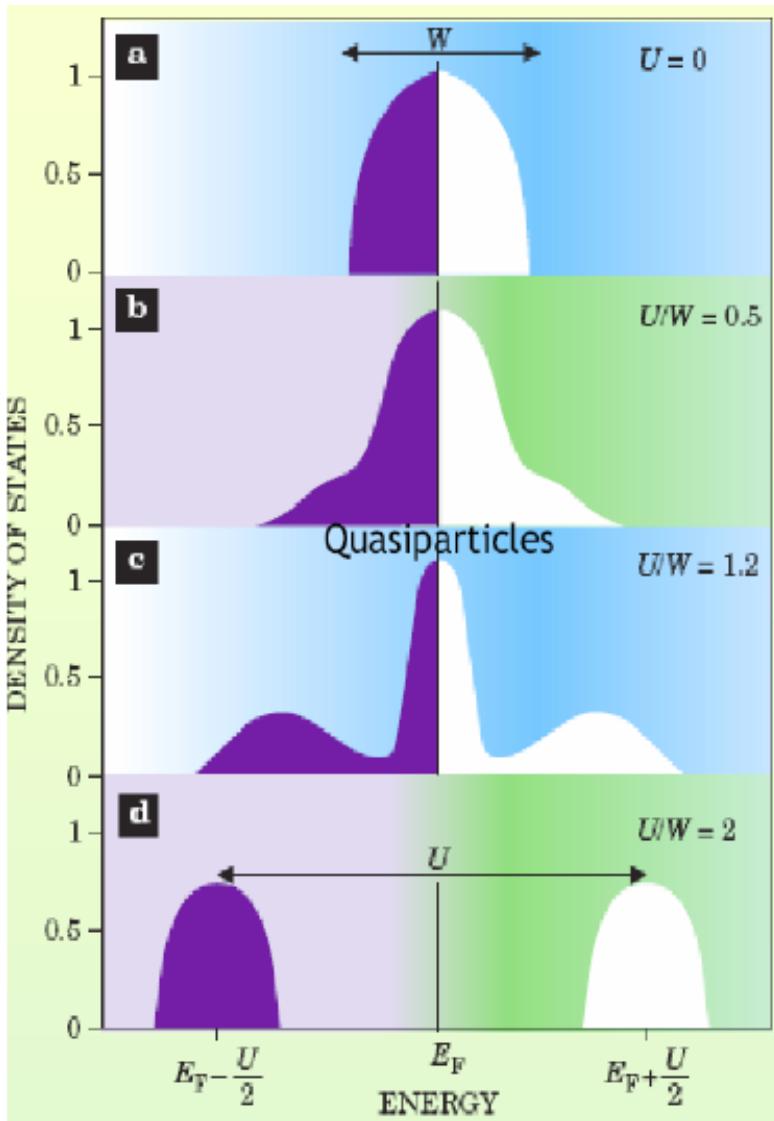


能带带宽调节金属-绝缘体转变

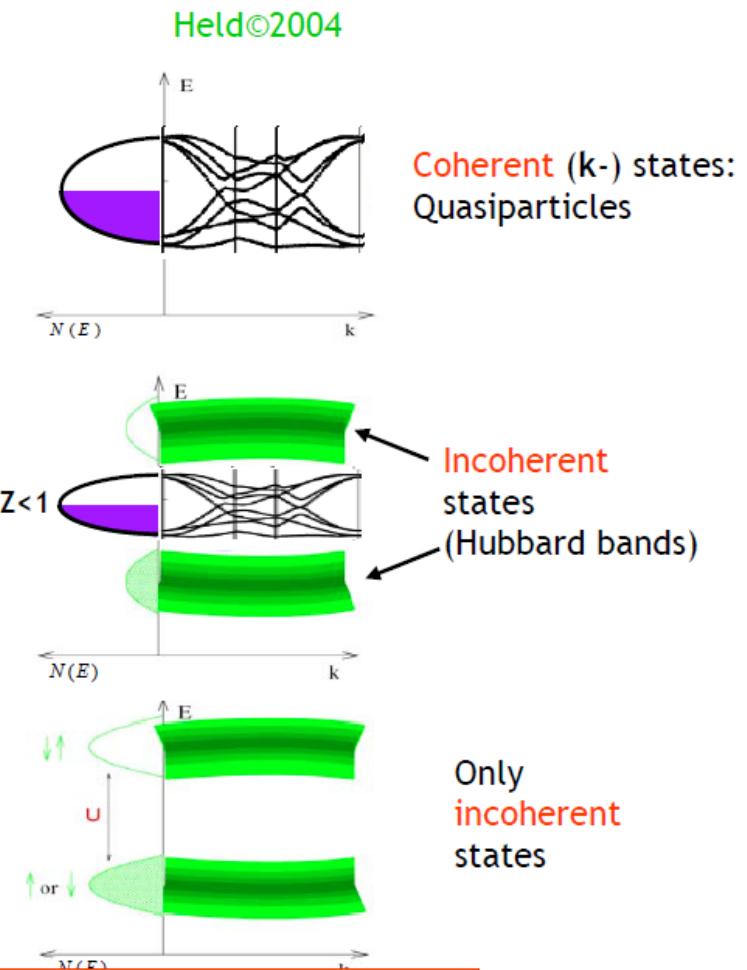
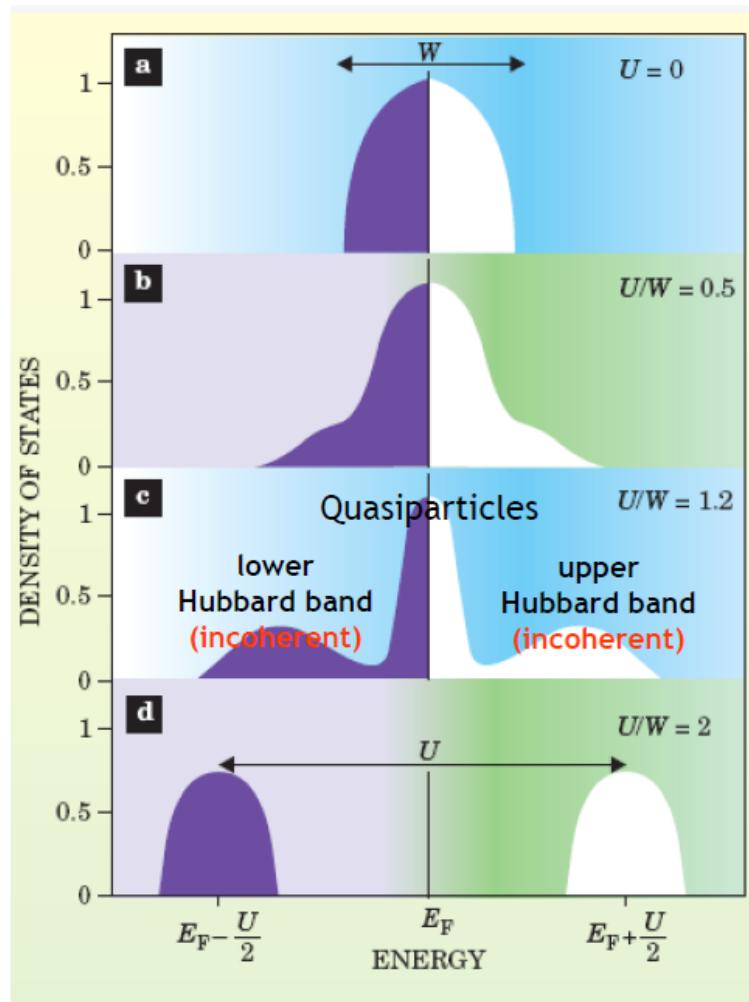


A. Fujimori et al., PRL 1992

能带带宽调节金属-绝缘体转变

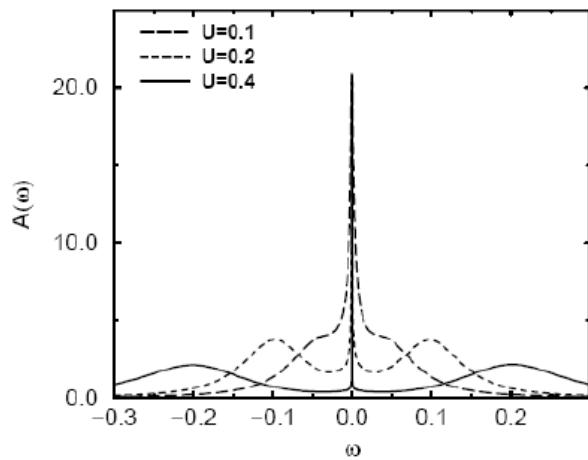


DMFT: Mott-Hubbard metal-insulator transition



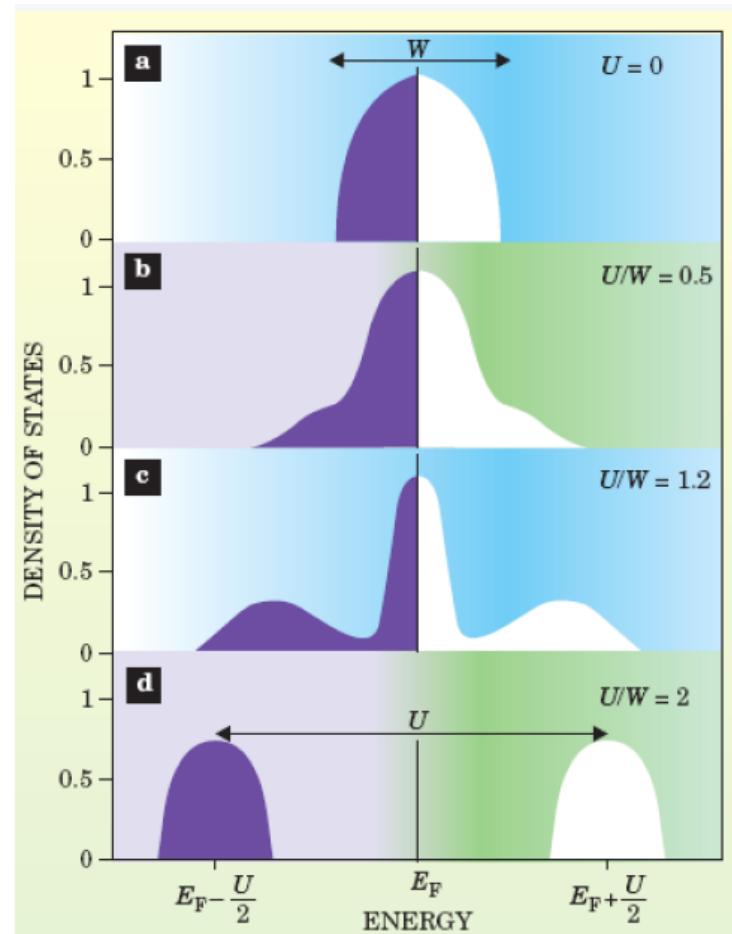
Correlations lead to transfer of spectral weight

