ophiolite complex with age of Ordovician-Middle triassic should be divided into 7 parts: Early-Middle Ordovician ophiolite and chert, Middle-Late Ordovician argillaceous silty slate with intercalating of black slate, Middle Silurian-Middle Devonian molasse (?), Early Carboniferous-Early Permian ophiolite and radiolaria chert (or argillaceous chert), Late Carboniferous-Early Permian limestone including biological reef limestones, Late Permian-Early Triassic molasse and Triassic sandy slate. These data (especially the acritarchs) show that Ordovician ocean basin, Caledonian orogeny and Paleotethyan ocean basin once existed in the area, which have important significance for tectonic research of the studied area and even the north Qinghai-Tibetan Plateau.

Acknowledgements The authors would like to express their profound gratitude to Chen Zhengxin, Liu Yongan and Jia Chunxin of the Qinghai Bureau of Geology and Mineral Resources, for offering help in many ways during field work and providing their data. Thanks are given to Profs. Xin Yushen and Yin Chongyu of the Institute of Geology, the Chinese Academy of Geological Sciences for their help. We express our heartfelt appreciation to the anonymous reviewers and the editor of the journal for their suggestions for the note. This work was supported by the National Natural Science Foundation of China (Grant Nos. 49572153 and 49872077), and the Lu Jiaxi Foundation of the CAS.

References

- Bureau of Geology and Mineral Resources of Qinghai Province, Regional geology of Qinghai Province (in Chinese), Beijing: Geological Publishing House, 1991, 25-41.
- Ji Liuxiang, Ouyang Shu, Spore-pollen assemblage from Buqingshan group, Qinghai Province, and its geological age, Acta Palaeontologica Sinica (in Chinese), 1996, 35(1): 1.
- Wang Yongbiao, Huang Jichun, Luo Nangsheng et al., Paleo-ocean evolution of the southern eastern Kunlun orogenic belt during Hercy-Early Indosinian, Earth Science (in Chinese), 1997, 22(4): 369.
- Jiang, C. F., Yang, J. S., Feng, B. G. et al., Opening-closing Tectonics of Kunlun Mountains (in Chinese), Beijing: Geological Publishing House, 1992, 141–142.
- Xu, Z. Q., Yang, J. S., Cheng, F. Y., The A'nyemaqen Suture Zone and the Dynamics in Subduction and Collision Study on Ophiolites and Geodynamics (ed. Zhang, Q.) (in Chinese), Beijing: Geological Publishing House, 1996, 185-189.
- Yang, J. S., Robinson, P. T., Jiang, C. F. et al., Ophiolites of the Kunlun Mountains, China and their tectonic implications, Tectonophysics, 1996, 258: 215.
- Zhu, Y. H., Zhang, K. X., Pan, Y. M. et al., Determination of different ophiolitic belts in Easter Kunlun orogenic zone and their tectonic significance, Earth Science (in Chinese), 1999, 24(2): 134.
- Bian Qiantao, Luo Xiaoquan, Li Hongsheng et al., Discovery of Early Paleozoic and Early Carboniferous-Early Permian ophiolites in the A'nyemaqen, Qinghai Province, China, Scientia Geologica Sinica (in Chinese), 1999, 34(4): 523.
- Bian Qiantao, Zheng Xiangshen, On the tectonic characteristics and evolution of the Hoh Xil region, Qinghai Province Structure of Continental Lithosphere and Mineral Resources (eds. Xu, G. Z., Chang, C. F.) (in Chinese with English abstract), Beijing: Ocean Press, 1992, 19—32.
- Bian Qiantao, Luo Xiaoquan, Li Dihui et al., Zircon U-Pb agc of granodiorite-tonalite in the A'nyemaqen ophiolitic belt and its tectonic significance, Scientica Geologica Sinica (in Chinese with English abstract), 1999, 34(4): 420.
- Evitt, W. R., A discussion and propasals concerning fossil dinoflagellates, hystrichospheres and acritarchs, 1, 11, Proceeding of

Chinese Science Bulletin Vol. 46 No. 4 February 2001

the National Academy of Sciences, 1963, 49: 158.

