

分子模拟技术初步

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Outline

- ▶ 热力学平均与统计系综

- ▶ 热力学

- ▶ 统计力学

- ▶ Monte Carlo 模拟

- ▶ Metropolis 算法

- ▶ Kinetic MC

- ▶ 分子动力学模拟

- ▶ 模拟

- ▶ 分析

- ▶ 反应动力学

- ▶ 过渡态搜寻

热力学

▶ 热力学势

▶ Gibbs自由能: $G=H-TS$

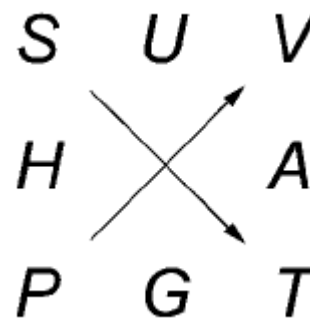
▶ Maxwell关系: $dG=VdP-SdT$

▶ 热力学数据库

$$H = H^{\text{ref}} + \int_{T_{\text{ref}}}^T C_p dT \quad S = S^{\text{ref}} + \int_{T_{\text{ref}}}^T \frac{C_p}{T} dT$$

$$C_p = c_1 + c_2 T + c_3 T^2 + \frac{c_4}{T^2}$$

$$G = A_1 + A_2 T + A_3 T \ln T + A_4 T^2 + A_5 T^3 + \frac{A_6}{T}$$



Guggenheim scheme

Good Physicists Have
Studied Under Very
Active Teachers.

统计平均

▶ 系综平均

$$\langle A \rangle = \iint A(\mathbf{p}^N, \mathbf{r}^N) \rho(\mathbf{p}^N, \mathbf{r}^N) d^N \mathbf{p} d^N \mathbf{r}$$

▶ 时间平均

$$A_{ave} = \lim_{\tau \rightarrow \infty} \int_{\tau_0}^{\tau} A(\mathbf{p}^N, \mathbf{r}^N) dt$$

- ▶ 各态历经假设 (ergodic hypothesis) : 系综处于平衡态的宏观性质是微观量在足够长时间的平均值, 即

$$\langle A \rangle = A_{ave}$$



统计系综

- ▶ Microcanonical (NVE), 能量守恒

$$\Omega_{mc} = \underbrace{T_{\text{ions}}}_{E_{kin}} + \underbrace{E[\vec{R}_I, \psi_i, f_i]}_{\text{internal } E} + \underbrace{TS_{ei}[f_i]}_{\text{electr. entropy}}$$

- ▶ Canonical (NVT)
- ▶ NPT 系综
- ▶ Grand canonical (μ VT)



Monte Carlo模拟

- ▶ MC算法以地中海沿岸Monaco的赌城命名，是一种随机采样技术。
- ▶ 关键是根据具体问题，设计合适的采样几率。
- ▶ 例如，用MC模拟计算积分，如果能够做变换使得积分核比较平缓，则采样效率较高

$$I = \int_0^1 f(x)dx$$

$$I = \int_0^1 \frac{f(x)}{w(x)}w(x)dx = \int_0^1 \frac{f(x(u))}{w(x(u))}du$$



分子体系

▶ 计算 $\langle A \rangle = \frac{\int dr^N e^{-E(r^N)/kT} A(r^N)}{\int dr^N e^{-E(r^N)/kT}} = \int dr^N N(r^N) A(r^N)$

▶ 平衡与细致平衡

$$\sum_n N(0)\pi(0 \rightarrow n) = \sum_n N(n)\pi(n \rightarrow 0) \quad N(0)\pi(0 \rightarrow n) = N(n)\pi(n \rightarrow 0)$$

▶ 将跃迁分为两步

$$\pi(0 \rightarrow n) = \alpha(0 \rightarrow n) \cdot acc(0 \rightarrow n)$$

$$\alpha(0 \rightarrow n) = \alpha(n \rightarrow 0)$$

$$\frac{acc(0 \rightarrow n)}{acc(n \rightarrow 0)} = \frac{N(n)}{N(0)} = e^{-(E(n)-E(0))/kT}$$