Characteristic three-peak structure



Single-impurity
Anderson model

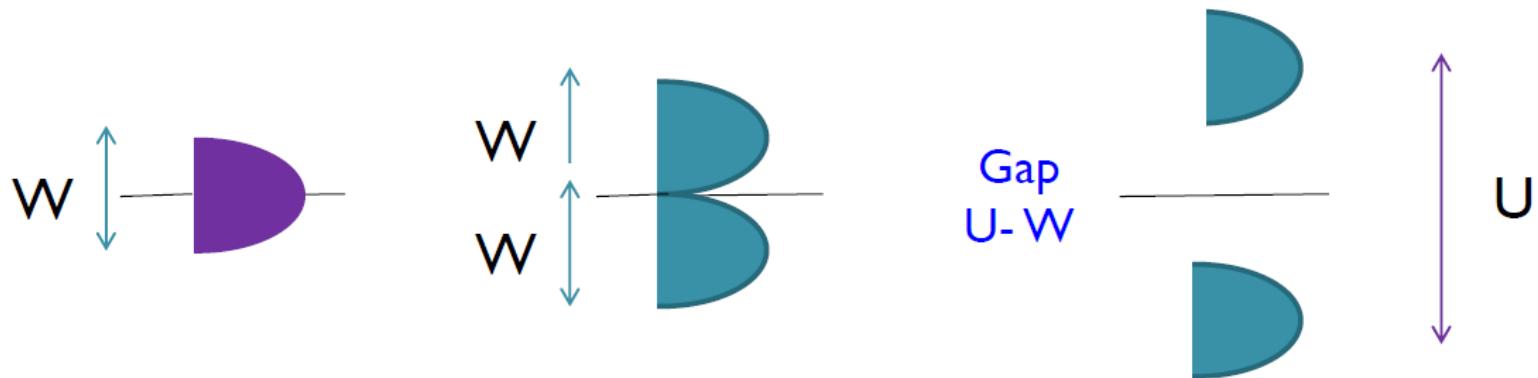
Two types of electrons



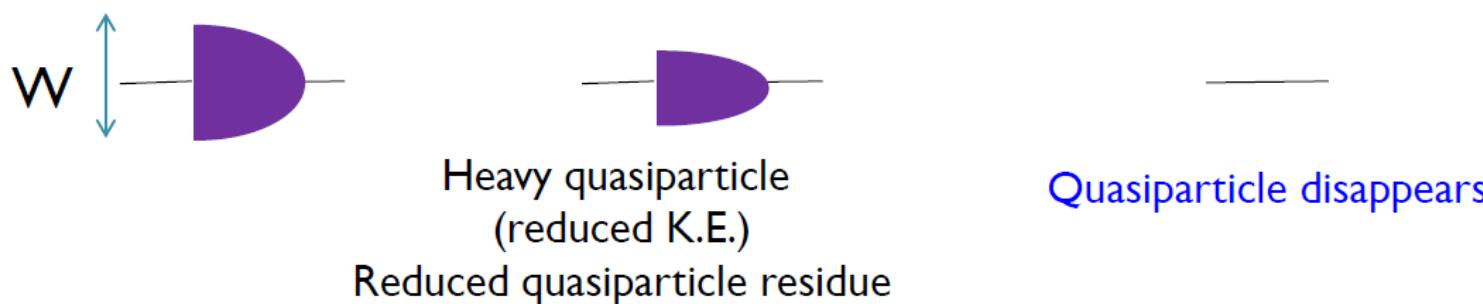
Only one type of electron

Mott-Hubbard vs Brinkman-Rice transition

The Mott-Hubbard transition (insulator) $U_c=W$

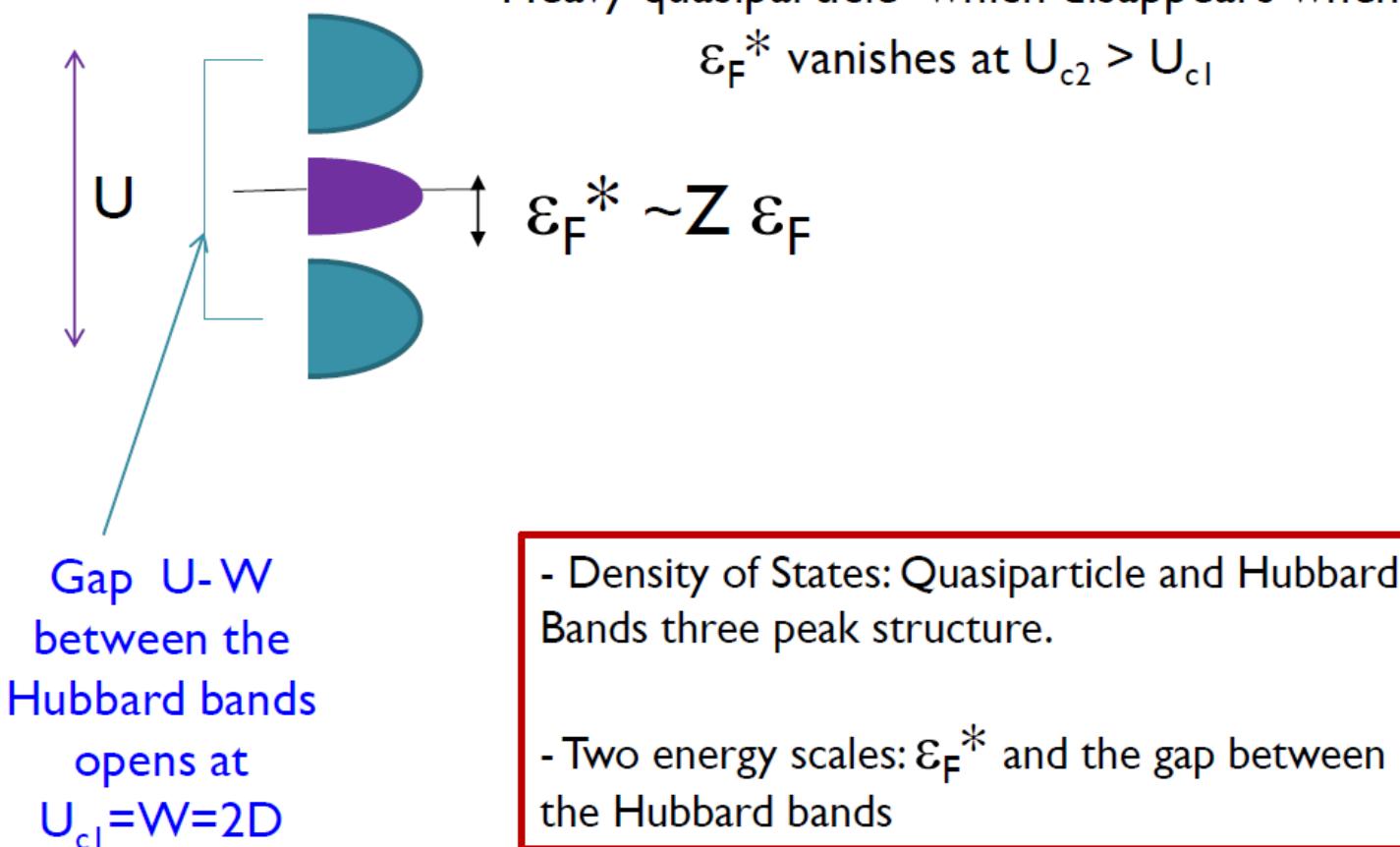


The Brinkman-Rice transition (metallic) $U_c=2W$



$$\varepsilon_F^* \sim Z \varepsilon_F$$

Mott-Hubbard + Brinkman-Rice transition



如何调节U/W

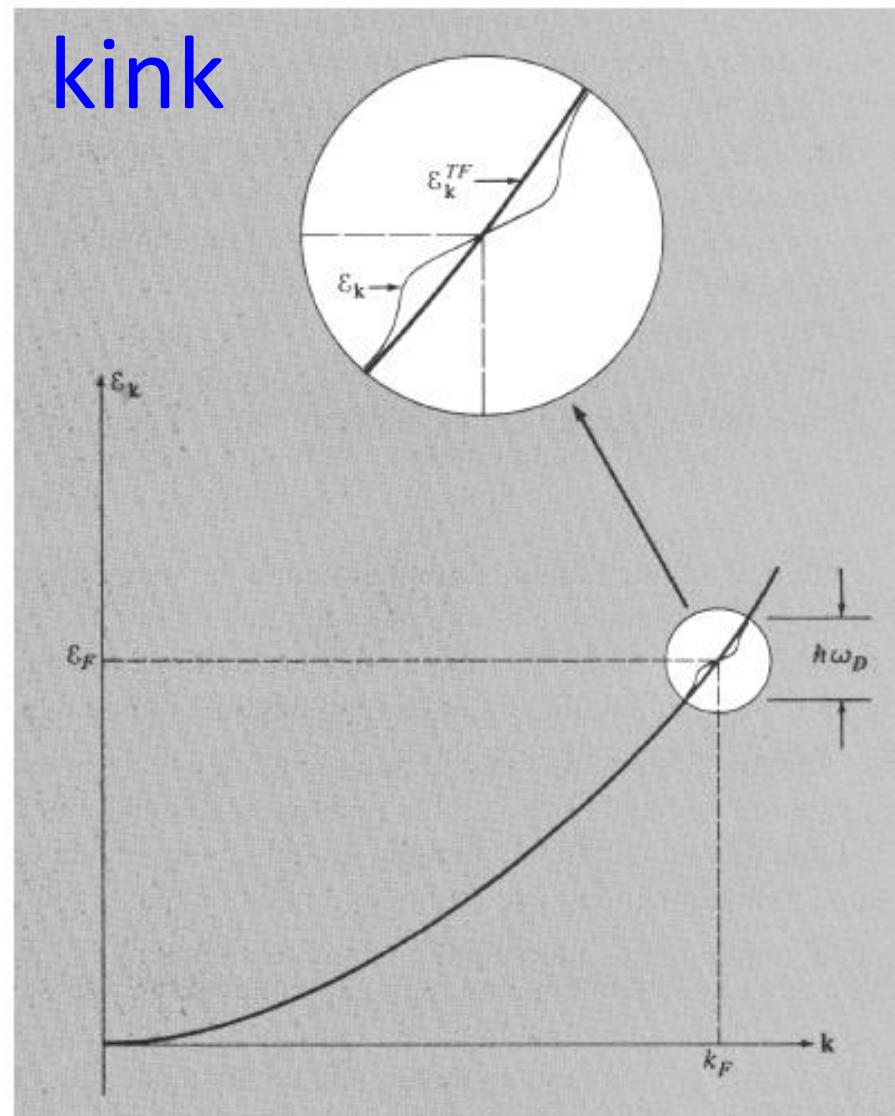
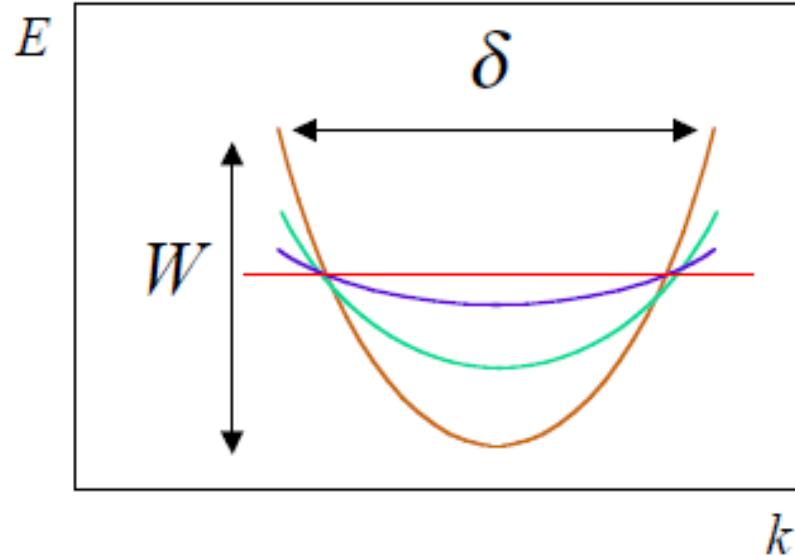
考虑原子尺度下的电荷、自旋、轨道、晶格等量子维度。

- 电荷：浓度、波的局域化。 . .
- 自旋：自旋维度的影响。 . .
- 轨道：重叠、轨道序。 . .
- 晶格：维度、Jahn-Teller效应、晶胞拓展。 .