- Loehlich, Jr. A. R., Tappan, H., Some Middle and cate Ordovician microphytoplankton from central North America, Journal of Paleontology, 1978, 52(6): 1233.
- Wicander, R., Playford, G., Robertson, E. B., Stratigraphic and paleogeographic significance of an Upper Ordovician acritarch flora from the Maquoketa shale, northeastern Missouri, USA, Journal of Palcontology, 1999, 73(sup.6): 1.
- Le Herisse, A., Acritarchs et kystes d'algues Prasinophycees du Silurien de Gotland, Sucde, Palaeontographia Italica, 1989, 76: 57.
- Yin Leiming, Yuang Xiaoqi, Zhang Jisen et al., Acritarch assemblages from Dongzhuang Shale in southwestern margin of Ordos Basin and its geological age, Palaeoworld (in Chinese), 1993, 2: 175.
- He Shengce, Yin Leiming, Late Ordovician acritarchs from Changwu Formation of Jiangshan, Zhejiang Province, China, Acta Palaeontologica Sinica (in Chinese with English abstract), 1993, 32(5): 611.
- Yin Leiming, Early Ordovician acritarchs from Huanjiang region, Jilin, and Yichang region, Hubei, China, Palaeontol. Sin. N.S.A. (in Chinese with English translation), Beijing: Science Press, 1995, 185(12): 170.
- Fensome, R. A., Williams, G. L., Barss, M. S. et al., Acritarchs and fossil prasniophytes: an index to genera, species and infraspecific taxa, American Association of Stratigraphic Palynologists, Contributions Series, 1990, 25: 771.
- Pan Yusheng, Kong Xiangru, Lithosphere structure, evolution and dynamics of Qinghai-Xizang (Tibetan) Plateau (in Chinese), Guangzhou: Guangdong Science and Technology Press, 1998, 129 —130.

(Received September 13, 2000)

A new dynamics model for traffic flow

JIANG Rui, WU Qingsong & ZHU Zuojin

Institute of Engineering Science, University of Science and Technology of China, Hefei 230026, China

Correspondence should be addressed to Wu Qingsong (e-mail: qswu@ustc.edu.cn)

Abstract As a study method of traffic flow, dynamics models were developed and applied in the last few decades. However, there exist some flaws in most existing models. In this note, a new dynamics model is proposed by using car-following theory and the usual connection method of micro-macro variables, which can overcome some ubiquitous problems in the existing models. Numerical results show that the new model can very well simulate traffic flow conditions, such as congestion, evacuation of congestion, stop-and-go phenomena and phantom jam.

Keywords: traffic flow, dynamics model, car-following model.

With the rapid development of transportation, traffic flow research has got more and more attention. In this field, the methods existing prevalently at present include car-following model, continuum model, gas-basedkinetics method, cellular automaton method, etc. $^{[1,2]}$.

The development of continuum models of traffic

NOTES

flow began from the LWR theory presented by Lighthill et al.^[3] and Richards^[4] independently. Considering a section of road, if there is no on-ramp and off-ramp, the number of vehicles in the section will satisfy conservation law and the variety rate of density will be equal to the difference of inflow and outflow. Assuming k denotes traffic density, u denotes space mean speed, it is obvious that

$$\frac{\partial k}{\partial t} + \frac{\partial (ku)}{\partial x} = 0.$$
 (1)

It is assumed by LWR theory that there is a relationship between u and k:

$$u = u_e(k), \qquad (2)$$

which is called equilibrium speed-density relationship. In fig. 1, a typical equilibrium speed-density curve presented by Del Castillo et al.^[5] is depicted.



Fig. 1. A typical equilibrium speed-density curve, where u_t is free flow speed, k_m is jam density.

Since no fluctuations of speed around the equilibrium values are allowed in LWR theory, it is not able to describe the traffic conditions exactly under non-equilibrium conditions. In order to solve the problem, Payne^[6] proposed a dynamics equation in addition to continuum equation (1)

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} = -\frac{v}{kT} \frac{\partial k}{\partial x} + \frac{u_e - u}{T},$$
(3)

where T is the relaxation time and p the anticipation index. In this model, fluctuations of speed around the equilibrium values are allowed, therefore, it is better than LWR theory in describing actual traffic conditions. And models composed of continuum equation and dynamics equation are called dynamics models for traffic flow.