Metropolis算法

- ▶ accept 几率：

$$acc(0 \rightarrow n) = \begin{cases} 1 & N(n) / N(0) \geq 1 \\ N(n) / N(0) & N(n) / N(0) < 1 \end{cases}$$

- ▶ 如果某一步移动被拒绝，则需将就构型重新统计一次，否则对两能级系统，能量恒等于算术平均值。
- ▶ 在相空间移动时，不能采用固定顺序的移动序列（如先转动，再平动），否则不能满足细致平衡原理



分子动力学

▶ Ehrenfest MD

$$M_I \ddot{R}_I(t) = -\nabla_I \langle \psi | \hat{H}_e | \psi \rangle \quad i\hbar \frac{\partial \psi}{\partial t} = \hat{H}_e \psi$$

▶ Born-Oppenheimer MD

$$M_I \ddot{R}_I(t) = -\nabla_I \min_{\psi_0} \{ \langle \psi_0 | \hat{H}_e | \psi_0 \rangle \} \quad E_0 \psi_0 = \hat{H}_e \psi_0$$

▶ Car-Parrinello MD

$$M_I \ddot{R}_I(t) = -\nabla_I \langle \psi | \hat{H}_e | \psi \rangle \quad \mu_i \ddot{\psi}_i(t) = -\frac{\delta}{\delta \psi_i^*} \langle \psi | \hat{H}_e | \psi \rangle + \frac{\delta}{\delta \psi_i^*} \{ \text{constants} \}$$

- ▶ CPMD希望“热核”与“冷电子”之间绝热，电子演化足够靠近BO面，所以不宜处理金属体系。



牛顿运动方程积分

▶ Verlet 算法

$$r(t + \Delta t) = r(t) + v(t)\Delta t + \frac{f(t)}{2m}\Delta t^2 + \frac{\Delta t^3}{3!} \ddot{r} + \mathcal{O}(\Delta t^4)$$

$$r(t - \Delta t) = r(t) - v(t)\Delta t + \frac{f(t)}{2m}\Delta t^2 - \frac{\Delta t^3}{3!} \ddot{r} + \mathcal{O}(\Delta t^4)$$

$$r(t + \Delta t) \approx 2r(t) - r(t - \Delta t) + \frac{f(t)}{m}\Delta t^2$$

$$v(t) = \frac{r(t + \Delta t) - r(t - \Delta t)}{2\Delta t} + \mathcal{O}(\Delta t^2)$$

▶ 变种：蛙跳法、速度verlet法、位置verlet法



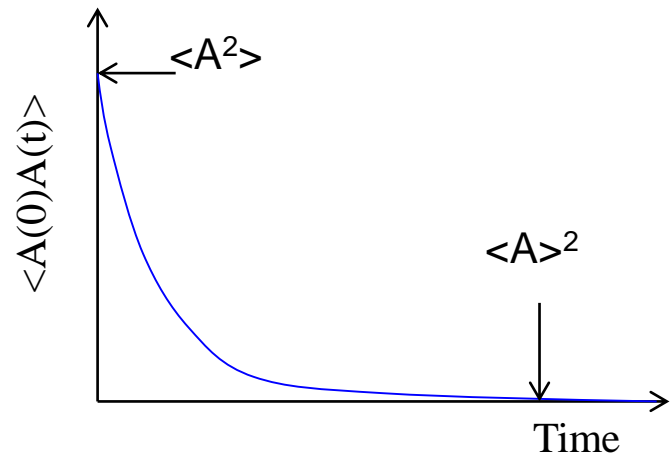
动力学信息分析

▶ 关联系数: $C_{xy} = \frac{1}{M} \sum_{i=1}^M x_i y_i = \langle x_i y_i \rangle$

▶ 时间关联系数: $C_{xy}(t) = \langle x(0) y(t) \rangle$

▶ 吸收光谱强度: $I(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dt e^{-i\omega t} \langle \mu(0) \mu(t) \rangle$