电子-电子/电子-声子相互作用

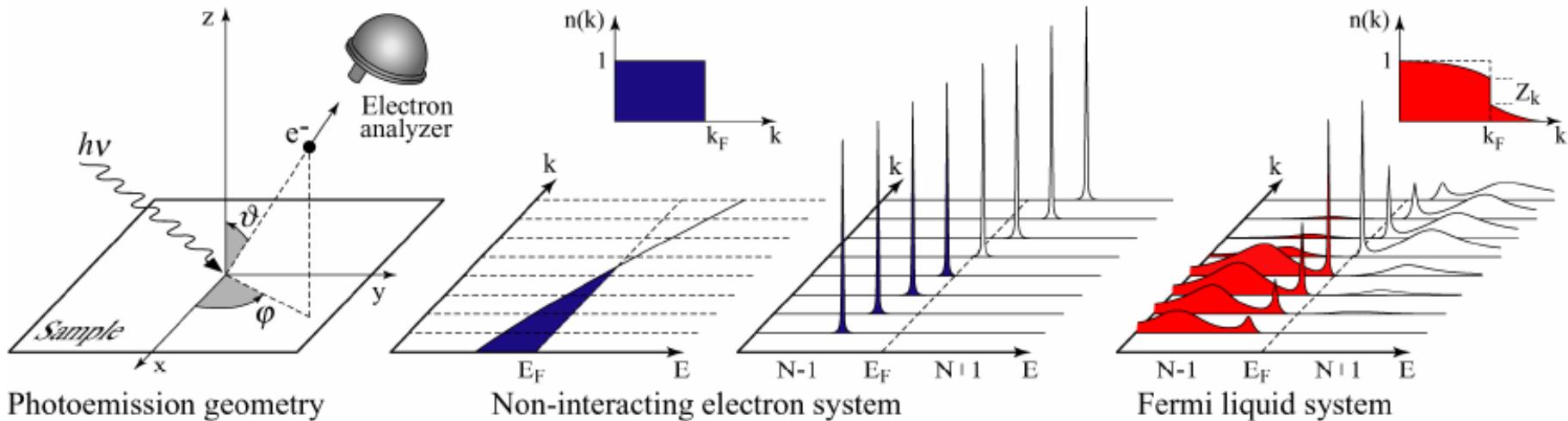
$$E = \frac{m^* v^2}{2} = \frac{\hbar^2 k^2}{2m^*}$$

$$m^* = \frac{0.946 \delta [\text{\AA}^{-1}]^2}{W [\text{eV}]}$$



The one-particle spectral function

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



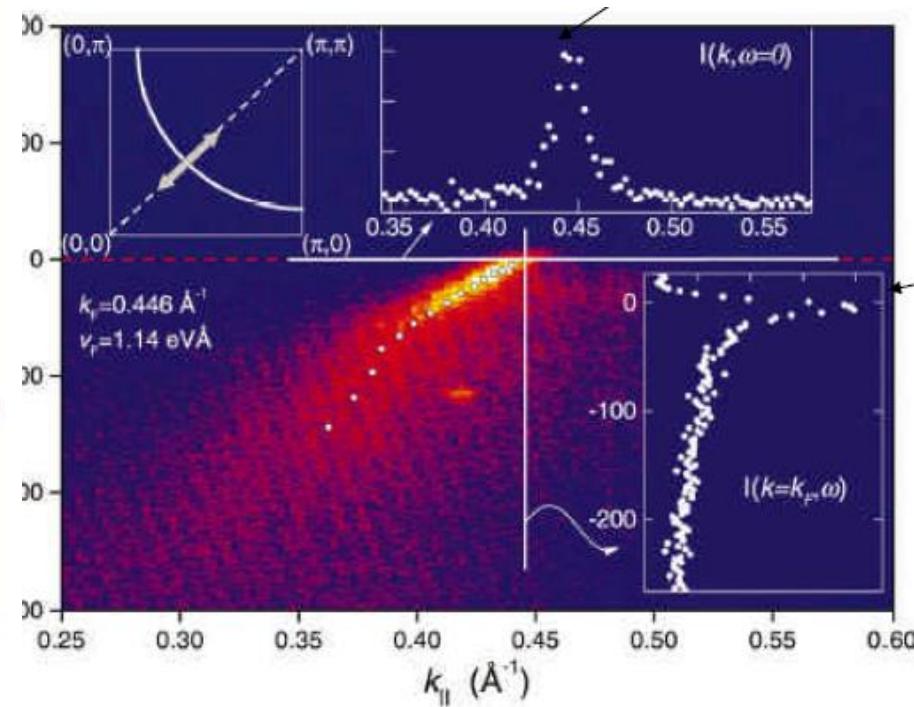
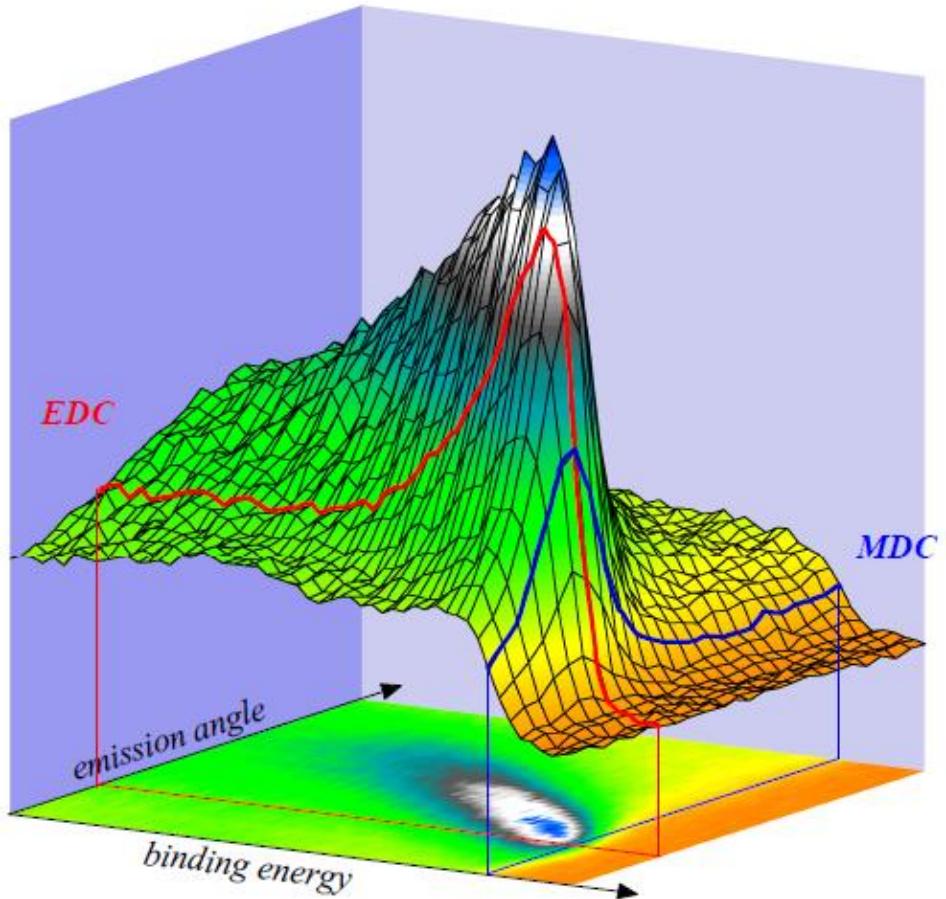
Photoemission intensity: $I(k, \omega) = I_0 |M(k, \omega)|^2 f(\omega) A(k, \omega)$

Single-particle spectral function

$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \frac{\Sigma''(\mathbf{k}, \omega)}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\mathbf{k}, \omega)]^2 + [\Sigma''(\mathbf{k}, \omega)]^2}$$

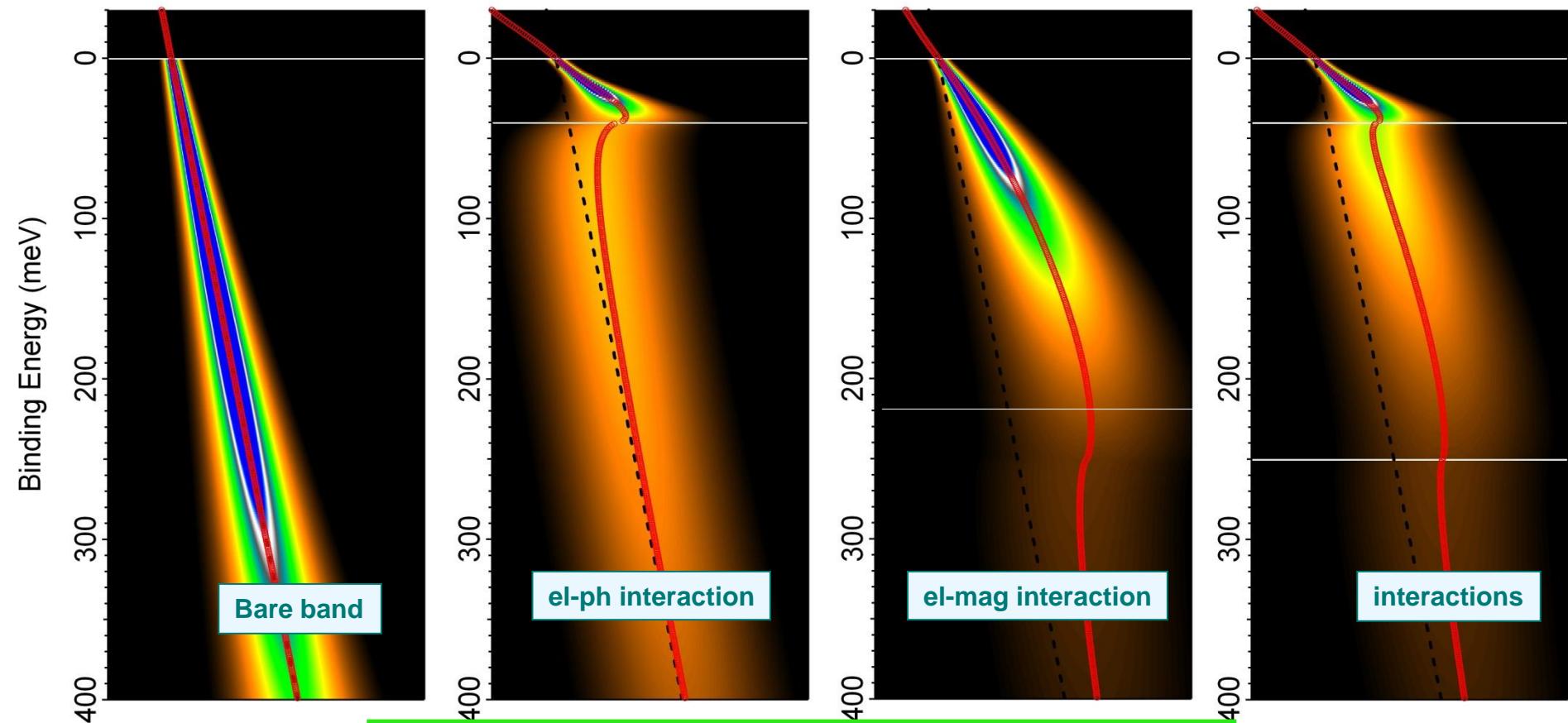
$\Sigma(\mathbf{k}, \omega)$: the “self-energy” captures the effects of interactions