Analyzing eqs. (1) and (3) by characteristics, there are two characteristic speeds $\lambda_1 = u + \sqrt{v/T}$ and $\lambda_2 = u - \sqrt{v/T}$, where λ_1 is greater than the macroscopic speed of traffic flow. As pointed out by Daganzo^[7], it means that the future conditions of a traffic element are determined by what is happening behind it. Therefore, the fundamental feature of traffic flow that a vehicle is an anisotropic particle and drivers only respond to frontal stimuli is violated. For this reason, the model cannot describe the realistic conditions of traffic flow exactly, either.

The example in Section 3 will be a good proof of it.

Based on Payne model, a few different dynamics models^[8–12] are presented. However, these models do not solve the characteristic speed problem in Payne model. In this note, we will propose a new dynamics model from car-following theory, in which there does not exist characteristic speed greater than macroscopic speed of traffic flow. Therefore, it is suitable for describing realistic traffic conditions.

1 Review and improvement of car-following model

In the process of car-following, if no passing is permitted, the dynamics condition of the following car will be determined by the speed of the leading car, its own speed, the distance between the two cars, the road condition, capability of the car, driver's personalities and so on. However, due to complication of the problems and in order to describe the conditions, some factors are sometimes neglected. For example, we assume that different drivers have same personalities, the road condition is ideal and cars have equal capability. Under such assumptions, classical car-following equation is proposed as^[13]:

$$\frac{\mathrm{d}v_{n+1}(t+\Delta t)}{\mathrm{d}t} = \lambda \Delta v , \qquad (4)$$

where $\Delta v = v_n(t) - v_{n+1}(t)$, v_n and v_{n+1} are the speeds of leading car and following car respectively, Δt is the reaction time and λ the reaction coefficient. When the speeds of the leading car and following car are equal, the moving state of the following car will not be changed, whatever the distance of two cars is. It is obvious that this is not realistic.

Recently, Bando et al.^[14] presented the optimal velocity model (OVM). They indicated that there are two types of theories for car-following regulation. The first type is based on the idea that each car must maintain the legal safe distance of the leading car, which depends on the relative speed of these two cars. This theory is called the classical car-following theory. The other idea is that each car has the legal speed, which depends on the following distance of the leading car. Based on the latter idea, they proposed the following form:

$$\frac{\mathrm{d}\nu_{n+1}(t)}{\mathrm{d}t} = \kappa [V(\Delta x) - \nu_{n+1}(t)], \qquad (5)$$

where κ is the reaction sensitivity, $\Delta x = x_n(t) - x_{n+1}(t)$, x_n , x_{n+1} are the positions of the leading car and following car respectively, V is function of Δx , representing legal speed.

Basing on OVM theory, Helbing et al.^[15] presented generalized force model(GFM). They think that when $\Delta v < 0$, it is necessary to consider the acceleration caused by the relative speed of the leading car and the following car, the model is as follows:

Chinese Science Bulletin Vol. 46 No. 4 February 2001

$$\frac{\mathrm{d}v_{n+1}(t)}{\mathrm{d}t} = \kappa [V(\Delta x) - v_{n+1}(t)] + \lambda \Delta v H(-\Delta v) , \qquad (6)$$

where H is Heaviside function $(H(x) = 1, \text{ where } x \ge 0)$ and H(x) = 0, where $x \le 0$.

According to our observation to car-following phenomena, we think that relative speed of the leading car and following car should be considered, whether $\Delta v < 0$ or $\Delta v > 0$, for changing the motion state of the following car. At the same time, the acceleration of the following car depends on the distance of the two cars, too. According to this idea, we present an improved car-following model

$$\frac{\mathrm{d}v_{n+1}(t)}{\mathrm{d}t} = \kappa [V(\Delta x) - v_{n+1}(t)] + \lambda \Delta v \,. \tag{7}$$

This model considers adequately the effects of both the distance and relative speed of leading car and following car on the acceleration of the following car, so it is more exact than previous models. In the next section, we will develop a new dynamics model from the improved car-following model equation (7).