▶ 扩散系数: $D = \frac{1}{3} \int_0^{\infty} dt \langle v_i(t) v_i(0) \rangle$



温度控制

▶ Nosé thermostat

$$M_I \ddot{\vec{R}}_I(t) = -\frac{\partial E}{\partial \vec{R}_I(t)} - M_I \ddot{\vec{R}}_I(t) \frac{\dot{s}(t)}{s(t)}$$

$$Q \frac{d(\dot{s}(t)/s(t))}{dt} = -\sum_I M_I |\ddot{\vec{R}}_I(t)|^2 - g k_B T$$

Q mass parameter for the thermostat, determines the response of the heat bath to the fluctuations of the ionic system

g number of the ionic degrees of freedom $g = 3(N - 1)$

- **characteristic frequency** of the thermostat at T : $\omega_T^2 = \frac{2gk_B T}{Q}$
- **equilibration between the ions and the heat bath**: most effective if ω_T is of the same order of magnitude as the characteristic frequency of the system to which it is coupled.

压力控制

▶ Parrinello-Rahman dynamics

$$\mathcal{L}(s, h, \dot{s}, \dot{h}) = \frac{1}{2} \sum_i^N m_i \dot{s}_i^t G \dot{s}_i - V(s, h) + \frac{1}{2} W \text{Tr}(\dot{h}^t \dot{h}) - p_{ext} \Omega,$$

分数
坐标

晶格
矢量

$$G = h^t h$$



Constrain

▶ SHAKE algorithm

$$m_i \ddot{\mathbf{r}}_i = \mathbf{F}_i + \sum_{k=1}^{N_c} \lambda_k \nabla_i \sigma_k \quad \frac{d}{dt} \sigma_k(\mathbf{r}_1, \dots, \mathbf{r}_N) = 0.$$

- ▶ develop a numerical scheme in which the constraint conditions are satisfied exactly as part of the integration algorithm

$$\mathbf{r}_i(\Delta t) = \mathbf{r}_i(0) + \Delta t \mathbf{v}_i(0) + \frac{\Delta t^2}{2m_i} \mathbf{F}_i(0) + \frac{\Delta t^2}{2m_i} \sum_k \lambda_k \nabla_i \sigma_k(0)$$

$$\sigma_l(\mathbf{r}_1(\Delta t), \dots, \mathbf{r}_N(\Delta t)) = 0, \quad l = 1, \dots, N_c.$$

迭代求解!



自由能计算

▶ 自由能微扰

$$\langle \mathcal{F}(\mathbf{x}, \mathbf{p}_x) \rangle_1 = \frac{\langle \mathcal{F}(\mathbf{x}, \mathbf{p}_x) \exp(-\beta \Delta U) \rangle_0}{\langle \exp(-\beta \Delta U) \rangle_0}$$

▶ 热力学积分

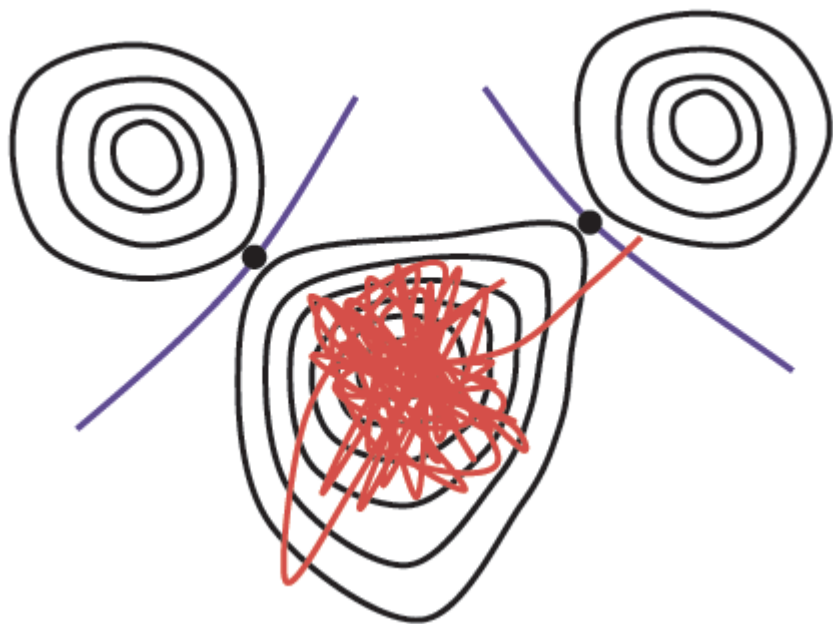
$$\Delta A_{a \rightarrow b} = \int_{\lambda_a}^{\lambda_b} \left\langle \frac{\partial \mathcal{H}(\mathbf{x}, \mathbf{p}_x; \lambda)}{\partial \lambda} \right\rangle_{\lambda} d\lambda$$