EDC 和 MDC



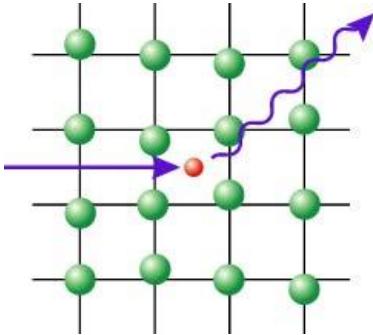
Interaction Effects in Band Dispersion

Computer simulation of Quasi-particle dispersion
Including many-body interactions



High-resolution ARPES with tunable synchrotron radiation
to determine the mass enhancement m^*/m_b

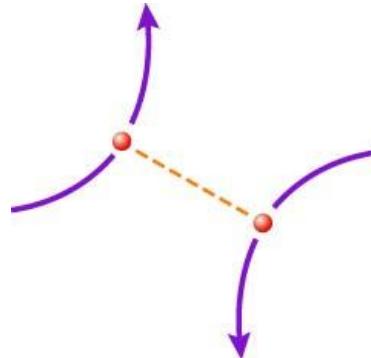
Lifetime broadening mode



electron-phonon
coupling

$$\Gamma_{el-ph}$$

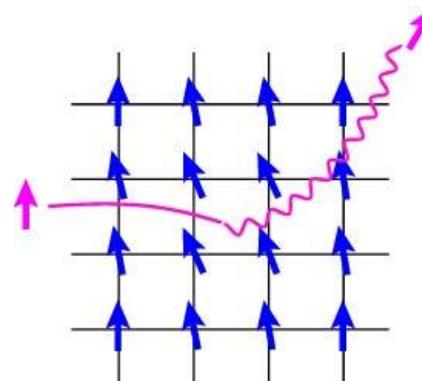
Debye temp.
 ~ 0.04 eV



electron-electron
interaction

$$\Gamma_{el-el}$$

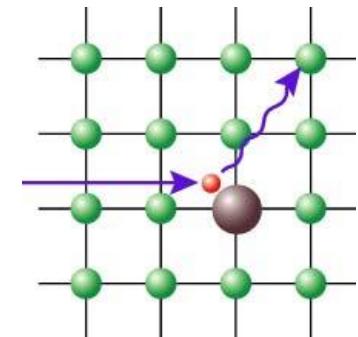
Band width
3~5 eV



electron-magnon
coupling

$$\Gamma_{el-mag}$$

Mag. DOS
 ~ 0.4 eV
for Ni, Fe



electron-impurity
scattering

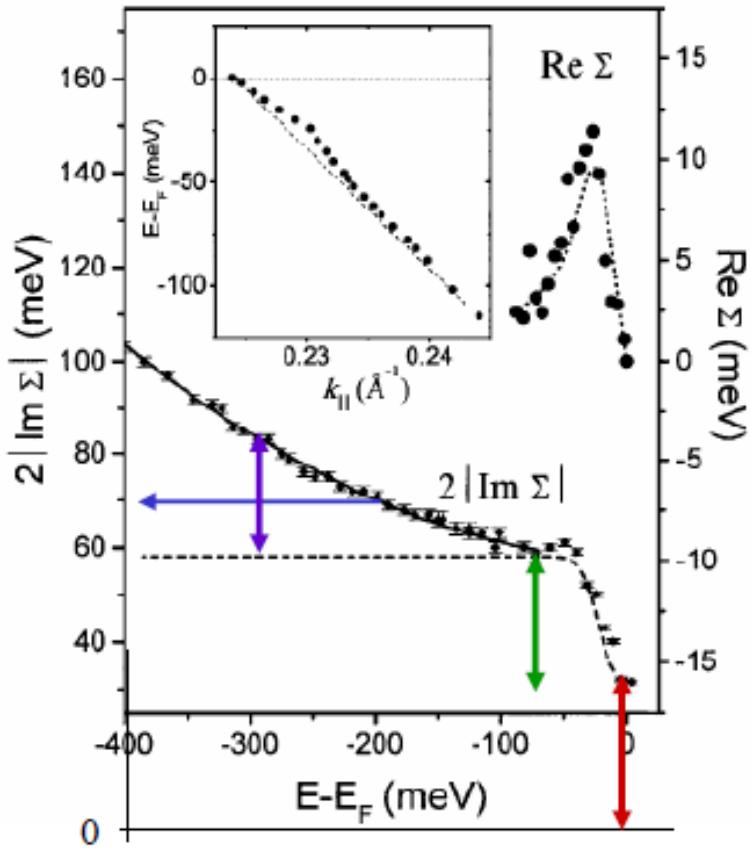
$$\Gamma_{el-imp}$$

energy indep.

$$\Delta E = \frac{\hbar}{\tau} = \Gamma_{el-ph} + \Gamma_{el-el} + \Gamma_{el-mag} + \Gamma_{el-imp} + \Gamma_0 = 2 |\text{Im } \Sigma|$$

自能实部与虚部

FWHM of
quasiparticle peak



$\text{Im}\Sigma = \text{width of spectral peak}$
Measurable in the same spectra.

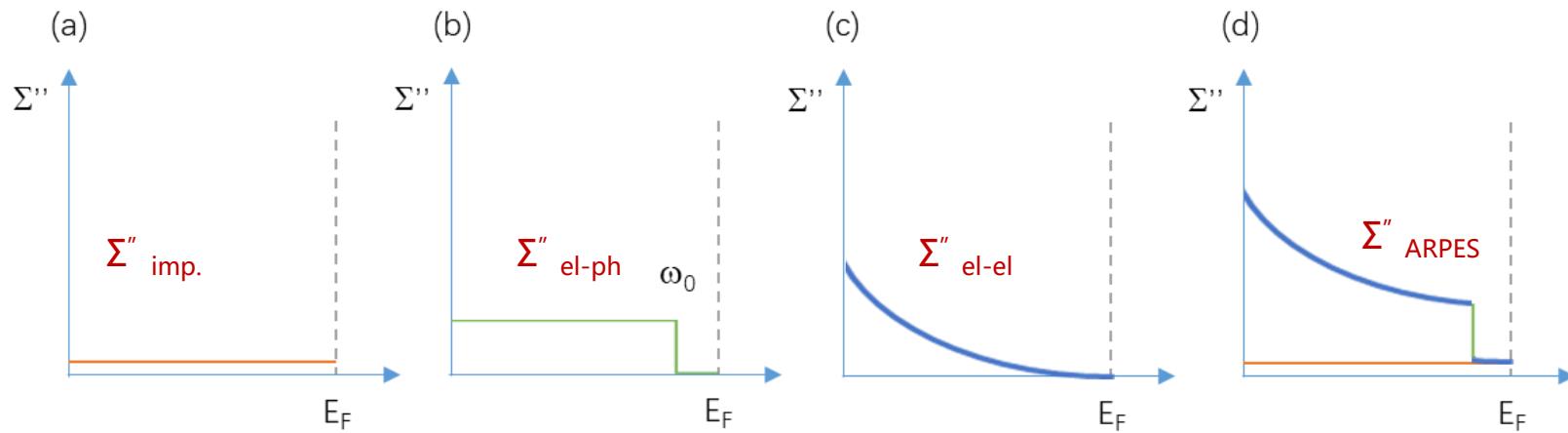
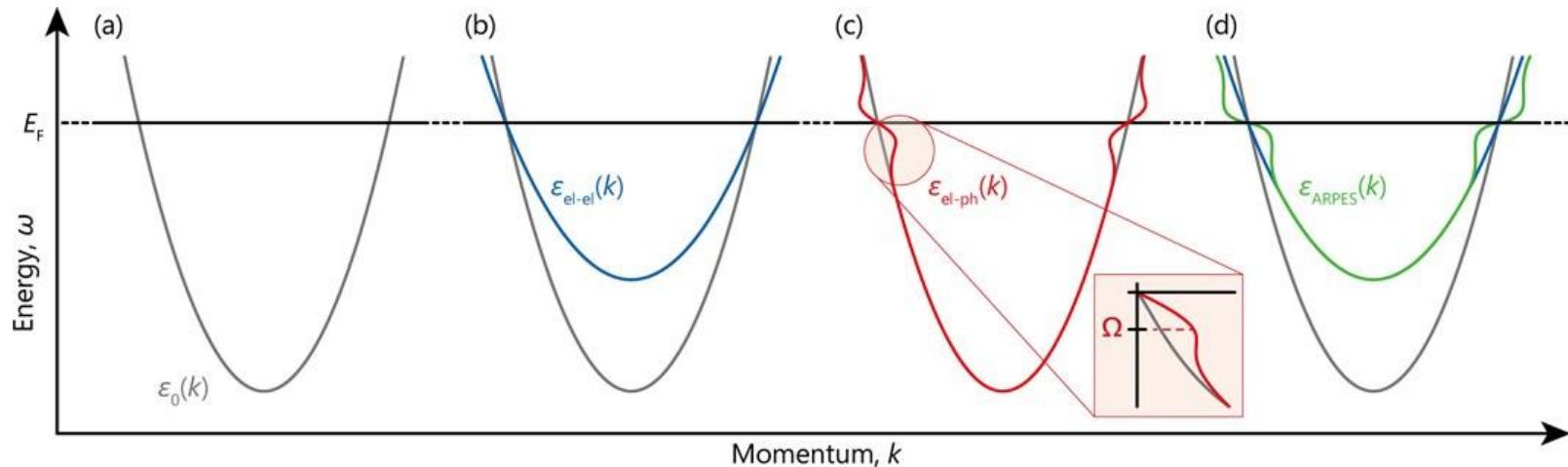
$\text{Im}\Sigma$ and $\text{Re}\Sigma$ related through Kramers-Kronig relations.