2 Dynamics modeling and analysis

Car-following theories describe traffic flow conditions from microscopic views, but it does not obstruct that we link it to continuum conditions from macroscopic views. In fact, microscopic description is always an embodiment of macroscopic description, the difference between continuum and discretization lies in the different scale of observation. Just as in ref. [8], we assume that the dynamic properties of a car n+1 at location x_{n+1} represent the average traffic condition at $[x-\Delta, x+\Delta]$, and are determined by the average traffic condition in the region $[x, x+2\Delta]$. By transferring the microscopic variables to the macroscopic ones^[16], we have

$$v_{n+1}(t) \rightarrow u(x,t), \quad v_n(t) \rightarrow u(x+\Delta,t),$$

 $V(\Delta x) \rightarrow u_c(k)$ (8a)

and

$$\kappa \to 1/T, \quad \lambda \to 1/\tau,$$
 (8b)

where T is relaxation time, τ is the time needed for backward propagated disturbance passing distance Δ . Applying this analysis to eq. (7), we have

$$\frac{du(x,t)}{dt} = \frac{u_{e}(k) - u(x,t)}{T} + \frac{u(x+\Delta,t) - u(x,t)}{\tau}.$$
 (9)

Expanding the right-hand side of eq. (9) with respect to u(x,t), and neglecting higher-order terms, we have

$$\frac{\mathrm{d}u}{\mathrm{d}t} = \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} = \frac{u_{\mathrm{e}} - u}{T} + \frac{\Delta}{\tau} \frac{\partial u}{\partial x}.$$
 (10)

Analyzing eqs. (1) and (10) by characteristics, we have two characteristic speeds:

$$\lambda_1 = u, \quad \lambda_2 = u - c_0, \tag{11}$$

Chinese Science Bulletin Vol. 46 No. 4 February 2001

where $c_0 = \Delta / \tau \ge 0$ is the disturbance propagation speed. We can see that in this new model there does not exist the characteristic speed greater than macroscopic speed of traffic flow. It is suitable for realistic traffic flow conditions.

Next we will analyze that if there exists the negative travel speed problem presented by Daganzo⁽⁷⁾ using the new model. The initial condition of traffic flow presented by Daganzo is as follows:

$$u = 0, \ k = k_m H(x); \ \forall x \le A, \ t = 0 (A \ge 0),$$
 (12a)

$$u = 0; x = A, t > 0,$$
 (12b)

where H(x) is also Heaviside function, k_m is jam density. Under the initial condition, it is obvious that the correct solution to this problem should be the initial condition itself, so we will have du/dt = 0. According to Payne model, substituting it into eq. (3), we have

$$u(x,t) = u_{\rm e} - \frac{v}{k} \frac{\partial k}{\partial x}.$$

Since $u(x,t) \ge 0$, it requires

$$\frac{\partial k}{\partial x} \leq \frac{ku_{\rm e}}{v}$$
, (13)

i.e. a finite density gradient. Any density gradient greater than ku_e/v will lead to negative speed u(x,t), i.e. cars go backward. From initial condition (12), at the discontinuous location, we can assume $\partial k/\partial x \rightarrow \infty$, which does not satisfy inequality (13). Therefore, applying Payne model will anticipate that cars go backward, and it is not realistic.

Now we use the new model to analyze this problem. Substituting du/dt = 0 into eq. (10), from the initial condition, the speed gradient $\partial u/\partial x = 0$, so we will have $u(x,t) = u_e$. Because $u_e \ge 0$, $u \ge 0$ is always satisfied, i.e. the model will not anticipate that cars go backward.

3 Numerical results

L

Applying finite difference method to discretizing the model equations, we have the difference equations as (14a) -(14c). For the discretization of continuum equation, the difference format suitable for physical sense of traffic flow^[12,17] is applied:

$$k_{i}^{j+1} = k_{i}^{j} + \frac{\Delta t}{\Delta x} k_{i}^{j} (u_{i}^{j} - u_{i+1}^{j}) + \frac{\Delta t}{\Delta x} u_{i}^{j} (k_{i-1}^{j} - k_{i}^{j}).$$
(14a)

And for the dynamics equation, one-order upwind scheme is applied:

(1) when the traffic is heavy, $u_i^j < c_0$,

347



Fig. 2. The spatiotemporal evolution of density under different Riemann initial conditions. (a) For condition (i); (b) for condition (ii).