Potential of mean force



稀有事件

- ▶ 稀有事件存在时对整个相空间均匀采样变得困难。

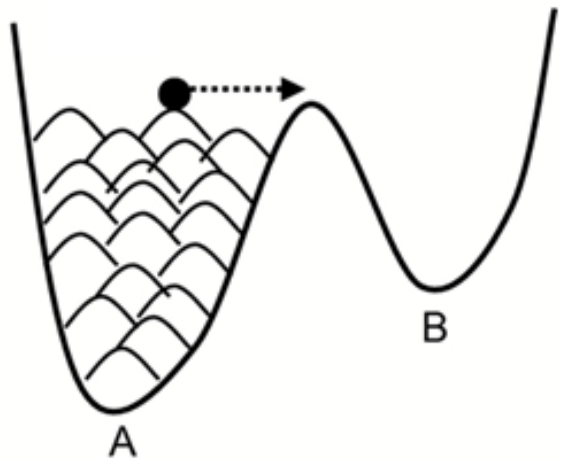


Biased sampling

- ▶ 伞形采样
- ▶ Metadynamics

$$\hat{F}(\mathbf{s}) = -\frac{1}{\beta} \ln N(\mathbf{s}) - V(\mathbf{s})$$

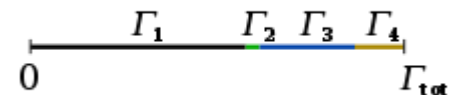
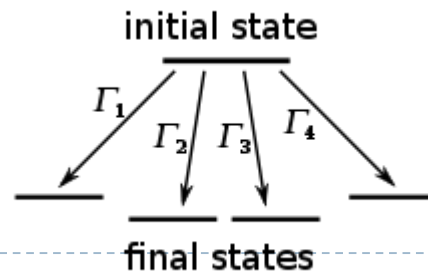
$$V(\mathbf{s}, t) = \int_0^t dt' \omega \exp\left(-\sum_i^d \frac{s_i(\mathbf{r}) - s_i(\mathbf{r}(t'))^2}{2\sigma_i^2}\right)$$



Kinetic MC

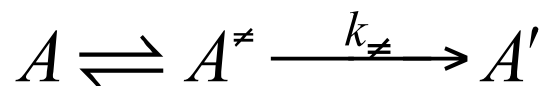
▶ simulating the time evolution of a system where some processes can occur with known rates r

1. Calculate the cumulative function $R_i = \sum_{j=1}^i r_j$
2. Get a uniform random number $u \in (0, 1]$
3. Carry out event i with $R_{i-1} < uR_N \leq R_i$
4. Get a new uniform random number $u' \in (0, 1]$
5. Update the time with $t = t + \Delta t$, where $\Delta t = R_N^{-1} \ln(1/u')$
6. Recalculate all rates and update even list.
7. Return to step 1.



过渡态理论

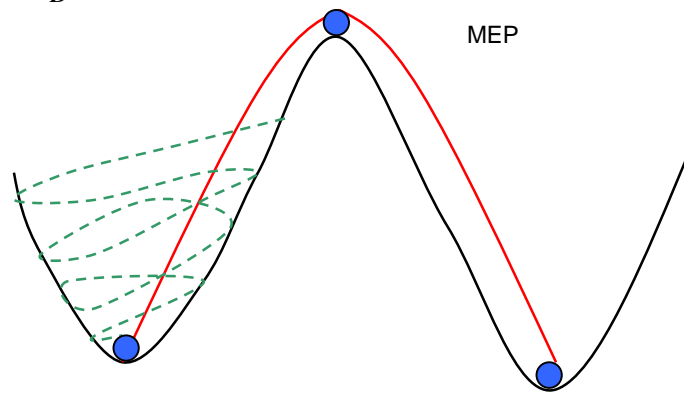
- ▶ 对于反应 $A \xrightleftharpoons[k_{-1}]{k_1} A'$ ，认为其存在过渡态 A^\ddagger ，使得反应历程为：