Electron-electron scattering

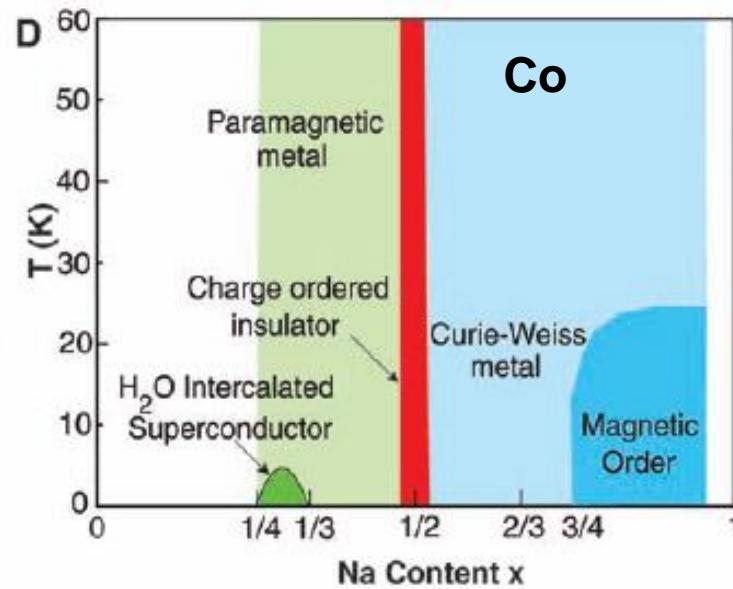
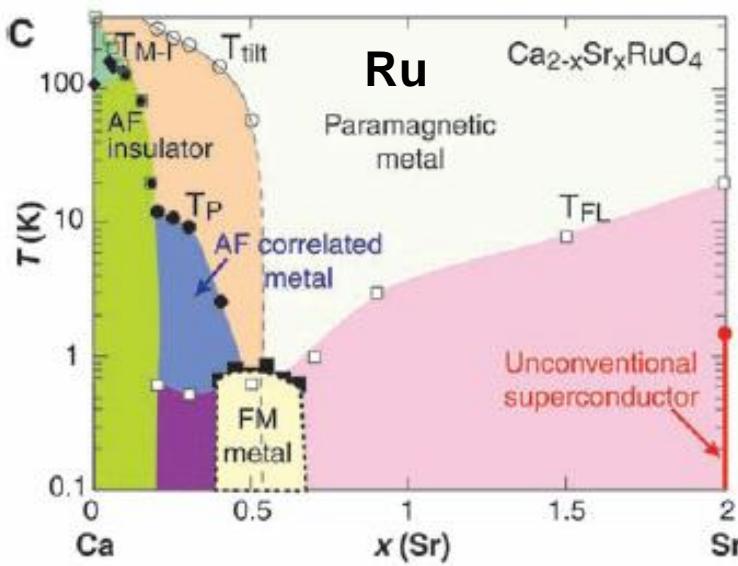
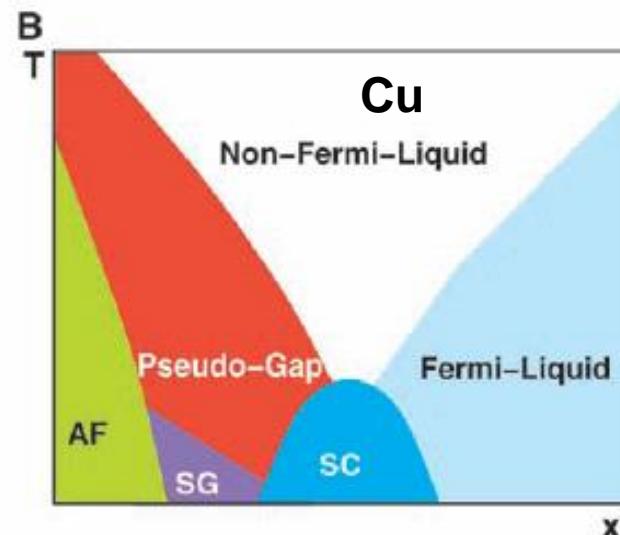
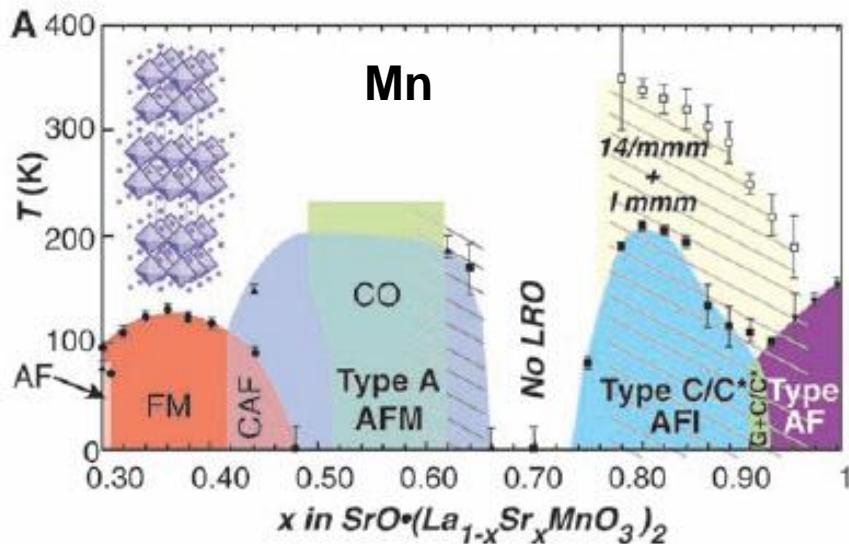
Coupling to phonons

Impurities, finite resolution,
final state effects, etc.

自能实部与虚部

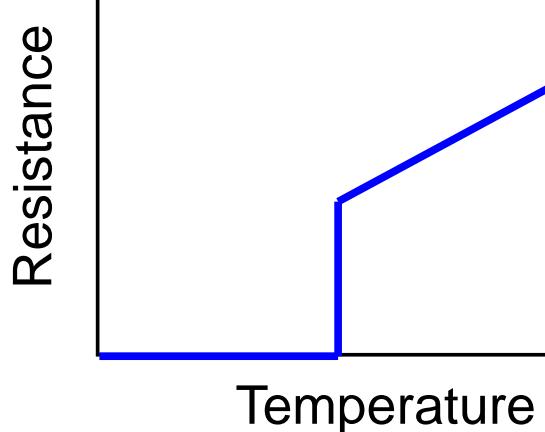


关联电子系统的特点

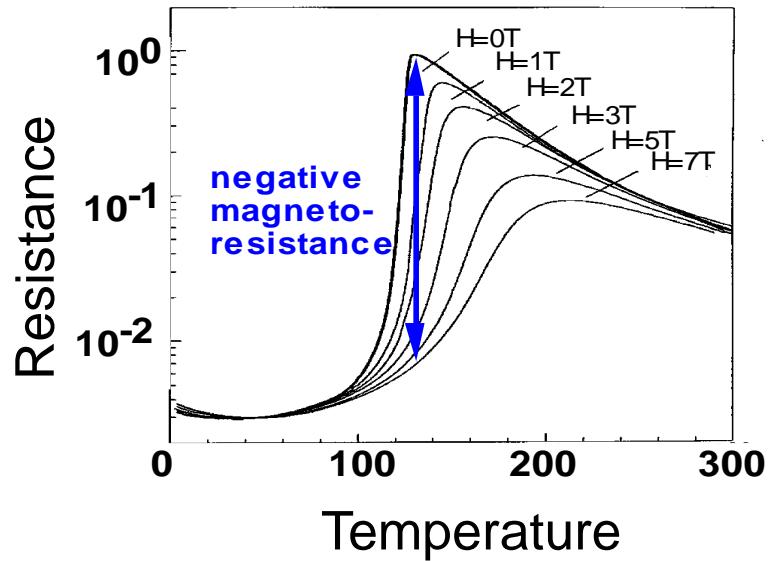


典型的例子

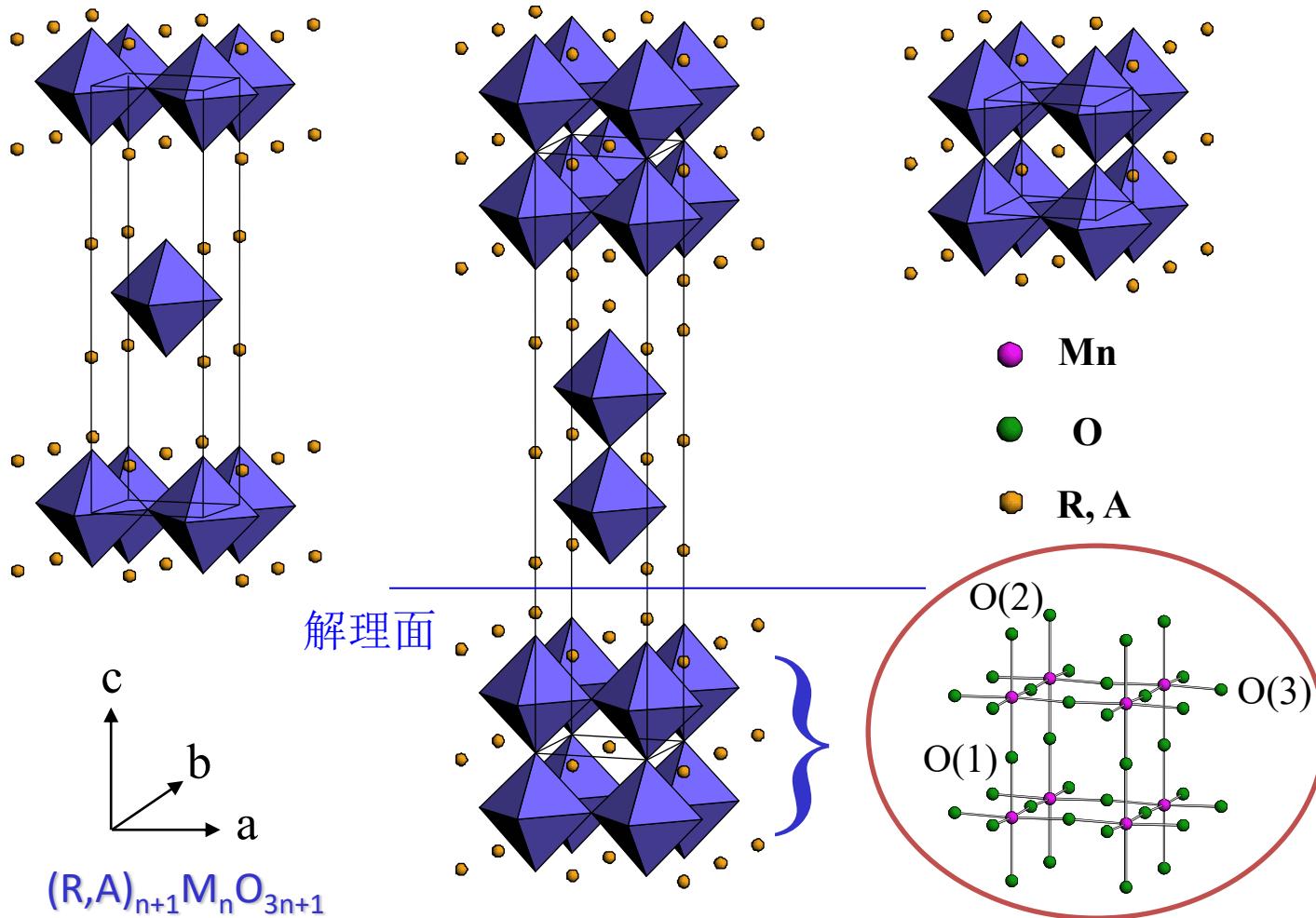
高温超导电性
(e.g. $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$)



庞磁阻
(e.g. $\text{La}_{2-2x}\text{Ca}_{1+x}\text{Mn}_2\text{O}_7$)