(ii) when the traffic is light, $u_i^j \ge c_0$,

$$u_{i}^{j+1} = u_{i}^{j} + \frac{\Delta t}{\Delta x} (c_{0} - u_{i}^{j})(u_{i}^{j} - u_{i-1}^{j}) + \frac{\Delta t}{T} (u_{e} - u_{i}^{j}).$$
(14c)

Next we will carry on numerical tests under two Riemann initial conditions to investigate traffic flow under congestion condition and when congestion getting evacuated, i.e. to evaluate whether the new model and its discretization can solve traffic flow conditions such as traffic shock and rarefaction wave well.

(i)
$$k_u^1 = 0.04, \ k_d^1 = 0.18;$$

(ii) $k_u^2 = 0.18, \ k_d^2 = 0.04,$ (15a)

where k_u , k_d are densities of upstream and downstream respectively, subscripts 1, 2 denote two initial conditions. Initial speed conditions are

$$u_u^{1,2} = u_e(k_u^{1,2}), \ u_d^{1,2} = u_e(k_d^{1,2}).$$
 (15b)

Free boundary condition are used, i.e. $\partial k / \partial x$ and $\partial u / \partial x$ are equal to zero on the boundary. The equilibrium speed-density relationship presented by Del Castillo et al.^[5] is applied:

$$u_{\rm e} = u_{\rm f} \left[1 - \exp\left(1 - \exp\left(\frac{c_{\rm m}}{u_{\rm f}} \left(\frac{k_{\rm m}}{k} - 1\right)\right)\right) \right], \qquad (16)$$

where $c_{\rm m}$ is disturbance propagation speed under jam density. The tested road is 20 km, divided into 100 meshes equally, time step interval is determined by requirement of numerical stability. According to field measurement and parameter calibration in China¹ and overseas^[5], parameter value $u_{\rm f} = 30$ m/s, $k_{\rm m} = 0.2$ veh/m, T = 7 s, $c_{\rm m} = c_0 = 6$ m/s. The results are shown in fig. 2.

From fig. 2, we can see that the new model provides qualitatively correct predictions under both Riemann initial conditions. For condition (i), traffic shock wave propagates backward. For condition (ii), there exists traffic rarefaction wave. These results are consistent with the traffic flow properties given in ref. [18]. Linear stability analysis and further numerical tests show that the new model can also describe traffic flow conditions such as stop-and-go wave, local breakdown effect quite well.

4 Conclusion

In these last few decades, with the rapid development of transportation, more and more attention has been paid on traffic flow research. In order to solve existing traffic problems, researchers put forward many kinds of traffic flow theory. Dynamics method is an important one in the field. However, there are this or that problem for this theory. This note first proposes an improved car-following model, which considers various behavior of the following car adequately, synthesizes the virtues of the existing theory. Then a new dynamics model of traffic flow is derived. This new model overcomes the ubiquitous characteristic speed problem in existing dynamics models. Physically, it is more suitable for realistic traffic. Numerical tests show that the model is able to simulate various traffic flow conditions quite satisfactorily.

Acknowledgements This work was supported by the National Natural Science Foundation of China (Grant No. 19872062).

References

- Helbing, D., Treiber, M., Traffic theory—Jams, waves, and clusters, Science, 1998, 282: 2001.
- Dai, S. Q., Feng, S. W., Gu, G. Q., Dynamics of traffic flow: Its content, methodology and intent, Nature Journal (in Chinese), 1997, 11: 196.
- Lighthill, M. H., Whitham, G. B., On kinematics wave: Part II: A theory of traffic flow on long crowed roads, Proc. R. Soc. London, Ser. A, 1955, 22: 317.
- Richards, P. L., Shock waves on the highway, Opns. Res., 1956, 4: 42.
- Del Castillo, J. M., Bennitez, F. G., On functional form of the speed-density relationship, Part I: General theory; Part II: Empirical investigation, Transpn. Res. B, 1995, 29: 373.
- Payne, H. J., Models of freeway traffic and control, In Mathematical Methods of Public Systems (ed. Bekey, G.A.), 1971, 1(1):
- 7. Daganzo, C. F., Requiem for second-order fluid approximations

Feng, S. W., Modeling, measurement and simulation for low speed, mixed traffic flow in cities, Ph. D. Dissertation (in Chinese), Shanghai University, 1997.