- ▶ 化学反应速率

$$k_1 = k_\ddagger \frac{[A^\ddagger]}{[A]} = k_\ddagger e^{-(G_\ddagger - G_A)/k_B T}$$

- ▶ 变分过渡态理论



过渡态搜寻

▶ 基于初始猜想

- ▶ Constrained Minimization: 固定反应坐标 R (如需断开的化学键键长) 优化其他自由度。 R 的取值通常需要通过手动扫描得到, 也可对特定的优化算法发展自动逼近真实过渡态对应的 R
- ▶ Eigenvector following: 手动构造初始过渡态模型, 当优化算法给出Hessian或其近似时, 跟随最大的负二次微分。
- ▶ Dimer method: 构造两个相近的构型, 从初始位置和初始方向沿能量高的方向走, 通过“转动”二聚体来寻找最小曲率 (最终为负) 的方向。



过渡态搜寻

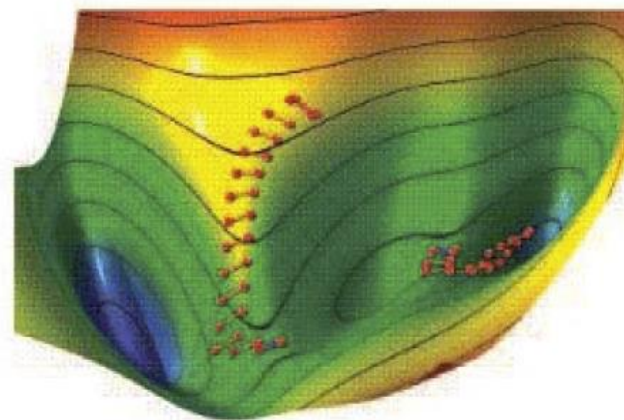
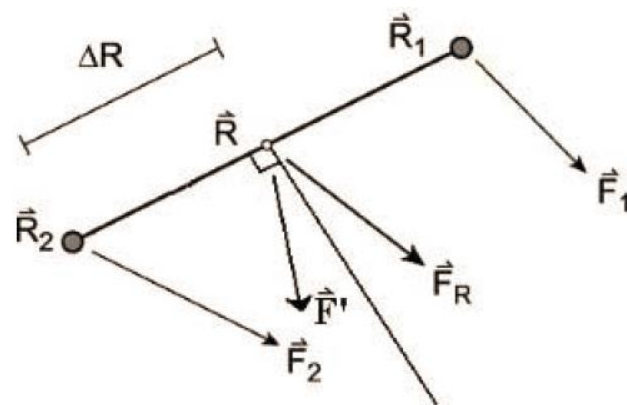
▶ 基于反应物产物

- ▶ Synchronous Transit (LST/QST): 在两个稳定构型间做几何插值, 计算能量 (或沿垂直方向优化构型), 查找能量最高点。
- ▶ Nudged elastic band: 每个映像上的力垂直反应路径; 采用一个人工的弹性力来使映像沿反应路径分开。



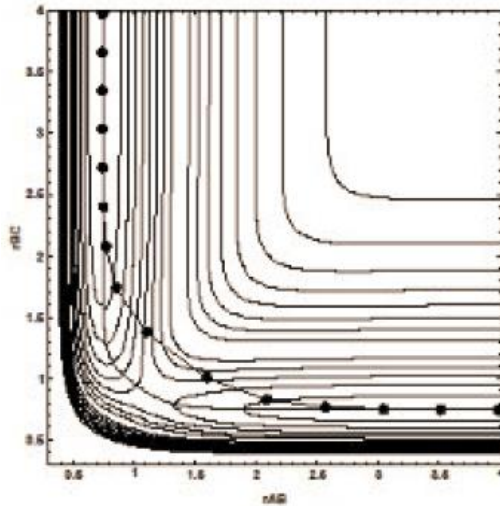
Dimer方法

- ▶ Dimer方法每一步包括平移和旋转两步
- ▶ 旋转：沿中点旋转直至总能最小，对应于曲率最小的方向。
- ▶ 平移：根据受力 F' 移动。 F' 等于将 $F(R)$ 平行于Dimer方向力的分量符号反转。Dimer方向以受力相反方向移动，而其它方向顺着受力方向移动。