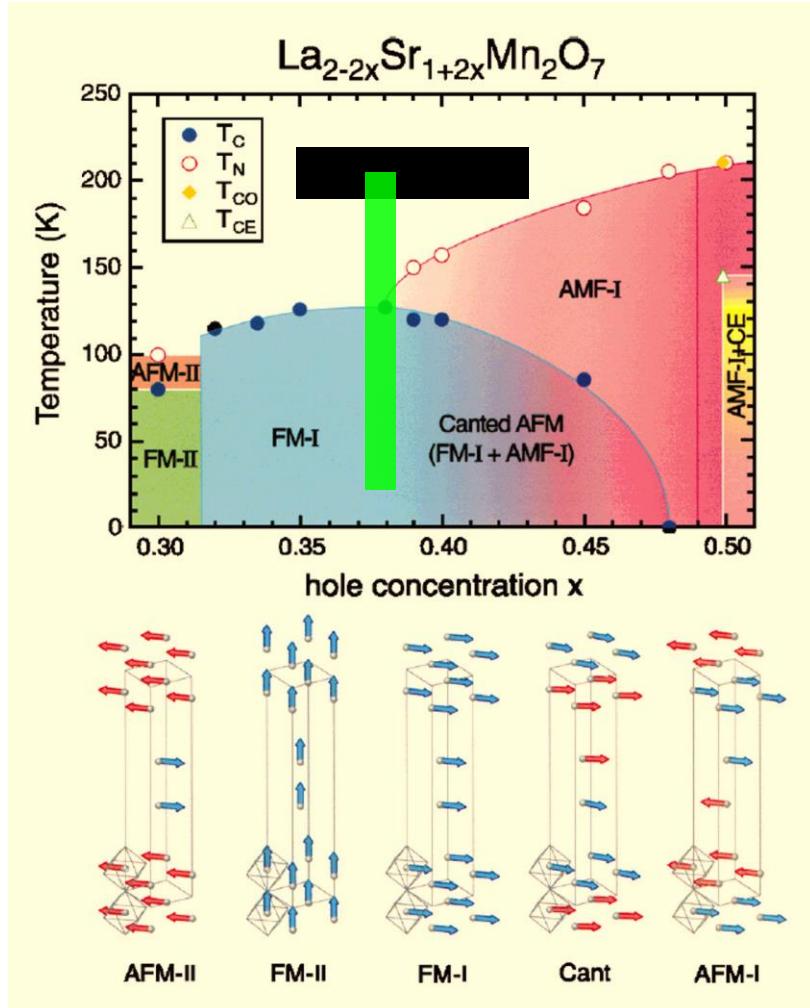
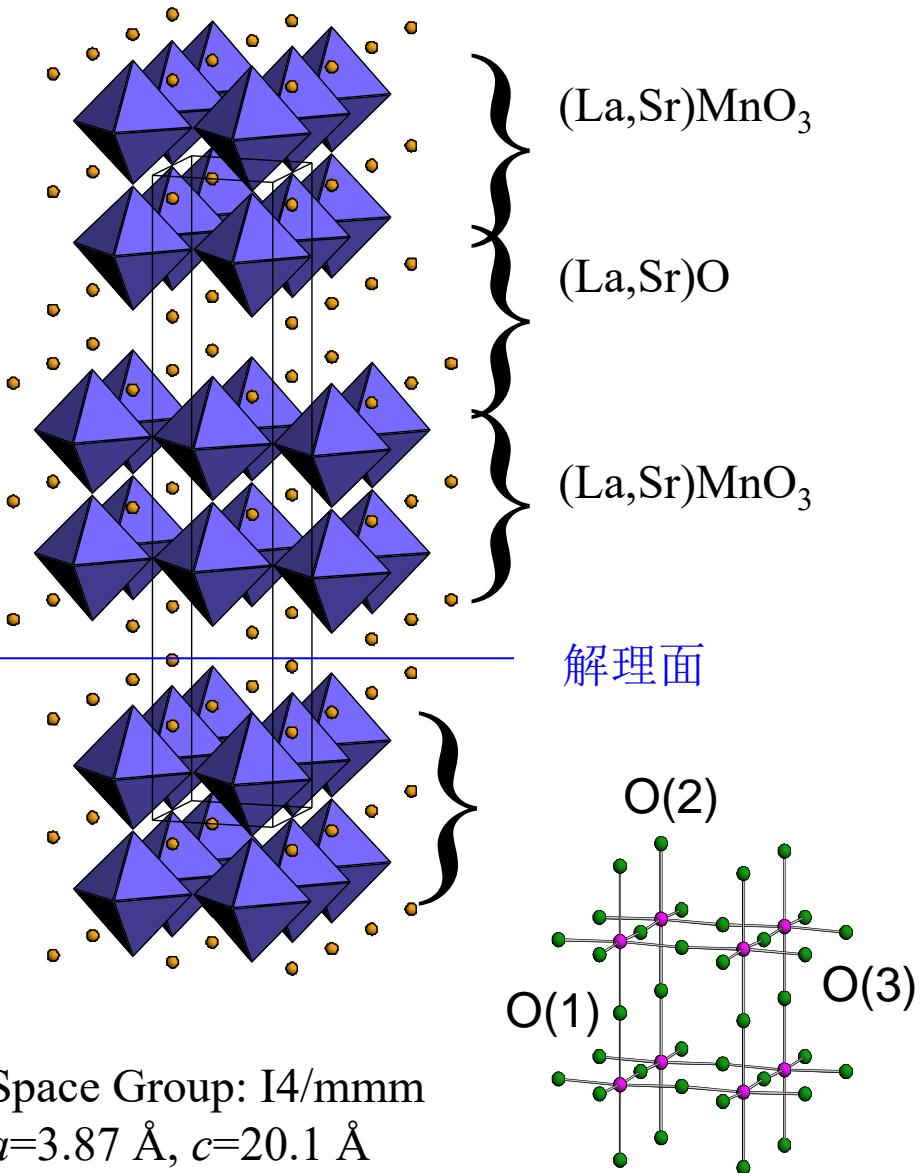


Crystal structure of manganites



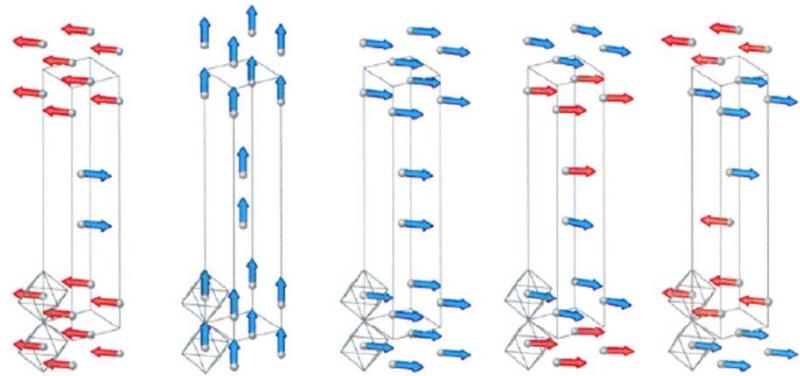
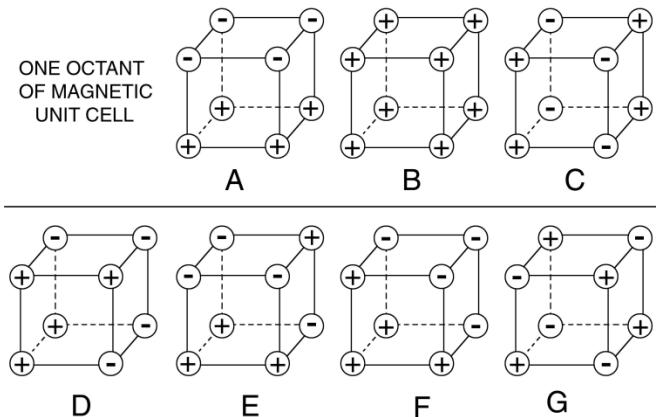
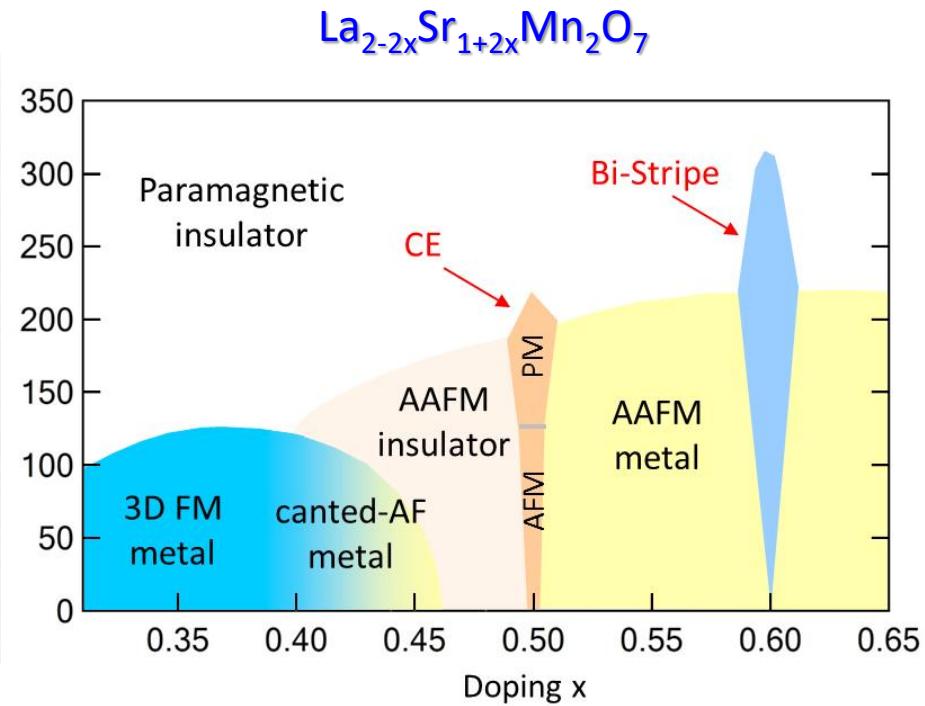
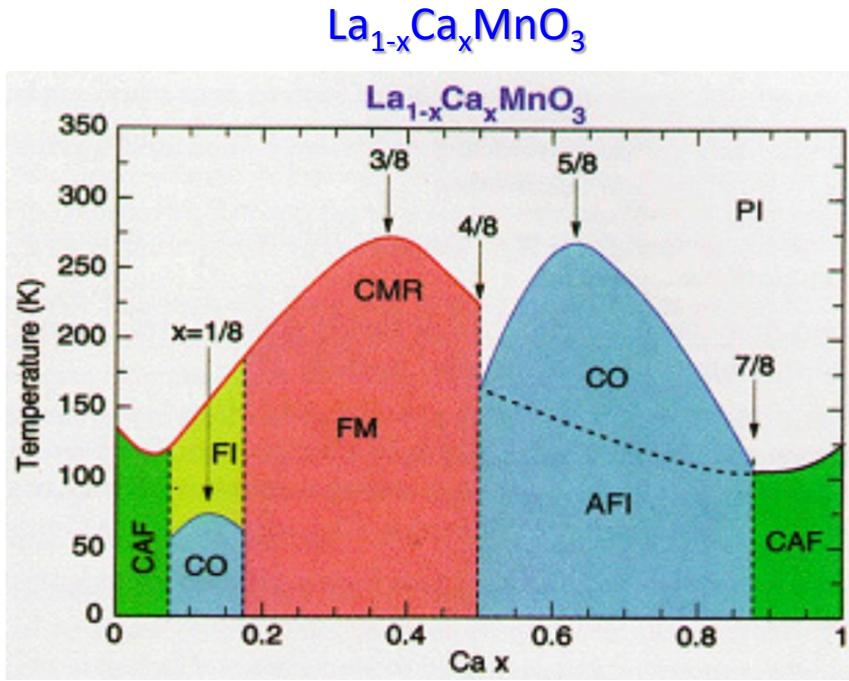
Important physics occurs in MnO_6 octahedra and MnO_2 planes

$\text{La}_{2-2x}\text{Sr}_{1+2x}\text{Mn}_2\text{O}_7$ 的晶体结构和相图



Kubota, J. Phys. Soc. Jpn. **69**, 1606 (2000).

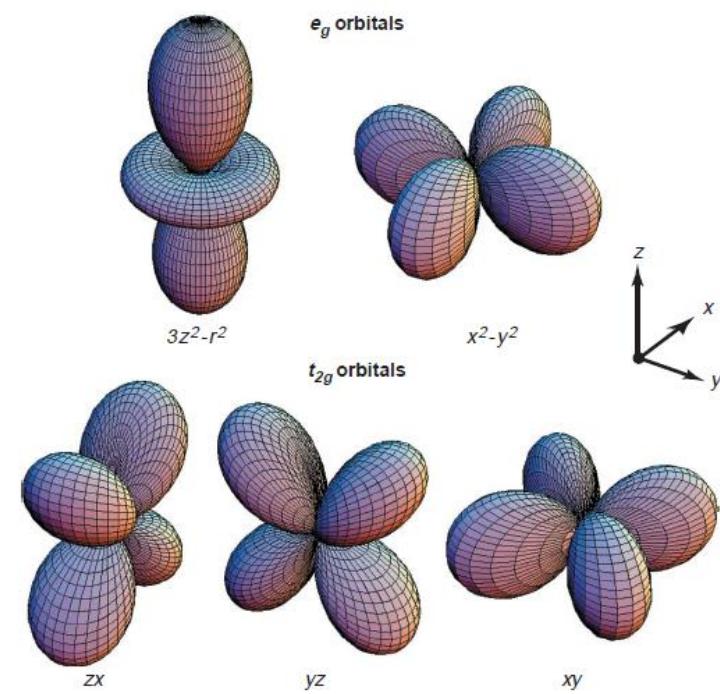
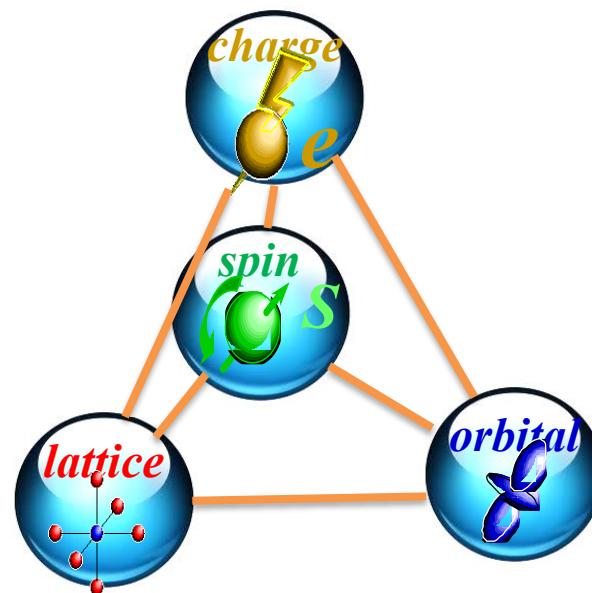
Phase diagram and magnetic structure



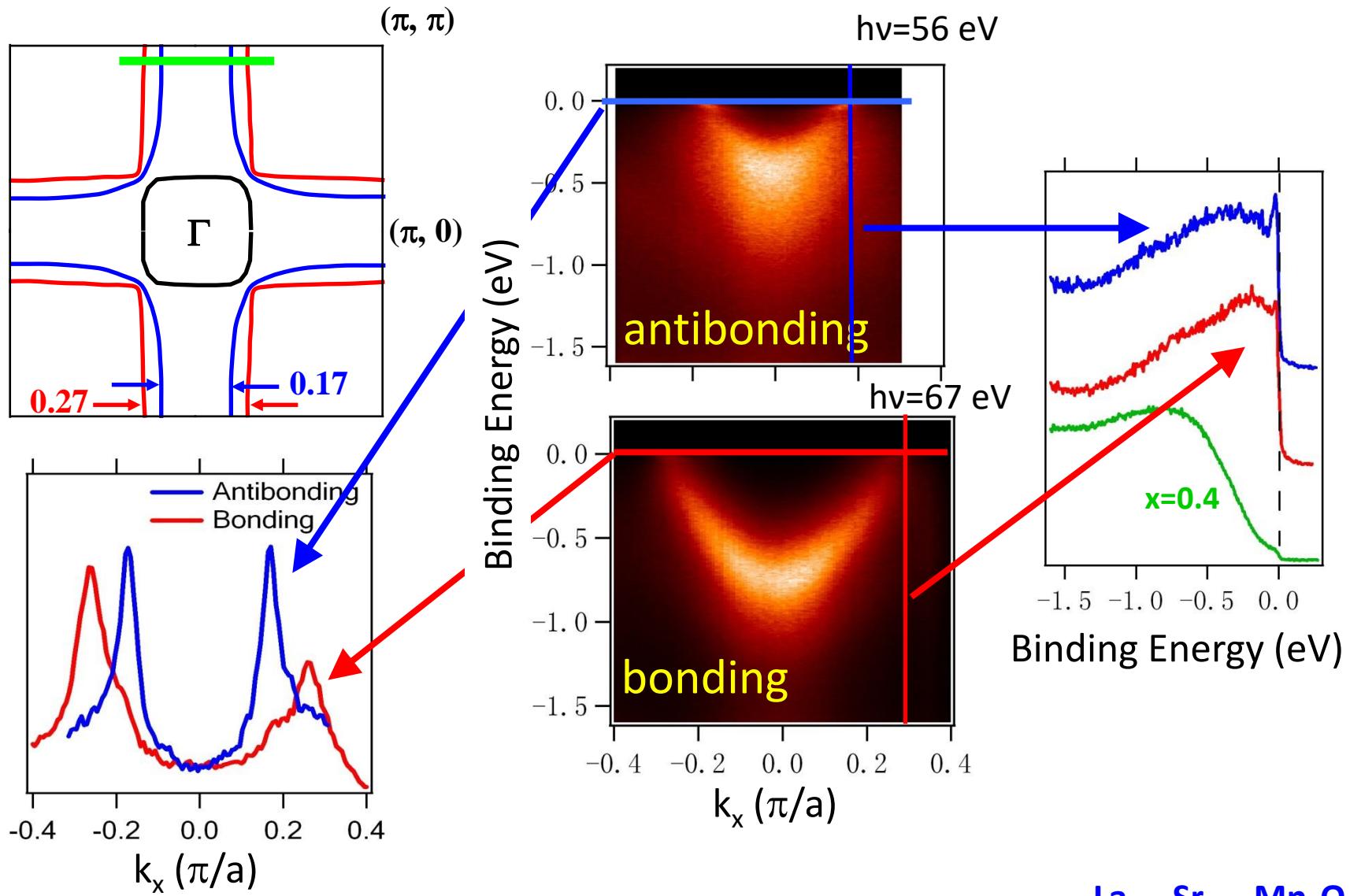
Orbital Physics in Transition-Metal Oxides

Y. Tokura^{1,2} and N. Nagaosa¹

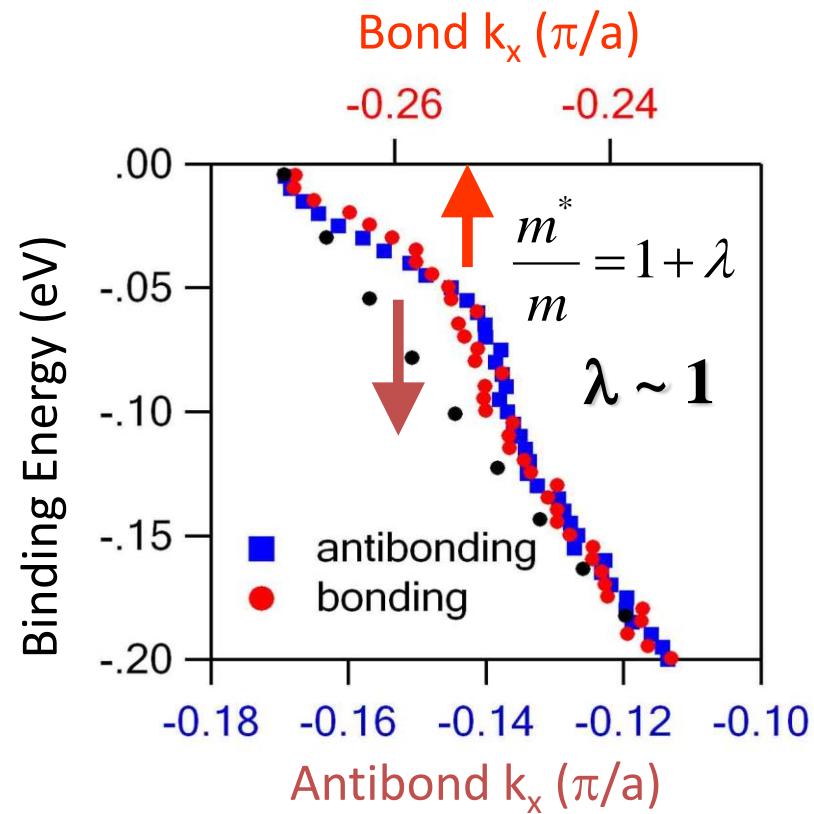
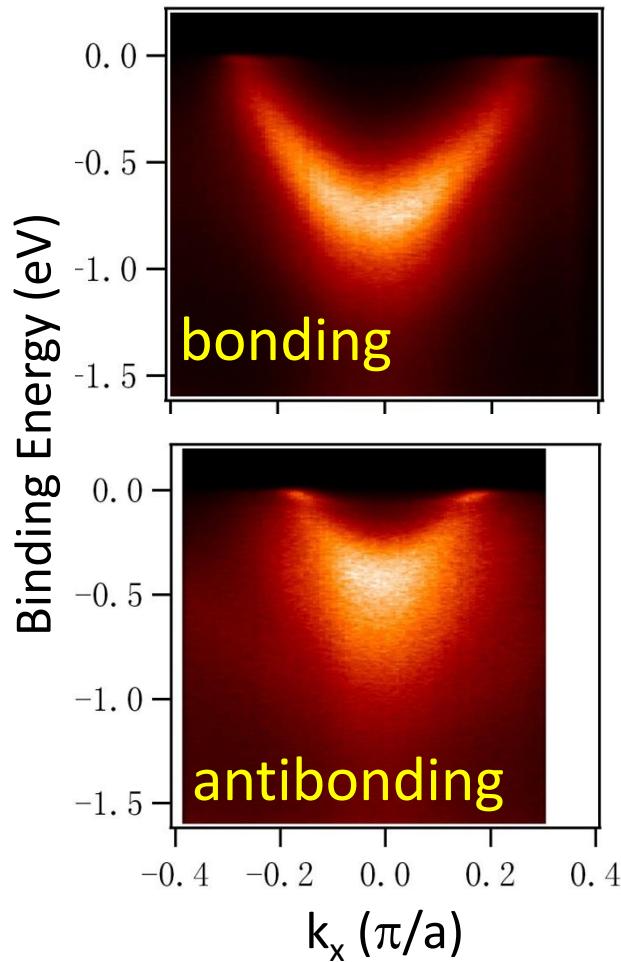
An electron in a solid, that is, bound to or nearly localized on the specific atomic site, has three attributes: charge, spin, and orbital. The orbital represents the shape of the electron cloud in solid. In transition-metal oxides with anisotropic-shaped d-orbital electrons, the Coulomb interaction between the electrons (strong electron correlation effect) is of importance for understanding their metal-insulator transitions and properties such as high-temperature superconductivity and colossal magnetoresistance. The orbital degree of freedom occasionally plays an important role in these phenomena, and its correlation and/or order-disorder transition causes a variety of phenomena through strong coupling with charge, spin, and lattice dynamics. An overview is given here on this "orbital physics," which will be a key concept for the science and technology of correlated electrons.