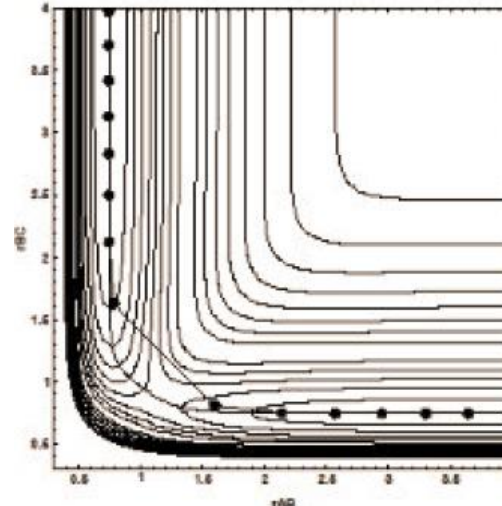


NEB

▶ Plain elastic band



Corner Cutting



Sliding Down

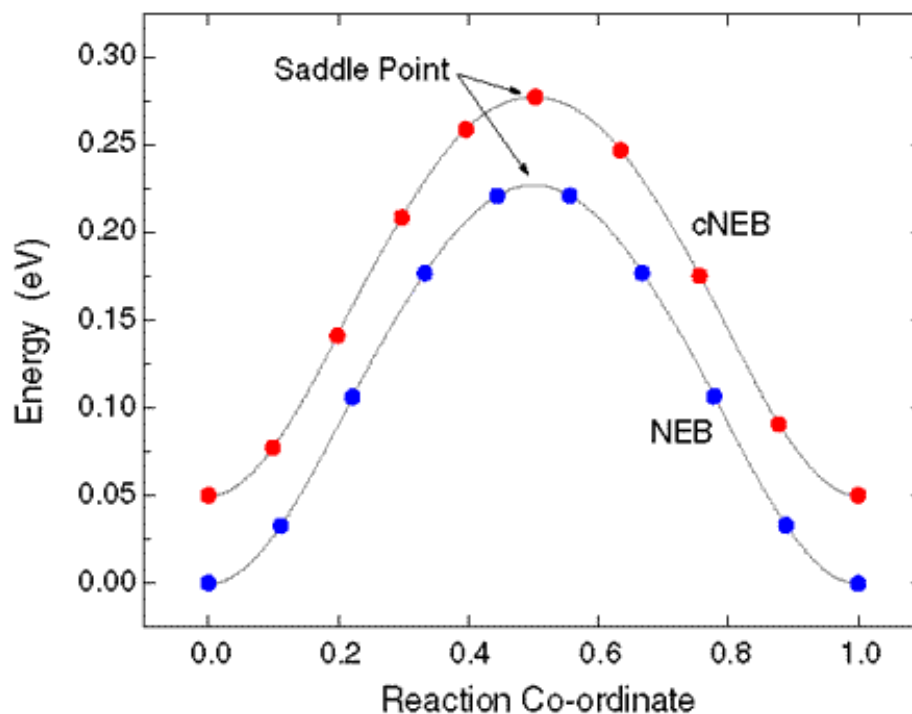
▶ Nudged Elastic Band

- ▶ 切线方向受力等于弹簧力在这个方向分量
- ▶ 垂直于路径切线方向的受力等于势能力在此方向上分量

CI-NEB

- ▶ The highest energy image does not feel the spring forces along the band. Instead, the true force at this image along the tangent is inverted.

Comparison of NEB and Climbing Image NEB (cNEB)



上机实践

- ▶ 简单有机反应过渡态
 - ▶ NEB
 - ▶ CI-NEB
 - ▶ dimer
- ▶ 小分子构象统计采样