Bi-layer split band structure in $x=0.36, 0.38$ compounds



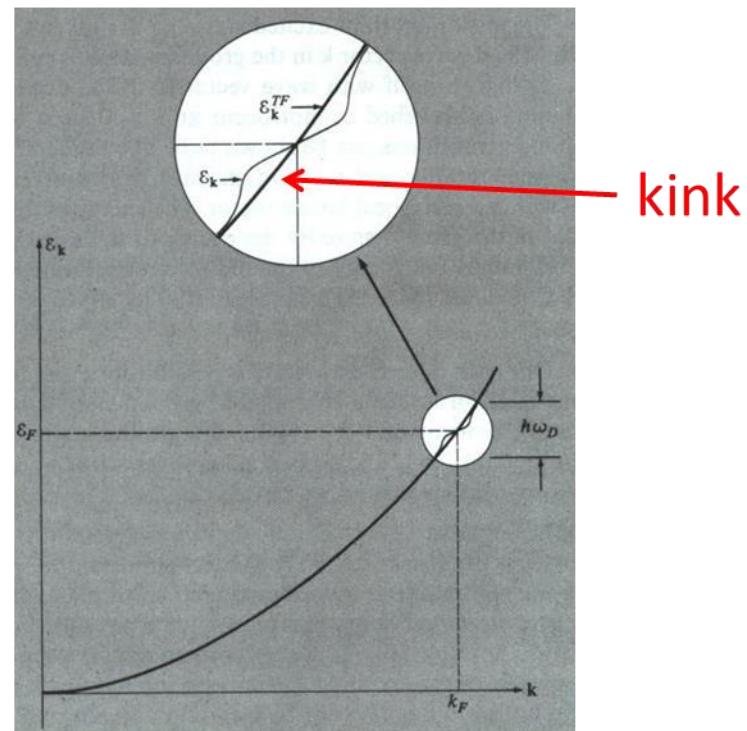
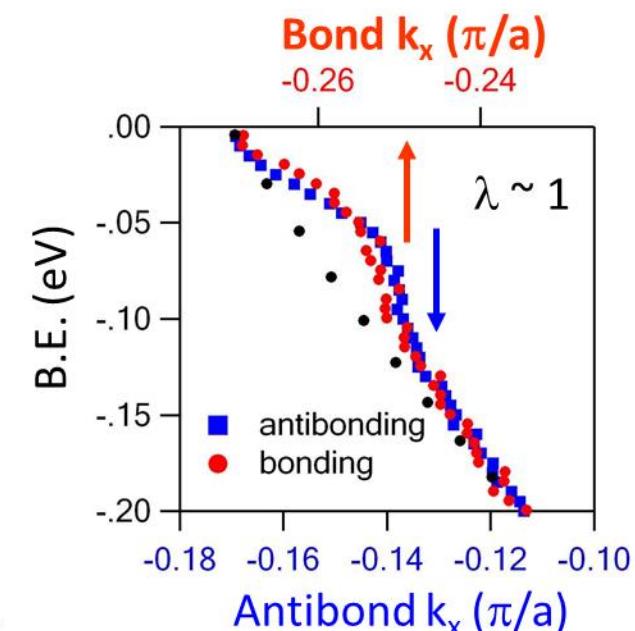
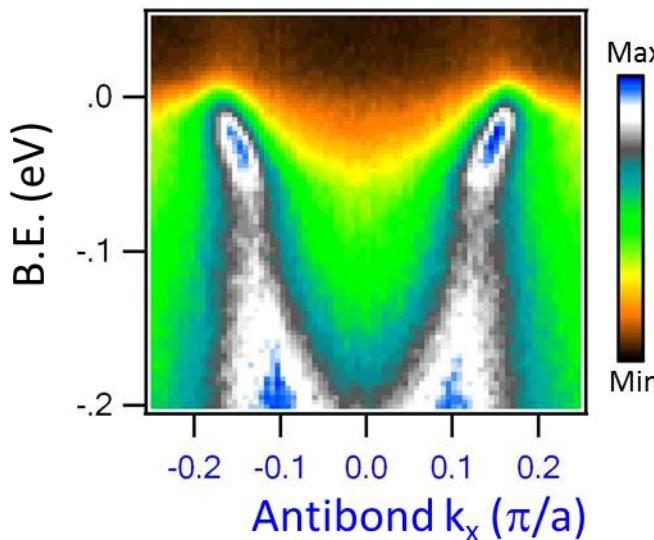
Dispersions of bi-layer split bands of $x=0.36, 0.38$ compounds



$\lambda = 1-1.5$ metal-insulator transition
specific data



铁磁金属态($x=0.36, 0.38$)中的电子-声子耦合

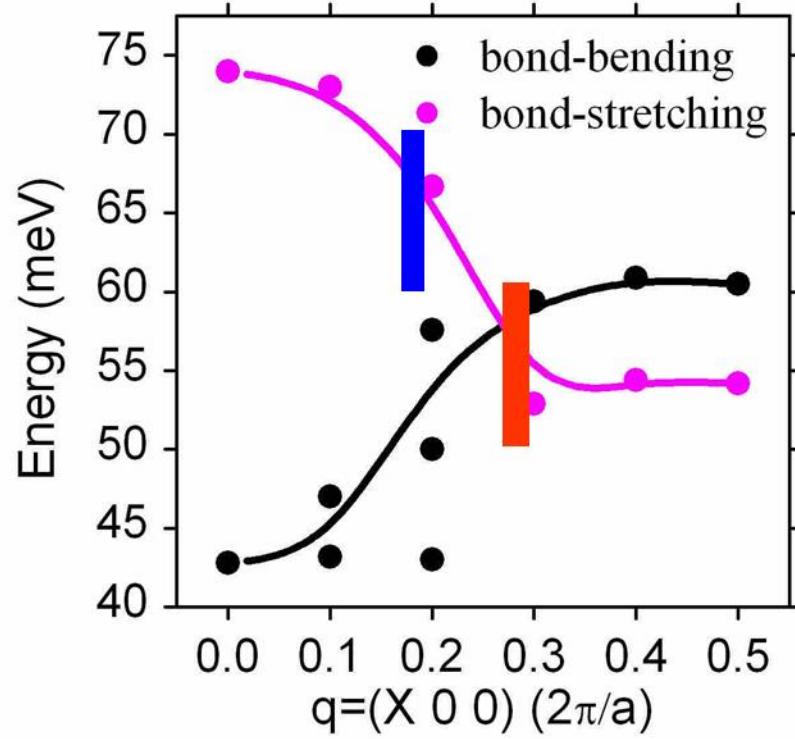
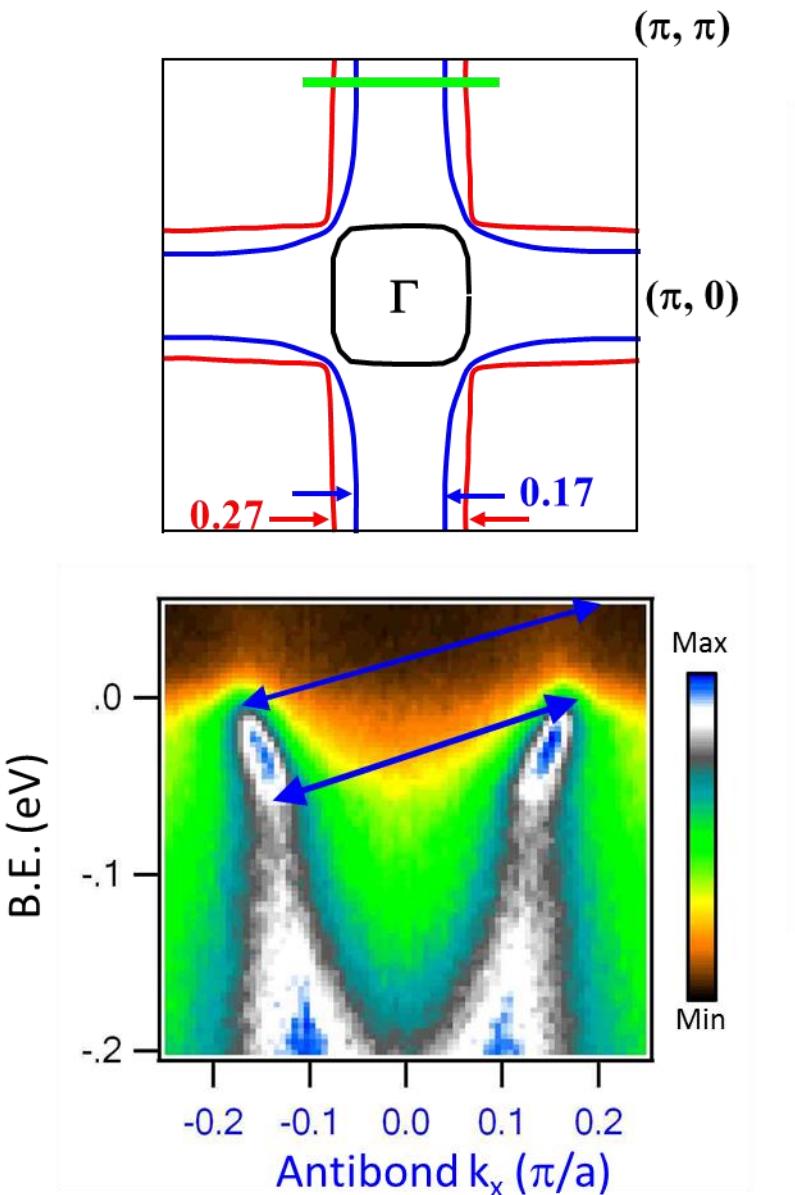


Ashcroft & Mermin, 1976

声子能级 $\omega_D \sim 50\text{-}70\text{meV}$
 电声耦合强度 $\lambda \sim 1$

$$\frac{d\varepsilon}{d\omega} = 1 + \lambda$$

铁磁金属态($x=0.36, 0.38$)中的电子-声子耦合



Zhe Sun et al.
PRL 97, 056401 (2006)